





# Relationship between Circulating Plasma Galectin-3 Levels and T-Cell Activation during Cervical Cancer Chemotherapy

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## Abstract

**Objective:** Despite the existence of several therapeutic strategies, the management of cervical cancer remains challenging. Our region has very little data on the interaction between the immune system and the clinical response to chemotherapy. This work examines plasma levels of galectin-3 (Gal-3) and percentages of activated T cells in patients with cervical cancer treated with chemotherapy and investigates if there is a relationship between the rates of these two elements. **Methods:** We compared data from 37 patients with cervical cancer undergoing chemotherapy and 42 controls with normal cervical cytology. Plasma Gal-3 concentrations were assessed by ELISA and expression of activation markers by T cells (CD69 and HLA-DR) was assessed by flow cytometry at three different time points during chemotherapy. **Results:** Our results showed that patients had a significantly higher concentration of Gal-3 compared to controls (4.025 vs. 1.340,  $p < 0.001$ ), similarly, they had a significantly high percentage of activated lymphocytes (2.610 vs. 0.731;  $p < 0.0001$ ). According to the response to treatment, patients with no response to treatment had a lower concentration of circulating Gal-3 but had approximately the same percentage of activated CD4 and CD8 lymphocytes as patients with a partial or total response. In addition, we found a positive correlation between the Gal-3 level and CD4 T cells expressing the activation marker CD69 ( $p < 0.05$ ;  $\rho = 0.44$ ). **Conclusion:** In conclusion, our results show that there would be a relationship between circulating galectin-3 and

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the percentage of peripheral CD4<sup>+</sup>CD69<sup>+</sup> cells in cervical cancer.

## Keywords

Uterine Cervical Neoplasm, Chemotherapy, Galectin 3, T-Lymphocytes Activation

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## 1. Introduction

Cervical cancer (CC) is the fourth most common cancer in women worldwide. According to a WHO report, around 500,000 cases were diagnosed annually and 300,000 deaths due to CC were observed. The highest incidences occur in developing countries, where incidences of Human Papilloma Virus (HPV) are often high [1]. Cervical cancer develops in the cervical epithelium and it results in 99% of cases from persistent HPV infection [2]. Based on current evidence, there are few reliable biomarkers for the treatment of cervical cancer. Therefore, the development of new therapeutic biomarkers is of paramount importance.

The galectins are a family of carbohydrate-binding proteins with an affinity for  $\beta$ -galactosides [3], sharing a conserved carbohydrate recognition domain (CRD) of about 130 amino acids [4]. Fifteen galectins have been identified so far and divided into 3 subgroups: prototype, chimera and tandem. Galectin-3 (Gal-3) is the only galectin belonging to the chimera subgroup and it contains one CRD and an extended N-terminal domain [5]. It has a molecular mass ranging from 29 to 34 kDa. Gal-3, to an equal or greater extent than other galectins, plays an important function in cell-cell or cell-matrix interactions [6], cell migration [7], cell growth, differentiation and angiogenesis [8], cell activation [9] and apoptosis [10]. It is localized not only in intracellular space such as cytoplasm or the nucleus but also in extracellular space such as the cell surface or the extracellular matrix [11]. It is often overexpressed in various human tumors such as colon [12], prostate [13], thyroid [14] and breast cancer [15] and is generally associated with poor prognosis.

Studies have shown that the extracellular binding of Gal-3 to the plasma membrane of T cells alters membrane organization and immunological synapse formation. Its ability to interact specifically with different membrane proteins influences T cell receptor signaling and thus alters T cell activation and effector function [16]. Gal-3 may also suppress T cell activation by binding to the  $\alpha$ -subunit of the TCR, thus inhibiting its recruitment at immunological synapses [9] [17]. Therefore, the ability of Gal-3 to inhibit T cell responses may be a mechanism by which Gal-3 contributes to cancer progression.

Furthermore, since variations in Gal-3 were not associated with age, body mass index (BMI), or sex [18], several studies were conducted to examine Gal-3 as a diagnostic marker [19] [20]; and had suggested the use of Gal-3 in the diagnosis and prognosis of various types of diseases, and thus may also serve as a

therapeutic target for treatment.

The objective of this study was to analyze the plasma concentration of Gal-3, evaluate the percentage of activated T cells in patients undergoing chemotherapy and search an eventual link between gal-3 and activated T cells population.

## 2. Materials and Methods

### 2.1. Patients, Samples and Recruitment

This study included 37 patients with cervical cancer (CP) and 42 healthy controls (HC) with no history of cervical cancer and showed normal cervical cytology. The recruitment was carried out at the cancer department of the Julliot-Curie Institute (Aristide LeDantec Hospital, Dakar, Senegal) from July to October 2018. The patients enrolled in the study were those with a recent pathologically confirmed diagnosis of cervical cancer who had not received any cancer treatment, including chemotherapy and radiotherapy. Non-inclusion criteria included previous treatment with traditional herbal medicines, the existence of chronic conditions such as diabetes, and bacterial or viral infections such as tuberculosis, HIV and hepatitis B. These infectious diseases were systematically investigated by the medical team in charge of follow-up. In addition, no participants with immunosuppression or cardiac pathologies or who had received blood transfusions were included in this study. All selected patients were managed by the same medical staff and the chemotherapy protocol was in accordance with Senegalese national recommendations specifying one cycle every 21 days. Usually, three courses of chemotherapy are recommended before undertaking a thorough clinical examination to assess response to treatment. Each patient received a chemotherapy protocol based on individual clinical features of the disease and general health status. Overall, for the patients enrolled, cisplatin was used in combination with 5-fluorouracil (5-FU). In terms of dose, cisplatin was administered between 50 - 75 mg/m<sup>2</sup> of body surface area every 3 weeks and 5-FU was used up to 1000 mg/m<sup>2</sup> every 3 weeks for 3 cycles.

The healthy controls (HC) selected were women free of cervical cancer with normal cervical cytology and no chronic inflammatory pathology. They also had no history of cancer or chronic pathology (diabetes, heart disease).

Peripheral venous blood from all study participants (CP and HC) was collected on EDTA tube. For patients, sample collection was based on the chemotherapy protocol. A total of three blood samples were collected for each patient, corresponding to one sample before each cycle of chemotherapy as follows: sample 1 (S1: day 0, before any treatment), sample 2 (S2: day 21, 21 days after the first treatment corresponding to the second course of chemotherapy) and sample 3 (S3: day 42, 42 days after the first treatment corresponding to the third course of chemotherapy). However, only one sample was collected from each Healthy control. All samples (patients and healthy controls) were immediately centrifuged. Supernatants are stored at -80°C. Peripheral blood mononuclear

cells (PBMCs) were immediately isolated from the pellet and used for phenotyping Assay.

## 2.2. Definition of Response to Chemotherapy

The international classification defines non-responders, partial responders, and complete responders based on tumor size compared to baseline after three courses of chemotherapy. In this study, a complete response (CR) is defined as the complete disappearance of all tumor lesions. A decrease in tumor size of 50% or more is defined as a partial response (PR). Patients with no response (NR) include those with stability (tumor size decrease less than 50% or tumor size increase less than 25%) and those with progression (tumor size increase greater than or equal to 25% or an appearance of new lesions). Clinical response was assessed by vaginal pelvic examination and abdominal/pelvic CT scan before and after chemotherapy.

## 2.3. Ethics Statement and Procedure

This study was performed at Aristide LeDantec Hospital in Dakar (Senegal) in the internal institute dedicated to cancer management. In this study, all the Immunological assays were performed in the Immunology Laboratory of the Faculty of Medicine of Cheikh Anta Diop University in Dakar. Sample collection and monitoring were done in collaboration with the Immunology Unit of HALD.

From each participant and/or relatives, informed consent was obtained before inclusion, after providing written or verbal information in their native language. The protocol 0297/2018/CER/UCAD was approved by the Institutional Ethics Committee of Cheikh Anta Diop University (Dakar, Senegal) and performed following the Declaration of Helsinki.

## 2.4. Plasma Gal-3 Measurements

Plasma Galectin-3 levels were determined using the Platinum Human Galectin-3 ELISA kit (eBioscience, Inc., San Diego, CA, USA) with enzyme-linked immunosorbent assay (ELISA) method. The 96-well plate (Corning Costar 9018) pre-coated with anti-human Gal-3 monoclonal antibody was washed twice (with Wash Buffer) before standards addition. Standards reconstitution was used according to the manufacturer's instructions and 100  $\mu$ l were distributed to the appropriate wells. To establish a standard curve, we make double serial dilutions for a total of 8 points.

For each sample, 50  $\mu$ l per well (duplicate) was added and the plate was incubated under agitation at 400 rpm for one hour. After washing 100  $\mu$ l of HRP-conjugated detection antibodies were added to each well before 1-hour incubation with agitation at 400 rpm. Tetra-methyl benzidine (TMB) was used as a liquid substrate (100  $\mu$ l added) and incubated for 30 minutes in the dark, at room temperature before the addition of 100  $\mu$ l of Stop Solution (2N, H<sub>2</sub>SO<sub>4</sub>). Absor-

bance at 450 nm (with a reference wavelength of 620 nm) was measured on a microplate reader (Multiskan FC, Thermo Scientific®). The results are expressed in pg/ml.

## 2.5. PBMCs Isolation

Isolation of peripheral blood mononuclear cells (PBMC) was done by density gradient centrifugation using the Ficoll® 1077 method. Blood previously diluted to equal volume with RPMI was deposited in a thin layer on the surface of the Ficollin a1:1 ratio and subjected to centrifugation at 1500 rpm for 15 minutes. The white layer representing the PBMC (between the Ficoll and the dilution solution) was gently collected and transferred aseptically into sterile 15 ml centrifuge tubes. The cells were then washed with RPMI/Penicillin-Streptomycin by centrifugation at 1500 rpm for 30 minutes. The cell pellet was then suspended in 2 ml of PBS 5% FBS or 10% FBS/RPMI. Cell labeling was performed from this suspension.

## 2.6. Flow Cytometric Analysis

$0.5 \times 10^6$  cells/ml were placed in each cytometer tube, and decompemented SAB was then added to block non-specific sites. Labelling was then performed by adding monoclonal antibodies (CD3-PE, CD4-APC, CD8-PercP, CD69-FITC and HLA-DR-PercP). The mixture is vortexed and incubated for 30 minutes at 4°C in the dark. A wash was then performed, before recovering the pellet and suspending it in the Fixation Solution followed by incubation for 20 minutes at room temperature. Final centrifugation at 1800 rpm for 5 minutes was performed before suspending the cells in PBS 1X for cytometer reading. The acquisition was done using a FACS calibur® equipped with Cell Quest Pro software®. The data collected were analyzed with Flow Jo® 10.0.6 software.

## 2.7. Statistical Analysis

Data were analyzed with Statview® version 5.1 software. The non-parametric Mann-Whitney test was used for the different comparisons between patients and controls, and the Kruskal-Wallis test was used to test the differences in concentrations between non-responders, partial responders and complete responders. Relationships between variables were assessed using the Spearman rank test. A value was considered statistically significant when  $p < 0.05$ .

## 3. Results

### 3.1. Demographic, Histological, and Clinical Characteristics of Patients

The present study involved 42 controls and 37 patients with cervical cancer. Controls and patients were age-matched. According to the FIGO classification, more than half (55.56%) of the patients had advanced disease at the time of diagnosis. In addition, approximately half of the patients (48.64%) were younger

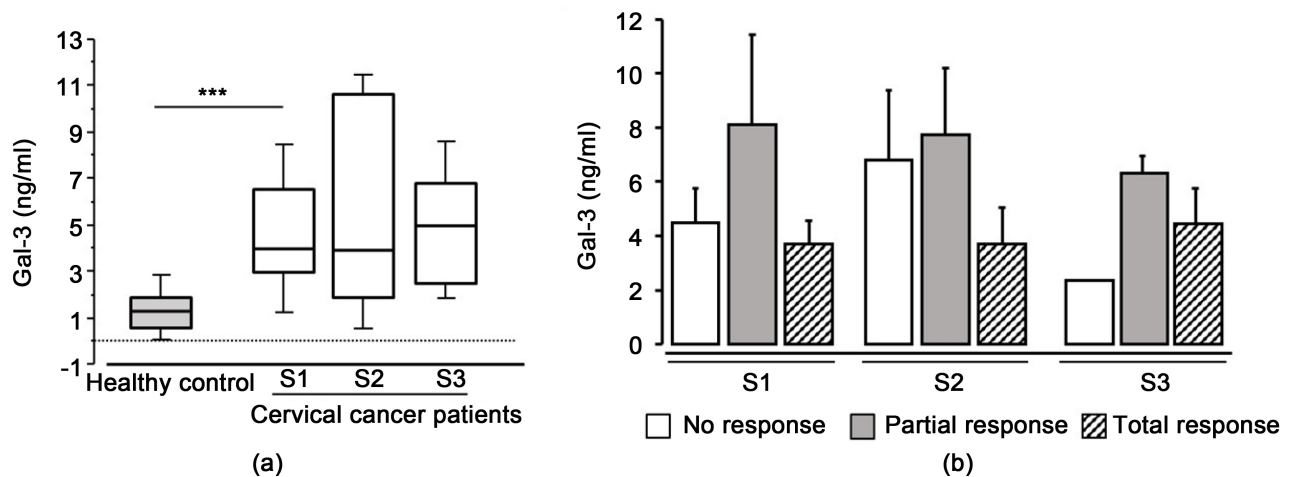
than 50 years of age at the time of diagnosis, with an average age of 53.7 years. As shown in **Table 1**, squamous cell carcinoma accounted for 81% of the tumor types diagnosed. Regarding the response to treatment, patients with a complete response to chemotherapy represented 35.1% of the patients and the remainder were 37.8% and 27% respectively for patients with a partial response and those with no response.

### 3.2. Galectin-3 Levels in Patients and Controls

The comparison of Gal-3 levels between controls and patients before treatment reported in **Figure 1(a)** shows that Gal-3 levels were significantly higher in patients compared to controls (median 4.025 versus 1.340,  $p < 0.001$ ). **Figure 1(a)** also illustrates the levels of Gal-3 plasma levels before each of the three chemotherapy courses (S1, S2, and S3). No significant difference was observed between the different concentrations during treatment. Furthermore, analysis of the correlation between age and Gal-3 levels in patients and controls showed no significant relationship. This indicates that age would not influence the variation of Gal-3 levels. Depending on the FIGO stage, the analysis of the Gal-3 concentration showed no significant difference.

**Table 1.** Demographic, histological, and clinical characteristics of patients.

Characteristics	n = 37 (%)
<b>Age at diagnosis (years)</b>	
>= - <	
35 - 50	18 (48.64)
50 - 66	13 (35.14)
66	6 (16.22)
<b>Histology</b>	
Squamous cell carcinoma	30 (81.08)
Adenocarcinoma	7 (18.92)
<b>FIGO Stages</b>	
I - IIA	11 (29.73)
IIB - IV	26 (70.27)
<b>Parity</b>	
0 - 5	9 (24.3)
6 - 10	22 (59.5)
>10	6 (16.2)
<b>Gravidity</b>	
0 - 5	8 (21.6)
6 - 10	23 (62.2)
>10	6 (16.2)
<b>Response to treatment</b>	
Complete Response	13 (35.1)
Partial Response	14 (37.8)
No Response	10 (27)



**Figure 1.** Comparison of plasma galectin-3 levels (a): in healthy controls and patients. Galectin-3 levels were significantly higher in patients compared to healthy controls. (b): as a function of treatment response. \*\*\* $p < 0.001$ .

As previously described, an assessment of the response to chemotherapy was performed after treatment. Thus, Gal-3 levels were studied as a function of treatment response (Figure 1(b)). The results of this analysis showed no significant difference between the three (3) groups of patients classified based on response to treatment (2.2 ng/ml vs. 6.1 ng/ml vs. 4.3 ng/ml).

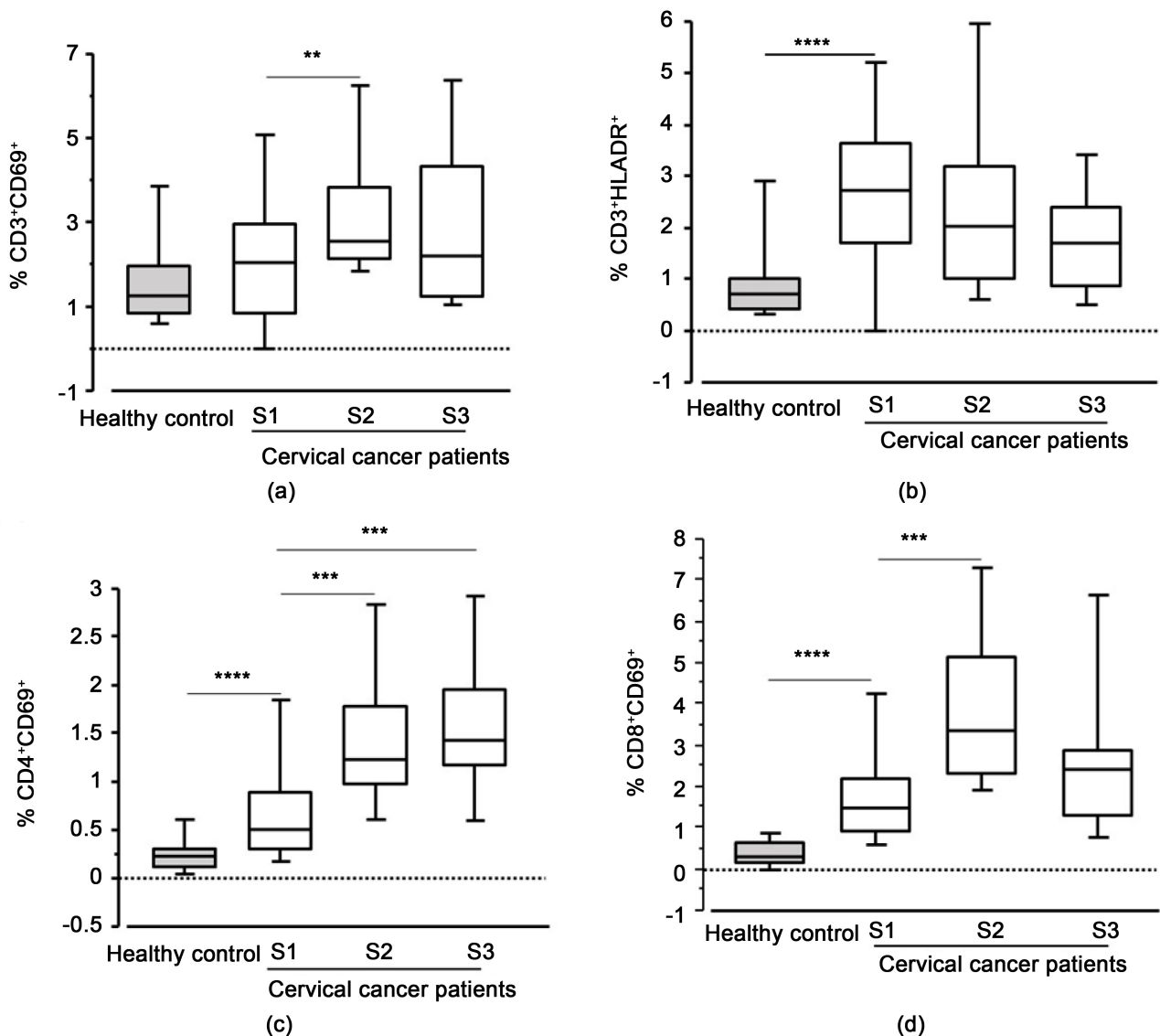
### 3.3. T Cell Activation Kinetics

We evaluated the expression of early (CD69<sup>+</sup>) and late (HLA-DR<sup>+</sup>) activation markers on T cells (CD3<sup>+</sup>), but also on T cell subpopulations (CD3<sup>+</sup>CD4<sup>+</sup> and CD3<sup>+</sup>CD8<sup>+</sup>) (Figure 2).

#### 3.3.1. Early (CD69) and Late (HLA-DR) Activation Marker Expression by CD3<sup>+</sup> T Cells

The median percentage of CD3<sup>+</sup> T cells expressing the HLA-DR<sup>+</sup> marker was significantly higher in patients compared to controls (median 2.610 versus 0.731;  $p < 0.0001$ ; Mann-Whitney test) (Figure 2(b)). In contrast, no significant difference was noted for CD3<sup>+</sup> T cells expressing the early activation marker CD69<sup>+</sup> between patients and controls (median 2.047 versus 1.283;  $p = 0.27$ ; Mann-Whitney test) (Figure 2(a)).

In patients during treatment, for CD69<sup>+</sup> marker expression by CD3<sup>+</sup> cells, we found S1 (2.047), S2 (2.464) and S3 (2.205). A comparison the percentages showed a significant difference between the median percentage of CD3<sup>+</sup>CD69<sup>+</sup> cells at S1 (2.047) versus S2 (2.464) ( $p = 0.01$ ) (Figure 2(a)). In parallel to the analysis of cells expressing the early activation marker, an analysis of CD3<sup>+</sup>HLA-DR<sup>+</sup> cells was performed (Figure 2(b)). Before treatment initiation, the median percentage of CD3<sup>+</sup>HLA-DR<sup>+</sup> cells was 2.610 (S1). At 21 days after treatment initiation, it was 1.789 (S2) and 1.688 at 42 days after treatment initiation (S3). Although no difference was noted in the patients, a decrease in the expression of this marker was observed during treatment.



**Figure 2.** Analysis of the expression of activation markers by flow cytometry in patients and controls. (a): early activation CD69<sup>+</sup> by CD3<sup>+</sup> cells. (b): late activation HLA-DR<sup>+</sup> by CD3<sup>+</sup> cells. (c): early activation CD69<sup>+</sup> by CD4<sup>+</sup> cells. (d): late activation HLA-DR<sup>+</sup> by CD8<sup>+</sup> cells. Results are presented as the median of the data from the thirty-seven patients. \*\*p < 0.01; \*\*\*p < 0.001; \*\*\*\*p < 0.0001.

### 3.3.2. Kinetics of Expression of Activation Markers by CD4<sup>+</sup> and CD8<sup>+</sup> T Cell Sub-Populations

The median percentage of CD4<sup>+</sup> and CD8<sup>+</sup> T cell subpopulations expressing the activation marker (CD69<sup>+</sup>) was assessed (Figure 2(c) and Figure 2(d)). The expression of the early activation marker (CD69) by the CD4 cells (median 0.490 versus 0.230 respectively; p < 0.0001) and CD8 cells (median 1.480 versus 0.325 respectively; p < 0.0001) subpopulations were significantly higher in patients than in controls.

Regarding the expression kinetics of the different markers during treatment, the percentage of CD4<sup>+</sup> and CD8<sup>+</sup> T cells expressing the CD69<sup>+</sup> marker increased significantly 21 days after initiation of chemotherapy, respectively (0.490 at S1



versus 1.230 at S2 with  $p = 0.0007$ ) for CD4<sup>+</sup>CD69<sup>+</sup> cells and CD8<sup>+</sup>CD69<sup>+</sup> cells (1.480 at S1 versus 3.285 at S2 with  $p = 0.0003$ ). Similarly, a significant increase was also noted 42 days after treatment initiation (S3) for CD4<sup>+</sup>CD69<sup>+</sup> cells (median 0.490 (S1) versus 1.440 (S3);  $p = 0.0099$ ). In contrast, a non-significant decrease was observed for CD8<sup>+</sup>CD69<sup>+</sup> cells 42 days after initiation of treatment.

Additionally, the search for a possible relationship summarized in **Table 2**, showed a positive correlation between CD4<sup>+</sup>CD69<sup>+</sup> T cells and CD8<sup>+</sup>CD69<sup>+</sup> T cells in controls ( $\rho = 0.539$ ,  $p = 0.0006$ ). Similarly, in patients, a positive correlation was noted before initiation of treatment and at 21 days after initiation of treatment, respectively (S1:  $\rho = 0.499$ ;  $p = 0.0036$ ) (S2:  $\rho = 0.610$ ;  $p = 0.012$ ). In contrast, no relationship was noted at d42 after treatment initiation.

### 3.3.3. Activated T Cells According to the Response to Chemotherapy

We also analyzed the percentage of activated lymphocytes according to the response to treatment (**Figure 3**). Our results show that in patients at S1 (before treatment) and at S3 (42 days after treatment) no significant difference was observed between the groups of patients as defined above (no response, partial response and total response) (**Figures 3(a)-(d)**). In contrast, at 21 days after the start of treatment (S2), a significant difference was noted in the percentage of CD3<sup>+</sup> T cells expressing the activation marker CD69<sup>+</sup> between the three patient groups ( $n = 10$ , median 1.06), ( $n = 14$ , median 0.89), and ( $n = 13$ , median 1.355) in patients classified as no response, partial response, and complete response, respectively ( $p < 0.01$ ). Additionally, the median percentage of CD3<sup>+</sup>CD69<sup>+</sup> T cells was significantly lower in patients classified as no response compared with partial response patients ( $p < 0.05$ ); and total responders ( $p < 0.001$ ) (**Figure 3(a)**).

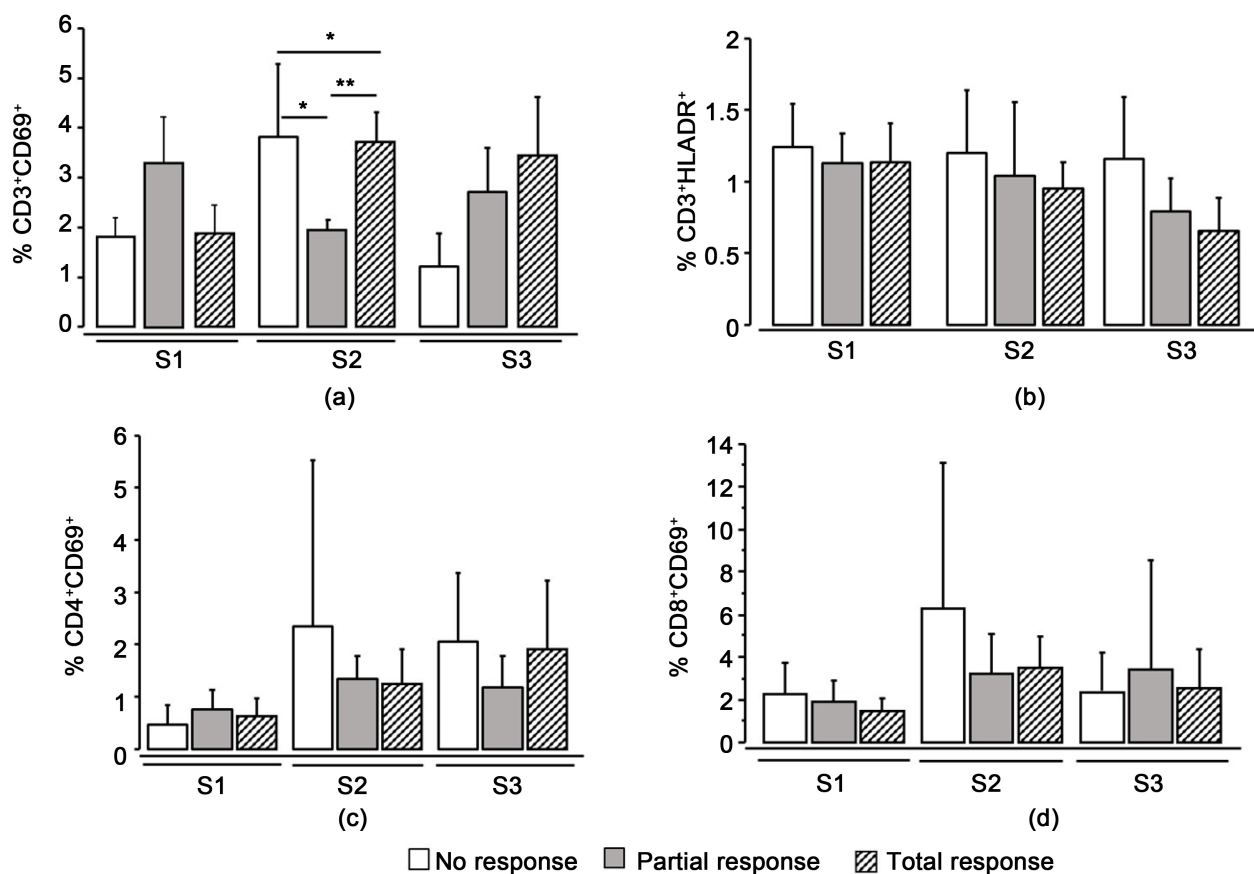
### 3.4. Relationship between Galectin-3 Levels and Cells Expressing the Early and Late Activation Markers

The search for a possible relationship between the level of Gal-3 and the expression of activation markers by T cells showed no correlation in the controls. However, in the patients before treatment, a significant correlation was observed between the level of plasma Gal-3 and the expression of the early activation marker by CD4 T cells. In contrast, no significant relationship was observed during treatment in patients. **Table 3** summarizes all the data obtained.

**Table 2.** Correlation between CD4<sup>+</sup>CD69<sup>+</sup> and CD8<sup>+</sup>CD69<sup>+</sup> cells.

		CD4 <sup>+</sup> CD69 <sup>+</sup>		
		$\rho^a$	p-value	
CD8 <sup>+</sup> CD69 <sup>+</sup>	Controls (n = 42)	0.539	<b>0.0006</b>	
	Patients (n = 37)	S1	0.499	<b>0.0036</b>
		S2	0.610	<b>0.012</b>
		S3	0.418	0.186

<sup>a</sup>Spearman's Rank Test; Bold text indicates a significant p-value.



**Figure 3.** Comparison of percentage of activated T cells according to treatment response, (a): Percentage of CD3<sup>+</sup>CD69<sup>+</sup>, (b): Percentage of CD3<sup>+</sup>HLA-DR<sup>+</sup>; (c): Percentage of CD4<sup>+</sup>C69<sup>+</sup>; (d): Percentage of CD8<sup>+</sup>CD69<sup>+</sup>. \*p < 0.05, \*\*p < 0.01.

**Table 3.** Relationship between galectin-3 levels and early and late activation markers.

		CD3 <sup>+</sup> CD69 <sup>+</sup>		CD4 <sup>+</sup> CD69 <sup>+</sup>		CD8 <sup>+</sup> CD69 <sup>+</sup>		CD3 <sup>+</sup> HLA-DR <sup>+</sup>	
		rho <sup>a</sup>	p	rho <sup>a</sup>	p	rho <sup>a</sup>	p	rho <sup>a</sup>	p
Controls (n = 42)		0.107	0.592	0.088	0.65	-0.228	0.25	0.008	0.96
Gal-3 Patients (n = 37)	S1	0.268	0.279	0.443	<0.05	-0.196	0.39	0.364	0.11
	S2	-0.172	0.479	0.149	0.53	0.260	0.28	-0.377	0.12
	S3	0.318	0.314	-0.291	0.35	0.291	0.35	0.036	0.90

<sup>a</sup>Spearman's rank test, bold text indicates a significant p-value.

## 4. Discussion

In Senegal, the early emergence of cervical cancer, the difficulties in its management and its aggressiveness are compounded by the lack of diagnostic and prognostic biomarkers. Therefore, the identification of reliable biomarkers is essential for early diagnosis and assessment of the therapeutic prognosis, but also for the development of potential therapeutic targets for cervical cancer. In this study, we determined the plasma Gal-3 concentrations and assessed the propor-

tions of activated T cells in patients with cervical cancer. We then investigated a possible relationship between galectin-3 and activated lymphocytes during chemotherapy.

As a multifunctional protein widely expressed by many human cell types, overexpression of Gal-3 is commonly observed in various cancer types. The significant increase in plasma levels of circulating Gal-3 in cancer patients has been observed in many cancers, including breast cancer [21], colorectal cancer [22], lung cancer [21], pancreatic carcinoma [23], bladder cancer [11], thyroid cancer [24], gastrointestinal cancer [21] [25], prostate cancer [25] and squamous cell carcinoma of the head and neck [20]. Despite this, studies on the role of Gal-3 in human cervical carcinogenesis remain few [26] [27], especially in Senegal, where such studies are almost absent.

In this study, plasma Gal-3 levels were significantly higher in cervical cancer patients compared to controls. This is in line with the results of the previously mentioned studies, in which patients with cancer had higher Gal-3 concentrations than healthy controls [21]. However, the origin of the increase in plasma Gal-3 in cancer patients remains unclear, especially as the sources of circulating Gal-3 are not only tumor cells, but also macrophages, mast cells, eosinophils and stromal cells in the vicinity of cancer nests [28]. Furthermore, the study of Castronovo *et al.* showed that Gal-3 is down-regulated in breast cancer and suggests that the decrease in the expression of this galactoside-binding lectin is associated with the acquisition of the invasive and metastatic phenotype [29]. Our results also show a lack of correlation between patient age, age of healthy controls, and Gal-3, indicating that in this case, age does not influence the variation in Gal-3 levels [21] [30]. A study in heart failure patients also showed that Gal-3 was not associated with age, body mass index (BMI), or gender [18].

Additionally, in patients classified according to treatment response (no response, partial response and total response), no significant differences were observed in the different chemotherapy courses. This lack of significance may be explained by the small number of patients in each group and by the type of sample used. Nevertheless, we noted that among the three groups of patients, patients with a complete response had a consistently stable level of Gal-3 during treatment. In contrast, in patients with no response, Gal-3 levels were variable and lower than in patients with partial and complete responses. This may be because circulating Gal-3 binds to elements normally present in the tumor microenvironment and these elements would be absent in partial and complete response patients. Therefore, complete and partial response patients have high concentrations of Gal-3 and no response patients have low concentrations. Some studies have shown that soluble Gal-3 interacts with more than 30 ligands on the cell surface, some of which are well characterized and include several extracellular matrices (ECM) components such as collagen IV, elastin and fibronectin, as well as signaling receptors such as CD4, CD66 and CD98 [31].

Similarly, in a study of pancreatic ductal adenocarcinoma, Gal-3 was shown to

bind to TCD8<sup>+</sup> cells in the tumor microenvironment expressing certain inhibitory co-receptors such as PD-1 and LAG-3, resulting in a “depleted” TCD8 cell phenotype [32]. In addition, previously published studies have shown that a decrease in Gal-3 is associated with the progression of cervical neoplasia in cervical carcinoma tissues and suggested the use of plasma Gal-3 levels as a marker of metastatic potential [33] [34]. The low concentrations of Gal-3 observed in patients with no response to treatment would be due to its storage in tumor cells leading to the acquisition of an invasive and metastatic phenotype—intracellular Gal-3 being anti-apoptotic or to binding to ligands of the extracellular matrix which would affect the plasma bioavailability of circulating Gal-3.

Additionally, for the study of lymphocyte activation, we used the markers CD69 and HLA-DR. The presence of activated lymphocytes in healthy subjects suggests the existence of a small immune activation background allowing for immune surveillance, and that this immune surveillance background becomes more pronounced in an infectious or tumor context. Thus, we observed that the percentage of CD3HLA-DR<sup>+</sup> T cells was significantly higher in patients compared to controls. Numerous studies have reported an increase in HLA-DR<sup>+</sup> T cells in various disease states characterized by immune dysfunction. For example, abnormally high percentages of CD3HLA-DR<sup>+</sup> cells have been observed in asymptomatic HIV patients [35] and patients with active tuberculosis [36]. This increase in the percentage of CD3HLA-DR<sup>+</sup> cells in cervical cancer and other cancerous conditions is thought to be due to lymphocyte hyperstimulation, which is itself caused by the release of large amounts of tumor antigens into the bloodstream. Indeed, HLA II receptors normally present exogenous antigens from antigen-presenting cells to CD4 helper T cells, and exposure to foreign antigens seems to be the most logical explanation for the increased HLA-DR in patients. It is also possible that other activated immune cells themselves produce cytokines that continue to amplify and perpetuate immune activation. Furthermore, in a study assessing the expression of HLA-DR on systemic cytotoxic T cells by cytometry during neoadjuvant chemotherapy in breast cancer, the results showed that patients had higher levels of HLA-DR than healthy controls. In addition, HLA-DR expression was higher in responders compared to non-responders [37]. However, in our study, no difference was noted for HLA-DR expression in CD3<sup>+</sup> lymphocytes between patient groups.

In the CD69 marker assessment, no statistical difference was observed for CD3<sup>+</sup> lymphocytes between patients and controls, although the percentage of CD3<sup>+</sup>CD69<sup>+</sup> cells was higher in patients; evidence of stimulation of the immune system due to the release of tumor antigens in the bloodstream during the disease [38].

In contrast, if we consider the expression of CD69 by CD4<sup>+</sup> and CD8<sup>+</sup> T cell subpopulations, it was significantly higher in the pre-treatment patients compared to the control groups. Our results are consistent with the findings of previous reports showing higher CD4<sup>+</sup>CD69<sup>+</sup> and CD8<sup>+</sup>CD69<sup>+</sup> cell percentages in patients with cervical cancer [39]. These elevated proportions of CD4 and CD8

subpopulations at the systemic level are thought to reflect immunological changes in the cervical cancer tumor microenvironment. However, it is important to note that local immunological changes are not fully reflected in the analysis of peripheral blood lymphocytes [39]. The percentage of activated CD4<sup>+</sup>CD69<sup>+</sup> T cells increased continuously during treatment, and CD8 T cells expressing the CD69 marker peaked at week three. Although the specific mechanism involved in this phenomenon is not understood in cervical cancer, the most plausible explanation would be that the treatment resulted in the release of tumor antigens, thereby enhancing antigen presentation by antigen-presenting cells and further inducing T cell activation and recruitment to the tumor. Therefore, analysis of the cervical microenvironment may provide more information on the phenotype and functionality of immune cells in this region during chemotherapy and be useful for designing future cancer immunotherapy strategies. We also observed a positive correlation between the percentage of CD4<sup>+</sup>CD69<sup>+</sup> and CD8<sup>+</sup>CD69<sup>+</sup> cells in patients, suggesting a shift in the anti-tumor response towards a cell-mediated immunity, *i.e.* a type 1 T helper response, which would allow the differentiation of CD8<sup>+</sup> T cells into cytotoxic T cells and promote the elimination of cervical cancer cells rather than a type 2 T helper response predictive of recurrence and poor prognosis [40].

Depending on the treatment response, the difference between the percentages of CD4<sup>+</sup>CD69<sup>+</sup> and CD8<sup>+</sup>CD69<sup>+</sup> cells was not significant between the different responder groups. The explanation would be that the CD4 and/or CD8 cells present in no response patients are likely to be “suppressive” or express inhibitory co-receptors giving them a “depleted” phenotype, which consequently may lead to an inhibition of the anti-tumor response, whereas those present in the total response patients are “effector” cells promoting the anti-tumor response to eliminate the tumor cells. This would explain the difference in clinical response between the different responder groups, despite the presence of CD4<sup>+</sup> and CD8<sup>+</sup> T cells. These observations are in agreement with our previous results in which we noted a low concentration of Gal-3 in none responders and suggested that this low concentration would be due to the binding of Gal-3 to inhibitory co-receptors expressed by T cells. In addition, studies of anti-cancer drugs, including cisplatin, have reported that these antineoplastic agents not only activate the immune system by inducing immunogenic cell death (ICM) of tumor cells but also increase PD-L1 expression on tumor cells, leading to suppression of cytotoxic T cell function [41] [42]. Therefore, the presence of CD4<sup>+</sup> and/or CD8<sup>+</sup> T cell subpopulations does not necessarily confer an effective anti-tumor response that can lead to tumor cell elimination.

Regarding the probable link between Gal-3 and activated T cells, in our study, this link was only observed between Gal-3 and CD4 T cells expressing the CD69 marker (CD4<sup>+</sup>CD69<sup>+</sup>), this before treatment. However, this observation does not explain the difference in clinical response between the groups of patients and does not allow us to know if Gal-3 was the cause of the inhibition of CD4 T cells in some patients as has been shown in other studies. Therefore, it would be rele-

vant to perform an analysis of the tumor microenvironment to identify the role of Gal-3 in CD4 T cell function.

## 5. Limitations

This study would have provided much more information regarding the involvement of the immune system in the response to chemotherapy, if functional analyses of CD4 and CD8 cells were performed, including the determination of different cytokines produced by these cells with or without stimulation by cervical carcinoma antigens. Furthermore, a comparison of the results of this study with data from the analysis of the tumor microenvironment would provide information on the likely immune changes at the blood level and the level of the different regions of the tumor.

## 6. Conclusions

In summary, our results show that cervical cancer patients had significantly elevated levels of Gal-3. These patients also had proportions of activated lymphocytes. Additionally, depending on the response to chemotherapy, Gal-3 levels were lower in patients with no response. However, our current study does not allow us to understand the causes of the decrease in Gal-3 levels in these patients. We also observed a correlation between the concentration of Gal-3 and the percentage of CD4<sup>+</sup> T cells expressing the CD69 marker. Our results, therefore, show the existence of a relationship between circulating galectin-3 and the percentage of early activated CD4<sup>+</sup> cells in the periphery.

Therefore, further studies with comparative biopsy analyses and more detailed immune analyses may provide information about the effects of chemotherapy at the tumor site and identify immune phenotypes that correlate with chemotherapy efficacy.

## Authors' Contribution

Contributed to data collection: FMG, MD, BM. Contributed to participant recruitment and evaluation: FMG, MD, BM, SK and DD. Contributed to study design: FMG, MD, BM and AD. Contributed to data analysis: FMG, BM. Wrote the paper: FMG. Contributed to editing the paper: All. All authors have read and agreed to the published version of the manuscript.

## Informed Consent Statement

Informed consent was obtained from all subjects involved in the study. The protocol 0297/2018/CER/UCAD was approved by the Institutional Ethics Committee of Cheikh Anta Diop University (Dakar, Senegal) and performed following the Declaration of Helsinki.

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### Conflicts of Interest

The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### List of Abbreviation

CC: Cervical Cancer

CT: Chemotherapy

ECM: Extracellular Matrix

FBS: Fetal Bovine Serum

FIGO: International Federation of Gynecology and Obstetrics

FU: Fluorouracil

Gal-3: Galectin-3

HC: Healthy Controls

HPV: Human Papillomavirus

S: Sample