

Impact of Data Augmentation Technique on Automatic Brain Tumor Detection Using ResNet CNN

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Abstract

The research article studies the impact of data augmentation, particularly 60° rotation, on the performance of a ResNet convolutional neural network (CNN) for brain tumor detection using MRI images. A limited Kaggle dataset was used, supplemented with augmented images. The study compares model performance with and without augmentation, analyzing metrics such as accuracy, precision, recall, F1 score, and observing training and validation curves. The results indicate that data augmentation significantly improved performance, increasing accuracy from 63% to 90%.

Keywords

MRI, ResNet, CNN, Augmentation, Brain Tumor

1. Introduction

Brain tumors pose a major challenge in neurology, with significant implications for public health. Globally, one in five individuals will develop cancer during their lifetime. Early detection and accurate characterization are essential for effective treatment and optimal clinical outcomes. However, interpreting conventional MRI images for brain tumor detection can be complex and requires substantial expertise, as it involves separating tumor tissues from normal tissues, including gray matter and cerebrospinal fluid.

In recent years, deep learning, a branch of artificial intelligence inspired by the functioning of the human brain, has emerged as a powerful tool for analyzing medical images. Precise segmentation of brain tumors is of great importance for

medical diagnosis, surgical planning, and treatment planning [1]. Most body functions are managed by the brain, including analysis, integration, organization, decision-making, and issuing commands to the rest of the body. The human brain has an extremely complex anatomical structure [2].

Specifically, it is crucial to separate tumor tissues, such as necrosis, edema, enhanced core, and non-enhanced core, from normal brain tissues, including gray matter (GM), white matter (WM), and cerebrospinal fluid (CSF). However, accurate segmentation is extremely challenging, primarily for the following reasons:

The shape, location, appearance, and size of gliomas can vary significantly from one patient to another [3].

This raises the question: how can the use of image augmentation techniques improve the accuracy of automatic brain tumor detection?

2. Literature Review

A) Introduction

Automatic detection of brain tumors from MRI images is a rapidly growing field due to its potential to improve diagnostic accuracy and accelerate patient treatment. Advances in deep learning, particularly the use of convolutional neural networks (CNNs), have shown promising results in the analysis of medical images. This literature review aims to provide an overview of existing methods, identify their strengths and weaknesses, and highlight the current challenges in the field.

Traditional Tumor Detection Methods

Early brain tumor detection methods relied primarily on classical image analysis techniques, such as contour-based segmentation, k-means algorithms, and region-growing segmentation methods. While these methods can provide reasonable results in certain cases, they are generally limited by their inability to handle the variability in tumor shapes and intensities in MRI images.

Machine Learning-Based Approaches

Before the advent of deep learning, supervised machine learning techniques, such as support vector machines (SVMs) and traditional artificial neural networks, were applied to tumor detection. These methods required preprocessing and complex feature extraction, where manually defined features such as skeletons, shapes, and intensities were extracted from images and analyzed by learning algorithms. Although these machine learning-based approaches showed improvements over traditional methods, they were still constrained by their reliance on manually extracted features.

Recent Advances in Deep Learning

Recent progress in deep learning, especially the use of CNNs, is revolutionizing the field of medical image analysis. CNNs can automatically learn discriminative features (e.g., skeletons, shapes, and intensities) directly from raw data, eliminating the need for manual feature extraction. Architectures such as AlexNet, VGG, ResNet, and more recently U-Net and its variants, are currently being used for medical image segmentation and classification.

U-Net and Its Variants

U-Net, introduced by Ronneberger *et al.*, is particularly effective for medical image segmentation due to its "U"-shaped structure, which allows for detailed capture of spatial features while preserving global contextual information. Variants of U-Net, such as 3D U-Net and Attention U-Net, have been developed to further enhance the accuracy of tumor segmentation.

ResNet

Residual networks, or ResNet, enable the training of very deep networks without suffering from the gradient vanishing problem. Their ability to extract complex features has been exploited in several studies to improve the accuracy of brain tumor detection.

B) Current Challenges

Despite advancements, several challenges remain in the automatic detection of brain tumors:

1) Data Variability

The variability in tumor size, shape, location, and intensity makes detection particularly challenging. Deep learning models need to be robust to these variations to become clinically viable.

2) Limited Annotated Data

Annotated medical data are often scarce and expensive to obtain. Deep learning approaches require large datasets to achieve optimal performance, posing a significant challenge in the medical context.

3) Interpretability

Deep learning models are often perceived as "black boxes", lacking interpretability, which can limit their acceptance in clinical practice.

C) Related Work

This section reviews prior research on brain tumor detection using deep learning models:

1) Al Almadhoun [4]:

Almadhoun and colleagues proposed a deep learning-based model using an MRI dataset for brain tumor detection. In addition to the deep learning model, they applied four transfer learning models: VGG16, MobileNet, ResNet-50, and Inception V3. Their dataset contained 10,000 MRI images with a resolution of 200 \times 200 pixels, divided into two categories of 5000 images each: brain tumors and non-brain tumors. The proposed deep learning model achieved superior results, with a training accuracy of 100% and a test accuracy of 98%.

2) Al Musallam [5]:

Musallam *et al.* introduced a DCNN model using an MRI dataset for brain tumor detection. Their proposed lightweight model employed a few convolutions, max-pooling, and iterations. They also analyzed VGG16, VGG19, and CNN-SVM. The dataset contained four subcategories: glioma (934), meningioma (945), no tumor (606), and pituitary (909), with a total of 3394 MRI images. The suggested model achieved an overall accuracy of 97.72%, with a detection rate of 99% for glioma, 98.26% for meningioma, 95.95% for pituitary, and 97.14% for normal images.

3) Al Nayak [6]:

Nayak *et al.* proposed Dense EfficientNet, a CNN-based network for detecting brain tumor images using MRI. They also analyzed ResNet-50, MobileNet, and MobileNetV2, with their Dense EfficientNet outperforming the others. The model achieved an accuracy of 98.78% and an F1 score of 98.0% after training. Their research utilized four different types of MRI to identify brain tumors, with a total dataset of 3260 MRI images.

4) Al Obeidavi [7]:

Obeidavi *et al.* introduced a CNN-based residual network for early detection of brain tumors using a dataset of 2000 MRI images. They used the BRATS 2015 MRI dataset, and the results for residual networks were promising. The proposed model achieved an accuracy of 97.05%. Additionally, they reported other metrics, including an average precision of 97.05%, an overall precision of 94.43%, an average IoU of 54.21%, a weighted IoU of 93.64%, and an average BF score of 57.027%. The model was trained over 100 epochs to improve performance.

5) AlexNet (2012):

Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton [8] introduced AlexNet, achieving a top-5 accuracy of 84.6% on the ImageNet dataset. This model marked a turning point in the application of deep neural networks for computer vision.

3. Methodology

a) Data Preparation

Data Collection:

The data was collected in May 2024 from the Kaggle platform. It includes 148 MRI scans of healthy brains and 154 MRI scans of brains with tumors. We applied a 60° rotation augmentation technique, resulting in more than 1000 images for each category.

Data Annotation:

Images of patients with tumors were annotated with the letter "Y" followed by a number, while images of healthy individuals were annotated with the expression "no" followed by a number, depending on the total number of images.

Data Preprocessing:

Due to the limited amount of data available, we employed an image rotation augmentation technique to expand our dataset. The data was then split into percentages for training (1990 images) and validation (205 images). The configurations and training process were carried out using the Google Colab environment, which provides free GPU resources.

b) Model Architecture

Model Selection:

For medical image segmentation and classification, architectures like AlexNet,

VGG, ResNet, and more recently U-Net and its variants are commonly used.

- **ResNet:** ResNet (Residual Networks) allows the training of very deep networks without suffering from the gradient degradation problem.
- **U-Net:** U-Net and its variants are particularly effective for medical image segmentation due to their "U"-shaped architecture. This structure allows pixelby-pixel image segmentation by predicting the class of each pixel, which is essential for detailed medical imaging tasks.

The combination of these architectures was chosen based on their ability to handle complex medical image segmentation and classification problems efficiently.

4. Results

- Training

Data augmentation:

Figure 1 below shows an example of an MRI image rotated 60 degrees during the data augmentation process. This technique was used to increase the dataset size and improve model training accuracy by introducing diversity in the input data.



Figure 1. An example of an MRI image that has undergone a pivoting technique.

Figure 2 is a capture that shows the classification report without augmentation technique and **Figure 3** is also a capture that shows the classification report after using augmentation technique.

Rapport de Classification: recall f1-score precision support Non-tumeur 0.74 0.67 0.70 101 Tumeur 0.71 0.77 0.74 104 205 accuracy 0.72 0.72 0.72 0.72 macro avg 205 weighted avg 0.72 0.72 205 0.72

Figure 2. Classification report without augmentation technique.

		Prédictions		
Rapport de Classification: precision		recall	f1-score	support
Non-tume	ur 0.99	0.79	0.88	101
Tumeu	ur 0.83	0.99	0.90	104
accurac macro av	y /g 0.91	0.89	0.89	205 205 205

Figure 3. Classification report after augmentation technique.

- Detection results

Figure 4 is a capture that shows loss and precision curves without augmentation technique and **Figure 5** is a capture that shows loss and precision curves after augmentation technique.



Figure 4. Loss and precision curves without augmentation technique.





Confusion matrix results

Figure 6 is a capture that shows Confusion matrix results for both techniques.

```
Without augmentation technique
train Perte: 0.1838 Précision: 0.9220
val Perte: 1.3694 Précision: 0.6310
Best val Acc: 0.6548
Accuracy: 0.6310
Confusion Matrix:
[[22 20]
[11 31]]
```

With augmentation technique

train Perte: 0.3322 Précision: 0.8695
val Perte: 0.2509 Précision: 0.9024
Best val Acc: 0.9024
Accuracy: 0.9024
Confusion Matrix:
[[91 10]
[10 94]]

Figure 6. Confusion matrix results for both techniques.

All of the following represent the performance metrics with augmentation tech-

nique and performance metrics without augmentation technique.

With the augmentation technique

True Negatives (TN): 91

- Non-tumorous cases correctly classified as non-tumorous. False Positives (FP): 10
- Non-tumorous cases incorrectly classified as tumorous. False Negatives (FN): 10
- Tumorous cases incorrectly classified as non-tumorous. True Positives (TP): 94
- Tumorous cases correctly classified as tumorous.

Performance Metrics:

Using these values, we can calculate key performance metrics:

1) Accuracy (Overall Precision):

Accuracy = (Total correct predictions)/(Total samples)

Accuracy = TP + TN/FP + FN + TP + TN

Calculation:
$$94 + 91/94 + 91 + 10 + 10 = 0.924$$

Accuracy = 90.24%

2) Precision (Positive Predictive Value):

Precision = (Correctly classified tumor cases)/(Total predicted tumor cases)

Precision = TP/TP + FP = 94/94 + 10 = 0.938

Precision = 90.38%

3) Recall (Sensitivity or Tumor Detection Rate):

Recall = (Correctly classified tumor cases)/(Total actual tumor cases)

Recall= TP/TP + FN = 94/94 + 10 = 0.938

Recall = 90.38%

4) Specificity (Non-Tumor Detection Rate):

Specificity = (Correctly classified non-tumor cases)/(Total actual non-tumor cases)

Specificity = TN/TN + FP= 91/91 + 10 = 0.938

Result = 90.38%

5) F1-Score (Harmonic Mean of Precision and Recall):

- $F1 = 2 \times Precision \times Recall/Precision + Recall = 2 \times ((0.9038 \times 0.9038)/(0.9038))$
- + 0.9038)) = 0.9038

F1 = 90.38%

Without the augmentation technique

True Negatives (TN): 22

- Non-tumorous cases correctly classified as non-tumorous. False Positives (FP): 20
- Non-tumorous cases incorrectly classified as tumorous. False Negatives (FN): 11
- Tumorous cases incorrectly classified as non-tumorous. True Positives (TP): 31
- Tumorous cases correctly classified as tumorous.

Performance Metrics:

Using these values, we can calculate key performance metrics:

1) Accuracy (Overall Precision):

Accuracy = (Total correct predictions)/(Total samples)

Accuracy = TP + TN/FP + FN + TP + TN

Calculation: 31 + 22/31 + 22 + 20 + 11 = 0.63

Accuracy = 63.1%

2) Precision (Positive Predictive Value):

Precision = (Correctly classified tumor cases)/(Total predicted tumor cases)

Precision = TP/TP + FP = 31/31 + 20 = 0.608

Precision = 60.8%

3) Recall (Sensitivity or Tumor Detection Rate):

Recall = (Correctly classified tumor cases)/(Total actual tumor cases)

Recall = TP/TP + FN = 31/31 + 11 = 0.738

Recall = 73.8%

4) Specificity (Non-Tumor Detection Rate):

Specificity = (Correctly classified non-tumor cases)/(Total actual non-tumor cases)

Specificity = TN/TN + FP = 22/22 + 20 = 0.524

Specificity = 52.4%

5) F1-Score (Harmonic Mean of Precision and Recall):

 $F1 = 2 \times Precision \times Recall/Precision + Recall = 2 \times ((0.608 \times 0.738)/(0.608 + 0.738)) = 0.666$

F1 = 66.6%

5. Discussion

We trained our model on a scale of 10 epochs given the quantity of images we submitted to CNN Resnet. It took a little less than an hour to train and test the model on google.colab.com; despite the fact that we stored the dataset in our drive. For the augmented data, we see that as the epochs increase, the training and validation curves try to merge. This is not the case for non-augmented data, because the curves do not touch each other. We have a training accuracy of 95% due to the fact that we had little data. Which led to overfitting and a poor validation percentage of 63%.

In 2022, Al Obeidavi had obtained an accuracy of 97.05% with a residual model and our results show an accuracy of 90% after data augmentation. However, our approach is distinguished by the use of a data augmentation method adapted to medical images, which made it possible to improve the robustness of the model even with an initially limited dataset.

Although the augmentation technique improved the performance of the model, we admit that the initial size of the dataset remains a limiting factor. By using the BRATS databases, we could increase the generalization and robustness of our model in the face of various cases of brain tumors.

When data is unbalanced, the emphasis on accuracy can be misleading. We therefore calculated other metrics like sensitivity, specificity and AUC-ROC. The addition of these indicators further highlights the ability of the model to detect tumors more effectively.

We also evaluated another architecture like U-Net on the same dataset and the performances are in **Table 1** below:

Model	Accuracy (%)	Sensitivity (%)	Specificity (%)	AUC-ROC (%)
Our model	90	90.38	90.09	90.23
U-Net	87.5	82.4	90.5	0.91

Table 1. Evaluate results on another neural architecture.

6. Summary of Contributions and Future Work

We demonstrated that it is possible to improve the accuracy percentage in tumor detection using the ResNet convolutional neural network model. By increasing the number of images, we are taking a step toward more precise early detection of brain tumors. However, this result is not perfect, as there is still room to get closer to the ideal of 100% accuracy. We aim to achieve better outcomes in our future experiments using the VGG16 convolutional neural network model.

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Conflicts of Interest

The authors declare no conflicts of interest regarding the publication of this paper.

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