



# Pseudo-Index Theory for a Schrödinger Equation with Competing Potentials

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## Abstract

In this paper, we study a nonlinear Schrödinger equation with competing potentials  $-\varepsilon^2 \Delta v + V(x)v = W_1(x)|v|^{p-2}v + W_2(x)|v|^{q-2}v$ ,  $v \in H^1(\mathbb{R}^N)$ , where  $\varepsilon > 0$ ,  $p, q \in (2, 2^*)$ ,  $p > q$ ,  $2^* := \frac{2N}{N-2}$  ( $N > 2$ ),  $V(x)$ ,  $W_1(x)$  and  $W_2(x)$  are continuous bounded positive functions. Under suitable assumptions on the potentials, we consider the existence, concentration, convergence and decay estimates of the ground state solution for this equation. Furthermore, the multiplicity of semi-classical solutions is established by using Benci pseudo-index theory, and the existence of sign-changing solutions is obtained via Nehari method.

## Subject Areas

Partial Differential Equation

## Keywords

Pseudo-Index, Multiplicity, Concentration, Sign-Changing Solution

## 1. Introduction

In this paper, we are interested in the nonlinear Schrödinger equation

$$i\varepsilon \frac{\partial \psi}{\partial t} = -\varepsilon^2 \Delta \psi + (V(x) + 1)\psi - W_1(x)|\psi|^{p-2}\psi - W_2(x)|\psi|^{q-2}\psi, \quad (1.1)$$

where  $(t, x) \in \mathbb{R}_+ \times \mathbb{R}^N$ ,  $i$  is the imaginary unit,  $\varepsilon > 0$  is the Planck constant,  $p, q \in (2, 2^*)$ ,  $p > q$ ,  $2^* := \frac{2N}{N-2}$  ( $N > 2$ ),  $V(x)$ ,  $W_1(x)$  and  $W_2(x)$  are continuous bounded positive functions. An important issue concerning the above nonlinear evolution equation is to study its standing wave solutions of the

form  $\psi(x, t) = e^{-it/\varepsilon} v(x)$ . For small  $\varepsilon > 0$ , these standing wave solutions are referred to as semi-classical states. Byeon and Wang [1] are concerned with the existence and qualitative property of standing waves  $\psi(x, t) = e^{-iEt/\varepsilon} v(x)$  for the following Schrödinger equation

$$i\varepsilon \frac{\partial \psi}{\partial t} = -\frac{\varepsilon^2}{2} \Delta \psi + V(x)\psi - |\psi|^{p-1} \psi, \quad (t, x) \in \mathbb{R}_+ \times \mathbb{R}^N,$$

where  $\inf_{x \in \mathbb{R}^N} V(x) = E$  with  $E$  being a critical frequency. It is easy to see that  $\psi(x, t) = e^{-it/\varepsilon} v(x)$  solves Equation (1.1) if and only if  $v(x)$  solves

$$-\varepsilon^2 \Delta v + V(x)v = W_1(x)|v|^{p-2} v + W_2(x)|v|^{q-2} v, \quad x \in \mathbb{R}^N. \quad (1.2)$$

Research on concentration phenomenon began many years ago, Ambrosetti, Badiale and Cingolani [2] considered

$$-\Delta v + (V(x) + \lambda)v = |v|^{p-2} v, \quad x \in \mathbb{R}^N,$$

where  $\lambda \in \mathbb{R}$  and  $v$  is a real-valued function,  $\lim_{|x| \rightarrow \infty} v(x) = 0$ ,  $V$  has a possibly degenerate local minimum or maximum at  $x_0$ . Up to translations, they assumed that  $x_0 = 0$  and  $V(0) = 0$ , then obtained the solution  $v_\varepsilon$  concentrates near  $x_0 = 0$  as  $\varepsilon \rightarrow 0$ . Wang and Zeng [3] studied the nonlinear elliptic equation with competing potentials  $V, K, Q$

$$-\varepsilon^2 \Delta v + V(x)v = K(x)|v|^{p-2} v + Q(x)|v|^{q-2} v, \quad x \in \mathbb{R}^N, \quad (1.3)$$

where  $2 < q < p < 2^*$ , and they proved the ground state concentrates at a global minimum point of ground energy function by the concentration-compactness lemma. Ding and Liu [4] considered the existence, convergence and concentration phenomena of the ground state solution by using Mountain pass technique for

$$(-i\varepsilon \nabla + A(x))^2 v + V(x)v = W(x)|v|^{p-2} v, \quad x \in \mathbb{R}^N,$$

where  $p \in (2, 2^*)$ ,  $V$  and  $W$  are bounded positive functions. For other convergence and concentration results on nonlinear elliptic equation, we can refer to [5] [6] [7].

In the past few decades, the research on the multiplicity of solutions has been widely concerned. For example, Cingolani and Lazzo [8] improved the existence result for Equation (1.3) in [3], and they studied the multiple positive solutions by the topology of the global minima set for energy function. Sun [9] studied the existence and multiplicity for a class of the quasilinear elliptic equations by Morse theory and the minimax method. Bartolo and Bisci [10] proved the existence and multiplicity of solutions to a fractional equation whose nonlinearity is subcritical and asymptotically linear at infinity by using a pseudo-index theory related to the genus. Papageorgiou, Rădulescu and Repovš [11] studied the existence and multiplicity to a class of double-phase Robin problems by the Morse theory, and using the notion of homological local linking. Wu, Tahar, Rafik, Rahmoune and Yang [12] established the existence of infinitely many solutions for the sublinear Schrödinger equations by using the linking theorem and the

variant fountain theorem. Wang, Cheng and Wang [13] proved the multiplicity of positive solutions for the fractional Kirchhoff-Choquard equation with magnetic fields by using the penalization method and the Ljusternik-Schnirelmann theory. In [14], Guo and Li considered the multiplicity of nontrivial solutions by using a global compactness result and Krasnoselskii's genus theory for the following fractional Schrödinger equation in an open bounded domain of  $\mathbb{R}^N$ ,

$$(-\Delta)^s v + V(x)v = |v|^{\frac{2N}{N-2s}-2} v,$$

where  $s \in (0,1)$ ,  $N > 2s$ ,  $V$  is a sign-changing function. For the multiplicity of solutions to the nonlinear Schrödinger equation, we can refer to [15] [16] [17].

Recently, Ding and Wei [18] considered the nonlinear Schrödinger equation

$$-\varepsilon^2 \Delta v + V(x)v = W(x)|v|^{p-2} v, \quad x \in \mathbb{R}^N,$$

where  $\varepsilon > 0$ ,  $p \in (2, 2^*)$ ,  $V(x)$ ,  $W(x)$  are bounded positive functions, and studied the existence, concentration phenomena of the positive ground state and multiplicity of semi-classical solutions by Benci pseudo-index theory and Nehari method. Liu and Tang [19] studied the following Choquard equation

$$-\varepsilon^2 \Delta v + V(x)v = \varepsilon^{-\theta} W(x) \left( I_\theta * (W|v|^p) \right) |v|^{p-2} v, \quad x \in \mathbb{R}^N,$$

where  $\varepsilon > 0$ ,  $N > 2$ ,  $I_\theta$  is the Riesz potential with order  $\theta \in (0, N)$ ,  $p \in \left[ 2, \frac{N+\theta}{N-2} \right)$ ,  $\min V > 0$  and  $\inf W > 0$ , they established the multiplicity of semi-classical solutions by Benci pseudo-index theory and the existence of sign-changing solutions by minimizing the energy on Nehari nodal set, they also studied the concentration phenomenon, convergence, decay estimate of ground state solutions. Similar studies appear in [20] [21].

Motivated by the above works, in this paper, we consider the multiplicity of solutions and the existence, concentration, convergence and decay estimates of the ground state solution for Equation (1.2). There appear the combined nonlinearities in our equation, which make more difficulties in our arguments. Finally, we use the Benci pseudo-index theory to obtain the multiplicity of the semi-classical solutions for Equation (1.2), and we get the sign-changing solutions by resorting to the method. We extend the research in [18] and develop the method in [4] [19] [20].

Our basic assumptions and the main results are the following.

**(P1):**  $V, W_j \in C(\mathbb{R}^N, \mathbb{R})$  are bounded,  $V(x)$  attains a global minimum on  $\mathbb{R}^N$  with  $\min_{\mathbb{R}^N} V(x) > 0$ , and  $W_j(x)$  attains a global maximum on  $\mathbb{R}^N$  with  $\inf_{\mathbb{R}^N} W_j(x) > 0$ ,  $j = 1, 2$ .

To describe our results, for  $j = 1, 2$ , we denote by

$$\begin{aligned} \tau &:= \min_{\mathbb{R}^N} V, \quad \mathcal{V} := \{x \in \mathbb{R}^N : V(x) = \tau\}, \quad \tau_\infty := \liminf_{|x| \rightarrow \infty} V(x); \\ \varsigma_j &:= \max_{\mathbb{R}^N} W_j, \quad \mathcal{W}_j := \{x \in \mathbb{R}^N : W_j(x) = \varsigma_j\}, \quad \varsigma_{j\infty} := \limsup_{|x| \rightarrow \infty} W_j(x). \end{aligned}$$

**(P2):**  $\mathcal{W}_1 \cap \mathcal{W}_2 \neq \emptyset$ .

We continue to denote by

$$x_{jv} \in \mathcal{V}, \zeta_{jv} := \max_{\mathcal{V}} W_j(x) = W_j(x_{jv}), \quad j=1,2;$$

$$x_w \in \mathcal{W}_1 \cap \mathcal{W}_2, \tau_w := \min_{\mathcal{W}_1 \cap \mathcal{W}_2} V(x) = V(x_w).$$

For vector  $\vec{b} = (b_1, b_2) \in \mathbb{R}^2$ , we set

$$m(a, \vec{b}) = \begin{cases} \left(\frac{\tau_\infty}{a}\right)^{\frac{p-N}{p-2}} \left(\frac{b_1}{\zeta_{1\infty}}\right)^{\frac{2}{p-2}} & \text{if } \begin{pmatrix} \zeta_{2\infty} \\ b_2 \end{pmatrix} \leq \begin{pmatrix} a \\ \tau_\infty \end{pmatrix} \begin{pmatrix} \zeta_{1\infty} \\ b_1 \end{pmatrix}^{\frac{q-2}{p-2}}, \\ \left(\frac{\tau_\infty}{a}\right)^{\frac{q-N}{q-2}} \left(\frac{b_2}{\zeta_{2\infty}}\right)^{\frac{2}{q-2}} & \text{otherwise} \end{cases},$$

and let  $\vec{\zeta} = (\zeta_1, \zeta_2)$ ,  $\vec{\zeta}_\infty = (\zeta_{1\infty}, \zeta_{2\infty})$ ,  $\vec{\zeta}_v = (\zeta_{1v}, \zeta_{2v})$ . For  $\vec{b}^i = (b_1^i, b_2^i) \in \mathbb{R}^2$  ( $i=1,2$ ), we use  $\vec{b}^1 \leq \vec{b}^2$  to signify  $\min\{b_1^2 - b_1^1, b_2^2 - b_2^1\} \geq 0$ , and use  $\vec{b}^1 < \vec{b}^2$  to signify  $\min\{b_1^2 - b_1^1, b_2^2 - b_2^1\} > 0$  and  $\max\{b_1^2 - b_1^1, b_2^2 - b_2^1\} > 0$ .

**(P3):** 1)  $\tau < \tau_\infty$ , and there is  $R_v > 0$  such that  $W_j(x) \leq \zeta_{jv}$ ,  $j=1,2$  for  $|x| \geq R_v$ ;

2)  $\vec{\zeta} > \vec{\zeta}_\infty$ , and there is  $R_w > 0$  such that  $V(x) \geq \tau_w$  for  $|x| \geq R_w$ .

If (P3) - (1) holds, we set

$\mathcal{A}_v := \{x \in \mathcal{V} : W_j(x) = \zeta_{jv}, j=1,2\} \cup \{x \notin \mathcal{V} : W_1(x) > \zeta_{1v} \text{ or } W_2(x) > \zeta_{2v}\}$ . If (P3) - (2) holds, we set  $\mathcal{A}_w := \{x \in \mathcal{W}_1 \cap \mathcal{W}_2 : V(x) = \tau_w\} \cup \{x \notin \mathcal{W}_1 \cap \mathcal{W}_2 : V(x) < \tau_w\}$ . In the following,  $\mathcal{A}$  stands for  $\mathcal{A}_v$  in the case (P3) - (1), and  $\mathcal{A}_w$  in the case (P3) - (2). Clearly,  $\mathcal{A}$  is bounded. Furthermore,  $\mathcal{A} = \mathcal{V} \cap (\mathcal{W}_1 \cap \mathcal{W}_2)$ , if  $\mathcal{V} \cap (\mathcal{W}_1 \cap \mathcal{W}_2)$  is not empty.

**Theorem 1.1.** Assume that (P1) holds and  $\tau < \tau_\infty$ ,  $\vec{\zeta}_v \geq \vec{\zeta}_\infty$ . Then there exists  $m_v \geq m(\tau, \vec{\zeta}_v)$  such that for the maximal integer  $m \in \mathbb{Z}_+$  with  $m < m_v$ , Equation (1.2) has at least  $m$  pairs of solutions for small  $\varepsilon > 0$ . Moreover, among the solutions, at least one is positive, one is negative and two change sign if  $m \geq 2$ .

**Theorem 1.2.** Assume that (P1) - (P2) hold and  $\tau_w \leq \tau_\infty$ ,  $\vec{\zeta} > \vec{\zeta}_\infty$ . Then there exists  $m_w \geq m(\tau_w, \vec{\zeta})$  such that for the maximal integer  $m \in \mathbb{Z}_+$  with  $m < m_w$ , Equation (1.2) has at least  $m$  pairs of solutions for small  $\varepsilon > 0$ . Moreover, among the solutions, at least one is positive, one is negative and two change sign if  $m \geq 2$ .

**Theorem 1.3.** Assume that (P1) - (P3) hold. Then for  $\varepsilon > 0$  large small, Equation (1.2) has a positive ground state solution  $v_\varepsilon$ . If  $V, W_j \in C^1(\mathbb{R}^N, \mathbb{R})$  additionally and  $\nabla V, \nabla W_j$  are bounded,  $j=1,2$ , then  $v_\varepsilon$  satisfies that

1) There is a maximum point  $x_\varepsilon$  of  $v_\varepsilon$  such that  $\lim_{\varepsilon \rightarrow 0} \text{dist}(x_\varepsilon, \mathcal{A}) = 0$ ;

2) There are  $C, c > 0$  such that  $v_\varepsilon(x) \leq Ce^{-\frac{c}{\varepsilon}|x-x_\varepsilon|}$  for all  $x \in \mathbb{R}^N$ ;

3) Setting  $\hat{u}_\varepsilon(x) := v_\varepsilon(\varepsilon x + x_\varepsilon)$ , then for any sequence  $x_\varepsilon \rightarrow x_0$  as  $\varepsilon \rightarrow 0$ , there holds  $\hat{u}_\varepsilon \rightarrow u$  in  $H^1(\mathbb{R}^N)$  as  $\varepsilon \rightarrow 0$ , where  $u$  is a ground state solution of

$$-\Delta u + V(x_0)u = W_1(x_0)u^{p-1} + W_2(x_0)u^{q-1}, \quad u > 0. \quad (1.4)$$

If particularly  $\mathcal{V} \cap (\mathcal{W}_1 \cap \mathcal{W}_2)$  is not empty, then  $\lim_{\varepsilon \rightarrow 0} \text{dist}(x_\varepsilon, \mathcal{V} \cap (\mathcal{W}_1 \cap \mathcal{W}_2)) = 0$ , and up to a sequence,  $\hat{u}_\varepsilon \rightarrow u$  in  $H^1(\mathbb{R}^N)$  as  $\varepsilon \rightarrow 0$ , where  $u$  is a ground state solution of

$$-\Delta u + \tau u = \zeta_1 u^{p-1} + \zeta_2 u^{q-1}, \quad u > 0. \quad (1.5)$$

Now we give some preliminary lemmas which will be useful for our arguments.

**Lemma 1.4.** ([22]) For every  $v \in H^1(\mathbb{R}^N)$  and  $v \geq 0$ , there are  $v^* \in H^1(\mathbb{R}^N) := \{v \in H^1(\mathbb{R}^N) : v(x) = v(|x|)\}$  and  $v^* \geq 0$  such that

$$\int_{\mathbb{R}^N} |\nabla v^*|^2 dx \leq \int_{\mathbb{R}^N} |\nabla v|^2 dx, \quad \int_{\mathbb{R}^N} |v^*|^\mu dx = \int_{\mathbb{R}^N} |v|^\mu dx, \quad \forall \mu > 1.$$

**Lemma 1.5.** ([22]) The embedding  $H^1(\mathbb{R}^N) \hookrightarrow L^\mu(\mathbb{R}^N)$  is continuous for  $\mu \in [2, 2^*]$  and the embedding  $H^1(\mathbb{R}^N) \hookrightarrow L_{loc}^\mu(\mathbb{R}^N)$  is compact for  $\mu \in [2, 2^*)$ . Furthermore,  $H^1_r(\mathbb{R}^N) \hookrightarrow L^\mu(\mathbb{R}^N)$  is compact for  $\mu \in (2, 2^*)$ .

**Lemma 1.6.** ([23]) Let  $R > 0$  and  $\mu \in [2, 2^*)$ . If  $\{v_n\}$  is bounded in  $H^1(\mathbb{R}^N)$  and  $\sup_{y \in \mathbb{R}^N} \int_{B_R(y)} |v_n(x)|^\mu dx \rightarrow 0$  as  $n \rightarrow \infty$ , then  $v_n \rightarrow 0$  in  $L^t(\mathbb{R}^N)$  for  $t \in (2, 2^*)$  as  $n \rightarrow \infty$ .

For simplicity, we denote by

$$\|v\| := \|v\|_{H^1(\mathbb{R}^N)}, \quad |v|_\mu := \|v\|_{L^\mu(\mathbb{R}^N)}, \quad (u, v) := (u, v)_{H^1(\mathbb{R}^N)}.$$

$$v^+ := \max\{0, v\}, \quad v^- := \min\{0, v\}, \quad \mathbb{R}_+ := (0, \infty), \quad \mathbb{Z}_+ := \mathbb{Z} \cap \mathbb{R}_+.$$

And we shall use different patterns of  $C$  to denote any positive constant, whose values may change from line to line, and  $o(1)$  to denote the quantities that tend to 0 as  $n \rightarrow \infty$  or  $k \rightarrow \infty$ .

This paper is organized as follows: In Section 2, we give some preliminary results which are proved by Nehari method and play a key role in the arguments of main theorems. In Section 3, we prove the multiplicity of semi-classical solutions by using Benci pseudo-index theory and show the existence of sign-changing solutions. In order to get more detailed and accurate characterization of the properties of solutions, we also study the convergence, concentration phenomenon, and exponential decay estimates of the positive ground state solution.

## 2. Preliminary Results

### 2.1. Constant Coefficient Equation

We first consider the following equation

$$-\Delta u + au = b_1 |u|^{p-2} u + b_2 |u|^{q-2} u, \quad u \in H^1(\mathbb{R}^N), \quad (2.1)$$

where  $p, q \in (2, 2^*)$ ,  $p > q$ ,  $a > 0$ ,  $b_j > 0$ ,  $j = 1, 2$ .

For each  $u \in H^1(\mathbb{R}^N)$ , the energy functional associated to Equation (2.1) is

$$\mathcal{J}^{a\bar{b}}(u) = \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + au^2) dx - \frac{b_1}{p} \int_{\mathbb{R}^N} |u|^p dx - \frac{b_2}{q} \int_{\mathbb{R}^N} |u|^q dx.$$

The weak solutions of Equation (2.1) are critical points of  $\mathcal{J}^{ab} \in C^1(H^1(\mathbb{R}^N), \mathbb{R})$ . We denote the least energy by  $\Theta^{ab} = \inf_{\mathcal{N}^{ab}} \mathcal{J}^{ab}$ , where  $\mathcal{N}^{ab} := \left\{ u \in H^1(\mathbb{R}^N) \setminus \{0\} : \left\langle (\mathcal{J}^{ab})'(u), u \right\rangle = 0 \right\}$  is the Nehari manifold. The set of least energy solutions can be denoted by

$$\mathcal{S}^{ab} = \left\{ u \in H^1(\mathbb{R}^N) : \mathcal{J}^{ab}(u) = \Theta^{ab}, (\mathcal{J}^{ab})'(u) = 0 \right\}. \text{ In particular, we set}$$

$$\mathcal{J}^\infty := \mathcal{J}^{\tau_\infty \bar{c}_\infty}, \mathcal{N}^\infty := \mathcal{N}^{\tau_\infty \bar{c}_\infty} \text{ and } \Theta^\infty := \Theta^{\tau_\infty \bar{c}_\infty}.$$

**Lemma 2.1.** The functional  $\mathcal{J}^{ab}$  satisfies that

- 1) There exist  $\rho > 0$  and  $\kappa > 0$  such that  $\mathcal{J}^{ab}(u) > \kappa$  for all  $\|u\| = \rho$ ;
- 2) For  $u \neq 0$ ,  $\mathcal{J}^{ab}(tu) \rightarrow -\infty$  as  $t \rightarrow +\infty$ .

Similar to the proof of Lemma 2.4 in [20], we have the following result.

**Lemma 2.2.** Let  $\Upsilon^{ab} := \left\{ \gamma \in C([0, 1], H^1(\mathbb{R}^N)) : \gamma(0) = 0, \mathcal{J}^{ab}(\gamma(1)) < 0 \right\}$ , then

$$\Theta^{ab} = \inf_{u \in H^1(\mathbb{R}^N) \setminus \{0\}} \max_{t \geq 0} \mathcal{J}^{ab}(tu) = \inf_{\gamma \in \Upsilon^{ab}} \max_{t \in [0, 1]} \mathcal{J}^{ab}(\gamma(t)) > 0.$$

**Lemma 2.3.**  $\Theta^{ab}$  is achieved and  $\mathcal{S}^{ab}$  is compact in  $H^1(\mathbb{R}^N)$ .

*Proof.* For any  $u \in H^1(\mathbb{R}^N)$ , we choose the equivalent norm  $\|u\|_1^2 = \int_{\mathbb{R}^N} (|\nabla u|^2 + au^2) dx$ . Clearly,  $\mathcal{N}^{ab}$  is not empty. Let  $u_n \in \mathcal{N}^{ab}$  with  $u_n \geq 0$  and  $\mathcal{J}^{ab}(u_n) \rightarrow \Theta^{ab}$  as  $n \rightarrow \infty$ . By Lemma 1.4, there is  $u_n^* \in H_r^1(\mathbb{R}^N)$  with  $u_n^* \geq 0$  such that  $\|u_n^*\| \leq \|u_n\|$ ,  $|u_n^*|_p = |u_n|_p$ ,  $|u_n^*|_q = |u_n|_q$ . Observe that  $\|u_n^*\|_1^2 \leq b_1 |u_n^*|_p^p + b_2 |u_n^*|_q^q$ . If  $\|u_n^*\|_1^2 = b_1 |u_n^*|_p^p + b_2 |u_n^*|_q^q$ , then  $u_n^* \in \mathcal{N}^{ab}$ . If  $\|u_n^*\|_1^2 < b_1 |u_n^*|_p^p + b_2 |u_n^*|_q^q$ , then there exists  $t_n \in (0, 1)$  such that  $t_n u_n^* \in \mathcal{N}^{ab}$  and  $\Theta^{ab} \leq \mathcal{J}^{ab}(t_n u_n^*) < \frac{q-2}{2q} \|u_n\|_1^2 + \frac{p-q}{pq} b_1 |u_n|_p^p = \mathcal{J}^{ab}(u_n) \rightarrow \Theta^{ab}$  as  $n \rightarrow \infty$ . Hence  $\mathcal{J}^{ab}(t_n u_n^*) \rightarrow \Theta^{ab}$  as  $n \rightarrow \infty$ . Define  $w_n := t_n u_n^*$ , then  $w_n \in H_r^1(\mathbb{R}^N) \cap \mathcal{N}^{ab}$ ,  $w_n \geq 0$ , and  $\mathcal{J}^{ab}(w_n) \rightarrow \Theta^{ab}$  as  $n \rightarrow \infty$ .

Clearly,  $\{w_n\} \subset H^1(\mathbb{R}^N)$  is bounded. We assume  $w_n \rightharpoonup w$  in  $H_r^1(\mathbb{R}^N)$  as  $n \rightarrow \infty$  up to a subsequence if necessary. By Lemma 1.5 and  $w_n \in \mathcal{N}^{ab}$ , we have  $\|w_n\|_1^2 \leq C(\|w_n\|_p^p + \|w_n\|_q^q)$ , which ensures that  $w \neq 0$  by letting  $n \rightarrow \infty$ . Due to the weakly lower semi-continuity of norm, we obtain  $\|w\|_1^2 \leq b_1 |w|_p^p + b_2 |w|_q^q$ . Thus we have  $w \in \mathcal{N}^{ab}$ .

In the end, we can obtain  $(\mathcal{J}^{ab})'(w) = 0$ , where  $w \in \mathcal{S}^{ab}$  is positive and radially symmetric. With similar arguments as above,  $\mathcal{S}^{ab}$  is compact in  $H^1(\mathbb{R}^N)$ .

**Lemma 2.4.** Let  $a_i > 0, b_i^1, b_i^2 > 0, i = 1, 2$ .

- 1) If  $\min\{a_2 - a_1, b_1^1 - b_2^1, b_1^2 - b_2^2\} \geq 0$ , then  $\Theta^{a_1 b_1} \leq \Theta^{a_2 b_2}$ ;
- 2) If  $\min\{a_2 - a_1, b_1^1 - b_2^1, b_1^2 - b_2^2\} \geq 0$  and  $\max\{a_2 - a_1, b_1^1 - b_2^1, b_1^2 - b_2^2\} > 0$ , then  $\Theta^{a_1 b_1} < \Theta^{a_2 b_2}$ .

**Lemma 2.5.** If  $u$  is a ground state solution of

$$-\Delta u + \tau_\infty u = \varsigma_{1\infty} |u|^{p-2} u + \varsigma_{2\infty} |u|^{q-2} u, \quad u \in H^1(\mathbb{R}^N) \tag{2.2}$$

with the energy  $\Theta^\infty$ . Setting  $z(x) = \lambda u \left( \sqrt{\frac{a}{\tau_\infty}} x \right)$ , then  $z$  is a ground state solution of

$$-\Delta z + az = \left( \frac{a\zeta_{1\infty}}{\tau_\infty b_1} \lambda^{2-p} \right) b_1 |z|^{p-2} z + \left( \frac{a\zeta_{2\infty}}{\tau_\infty b_2} \lambda^{2-q} \right) b_2 |z|^{q-2} z, \quad z \in H^1(\mathbb{R}^N) \quad (2.3)$$

with the energy  $\Theta(\lambda) = \lambda^2 \left( \frac{a}{\tau_\infty} \right)^{1-\frac{N}{2}} \Theta^\infty$ .

*Proof.* Observe that  $u$  is a ground state solution of Equation (2.2) if and only if  $z$  is a ground state solution of Equation (2.3). Indeed,

$$\begin{aligned} -\Delta z + az &= \frac{\lambda a}{\tau_\infty} \left( -\Delta u \left( \sqrt{\frac{a}{\tau_\infty}} x \right) + \tau_\infty u \left( \sqrt{\frac{a}{\tau_\infty}} x \right) \right) \\ &= \left( \frac{a\zeta_{1\infty}}{\tau_\infty} \lambda^{2-p} \right) |z|^{p-2} z + \left( \frac{a\zeta_{2\infty}}{\tau_\infty} \lambda^{2-q} \right) |z|^{q-2} z. \end{aligned}$$

Furthermore,  $u \in \mathcal{N}^\infty$  if and only if  $z \in \mathcal{N}(\lambda)$ . Hence

$$\Theta(\lambda) = \lambda^2 \left( \frac{a}{\tau_\infty} \right)^{1-\frac{N}{2}} \Theta^\infty.$$

**Lemma 2.6.** Assume that  $a \leq \tau_\infty$ ,  $\bar{b} \geq \bar{\zeta}_\infty$ . Then  $m(a, \bar{b}) \Theta^{a\bar{b}} \leq \Theta^\infty$ .

*Proof.* Note that if  $\lambda > 0$  satisfies  $\max \left\{ \frac{a\zeta_{1\infty}}{\tau_\infty b_1} \lambda^{2-p}, \frac{a\zeta_{2\infty}}{\tau_\infty b_2} \lambda^{2-q} \right\} \leq 1$ , we have  $\Theta^{a\bar{b}} \leq \Theta(\lambda)$ . By the definition of  $m(a, \bar{b})$ , we can find two cases:

$$\left( \frac{\zeta_{2\infty}}{b_2} \right) \leq \left( \frac{a}{\tau_\infty} \right)^{\frac{q-p}{p-2}} \left( \frac{\zeta_{1\infty}}{b_1} \right)^{\frac{q-2}{p-2}} \quad (2.4)$$

or

$$\left( \frac{\zeta_{1\infty}}{b_1} \right) < \left( \frac{a}{\tau_\infty} \right)^{\frac{p-q}{q-2}} \left( \frac{\zeta_{2\infty}}{b_2} \right)^{\frac{p-2}{q-2}}. \quad (2.5)$$

If (2.4) holds, we choose  $\lambda = \left( \frac{a\zeta_{1\infty}}{\tau_\infty b_1} \right)^{\frac{1}{p-2}}$ , then

$$\Theta(\lambda) = \left( \frac{a}{\tau_\infty} \right)^{\frac{p-N}{p-2} \frac{N}{2}} \cdot \left( \frac{\zeta_{1\infty}}{b_1} \right)^{\frac{2}{p-2}} \Theta^\infty. \text{ Thus we get } m(a, \bar{b}) \Theta^{a\bar{b}} \leq \Theta^\infty.$$

If (2.5) holds, we choose  $\lambda = \left( \frac{a\zeta_{2\infty}}{\tau_\infty b_2} \right)^{\frac{1}{q-2}}$ , then

$$\Theta(\lambda) = \left( \frac{a}{\tau_\infty} \right)^{\frac{q-N}{q-2} \frac{N}{2}} \cdot \left( \frac{\zeta_{2\infty}}{b_2} \right)^{\frac{2}{q-2}} \Theta^\infty. \text{ Thus we get } m(a, \bar{b}) \Theta^{a\bar{b}} \leq \Theta^\infty.$$

**Lemma 2.7.** If  $\tau < \tau_\infty$ ,  $\bar{\zeta}_v \geq \bar{\zeta}_\infty$ , then  $m(\tau, \bar{\zeta}_v) > 1$  and  $\Theta^{\tau \bar{\zeta}_v} < \Theta^\infty$ . If  $\tau_w \leq \tau_\infty$ ,  $\bar{\zeta} > \bar{\zeta}_\infty$ , then  $m(\tau_w, \bar{\zeta}) \geq 1$  and  $\Theta^{\tau_w \bar{\zeta}} < \Theta^\infty$ .

*Proof.* Choose  $a = \tau, b_j = \zeta_j, j = 1, 2$  in Equation (2.1), Equations (2.3), (2.4) and (2.5), respectively. By the definition of  $m(\tau, \bar{\zeta}_v)$ , we have  $m(\tau, \bar{\zeta}_v) > 1$ . By Lemma 2.6, we have  $\Theta^{\tau \bar{\zeta}_v} < \Theta^\infty$ .

Similarly, we choose  $a = \tau_w, b_j = \zeta_j, j = 1, 2$  in Equation (2.1), Equations (2.3), (2.4) and (2.5), respectively. By the definition of  $m(\tau_w, \bar{\zeta})$ , we have

$$m(\tau_w, \bar{\zeta}) \geq 1. \text{ If (2.4) holds, we choose } \lambda = \left( \frac{\tau_w \zeta_{1\infty}}{\tau_\infty \zeta_1} \right)^{\frac{1}{p-2}}, \text{ then } \Theta^{\tau_w \bar{\zeta}} \leq \Theta(\lambda) \leq \Theta^\infty$$

by Lemma 2.4 and Lemma 2.5. If  $\zeta_1 > \zeta_{1\infty}$ , then  $\Theta^{\tau_w \bar{\zeta}} \leq \Theta(\lambda) < \Theta^\infty$  by Lemma 2.5. If  $\zeta_2 > \zeta_{2\infty}$ , then  $\Theta^{\tau_w \bar{\zeta}} < \Theta(\lambda) \leq \Theta^\infty$  by Lemma 2.4. Hence  $\Theta^{\tau_w \bar{\zeta}} < \Theta^\infty$ . If

$$(2.5) \text{ holds, we choose } \lambda = \left( \frac{\tau_w \zeta_{2\infty}}{\tau_\infty \zeta_2} \right)^{\frac{1}{q-2}}, \text{ then } \Theta^{\tau_w \bar{\zeta}} \leq \Theta(\lambda) \leq \Theta^\infty. \text{ If } \zeta_1 > \zeta_{1\infty},$$

then  $\Theta^{\tau_w \bar{\zeta}} < \Theta(\lambda) \leq \Theta^\infty$ . If  $\zeta_2 > \zeta_{2\infty}$ , then  $\Theta^{\tau_w \bar{\zeta}} \leq \Theta(\lambda) < \Theta^\infty$ . Thus  $\Theta^{\tau_w \bar{\zeta}} < \Theta^\infty$ .

**Lemma 2.8.** There exist constants  $C, c > 0$  such that for every  $u \in \mathcal{S}^{ab}$ ,  $u(x) \leq Ce^{-c|x|}$  for all  $x \in \mathbb{R}^N$ .

*Proof.* Let  $a' := a - b_1 u^{p-2} - b_2 u^{q-2}$ , we obtain  $-\Delta u + a'u = 0$ . For  $R$  large enough, we get  $2a' \geq a$  for  $|x| \geq R$ . Define  $\phi(x) = C_1 e^{-c_2|x|}$  or  $\phi(s) = C_1 e^{-c_2 s}$ , where  $C_1 > 0, s = |x|$ . Choose  $C_1$  large enough such that  $\phi(x) \geq u(x)$  for  $|x| = R$ . Since  $-\Delta \phi(x) + a'\phi(x) \geq \left(\frac{a}{2} - c_2^2\right)\phi(x)$ , we choose  $0 < c_2 \leq \sqrt{\frac{a}{2}}$  such that  $-\Delta \phi(x) + a'\phi(x) \geq 0$  for  $|x| \geq R$ . Therefore,

$$\begin{cases} -\Delta \phi(x) + a'\phi(x) \geq -\Delta u + a'u & \forall |x| \geq R \\ \phi(x) \geq u(x) & \forall |x| = R \end{cases}$$

By comparison principle,  $\phi(x) \geq u(x)$  for all  $|x| \geq R$ , then  $u(x) \leq C_1 e^{-c_2|x|}$  for all  $|x| \geq R$ . For  $C_1$  large enough, we get that  $u(x) \leq C_1 e^{-c_2|x|}$  for all  $|x| < R$ . Thus  $u(x) \leq C_1 e^{-c_2|x|}$  for all  $x \in \mathbb{R}^N$ .

## 2.2. Auxiliary Equation

In this subsection, we consider the following equation for  $p, q \in (2, 2^*)$  and  $p > q$ ,

$$-\Delta u + V_\varepsilon^a(x)u = W_{1\varepsilon}^{b_1}(x)|u|^{p-2}u + W_{2\varepsilon}^{b_2}(x)|u|^{q-2}u, \quad u \in H^1(\mathbb{R}^N), \quad (2.6)$$

where  $\tau \leq a \leq \tau_\infty, \bar{\zeta} \geq \bar{b} \geq \bar{\zeta}_\infty, V_\varepsilon^a(x) := V^a(\varepsilon x) := \max\{a, V(x)\}$  and  $W_{j\varepsilon}^{b_j}(x) := W_j^{b_j}(\varepsilon x) := \min\{b_j, W_j(x)\}, j = 1, 2$ .

For each  $u \in H^1(\mathbb{R}^N)$ , the energy functional associated to Equation (2.6) is

$$\begin{aligned} \mathcal{J}_\varepsilon^{ab}(u) &= \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + V_\varepsilon^a(x)u^2) dx - \frac{1}{p} \int_{\mathbb{R}^N} W_{1\varepsilon}^{b_1}(x)|u|^p dx \\ &\quad - \frac{1}{q} \int_{\mathbb{R}^N} W_{2\varepsilon}^{b_2}(x)|u|^q dx. \end{aligned}$$

The weak solutions of Equation (2.6) are critical points of  $\mathcal{J}_\varepsilon^{ab} \in C^1(H^1(\mathbb{R}^N), \mathbb{R})$ . We denote the least energy by  $\Theta_\varepsilon^{ab} = \inf_{\mathcal{N}_\varepsilon^{ab}} \mathcal{J}_\varepsilon^{ab}$ , where



$\mathcal{N}_\varepsilon^{a\bar{b}} := \left\{ u \in H^1(\mathbb{R}^N) \setminus \{0\} : \left\langle \left( \mathcal{J}_\varepsilon^{a\bar{b}} \right)'(u), u \right\rangle = 0 \right\}$  is the Nehari manifold. The set of least energy solutions was denoted by

$\mathcal{S}_\varepsilon^{a\bar{b}} = \left\{ u_\varepsilon \in H^1(\mathbb{R}^N) : \mathcal{J}_\varepsilon^{a\bar{b}}(u_\varepsilon) = \Theta_\varepsilon^{a\bar{b}}, \left( \mathcal{J}_\varepsilon^{a\bar{b}} \right)'(u_\varepsilon) = 0 \right\}$ . In particular, we set

$$\mathcal{J}_\varepsilon^\infty := \mathcal{J}_\varepsilon^{\tau_\infty \bar{\zeta}_\infty}, \mathcal{N}_\varepsilon^\infty := \mathcal{N}_\varepsilon^{\tau_\infty \bar{\zeta}_\infty}, \Theta_\varepsilon^\infty := \Theta_\varepsilon^{\tau_\infty \bar{\zeta}_\infty};$$

$$V_\varepsilon^\infty := V_\varepsilon^{\tau_\infty}, W_{j\varepsilon}^\infty := W_{j\varepsilon}^{\zeta_j \infty}, j = 1, 2.$$

**Lemma 2.9.** The functional  $\mathcal{J}_\varepsilon^{a\bar{b}}$  satisfies that

- 1) There exist  $\rho > 0$  and  $\kappa > 0$  both dependent on  $N, p, q, \tau, \bar{\zeta}$  and independent of  $a, \bar{b}$  such that  $\mathcal{J}_\varepsilon^{a\bar{b}}(u) > \kappa$  for all  $\|u\| = \rho$ ;
- 2) For  $u \neq 0$ ,  $\mathcal{J}_\varepsilon^{a\bar{b}}(tu) \rightarrow -\infty$  as  $t \rightarrow +\infty$ .

**Lemma 2.10.** Let  $\Upsilon_\varepsilon^{a\bar{b}} := \left\{ \gamma \in C([0, 1], H^1(\mathbb{R}^N)) : \gamma(0) = 0, \mathcal{J}_\varepsilon^{a\bar{b}}(\gamma(1)) < 0 \right\}$ , then

$$\Theta_\varepsilon^{a\bar{b}} = \inf_{u \in H^1(\mathbb{R}^N) \setminus \{0\}} \max_{t \geq 0} \mathcal{J}_\varepsilon^{a\bar{b}}(tu) = \inf_{\gamma \in \Upsilon_\varepsilon^{a\bar{b}}} \max_{t \in [0, 1]} \mathcal{J}_\varepsilon^{a\bar{b}}(\gamma(t)) > 0.$$

**Lemma 2.11.** If  $\mathcal{J}_\varepsilon^\infty$  has a  $(PS)_c$  sequence, then either  $c = 0$  or  $c \geq \Theta_\varepsilon^\infty$ . Furthermore,  $\Theta_\varepsilon^\infty \geq \Theta_\varepsilon^\infty$ .

*Proof.* Let  $\{u_n\} \subset H^1(\mathbb{R}^N)$  is a  $(PS)_c$  sequence of  $\mathcal{J}_\varepsilon^\infty$ , then  $\mathcal{J}_\varepsilon^\infty(u_n) \rightarrow c$  and  $(\mathcal{J}_\varepsilon^\infty)'(u_n) \rightarrow 0$  in  $H^{-1}(\mathbb{R}^N)$  as  $n \rightarrow \infty$ . We will show that  $c \geq \Theta_\varepsilon^\infty$  when  $c \neq 0$ . Since  $\{u_n\} \subset H^1(\mathbb{R}^N)$  is bounded, we may assume  $u_n \rightharpoonup u$  in  $H^1(\mathbb{R}^N)$  as  $n \rightarrow \infty$ . Hence  $(\mathcal{J}_\varepsilon^\infty)'(u) = 0$ . Set  $y_n := u_n - u$ . By Lemma 1.32 in [23], we obtain

$$\mathcal{J}_\varepsilon^\infty(y_n) \rightarrow c - \mathcal{J}_\varepsilon^\infty(u) \quad \text{as } n \rightarrow \infty. \tag{2.7}$$

For all  $\varphi \in H^1(\mathbb{R}^N)$ , we have

$$\begin{aligned} & \left\langle \left( \mathcal{J}_\varepsilon^\infty \right)'(y_n), \varphi \right\rangle - \left\langle \left( \mathcal{J}_\varepsilon^\infty \right)'(u_n), \varphi \right\rangle \\ &= \int_{\mathbb{R}^N} W_{1\varepsilon}^\infty(x) \left( |u_n|^{p-2} u_n - |y_n|^{p-2} y_n - |u|^{p-2} u \right) \varphi dx \\ & \quad + \int_{\mathbb{R}^N} W_{2\varepsilon}^\infty(x) \left( |u_n|^{q-2} u_n - |y_n|^{q-2} y_n - |u|^{q-2} u \right) \varphi dx. \end{aligned} \tag{2.8}$$

For any  $\sigma > 0$ , there is  $R > 0$  such that  $\int_{|x|>R} |u|^p dx < \sigma^p$  and  $\int_{|x|>R} |u|^q dx < \sigma^q$ . By mean value theorem and Hölder inequality, we obtain  $\int_{|x|>R} \left| \left( |u_n|^{p-2} u_n - |y_n|^{p-2} y_n \right) \varphi \right| dx \leq C\sigma \|\varphi\|$ . Furthermore, by Hölder inequality again, we get that  $\int_{|x|>R} |u|^{p-2} u \varphi dx \leq C\sigma \|\varphi\|$ . Thus

$$\left| \int_{|x|>R} W_{1\varepsilon}^\infty(x) \left( |u_n|^{p-2} u_n - |y_n|^{p-2} y_n - |u|^{p-2} u \right) \varphi dx \right| \leq C\sigma \|\varphi\|. \tag{2.9}$$

Similarly,

$$\left| \int_{|x|>R} W_{2\varepsilon}^\infty(x) \left( |u_n|^{q-2} u_n - |y_n|^{q-2} y_n - |u|^{q-2} u \right) \varphi dx \right| \leq C\sigma \|\varphi\|. \tag{2.10}$$

By Lemma 1.5, we obtain  $|u_n|^{\mu-2}u_n - |u|^{\mu-2}u \rightarrow 0$  in  $L^{\frac{\mu}{\mu-1}}_{loc}(\mathbb{R}^N)$  as  $n \rightarrow \infty$  with  $\mu = p, q$ , respectively. Hence

$$\left| \int_{|x| \leq R} W_{1\varepsilon}^\infty(x) (|u_n|^{p-2}u_n - |y_n|^{p-2}y_n - |u|^{p-2}u) \varphi dx \right| = o(1)\|\varphi\|. \tag{2.11}$$

Similarly,

$$\left| \int_{|x| \leq R} W_{2\varepsilon}^\infty(x) (|u_n|^{q-2}u_n - |y_n|^{q-2}y_n - |u|^{q-2}u) \varphi dx \right| = o(1)\|\varphi\|. \tag{2.12}$$

By (2.8) - (2.12), we get that  $(J_\varepsilon^\infty)'(y_n) \rightarrow 0$  in  $H^{-1}(\mathbb{R}^N)$  as  $n \rightarrow \infty$ .

For all  $n \in \mathbb{Z}_+$ , if  $y_n \neq 0$ , there is  $t_n > 0$  such that  $t_n y_n \in \mathcal{N}_\varepsilon^\infty$ . Thus

$$\mathcal{J}_\varepsilon^\infty(t_n y_n) \geq \Theta_\varepsilon^\infty \tag{2.13}$$

and  $0 = \langle (\mathcal{J}_\varepsilon^\infty)'(t_n y_n), t_n y_n \rangle$ . By  $o(1) = \langle (\mathcal{J}_\varepsilon^\infty)'(y_n), y_n \rangle$ , then we obtain

$$o(1) = (1 - t_n^{p-2}) \int_{\mathbb{R}^N} W_{1\varepsilon}^\infty(x) |y_n|^p dx + (1 - t_n^{q-2}) \int_{\mathbb{R}^N} W_{2\varepsilon}^\infty(x) |y_n|^q dx. \tag{2.14}$$

Moreover,  $\|y_n\|^2 \leq C \int_{\mathbb{R}^N} (|\nabla y_n|^2 + V_\varepsilon^\infty(x) y_n^2) dx \leq C (|y_n|_p^p + |y_n|_q^q) + o(1)$ . If  $|y_n|_p \rightarrow 0$  and  $|y_n|_q \rightarrow 0$  as  $n \rightarrow \infty$ , we can get  $u_n \rightarrow u$  in  $H^1(\mathbb{R}^N)$  as  $n \rightarrow \infty$  and  $c \geq \Theta_\varepsilon^\infty$ . If  $|y_n|_p \geq \sigma > 0$  or  $|y_n|_q \geq \sigma > 0$  in (2.14), we obtain  $t_n \rightarrow 1$  as  $n \rightarrow \infty$ . Hence by (2.7), we have  $\mathcal{J}_\varepsilon^\infty(t_n y_n) \rightarrow c - \mathcal{J}_\varepsilon^\infty(u)$  as  $n \rightarrow \infty$ . By (2.13), we get that  $c \geq \Theta_\varepsilon^\infty$ . If there is  $y_{n_k} \equiv 0$ , then  $\mathcal{J}_\varepsilon^\infty(u) = c \neq 0$  and  $u \in \mathcal{N}_\varepsilon^\infty$ . Hence  $c \geq \Theta_\varepsilon^\infty$ .

Observe that  $\mathcal{J}_\varepsilon^\infty(u) \geq \mathcal{J}^\infty(u)$  for all  $u \in H^1(\mathbb{R}^N)$ . According to Lemma 2.2 and Lemma 2.10, we obtain  $\Theta_\varepsilon^\infty \geq \Theta^\infty$ .

Similar to the proof of Lemma 2.11, we also have the following result.

**Lemma 2.12.** If  $\mathcal{J}_\varepsilon^{ab}$  has a  $(PS)_c$  sequence, then either  $c = 0$  or  $c \geq \Theta_\varepsilon^{ab}$ .

**Lemma 2.13.** For all  $c < \Theta_\varepsilon^{ab}$ ,  $\mathcal{J}_\varepsilon^{ab}$  satisfies  $(PS)_c$  condition.

*Proof.* Let  $\{u_n\} \subset H^1(\mathbb{R}^N)$  is a  $(PS)_c$  sequence of  $\mathcal{J}_\varepsilon^{ab}$ , then  $\mathcal{J}_\varepsilon^{ab}(u_n) \rightarrow c$  and  $(\mathcal{J}_\varepsilon^{ab})'(u_n) \rightarrow 0$  in  $H^{-1}(\mathbb{R}^N)$  as  $n \rightarrow \infty$ . We assume  $u_n \rightharpoonup u$  in  $H^1(\mathbb{R}^N)$  as  $n \rightarrow \infty$ . Hence  $(\mathcal{J}_\varepsilon^{ab})'(u) = 0$ . Set  $y_n := u_n - u$ . Due to the proof of Lemma 2.11, we obtain

$$\mathcal{J}_\varepsilon^{ab}(y_n) \rightarrow c - \mathcal{J}_\varepsilon^{ab}(u), (\mathcal{J}_\varepsilon^{ab})'(y_n) \rightarrow 0 \text{ in } H^{-1}(\mathbb{R}^N) \text{ as } n \rightarrow \infty. \tag{2.15}$$

Next, we will show that  $\mathcal{J}_\varepsilon^\infty(y_n) \rightarrow c - \mathcal{J}_\varepsilon^{ab}(u)$  and  $(\mathcal{J}_\varepsilon^\infty)'(y_n) \rightarrow 0$  in  $H^{-1}(\mathbb{R}^N)$  as  $n \rightarrow \infty$ . By definition, we get that for all  $\sigma > 0$ , there is  $\bar{R} > 0$  such that for all  $|x| > \bar{R}$ ,

$$|V_\varepsilon^\infty(x) - V_\varepsilon^a(x)| \leq \sigma, |W_{j\varepsilon}^\infty(x) - W_{j\varepsilon}^{b_j}(x)| \leq \sigma, j = 1, 2. \tag{2.16}$$

Thus by (2.16), we have

$$\begin{aligned} & \left| \mathcal{J}_\varepsilon^\infty(y_n) - \mathcal{J}_\varepsilon^{ab}(y_n) \right| \\ & \leq \sigma \left( \frac{1}{2} |y_n|_2^2 + \frac{1}{p} |y_n|_p^p + \frac{1}{q} |y_n|_q^q \right) + C \left( |y_n|_{L^2(B_{\bar{R}})}^2 + |y_n|_{L^p(B_{\bar{R}})}^p + |y_n|_{L^q(B_{\bar{R}})}^q \right), \end{aligned}$$

which together with Lemma 1.5 and (2.15) imply that

$$\mathcal{J}_\varepsilon^\infty(y_n) \rightarrow c - \mathcal{J}_\varepsilon^{ab}(u) \quad \text{as } n \rightarrow \infty. \tag{2.17}$$

Similarly, by Lemma 1.5 and (2.15) again, we have

$$(\mathcal{J}_\varepsilon^\infty)'(y_n) \rightarrow 0 \quad \text{in } H^{-1}(\mathbb{R}^N) \quad \text{as } n \rightarrow \infty. \tag{2.18}$$

By (2.17) and (2.18), we obtain  $\{y_n\}$  is a  $(PS)_{c - \mathcal{J}_\varepsilon^{ab}(u)}$  sequence of  $\mathcal{J}_\varepsilon^\infty$ . By Lemma 2.11, either  $c = \mathcal{J}_\varepsilon^{ab}(u)$  or  $c \geq \mathcal{J}_\varepsilon^{ab}(u) + \Theta_\varepsilon^\infty$ . The latter contradicts our assumption  $c < \Theta_\varepsilon^\infty$ . Hence  $c = \mathcal{J}_\varepsilon^{ab}(u)$  and

$$\mathcal{J}_\varepsilon^{ab}(u_n) \rightarrow \mathcal{J}_\varepsilon^{ab}(u) \quad \text{as } n \rightarrow \infty. \tag{2.19}$$

Now we prove that  $u_n \rightarrow u$  in  $H^1(\mathbb{R}^N)$  as  $n \rightarrow \infty$ . Since

$$o(1) = \left\langle (\mathcal{J}_\varepsilon^{ab})'(u_n), u_n \right\rangle, \text{ we get}$$

$$\mathcal{J}_\varepsilon^{ab}(u_n) = \frac{q-2}{2q} \int_{\mathbb{R}^N} (|\nabla u_n|^2 + V_\varepsilon^a(x)u_n^2) dx + \frac{p-q}{pq} \int_{\mathbb{R}^N} W_{1\varepsilon}^{b_1}(x)|u_n|^p dx + o(1). \text{ By}$$

$$0 = \left\langle (\mathcal{J}_\varepsilon^{ab})'(u), u \right\rangle, \text{ we obtain}$$

$$\mathcal{J}_\varepsilon^{ab}(u) = \frac{q-2}{2q} \int_{\mathbb{R}^N} (|\nabla u|^2 + V_\varepsilon^a(x)u^2) dx + \frac{p-q}{pq} \int_{\mathbb{R}^N} W_{1\varepsilon}^{b_1}(x)|u|^p dx. \text{ By Lemma 1.6,}$$

we assume there exist  $R > 0$  and  $\delta > 0$  such that  $\int_{B_R(x_n)} |y_n|^p dx \geq \delta > 0$  for some  $x_n \in \mathbb{R}^N$ . Moreover,

$$\begin{aligned} \lim_{n \rightarrow \infty} \mathcal{J}_\varepsilon^{ab}(y_n) &\geq \lim_{n \rightarrow \infty} \left( \frac{p-q}{pq} \int_{B_R(x_n)} W_{1\varepsilon}^{b_1}(x)|y_n|^p dx + o(1) \right) \\ &\geq \lim_{n \rightarrow \infty} \left( C \int_{B_R(x_n)} |y_n|^p dx + o(1) \right) \geq C\delta > 0, \end{aligned}$$

which is impossible. By (2.19), we conclude that  $\|u_n\| \rightarrow \|u\|$  as  $n \rightarrow \infty$ . Thus  $u_n \rightarrow u$  in  $H^1(\mathbb{R}^N)$  as  $n \rightarrow \infty$ .

**Lemma 2.14.**  $\limsup_{\varepsilon \rightarrow 0} \Theta_\varepsilon^{ab} \leq \Theta^{\bar{a}\bar{b}}$ , where  $\bar{a} = V^a(0)$ ,  $\bar{b}_j = W_j^{b_j}(0)$ ,  $j = 1, 2$ ,  $\bar{b} = (\bar{b}_1, \bar{b}_2)$ . Meanwhile, if  $V(0) \leq a$ ,  $W_j(0) \geq b_j$ ,  $j = 1, 2$ , then

$$\lim_{\varepsilon \rightarrow 0} \Theta_\varepsilon^{ab} = \Theta^{\bar{a}\bar{b}}.$$

*Proof.* Setting  $\tilde{V}_\varepsilon(x) := V_\varepsilon^a(x) - \bar{a}$  and  $\tilde{W}_{j\varepsilon}(x) := \tilde{b}_j - W_{j\varepsilon}^{b_j}(x)$ ,  $j = 1, 2$ , we have

$$\tilde{V}_\varepsilon(x) \rightarrow 0, \tilde{W}_{j\varepsilon}(x) \rightarrow 0, j = 1, 2 \text{ a.e. on } \mathbb{R}^N \text{ as } \varepsilon \rightarrow 0. \tag{2.20}$$

Furthermore,

$$\mathcal{J}_\varepsilon^{ab}(u) - \mathcal{J}^{\bar{a}\bar{b}}(u) = \frac{\int_{\mathbb{R}^N} \tilde{V}_\varepsilon(x)u^2 dx}{2} + \frac{\int_{\mathbb{R}^N} \tilde{W}_{1\varepsilon}(x)|u|^p dx}{p} + \frac{\int_{\mathbb{R}^N} \tilde{W}_{2\varepsilon}(x)|u|^q dx}{q}. \tag{2.21}$$

Due to Lemma 2.3, there is  $\alpha \in \mathcal{S}^{\bar{a}\bar{b}}$  satisfying  $\mathcal{J}^{\bar{a}\bar{b}}(\alpha) = \Theta^{\bar{a}\bar{b}}$  for  $\alpha \in \mathcal{N}^{\bar{a}\bar{b}}$ . Let  $t_\varepsilon > 0$  such that  $t_\varepsilon \alpha \in \mathcal{N}_\varepsilon^{ab}$ , we obtain

$$\max_{t \geq 0} \mathcal{J}_\varepsilon^{ab}(t\alpha) = \mathcal{J}_\varepsilon^{ab}(t_\varepsilon \alpha) \geq \Theta_\varepsilon^{ab}. \tag{2.22}$$

Observe that  $\lim_{t \rightarrow +\infty} \mathcal{J}_\varepsilon^{ab}(t\alpha) = -\infty$ , there is  $T > 0$  such that

$$\mathcal{J}_\varepsilon^{ab}(t\alpha) < 0, \quad \forall t > T. \tag{2.23}$$

Combining (2.22) with (2.23), we have  $t_\varepsilon \leq T$ . Let  $t_\varepsilon \rightarrow t_0$  as  $\varepsilon \rightarrow 0$ . By applying (2.20) - (2.22) and the Lebesgue dominated convergence theorem, we obtain  $\Theta_\varepsilon^{ab} \leq \mathcal{J}_\varepsilon^{ab}(t_\varepsilon \alpha) \rightarrow \mathcal{J}^{ab}(t_0 \alpha) \leq \mathcal{J}^{ab}(\alpha) = \Theta^{ab}$  as  $\varepsilon \rightarrow 0$ . Hence  $\limsup_{\varepsilon \rightarrow 0} \Theta_\varepsilon^{ab} \leq \Theta^{ab}$ .

In the end,  $\tilde{a} = a$  and  $\tilde{b}_j = b_j$ ,  $j = 1, 2$  when  $V(0) \leq a$  and  $W_j(0) \geq b_j$ ,  $j = 1, 2$ , namely, for all  $x \in \mathbb{R}^N$ , we obtain  $\tilde{V}_\varepsilon(x) \geq 0$ ,  $\tilde{W}_{j\varepsilon}(x) \geq 0$ ,  $j = 1, 2$ . By Lemma 2.2, Lemma 2.10 and (2.21), we have  $\Theta_\varepsilon^{ab} \geq \Theta^{ab}$ . According to  $\Theta^{ab} \leq \liminf_{\varepsilon \rightarrow 0} \Theta_\varepsilon^{ab} \leq \limsup_{\varepsilon \rightarrow 0} \Theta_\varepsilon^{ab} \leq \Theta^{ab}$ , we obtain  $\lim_{\varepsilon \rightarrow 0} \Theta_\varepsilon^{ab} = \Theta^{ab} = \Theta^{ab}$ .

**Lemma 2.15.** If  $\tau \leq a < \tau_\infty, \zeta \geq \bar{b} \geq \zeta_\infty$  or  $\tau \leq a < \tau_\infty, \zeta > \bar{b} \geq \zeta_\infty$ , then there is  $\varepsilon^{ab} > 0$  such that  $\Theta_\varepsilon^{ab}$  is achieved at  $u_\varepsilon^{ab} > 0$  for all  $\varepsilon \leq \varepsilon^{ab}$ .

*Proof.* By Lemma 2.7, we have  $\Theta^{ab} < \Theta^\infty$ , where  $\tilde{a} = V^a(0)$ ,  $\tilde{b}_j = W_j^{b_j}(0)$ ,  $j = 1, 2$ . By Lemma 2.11 and Lemma 2.14, there is  $\varepsilon^{ab} > 0$  such that  $\Theta_\varepsilon^{ab} < \Theta^\infty \leq \Theta_\varepsilon^\infty$  for all  $\varepsilon \leq \varepsilon^{ab}$ . By Lemma 2.13,  $\mathcal{J}_\varepsilon^{ab}$  satisfies the  $(PS)_{\Theta_\varepsilon^{ab}}$  condition for all  $\varepsilon \leq \varepsilon^{ab}$ , which combined Lemma 2.9 with Lemma 2.10, we have  $\Theta_\varepsilon^{ab}$  is achieved at  $u_\varepsilon^{ab} \in H^1(\mathbb{R}^N)$ . We set  $u_\varepsilon^{ab}$  is a ground state solution of Equation (2.6). If  $(u_\varepsilon^{ab})^\pm \neq 0$ , by

$$0 = \left\langle (\mathcal{J}_\varepsilon^{ab})'(u_\varepsilon^{ab}), (u_\varepsilon^{ab})^\pm \right\rangle = \left\langle (\mathcal{J}_\varepsilon^{ab})' \left( (u_\varepsilon^{ab})^\pm \right), (u_\varepsilon^{ab})^\pm \right\rangle$$

implies that

$$(u_\varepsilon^{ab})^\pm \in \mathcal{N}_\varepsilon^{ab}. \text{ Thus } \Theta_\varepsilon^{ab} = \mathcal{J}_\varepsilon^{ab}(u_\varepsilon^{ab}) = \mathcal{J}_\varepsilon^{ab} \left( (u_\varepsilon^{ab})^+ \right) + \mathcal{J}_\varepsilon^{ab} \left( (u_\varepsilon^{ab})^- \right) \geq 2\Theta_\varepsilon^{ab},$$

which is impossible. Hence  $u_\varepsilon^{ab}$  does not change the sign. Then we may assume  $u_\varepsilon^{ab} \geq 0$ . By the elliptic regularity theory,  $u_\varepsilon^{ab} \in C^2(\mathbb{R}^N)$ . By strong maximum principle, we have  $u_\varepsilon^{ab} > 0$ .

### 3. Proofs of the Main Results

Setting  $u(x) := v(\varepsilon x)$ , Equation (1.2) is a solution of

$$-\Delta u + V(\varepsilon x)u = W_1(\varepsilon x)|u|^{p-2}u + W_2(\varepsilon x)|u|^{q-2}u, \quad u \in H^1(\mathbb{R}^N). \tag{3.1}$$

If  $u_\varepsilon(x)$  is a solution of Equation (3.1), then  $v_\varepsilon(x) = u_\varepsilon\left(\frac{x}{\varepsilon}\right)$  is a solution of Equation (1.2).

Since  $V(\varepsilon x) = V_\varepsilon^\tau(x)$ ,  $W_j(\varepsilon x) = W_{j\varepsilon}^{\zeta_j}(x)$ ,  $j = 1, 2$ , we denote by

$$\mathcal{J}_\varepsilon := \mathcal{J}_\varepsilon^{\tau\zeta}, \quad \mathcal{N}_\varepsilon := \mathcal{N}_\varepsilon^{\tau\zeta}, \quad \Theta_\varepsilon := \Theta_\varepsilon^{\tau\zeta}, \quad \mathcal{S}_\varepsilon := \mathcal{S}_\varepsilon^{\tau\zeta}.$$

#### 3.1. Proof of Theorem 1.1

Without loss of generality, we assume  $x_{j_0} = 0$ . Then  $V(0) = \tau$ ,  $W_j(0) = \zeta_{j_0}$ ,  $j = 1, 2$ .

**Lemma 3.1.** Equation (3.1) has at least  $m$  pairs of solutions.

*Proof.* We choose  $a = \tau, b_j = \zeta_{j\nu}, j = 1, 2$  in Equation (2.1) and by Lemma 2.3 and Lemma 2.8, there are  $u \in \mathcal{S}^{\tau\bar{\zeta}_\nu}$  and  $u > 0$ . Let  $s > 0, \zeta_s \in C_0^\infty(\mathbb{R}_+)$  satisfies  $\zeta_s(t) = 0$  if  $t \geq s + 1$  and  $\zeta_s(t) = 1$  if  $t \leq s$  with  $|\zeta'_s(t)| \leq 1$ . Assume  $u_s(x) := \zeta_s(|x|)u(x)$  for  $x \in \mathbb{R}^N$ . By  $\|u_s - u\| \rightarrow 0$  as  $s \rightarrow \infty$ , we get that  $u_s \rightarrow u$  in  $H^1(\mathbb{R}^N)$  as  $s \rightarrow \infty$  and  $u_s \rightarrow u$  in  $L^\mu(\mathbb{R}^N)$  for  $\mu \in [2, 2^*]$  as  $s \rightarrow \infty$ . There is a unique  $t_s > 0$  such that  $t_s u_s \in \mathcal{N}^{\tau\bar{\zeta}_\nu}$ . Therefore,

$$\begin{aligned} \max_{t \geq 0} \mathcal{J}^{\tau\bar{\zeta}_\nu}(tu_s) &= \frac{p-2}{2p} t_s^p \int_{\mathbb{R}^N} \varsigma_{1\nu} |u_s|^p dx + \frac{q-2}{2q} t_s^q \int_{\mathbb{R}^N} \varsigma_{2\nu} |u_s|^q dx \\ &\rightarrow \frac{p-2}{2p} \int_{\mathbb{R}^N} \varsigma_{1\nu} |u|^p dx + \frac{q-2}{2q} \int_{\mathbb{R}^N} \varsigma_{2\nu} |u|^q dx (s \rightarrow \infty) \\ &= \max_{t \geq 0} \mathcal{J}^{\tau\bar{\zeta}_\nu}(tu) = \mathcal{J}^{\tau\bar{\zeta}_\nu}(u) = \Theta^{\tau\bar{\zeta}_\nu}. \end{aligned} \tag{3.2}$$

Furthermore,

$$V(\varepsilon x) \rightarrow V(0) = \tau, \quad W_j(\varepsilon x) \rightarrow W_j(0) = \zeta_{j\nu}, \quad j = 1, 2 \quad \text{as } \varepsilon \rightarrow 0 \tag{3.3}$$

uniformly of  $x$  on any bounded set. There is a unique  $t_{s\varepsilon} > 0$  such that  $t_{s\varepsilon} u_s \in \mathcal{N}_\varepsilon$ . Observe that  $t_{s\varepsilon} \rightarrow t_s$  as  $\varepsilon \rightarrow 0$ . Hence (3.2) and (3.3) imply that

$$\begin{aligned} \max_{t \geq 0} \mathcal{J}_\varepsilon(tu_s) &= \frac{p-2}{2p} t_{s\varepsilon}^p \int_{|x| \leq s+1} W_1(\varepsilon x) |u_s|^p dx + \frac{q-2}{2q} t_{s\varepsilon}^q \int_{|x| \leq s+1} W_2(\varepsilon x) |u_s|^q dx \\ &\rightarrow \frac{p-2}{2p} t_s^p \int_{|x| \leq s+1} \varsigma_{1\nu} |u_s|^p dx + \frac{q-2}{2q} t_s^q \int_{|x| \leq s+1} \varsigma_{2\nu} |u_s|^q dx (\varepsilon \rightarrow 0) \\ &= \max_{t \geq 0} \mathcal{J}^{\tau\bar{\zeta}_\nu}(tu_s) \rightarrow \Theta^{\tau\bar{\zeta}_\nu} (s \rightarrow \infty). \end{aligned} \tag{3.4}$$

By Lemma 2.7,  $m(\tau, \bar{\zeta}_\nu) > 1$ . We choose  $m_\nu = m(\tau, \bar{\zeta}_\nu)$ . For the maximal integer  $m \in \mathbb{Z}_+$  with  $m < m_\nu$ , we have  $m \geq 1$ . Define

$\xi_{sl}(x) := u_s(x_1 - 2l(s+1), x_2, \dots, x_N)$  for  $l = 0, 1, \dots, m-1$ , and set  $E_{sm} := \text{span}\{\xi_{sl}(x) : l = 0, 1, \dots, m-1\}$ . Clearly,  $(\xi_{si}, \xi_{sj}) = 0$  if  $i \neq j$ . Hence  $\dim E_{sm} = m$ . Combining (3.2) with (3.3) again, for all  $l = 1, 2, \dots, m-1$ , we have

$$\begin{aligned} \max_{t \geq 0} \mathcal{J}_\varepsilon(t\xi_{sl}) &= \frac{p-2}{2p} t_{l\varepsilon}^p \int_{|x| \leq s+1} W_1(\varepsilon x) |\xi_{sl}|^p dx + \frac{q-2}{2q} t_{l\varepsilon}^q \int_{|x| \leq s+1} W_2(\varepsilon x) |\xi_{sl}|^q dx \\ &\rightarrow \frac{p-2}{2p} t_l^p \int_{|x| \leq s+1} \varsigma_{1\nu} |\xi_{sl}|^p dx + \frac{q-2}{2q} t_l^q \int_{|x| \leq s+1} \varsigma_{2\nu} |\xi_{sl}|^q dx (\varepsilon \rightarrow 0) \\ &= \max_{t \geq 0} \mathcal{J}^{\tau\bar{\zeta}_\nu}(tu_s) \rightarrow \Theta^{\tau\bar{\zeta}_\nu} (s \rightarrow \infty), \end{aligned}$$

where  $t_{l\varepsilon}$  and  $t_l$  are the unique constants satisfying  $t_{l\varepsilon} \xi_{sl} \in \mathcal{N}_\varepsilon$  and  $t_l \xi_{sl} \in \mathcal{N}^{\tau\bar{\zeta}_\nu}$ , respectively, and  $t_{l\varepsilon} \rightarrow t_l$  as  $\varepsilon \rightarrow 0$ . Therefore, for all  $\delta > 0$ , there are  $s_\delta > 0$  and  $\varepsilon_\delta > 0$  such that for all  $l = 0, 1, \dots, m-1$ , we get

$$\max_{t \geq 0} \mathcal{J}_\varepsilon(t\xi_{sl}) \leq \Theta^{\tau\bar{\zeta}_\nu} + \delta, \quad \forall s \geq s_\delta, \forall \varepsilon \leq \varepsilon_\delta. \tag{3.5}$$

Let  $u = t_0 \xi_{s0} + t_1 \xi_{s1} + \dots + t_{m-1} \xi_{s(m-1)}$  for any  $u \in E_{sm}$ , where  $t_0, \dots, t_{m-1} \in \mathbb{R}$ . According to (3.5), for all  $s \geq s_\delta$  and  $\varepsilon \leq \varepsilon_\delta$ , we obtain

$\mathcal{J}_\varepsilon(u) = \mathcal{J}_\varepsilon(t_0 \xi_{s0}) + \mathcal{J}_\varepsilon(t_1 \xi_{s1}) + \dots + \mathcal{J}_\varepsilon(t_{m-1} \xi_{s(m-1)}) \leq m(\Theta^{\tau\bar{\zeta}_\nu} + \delta)$ . Thus  $\sup_{u \in E_{sm}} \mathcal{J}_\varepsilon(u) \leq m(\Theta^{\tau\bar{\zeta}_\nu} + \delta)$  for all  $s \geq s_\delta$  and  $\varepsilon \leq \varepsilon_\delta$ . By Lemma 2.6,

$m\Theta^{\tau\bar{\zeta}_\nu} < \Theta^\infty$ . We choose  $0 < \delta < \frac{\Theta^\infty}{m} - \Theta^{\tau\bar{\zeta}_\nu}$ , then there exist  $s_m > 0$  and  $\varepsilon_m > 0$

such that

$$\sup_{u \in E_{sm}} \mathcal{J}_\varepsilon(u) < \Theta^\infty, \quad \forall s \geq s_m, \forall \varepsilon \leq \varepsilon_m. \tag{3.6}$$

Next, we shall define constants  $c_1, c_2, \dots, c_m$  and prove that they are critical values of  $\mathcal{J}_\varepsilon$ . Consider the symmetric group  $\mathbb{Z}_2 = \{\text{id}, -\text{id}\}$  and we denote by  $\Sigma := \{A \subset H^1(\mathbb{R}^N) : A \text{ is closed and } A = -A\}$  and

$$\mathcal{H} := \{h \in C(H^1(\mathbb{R}^N), H^1(\mathbb{R}^N)) : h \text{ is an odd homeomorphism}\}.$$

For any  $A \in \Sigma$ , we define a version of Benci pseudo-index of  $A$  as follows,  $i(A) := \min_{h \in \mathcal{H}} \text{gen}(h(A) \cap \partial B_\rho)$ , where  $\text{gen}(A) := \inf \{n : \exists g \in C(A, \mathbb{R}^n \setminus \{0\}) \text{ and } g \text{ is odd}\}$  is the Krasnoselskii genus of  $A$ , and  $\rho > 0$  is a constant given in Lemma 2.9. Let  $c_l := \inf_{i(A) \geq l} \sup_{u \in A} \mathcal{J}_\varepsilon(u)$ ,  $l = 1, 2, \dots, m$ . Observe that  $c_1 \leq c_2 \leq \dots \leq c_m$ . For any  $A \in \Sigma$  and  $i(A) \geq 1$ , we have  $\text{gen}(A \cap \partial B_\rho) \geq 1$ , then  $A \cap \partial B_\rho$  is not empty. By Lemma 2.9, it follows from  $\sup_{u \in A} \mathcal{J}_\varepsilon(u) > \kappa$  that  $c_1 \geq \kappa$ , where  $\kappa$  is defined in Lemma 2.9.

Noticing that  $\text{gen}(A)$  satisfies dimension property in [24], for all  $h \in \mathcal{H}$ , we have  $\text{gen}(h(E_{sm}) \cap \partial B_\rho) = \dim E_{sm} = m$ . Hence  $i(E_{sm}) = m$ , then we obtain  $c_m \leq \sup_{u \in E_{sm}} \mathcal{J}_\varepsilon(u)$ . Combining (3.6) with Lemma 2.11, we have

$$\kappa \leq c_1 \leq c_2 \leq \dots \leq c_m \leq \sup_{u \in E_{sm}} \mathcal{J}_\varepsilon(u) < \Theta^\infty \leq \Theta_\varepsilon^\infty. \tag{3.7}$$

Let  $c_0 := \kappa$ ,  $c_\infty := \sup_{u \in E_{sm}} \mathcal{J}_\varepsilon(u)$ ,  $\mathcal{J}_\varepsilon^c := \{u \in H^1(\mathbb{R}^N) : \mathcal{J}_\varepsilon(u) \leq c\}$ , and  $\Psi_c := \{u \in H^1(\mathbb{R}^N) : \mathcal{J}_\varepsilon(u) = c, \mathcal{J}'_\varepsilon(u) = 0\}$ . Clearly,  $\mathcal{J}_\varepsilon$  is an even functional. For all  $c \in [c_0, c_\infty]$ , we obtain

$$\mathcal{J}_\varepsilon^c \in \Sigma \quad \text{and} \quad \Psi_c \in \Sigma. \tag{3.8}$$

By using (3.7) and Lemma 2.13, for all  $c \in [c_0, c_\infty]$ ,  $\mathcal{J}_\varepsilon$  satisfies  $(PS)_c$  condition and

$$\Psi_c \text{ is compact in } H^1(\mathbb{R}^N). \tag{3.9}$$

Set  $(\Psi_c)_\iota := \{u \in H^1(\mathbb{R}^N) : \text{dist}(u, \Psi_c) < \iota\}$ , where  $\iota > 0$  for any  $c \in [c_0, c_\infty]$ , then we choose  $\delta = \frac{\iota}{4}$ , we have there is  $\tilde{\varepsilon} > 0$  such that

$$\|\mathcal{J}'_\varepsilon(u)\| \geq \frac{8\tilde{\varepsilon}}{\delta}, \quad \forall u \in \mathcal{J}_\varepsilon^{-1}([c - 2\tilde{\varepsilon}, c + 2\tilde{\varepsilon}]) \setminus \overline{(\Psi_c)_\frac{\iota}{2}}. \tag{3.10}$$

Let  $P := H^1(\mathbb{R}^N) \setminus (\Psi_c)_\iota$ , then  $P_{2\delta} = H^1(\mathbb{R}^N) \setminus \overline{(\Psi_c)_\frac{\iota}{2}}$ . By (3.10), we have  $\|\mathcal{J}'_\varepsilon(u)\| \geq \frac{8\tilde{\varepsilon}}{\delta}$  for all  $u \in \mathcal{J}_\varepsilon^{-1}([c - 2\tilde{\varepsilon}, c + 2\tilde{\varepsilon}]) \cap P_{2\delta}$ . By Lemma 2.3 in [23], there is  $\bar{\eta} \in C([0, 1] \times H^1(\mathbb{R}^N), H^1(\mathbb{R}^N))$  such that for all  $t \in [0, 1]$ ,  $\bar{\eta}(t, \cdot)$  is an odd homeomorphism of  $H^1(\mathbb{R}^N)$  and  $\bar{\eta}(1, \mathcal{J}_\varepsilon^{c+\tilde{\varepsilon}} \cap P) \subset \mathcal{J}_\varepsilon^{c-\tilde{\varepsilon}}$ . Set  $\eta := \bar{\eta}(1, \cdot)$ , then  $\eta$  is an odd homeomorphism of  $H^1(\mathbb{R}^N)$  and

$$\eta(\mathcal{J}_\varepsilon^{c+\tilde{\varepsilon}} \setminus (\Psi_c)_\iota) \subset \mathcal{J}_\varepsilon^{c-\tilde{\varepsilon}}. \tag{3.11}$$

For any  $A \in \Sigma$  and  $A \subset \mathcal{J}_\varepsilon^{c_0}$ , it follows from  $\mathcal{J}_\varepsilon(u) > \kappa$  for all  $u \in \partial B_\rho$

that  $A \cap \partial B_\rho = \emptyset$ . Hence  $\text{gen}(A \cap \partial B_\rho) = 0$  and

$$i(A) = \min_{h \in \mathcal{H}} \text{gen}(h(A) \cap \partial B_\rho) = 0. \tag{3.12}$$

Moreover,

$$E_{sm} \subset \mathcal{J}_\varepsilon^{c_\infty} \quad \text{and} \quad i(E_{sm}) = m \geq 1. \tag{3.13}$$

By applying the Theorem 1.4 in [24], (3.8), (3.9) and (3.11) - (3.13), we have  $c_1, \dots, c_m$  are critical values of  $\mathcal{J}_\varepsilon$ , and  $\text{gen}(\Psi_c) \geq s + 1$ , if  $c := c_k = c_{k+1} = \dots = c_{k+s}$  with  $k \geq 1$  and  $k + s \leq m$ . Since  $\mathcal{J}_\varepsilon$  is even, then  $\mathcal{J}_\varepsilon$  has at least  $m$  pairs of critical points being solutions of Equation (3.1).

**Lemma 3.2.** Equation (3.1) has at least one positive and one negative ground state solutions for  $m \geq 1$  and has at least a pair of sign-changing solutions for  $m \geq 2$ .

*Proof.* If  $a = \tau$ ,  $b_j = \zeta_j$ ,  $j = 1, 2$  in Equation (2.1), then  $\tilde{a} = V^\tau(0) = V(0) = \tau$ ,  $\tilde{b}_j = W_j^{\zeta_j}(0) = W_j(0) = \zeta_j$ ,  $j = 1, 2$ . By Lemma 2.9 and Lemma 2.13,  $\mathcal{J}_\varepsilon^{\tilde{c}}$  has a  $(PS)_{\Theta_\varepsilon}$  sequence and satisfies  $(PS)_{\Theta_\varepsilon}$  condition. By Lemma 2.15, there exists  $\varepsilon_0 > 0$  such that  $\Theta_\varepsilon$  is achieved at  $u_\varepsilon > 0$  for all  $\varepsilon \leq \varepsilon_0$ . Thus  $u_\varepsilon$  and  $-u_\varepsilon$  are positive and negative ground state solutions of Equation (3.1), respectively.

Let  $\alpha^\pm \in \mathcal{S}^{\tau \tilde{c}_v}$  with  $\alpha^+ > 0$ . Define  $\alpha_s^\pm(x) := \zeta_s(|x|)\alpha^\pm(x)$  for  $x \in \mathbb{R}^N$ , where  $\zeta_s$  is given in Lemma 3.1. Then  $\alpha_s^\pm \rightarrow \alpha^\pm$  in  $H^1(\mathbb{R}^N)$  as  $s \rightarrow \infty$ . Choose  $s > 0$ ,  $x_s \in \mathbb{R}$  with  $|x_s|$  large enough and  $\text{dist}(\overline{B_{s+1}(0)}, \overline{B_{s+1}(x_s)}) > 0$ . Let  $t_s^\pm \in \mathbb{R}$  such that  $u_s^+ := t_s^+ \alpha_s^+ \in \mathcal{N}_\varepsilon$  and  $u_s^- := t_s^- \alpha_s^-(\cdot - x_s) \in \mathcal{N}_\varepsilon$ . Then  $u_s^+ \geq 0$  and  $u_s^- \leq 0$ ,  $\text{supp} u_s^+ \cap \text{supp} u_s^-$  is empty and  $u_s := u_s^+ + u_s^- \in \mathcal{N}_\varepsilon$ . Define  $L_\varepsilon := \{u \in \mathcal{N}_\varepsilon : u^\pm \in \mathcal{N}_\varepsilon\}$ , then we have  $u_s \in L_\varepsilon$ . Define  $l_\varepsilon := \inf_{u \in L_\varepsilon} \mathcal{J}_\varepsilon(u)$ , then  $l_\varepsilon \geq 2\Theta_\varepsilon > 0$ .

Next, we will prove  $l_\varepsilon < \Theta_\varepsilon^\infty$  for  $\varepsilon$  small enough. Due to  $l_\varepsilon \leq \mathcal{J}_\varepsilon(u_s)$ , we get

$$\lim_{\varepsilon \rightarrow 0} l_\varepsilon \leq \lim_{s \rightarrow \infty} \lim_{\varepsilon \rightarrow 0} \mathcal{J}_\varepsilon(u_s). \tag{3.14}$$

Observe that  $t_s^\pm \rightarrow 1$  as  $s \rightarrow \infty$  and

$$\begin{aligned} \mathcal{J}_\varepsilon(u_s) &= \mathcal{J}_\varepsilon(u_s^+) + \mathcal{J}_\varepsilon(u_s^-) \rightarrow \mathcal{J}^{\tau \tilde{c}_v}(u_s^+) + \mathcal{J}^{\tau \tilde{c}_v}(u_s^-) (\varepsilon \rightarrow 0) \\ &= \mathcal{J}^{\tau \tilde{c}_v}(t_s^+ \alpha_s^+) + \mathcal{J}^{\tau \tilde{c}_v}(t_s^- \alpha_s^-(x - x_s)) \rightarrow 2\mathcal{J}^{\tau \tilde{c}_v}(\alpha) (s \rightarrow \infty) \\ &= 2\Theta^{\tau \tilde{c}_v}. \end{aligned} \tag{3.15}$$

By  $m \geq 2$  and combining Lemma 2.6 with Lemma 2.11, we have

$$2\Theta^{\tau \tilde{c}_v} < \Theta^\infty \leq \Theta_\varepsilon^\infty. \tag{3.16}$$

By (3.14) - (3.16), we get that  $l_\varepsilon < \Theta_\varepsilon^\infty$  for  $\varepsilon$  small enough, which implies  $\mathcal{J}_\varepsilon$  satisfies  $(PS)_{l_\varepsilon}$  condition for  $\varepsilon$  small enough.

Now we show that there is a  $(PS)_{l_\varepsilon}$  sequence of  $\mathcal{J}_\varepsilon$ . Since  $\{u_n\} \subset \mathcal{N}_\varepsilon$ , then  $u_n^\pm \in \mathcal{N}_\varepsilon$ . We assume  $u_n^\pm \rightharpoonup u^\pm$  in  $H^1(\mathbb{R}^N)$  with  $u^\pm \neq 0$ . There exist  $t^+ > 0$  and  $t^- < 0$  such that  $t^\pm u^\pm \in \mathcal{N}_\varepsilon$ ,  $u = t^+ u^+ + t^- u^- \in L_\varepsilon$ , we get

$\mathcal{J}_\varepsilon(u) = l_\varepsilon$ . Assume by contradiction that if  $\tilde{u}$  is not a sign-changing solution of Equation (3.1), there exists  $\varphi \in H^1(\mathbb{R}^N)$  such that  $\langle \mathcal{J}'_\varepsilon(\tilde{u}), \varphi \rangle \leq -1/2$ . We

choose  $\hat{\varepsilon} > 0$  small enough, satisfying  $\langle \mathcal{J}'_\varepsilon(tu^+ + hu^- + \rho\varphi), \varphi \rangle \leq -1/2$  for all  $|t-1| + |h-1| + |\rho| \leq \hat{\varepsilon}$ . Let  $\eta$  be a cut off function such that

$$\eta(t, h) = \begin{cases} 1 & |t-1| \leq 1/2\hat{\varepsilon} \text{ and } |h-1| \leq 1/2\hat{\varepsilon} \\ 0 & |t-1| \geq \hat{\varepsilon} \text{ or } |h-1| \geq \hat{\varepsilon} \end{cases}.$$

Then  $\mathcal{J}_\varepsilon(tu^+ + hu^- + \hat{\varepsilon}\eta(t, h)\varphi) \leq \mathcal{J}_\varepsilon(\tilde{u}) = l_\varepsilon$ . Hence  $\max_{0 \leq t, h \leq 2} \mathcal{J}_\varepsilon(tu^+ + hu^- + \hat{\varepsilon}\eta(t, h)\varphi) < l_\varepsilon$ . By a degree theory argument in [25], we find  $a, b \in (0, 2)$  such that  $\tilde{u} := au^+ + bu^- + \hat{\varepsilon}\eta(a, b)\varphi \in L_\varepsilon$  and  $\mathcal{J}_\varepsilon(\tilde{u}) < l_\varepsilon$ , which contradicts that the definition of  $l_\varepsilon$ .

In the end, we prove  $l_\varepsilon$  is achieved at some  $u_\varepsilon \in L_\varepsilon$ . Let  $\{u_n\} \subset L_\varepsilon$  and  $\mathcal{J}_\varepsilon(u_n) \rightarrow l_\varepsilon$  as  $n \rightarrow \infty$ . By Ekeland vainational principle there is  $\{\bar{u}_n\} \subset L_\varepsilon$  such that  $\mathcal{J}_\varepsilon(\bar{u}_n) \rightarrow l_\varepsilon$  and  $\mathcal{J}'_\varepsilon(\bar{u}_n) \rightarrow 0$  as  $n \rightarrow \infty$ , then  $\|\bar{u}_n - u_n\| \rightarrow 0$  as  $n \rightarrow \infty$ . Hence  $\{\bar{u}_n\}$  is a  $(PS)_{l_\varepsilon}$  sequence of  $\mathcal{J}_\varepsilon$ . Going of necessary to a subsequence, for  $\varepsilon$  small enough we may assume  $\bar{u}_n \rightarrow u_\varepsilon$  in  $H^1(\mathbb{R}^N)$  as  $n \rightarrow \infty$ . Hence  $\mathcal{J}_\varepsilon(u_\varepsilon) = l_\varepsilon$  and  $\mathcal{J}'_\varepsilon(u_\varepsilon) = 0$ . Then  $u_\varepsilon \in \mathcal{N}_\varepsilon$ , we have  $u_\varepsilon^\pm \neq 0$ ,  $u_\varepsilon^\pm \in \mathcal{N}_\varepsilon$ . Thus  $u_\varepsilon \in L_\varepsilon$  and  $\pm u_\varepsilon$  are a pair of sign-changing solutions of Equation (3.1). Let  $v_\varepsilon(x) = u_\varepsilon\left(\frac{x}{\varepsilon}\right)$ , then  $\pm v_\varepsilon$  are a pair of sign-changing solutions of Equation (1.2).

This completes the proof of Theorem 1.1.

### 3.2. Proof of Theorem 1.2

We can assume without loss of generality that  $x_w = 0$ . Then  $V(0) = \tau_w$ ,  $W_j(0) = \varsigma_j$ ,  $j = 1, 2$ . Letting  $a = \tau_w$ ,  $b_j = \varsigma_j$ ,  $j = 1, 2$  in Equation (2.1), there is  $u \in \mathcal{S}^{\tau_w, \bar{\varsigma}}$  by Lemma 2.3. Due to Lemma 2.7,  $m(\tau_w, \bar{\varsigma}) \geq 1$ , we choose

$$m_w = \begin{cases} m(\tau_w, \bar{\varsigma}) & \text{if } m(\tau_w, \bar{\varsigma}) > 1 \\ 3 & \text{if } m(\tau_w, \bar{\varsigma}) = 1 \\ 2 & \end{cases}.$$

For the maximal integer  $m < m_w$ , then  $m \geq 1$ . By Lemma 2.6 and Lemma 2.7, we have  $m\Theta^{\tau_w, \bar{\varsigma}} < \Theta^\infty$ . The following proof of Theorem 1.2 is similar to that of Theorem 1.1 and so is omitted.

### 3.3. Proof of Theorem 1.3

In this subsection, we will consider the case (P3) - (1), the other case can be handled similarly. Without loss of generality, we assume  $x_{j\nu} = 0$ . Then  $V(0) = \tau$ ,  $W_j(0) = \varsigma_{j\nu}$ ,  $j = 1, 2$ .

**Lemma 3.3.**  $u_\varepsilon \rightarrow u$  as  $\varepsilon \rightarrow 0$  up to a sequence after translations.

*Proof.* Let  $\varepsilon_k \rightarrow 0$  as  $k \rightarrow \infty$ ,  $u_k := u_{\varepsilon_k} \in \mathcal{S}_{\varepsilon_k}$  with  $u_k > 0$ . By Lemma 2.14, we obtain  $\lim_{k \rightarrow \infty} \Theta_{\varepsilon_k} = \Theta^{\bar{\varsigma}}$ , which together with

$$\Theta_{\varepsilon_k} = \mathcal{J}_{\varepsilon_k}(u_k) \geq \frac{p-2}{2p} \int_{\mathbb{R}^N} (|\nabla u_k|^2 + V(\varepsilon_k x)u_k^2) dx \geq C \|u_k\|^2, \text{ implies that}$$

$\{u_k\} \subset H^1(\mathbb{R}^N)$  is bounded. By Lemma 2.8, there exist  $\sigma > 0$ ,  $R > 0$  and



$z'_k \in \mathbb{R}^N$  such that

$$\int_{B_R(z'_k)} u_k^2 dx \geq \sigma. \quad (3.17)$$

Let  $\hat{u}_k(x) := u_k(x + z'_k)$ ,  $\hat{V}_{\varepsilon_k}(x) := V(\varepsilon_k(x + z'_k))$ ,  $\hat{W}_{j\varepsilon_k}(x) := W_j(\varepsilon_k(x + z'_k))$ ,  $j = 1, 2$ . Then  $\hat{u}_k$  is a solution of

$$-\Delta \hat{u}_k + \hat{V}_{\varepsilon_k}(x) \hat{u}_k = \hat{W}_{1\varepsilon_k}(x) \hat{u}_k^{p-1} + \hat{W}_{2\varepsilon_k}(x) \hat{u}_k^{q-1}. \quad (3.18)$$

Furthermore,

$$\hat{\Theta}_{\varepsilon_k} = \hat{\mathcal{J}}_{\varepsilon_k}(\hat{u}_k) = \mathcal{J}_{\varepsilon_k}(u_k) = \Theta_{\varepsilon_k}. \quad (3.19)$$

Since  $\{\hat{u}_k\}$  is bounded, we can assume that  $\hat{u}_k \rightharpoonup u$  in  $H^1(\mathbb{R}^N)$  as  $k \rightarrow \infty$ . Then  $\hat{u}_k \rightarrow u$  in  $L^{\mu}_{loc}(\mathbb{R}^N)$  for  $\mu \in [2, 2^*)$  as  $k \rightarrow \infty$ . By (3.17),  $u \neq 0$ .

Since  $V$  and  $W_j$ ,  $j = 1, 2$  are bounded, up to a subsequence if necessary, we can assume

$$V(\varepsilon_k z'_k) \rightarrow V_0, \quad W_j(\varepsilon_k z'_k) \rightarrow W_{j0}, \quad j = 1, 2 \quad \text{as } k \rightarrow \infty, \quad (3.20)$$

and  $\bar{W}_0 := (W_{10}, W_{20})$ . For all  $x \in \mathbb{R}^N$ , by the boundedness of  $\nabla V: |\nabla V(x)| \leq C$ , for given arbitrarily  $R > 0$ , we obtain  $|V(\varepsilon_k x + \varepsilon_k z'_k) - V(\varepsilon_k z'_k)| \leq \varepsilon_k CR$  for all  $x \in B_R(0)$ . Hence  $\hat{V}_{\varepsilon_k}(x) \rightarrow V_0$  as  $k \rightarrow \infty$  uniformly on any bounded set of  $x$ . Similarly,  $\hat{W}_{j\varepsilon_k}(x) \rightarrow W_{j0}$ ,  $j = 1, 2$  as  $k \rightarrow \infty$  uniformly on any bounded set of  $x$ . Similar to the proof of Lemma 2.14, we have

$$\limsup_{k \rightarrow \infty} \hat{\Theta}_{\varepsilon_k} \leq \Theta^{V_0 \bar{W}_0}. \quad (3.21)$$

By (3.18), for any  $\varphi \in C_0^\infty(\mathbb{R}^N)$ , we obtain

$$\begin{aligned} 0 &= \lim_{k \rightarrow \infty} \int_{\mathbb{R}^N} (\nabla \hat{u}_k \nabla \varphi + \hat{V}_{\varepsilon_k}(x) \hat{u}_k \varphi - \hat{W}_{1\varepsilon_k}(x) \hat{u}_k^{p-1} \varphi - \hat{W}_{2\varepsilon_k}(x) \hat{u}_k^{q-1} \varphi) dx \\ &= \int_{\mathbb{R}^N} (\nabla u \nabla \varphi + V_0 u \varphi - W_{10} u^{p-1} \varphi - W_{20} u^{q-1} \varphi) dx, \end{aligned}$$

which implies that  $u$  is a ground state solution of

$$-\Delta u + V_0 u = W_{10} u^{p-1} + W_{20} u^{q-1} \quad (3.22)$$

with the energy functional

$$\mathcal{J}^{V_0 \bar{W}_0}(u) = \frac{p-2}{2p} \int_{\mathbb{R}^N} W_{10} u^p dx + \frac{q-2}{2q} \int_{\mathbb{R}^N} W_{20} u^q dx \geq \Theta^{V_0 \bar{W}_0}. \quad (3.23)$$

By Fatou's Lemma,

$$\begin{aligned} &\frac{p-2}{2p} \int_{\mathbb{R}^N} W_{10} u^p dx + \frac{q-2}{2q} \int_{\mathbb{R}^N} W_{20} u^q dx \\ &\leq \liminf_{t \rightarrow 0} \int_{\mathbb{R}^N} \left( \frac{p-2}{2p} \hat{W}_{1\varepsilon_k}(x) \hat{u}_k^p + \frac{q-2}{2q} \hat{W}_{2\varepsilon_k}(x) \hat{u}_k^q \right) dx, \end{aligned} \quad (3.24)$$

Combining (3.19) with (3.22) - (3.24), we have

$$\Theta^{V_0 \bar{W}_0} \leq \mathcal{J}^{V_0 \bar{W}_0}(u) \leq \liminf_{k \rightarrow \infty} \hat{\mathcal{J}}_{\varepsilon_k}(\hat{u}_k) \leq \limsup_{k \rightarrow \infty} \hat{\Theta}_{\varepsilon_k} \leq \Theta^{V_0 \bar{W}_0}.$$

Hence

$$\lim_{k \rightarrow \infty} \hat{\Theta}_{\varepsilon_k} = \Theta^{V_0 \bar{W}_0} = \mathcal{J}^{V_0 \bar{W}_0}(u). \quad (3.25)$$

Set  $\eta \in C_0^\infty(\mathbb{R})$  satisfies  $\eta(t) = 0$  if  $t \geq 2$  and  $\eta(t) = 1$  if  $t \leq 1$ . Define  $\tilde{u}_k(x) := \eta\left(\frac{|2x|}{k}\right)u(x)$  and  $z_k(x) = \hat{u}_k(x) - \tilde{u}_k(x)$  for  $x \in \mathbb{R}^N$ . Then  $\tilde{u}_k \rightarrow u$  and  $z_k \rightarrow 0$  in  $H^1(\mathbb{R}^N)$  as  $k \rightarrow \infty$ ,  $\tilde{u}_k \rightarrow u$  in  $L^\mu(\mathbb{R}^N)$  for  $\mu \in [2, 2^*]$  and  $z_k \rightarrow 0$  in  $L_{loc}^\mu(\mathbb{R}^N)$  for  $\mu \in [2, 2^*)$  as  $k \rightarrow \infty$ ,  $\tilde{u}_k \rightarrow u$  and  $z_k \rightarrow 0$  a.e. on  $\mathbb{R}^N$  as  $k \rightarrow \infty$ . We define

$$\hat{\mathcal{J}}_{\varepsilon_k}(z_k) := \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla z_k|^2 dx + \hat{V}_{\varepsilon_k}(x) z_k^2) dx - \frac{1}{p} \int_{\mathbb{R}^N} \hat{W}_{1\varepsilon_k}(x) |z_k|^p dx - \frac{1}{q} \int_{\mathbb{R}^N} \hat{W}_{2\varepsilon_k}(x) |z_k|^q dx$$

. Now we show that

$\hat{\mathcal{J}}_{\varepsilon_k}(z_k) \rightarrow 0$  and  $\langle \hat{\mathcal{J}}'_{\varepsilon_k}(z_k), z_k \rangle \rightarrow 0$  as  $k \rightarrow \infty$ . By Remark 1.33 in [23], we have

$$\|z_k\|^2 = \|\hat{u}_k\|^2 - \|\tilde{u}_k\|^2 + o(1). \tag{3.26}$$

For any  $\sigma > 0$ , there exists  $k_0 > 0$  such that

$$\int_{\mathbb{R}^N} \left| |\hat{u}_k|^\mu - |z_k|^\mu - |\tilde{u}_k|^\mu \right| dx \leq C\sigma, \quad \forall k > k_0. \tag{3.27}$$

By choosing  $\mu = 2, p, q$  in (3.27), respectively, we obtain

$$\int_{\mathbb{R}^N} \hat{V}_{\varepsilon_k}(x) \hat{u}_k^2 dx = \int_{\mathbb{R}^N} \hat{V}_{\varepsilon_k}(x) z_k^2 dx + \int_{\mathbb{R}^N} \hat{V}_{\varepsilon_k}(x) \tilde{u}_k^2 dx + o(1), \tag{3.28}$$

$$\int_{\mathbb{R}^N} \hat{W}_{1\varepsilon_k}(x) |\hat{u}_k|^p dx = \int_{\mathbb{R}^N} \hat{W}_{1\varepsilon_k}(x) |z_k|^p dx + \int_{\mathbb{R}^N} \hat{W}_{1\varepsilon_k}(x) |\tilde{u}_k|^p dx + o(1), \tag{3.29}$$

$$\int_{\mathbb{R}^N} \hat{W}_{2\varepsilon_k}(x) |\hat{u}_k|^q dx = \int_{\mathbb{R}^N} \hat{W}_{2\varepsilon_k}(x) |z_k|^q dx + \int_{\mathbb{R}^N} \hat{W}_{2\varepsilon_k}(x) |\tilde{u}_k|^q dx + o(1). \tag{3.30}$$

By using the Lebesgue dominated convergence theorem,

$$\int_{\mathbb{R}^N} \hat{V}_{\varepsilon_k}(x) \tilde{u}_k^2 dx = \int_{\mathbb{R}^N} V_0 u^2 dx + o(1), \tag{3.31}$$

$$\int_{\mathbb{R}^N} \hat{W}_{1\varepsilon_k}(x) |\tilde{u}_k|^p dx = \int_{\mathbb{R}^N} W_{10} |u|^p dx + o(1), \tag{3.32}$$

$$\int_{\mathbb{R}^N} \hat{W}_{2\varepsilon_k}(x) |\tilde{u}_k|^q dx = \int_{\mathbb{R}^N} W_{20} |u|^q dx + o(1). \tag{3.33}$$

Moreover,

$$|\nabla \tilde{u}_k|_2^2 = |\nabla u|_2^2 + o(1). \tag{3.34}$$

Combining (3.25) - (3.34) and (3.18) with (3.22), we have

$$\hat{\mathcal{J}}_{\varepsilon_k}(z_k) = \hat{\mathcal{J}}_{\varepsilon_k}(\hat{u}_k) - \hat{\mathcal{J}}_{\varepsilon_k}(\tilde{u}_k) + o(1) = \hat{\Theta}_{\varepsilon_k} - \mathcal{J}^{V_0 W_0}(u) + o(1) = o(1) \tag{3.35}$$

and

$$\begin{aligned} \langle \hat{\mathcal{J}}'_{\varepsilon_k}(z_k), z_k \rangle &= \langle \hat{\mathcal{J}}'_{\varepsilon_k}(\hat{u}_k), \hat{u}_k \rangle - \langle \hat{\mathcal{J}}'_{\varepsilon_k}(\tilde{u}_k), \tilde{u}_k \rangle + o(1) \\ &= \langle \hat{\mathcal{J}}'_{\varepsilon_k}(\hat{u}_k), \hat{u}_k \rangle - \left\langle \left( \mathcal{J}^{V_0 W_0} \right)'(u), u \right\rangle + o(1) = o(1). \end{aligned} \tag{3.36}$$

In the end, by (3.35) and (3.36), we have

$$o(1) = \hat{\mathcal{J}}_{\varepsilon_k}(z_k) - \frac{1}{p} \langle \hat{\mathcal{J}}'_{\varepsilon_k}(z_k), z_k \rangle \geq C \|z_k\|^2, \text{ which implies that } z_k \rightarrow 0 \text{ in}$$

$H^1(\mathbb{R}^N)$  as  $k \rightarrow \infty$ . Thus  $\hat{u}_k \rightarrow u$  in  $H^1(\mathbb{R}^N)$  as  $k \rightarrow \infty$ .

**Lemma 3.4.**  $\hat{u}_k(x) \rightarrow 0$  as  $|x| \rightarrow \infty$  uniformly in  $k \in \mathbb{Z}_+$ .

*Proof.* We use the contradiction method to obtain that there are  $\sigma > 0$  for  $x_n \in \mathbb{R}^N$ ,  $|x_n| \rightarrow \infty$  as  $n \rightarrow \infty$  such that  $\hat{u}_{k_n}(x_n) \geq \sigma$ . Moreover, there exists  $C > 0$  (independent of  $k$ ) such that  $\hat{u}_{k_n}(x_n) \leq C \left( \int_{B_1(x_n)} \hat{u}_{k_n}^2 dx \right)^{\frac{1}{2}}$ . Thus by the Minkowski inequality, we have

$$\hat{u}_{k_n}(x_n) \leq C \left( \int_{\mathbb{R}^N} |\hat{u}_{k_n} - u|^2 dx \right)^{\frac{1}{2}} + C \left( \int_{B_1(x_n)} u^2 dx \right)^{\frac{1}{2}} \rightarrow 0 \text{ as } n \rightarrow \infty, \text{ which is impossible.}$$

**Lemma 3.5.**  $\{\varepsilon_k z'_k\}_k$  is bounded on  $\mathbb{R}^N$ .

*Proof.* Assume by contradiction that there is  $|\varepsilon_k z'_k| \rightarrow \infty$  as  $k \rightarrow \infty$  up to a subsequence. Hence  $V_0 \geq \tau_\infty > \tau$  and  $W_{j0} \leq \varsigma_{j\infty} < \varsigma_{jv}$ ,  $j=1,2$ . By Lemma 2.4, we have  $\Theta^{V_0 \bar{W}_0} > \Theta^{\tau \bar{v}}$ . According to (3.19), (3.25) and Lemma 2.14,

$$\Theta^{V_0 \bar{W}_0} = \lim_{k \rightarrow \infty} \hat{\Theta}_{\varepsilon_k} = \lim_{k \rightarrow \infty} \Theta_{\varepsilon_k} \leq \limsup_{k \rightarrow \infty} \Theta_{\varepsilon_k} \leq \Theta^{\tau \bar{v}}, \text{ which is impossible.}$$

By Lemma 3.5, we may assume  $\varepsilon_k z'_k \rightarrow x_0$  as  $k \rightarrow \infty$ . By (3.20), we obtain  $V_0 = V(x_0)$  and  $W_{j0} = W_j(x_0)$ ,  $j=1,2$ . Applying (3.22), we get that  $u$  is a ground state solution of Equation (1.4).

**Lemma 3.6.**  $\{\varepsilon z_\varepsilon\}_\varepsilon$  is bounded, where  $z_\varepsilon \in \mathbb{R}^N$  is a maximum point of  $u_\varepsilon$ .

*Proof.* If the thesis were not true, there were  $\varepsilon_k \rightarrow 0$  with  $|\varepsilon_k z_k| \rightarrow \infty$ , where  $z_k := z_{\varepsilon_k}$  is a maximum point of  $u_k := u_{\varepsilon_k}$ . Repeating Lemma 3.3 - Lemma 3.5, we can get that there exists  $z'_k \in \mathbb{R}^N$  such that  $\hat{u}_k = u_k(\cdot + z'_k) \rightarrow u \neq 0$  in  $H^1(\mathbb{R}^N)$  as  $k \rightarrow \infty$ ,  $\hat{u}_k(x) \rightarrow 0$  as  $|x| \rightarrow \infty$  uniformly in  $k \in \mathbb{Z}_+$ ,  $\{\varepsilon_k z'_k\}_k$  is bounded on  $\mathbb{R}^N$ . Thus  $|\varepsilon_k z_k - \varepsilon_k z'_k| \rightarrow \infty$  as  $k \rightarrow \infty$ , then  $|z_k - z'_k| \rightarrow \infty$  as  $k \rightarrow \infty$ . Since  $\max_{\mathbb{R}^N} u_k = u_k(z_k) = \hat{u}_k(z_k - z'_k) \rightarrow 0$  as  $k \rightarrow \infty$ , then  $\hat{u}_k(x) \rightarrow 0$  as  $k \rightarrow \infty$  uniformly in  $x \in \mathbb{R}^N$ , which contradicts with  $u \neq 0$ .

**Lemma 3.7.**  $\lim_{\varepsilon \rightarrow 0} \text{dist}(\varepsilon z_\varepsilon, \mathcal{A}_v) = 0$ .

*Proof.* By Lemma 3.5 and Lemma 3.6, there exists  $\varepsilon_k \rightarrow 0$  as  $k \rightarrow \infty$  with

$$\varepsilon_k z'_k \rightarrow x_0, \quad \varepsilon_k z_k \rightarrow z_0 \text{ as } k \rightarrow \infty, \tag{3.37}$$

where  $z_k = z_{\varepsilon_k}$  is a maximum point of  $u_k = u_{\varepsilon_k}$ . By Lemma 3.3 and Lemma 3.5, there exists  $z'_k \in \mathbb{R}^N$  such that  $\hat{u}_k(x) = u_k(x + z'_k)$ . By Lemma 3.4, we may assume  $\hat{u}_k(x'_k) = \max_{\mathbb{R}^N} \hat{u}_k$  and  $\{x'_k\}_k$  is bounded on  $\mathbb{R}^N$ . Hence  $z_k = x'_k + z'_k$  and  $\varepsilon_k x'_k \rightarrow 0$  as  $k \rightarrow \infty$ . By (3.32) and (3.34), which imply that

$$z_0 = x_0, \quad V(z_0) = V_0, \quad W_j(z_0) = W_{j0}, \quad j=1,2. \tag{3.38}$$

Assume indirectly that  $z_0 \notin \mathcal{A}_v$ , then  $V(z_0) > \tau$ ,  $W_j(z_0) \leq \varsigma_{jv}$ ,  $j=1,2$  or  $V(z_0) = \tau$ ,  $W_1(z_0) < \varsigma_{1v}$ ,  $W_2(z_0) = \varsigma_{2v}$  or  $V(z_0) = \tau$ ,  $W_1(z_0) = \varsigma_{1v}$ ,  $W_2(z_0) < \varsigma_{2v}$ . By Lemma 2.4,

$$\Theta^{V(z_0) \bar{W}(z_0)} > \Theta^{\tau \bar{v}}. \tag{3.39}$$

Combining (3.19), (3.25), (3.38) and (3.39) with Lemma 2.14, we have

$$\lim_{k \rightarrow \infty} \Theta_{\varepsilon_k} = \lim_{k \rightarrow \infty} \hat{\Theta}_{\varepsilon_k} = \Theta^{V_0 \bar{W}_0} = \Theta^{V(z_0) \bar{W}(z_0)} > \Theta^{\tau \bar{v}} \geq \limsup_{k \rightarrow \infty} \Theta_{\varepsilon_k},$$

which is impossible. Hence  $x_0 = z_0 \in \mathcal{A}_v$ .

By Lemma 3.6, if  $\mathcal{V} \cap (\mathcal{W}_1 \cap \mathcal{W}_2)$  is not empty, we assume  $x_0 \in \mathcal{A}_v = \mathcal{V} \cap (\mathcal{W}_1 \cap \mathcal{W}_2)$ , which implies that

$$\lim_{\varepsilon \rightarrow 0} \text{dist}(\varepsilon z_\varepsilon, \mathcal{V} \cap (\mathcal{W}_1 \cap \mathcal{W}_2)) = 0 \text{ and } V(x_0) = \tau, W_j(x_0) = \varsigma_j, j = 1, 2.$$

Hence  $u$  is a groundstate solution of Equation (1.5). This completes the proof of Theorem 1.3.

Similar to the proof of Step 6 in [18], we have the following result.

**Lemma 3.8.** There exists  $C > 0$  such that for small  $\varepsilon > 0$ ,  $u_\varepsilon(x) \leq Ce^{-\sqrt{\frac{\varepsilon}{2}}|x-z_\varepsilon|}$  for all  $x \in \mathbb{R}^N$ .

Now we prove Theorem 1.3 by Lemma 3.3 - Lemma 3.8. Set  $x_\varepsilon = \varepsilon z_\varepsilon$ , then  $v_\varepsilon(x_\varepsilon) = u_\varepsilon(z_\varepsilon)$ . By Lemma 3.6,  $x_\varepsilon$  is a maximum point of  $v_\varepsilon$  and  $\{x_\varepsilon\}_\varepsilon$  is bounded on  $\mathbb{R}^N$ . By Lemma 3.7,  $\lim_{\varepsilon \rightarrow 0} \text{dist}(x_\varepsilon, \mathcal{A}_v) = 0$ . By Lemma 3.3 and Lemma 3.4,  $\hat{u}_\varepsilon(x) = u_\varepsilon(x + z'_\varepsilon) = v_\varepsilon(\varepsilon x + x_\varepsilon - \varepsilon x'_\varepsilon)$ , where  $x'_\varepsilon = z_\varepsilon - z'_\varepsilon$  is a maximum point of  $\hat{u}_\varepsilon$  with  $\varepsilon x'_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0$ . By Lemma 3.8, we obtain  $v_\varepsilon(x) \leq Ce^{-\frac{c}{\varepsilon}|x-x_\varepsilon|}$ , where  $C$  depends on  $N, \tau$ .

Consequently, we establish the multiplicity of the semi-classical solutions for Equation (1.2), and we obtain the existence, concentration, convergence, exponential decay estimates of the positive ground state solution. We also prove the existence of sign-changing solutions of Equation (1.2).

## Conflicts of Interest

The author declares no conflicts of interest.

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