

Verification of the MIKE11-NAM Model for Simulating Streamflow

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Abstract

Modeling watershed hydrological processes are important for water resources planning, development, and management. In this study, the MIKE 11-NAM (Nedbor-Afstromings Model model) was evaluated for simulation of streamflow from the Bina basin located in the Madhya Pradesh State of India. The model was calibrated and validated on a daily basis using five years (1994-1998) observed hydrological data. In addition, a model sensitivity analysis was performed on nine MIKE 11-NAM parameters to identify sensitive model parameters. Statistical and graphical approaches were used to assess the performance of the model in simulating the streamflow of the basin. Results show that during daily model calibration, the model performed very well with a coefficient of determination (R^2) and the percentage of water balance error (WBL) values 0.87% and -8.63%, respectively. In addition, the model performed good during the validation period with R² and WBL values of 0.68% and -6.72%, respectively. Model sensitivity analysis results showed that Overland flow runoff coefficient (CQOF), Time constant for routing overland flow (CK1,2) and Maximum water content in root zone storage (L_{max}) were found as the most influential and sensitive model parameters for simulating streamflow. Overall, the model's performance was satisfactory based on R² and EI metrics.

Keywords

Lumped Conceptual Model, MIKE 11-NAM, Sensitivity Analysis, Rainfall-Runoff Modeling

1. Introduction

Water is a vital resource for sustainable socio-economic development. However,

population growth and industrialization are driving an increasing demand for freshwater [1]. On the contrary, the water resource is depleting from time to time as a result of anthropogenic activities such as deforestation, environmental pollution, and rapid population growth [2]. The water crisis is becoming a global phenomenon [3]. The water crisis is severe in many parts of Madhya Pradesh, India, for example in Bina river basin in Bina municipality in Sagar district. According to the National Institute of Disaster Management [4], around 132 tehsils in 18 districts are declared drought-hit due to drastic fall in the water table and/or extreme dry rivers which have affected severe water shortages in more than half the state. To overcome or minimize the water crisis impact in this area, it is mandatory to develop a water resource management strategy by improved understanding of the hydrological behavior of the area. The rainfall-runoff modeling is an effective tool to examine the hydrological behavior [5].

The surface water modeling such as runoff is one of the most frequently applied studies in hydrology for predicting the peak river flow or the hydrograph generated by an observed or a hypothetical rainfall [6] [7]. NAM is an abbreviation for "Nedbor-Afstromings Model", a Danish: Denmark word with a translation ("precipitation runoff model") is a well-proven and broadly used hydrological tool that has been applied in several catchments worldwide, representing different hydrological regimes and climatic conditions. Previously, Rahman et al. [8] developed a flood forecasting system for large Jamuneswari River basin, Bangladesh using MIKE 11-NAM model. Ferdous [9] developed the hydrological model system for the lower Rideau River sub-watershed, Ontario, Canada using MIKE 11-NAM hydrological model. MIKE 11-NAM is a deterministic, lumped conceptual rainfall-runoff model which is a set of linked mathematical statements describing, in a simplified quantitative form, the characteristics of the land area of the hydrological cycle [10]. MIKE 11-NAM model simulates the rainfall-runoff process in rural catchments and has 9 parameters: Maximum water content in surface storage (U_{max}), Maximum water content in root zone storage (L_{max}), Overland flow runoff coefficient (CQOF), Time constant for routing interflow (CK_{IF}), Time constant for routing overland flow (CK1,2), Root zone threshold value for overland flow (TOF), Root zone threshold value for inflow (TIF), Root zone threshold value for GW recharge (TG) and Time constant for routing base flow (CK_{BF}) (snow storage was not considered in this study). Thus, some of the parameters can be evaluated from physical catchment data, but the final parameter estimation must be performed by calibration applying concurrent input and output time series [11]. MIKE 11-NAM model operates by continuously accounting for the water content in four different and mutually interrelated storages whereby each storage represents different physical elements of the catchment [12]. The input data to the model are precipitation, potential evapotranspiration, and temperature (only if the snow routine is used). On this basis, it produces, as main results, runoff and groundwater level values as well as information about other elements of the land area of the hydrological cycle, such

as the temporal variation of the soil moisture content. The main objective of this study was to evaluate the performance of the MIKE 11-NAM model to simulate runoff in a catchment area.

2. Materials and Methods

2.1. Description of Study Area

This study was conducted in Bina river (an important tributary of the Betwa River) basin, in Bina province, Madhya Pradesh, India (**Figure 1**). Bina River originates from Begumganj block of Raisen district and enters Sagar district at Rahatgarh block and traverses through Khurai and Bina tehsil before the confluence with river Betwa near Basoda town in Vidisha district [13]. Bina basin falls between 23°3'N to 24°3'N latitudes and 78°1'E to 78°6'E longitudes having a total geographical area of 2822 sq·km.

2.2. MIKE 11-NAM Model

Several hydrological deterministic models have been developed to simulate the rainfall-runoff process for river watersheds, however, most have complicated structures and need various observed data. Streamflow simulation using the SWAT model was performed in the same river basin and the abovementioned



Figure 1. Location map of the study area.

drawbacks were experienced. The MIKE11-NAM rainfall-runoff model has been broadly employed in many Asian countries not only for the reason that it is fewer data requirements but also because it is decent performance and straightforward structures [14]. However, this hydrological model needs extensive time and effort to calibrate the model parameters through a manual calibration method. A lumped conceptual model of the MIKE 11-NAM Model treats each sub-catchment area as a single homogenous unit [7].

The model structure is shown in **Figure 2**. It is an imitation of the land phase of the hydrological cycle. The various components of the rainfall-runoff process represent the average values for the entire sub-catchment by continuously accounting for water contents in 4 different but mutually interrelated forms of storage, namely: snow, overland flow, interflow, and baseflow, as shown in **Figure 2**, is also based on the linear reservoir.



Figure 2. Structure of the NAM model (Source: [15]) (P is precipitation, E_P is potential evapotranspiration, E_a is actual evapotranspiration, U_{max} is maximum water content in surface storage, L_{max} is maximum water content in root zone storage, P_N is excess water, L is moisture content in root zone storage, U is moisture content in surface storage, CK_1 and CK_2 are time constant for routing overland flow, G is infiltrating water, QIF is interflow, QOF is overland flow, CK_{BF} is Time constant for routing base flow and BF is baseflow).

2.3. Data Requirements

The basic input requirements for the MIKE11-NAM model consist of model parameters, initial conditions, meteorological and hydrological data.

2.3.1. Model Parameters

The model parameters were determined for calibration and Validation: MIKE11-NAM works with several parameters divided into four groups: Surface and Root zone, Groundwater, Snowmelt, Irrigation. For this study we have not used irrigation parameters due to the nonexistence of intensive irrigation practice during the monsoon season, also the snowmelt parameters have been omitted because the temperature in this province never been below freezing point. Therefore, only 9 parameters were calibrated and validated. Those parameters are U_{max}, L_{max}, CQOF, CK_{IF}, CK1,2, TOF, TIF, TG and CK_{BF}, snow storage was not considered in this study.

2.3.2. Initial Conditions

Initial conditions represent the state of the basin at the beginning of the storm event. The initial conditions required by the MIKE11-NAM model consist of the initial water contents in the surface and root zone storages, together with initial values of overland flow, interflow, and baseflow. When a simulation begins at the end of a dry period, it is often enough to set all initial values to zero, except the water content in the root zone and the baseflow. The water content in the root zone should be about 10% - 30% of the capacity and the baseflow should be given a value close to the observed discharge [16].

2.3.3. Meteorological and Hydrological Data

Precipitation: The time resolution of the precipitation input depends on the objective of the study and on the time scale of the catchment response. In this study daily precipitation (mm) data of four rain gauge stations of Bina basin *i.e.* Begumganj, Gairatganj, Gyaraspur, and Kurwai for a period of 1994-1996 and 1997-1998 were used for calibration and validation purpose respectively, which were collected from Madhya Pradesh State Data Center (MPSDC), Bhopal and treated as step accumulated totals.

The MIKE11-NAM model simulates the rainfall-runoff process in a lumped fashion, so provision is given for combining meteorological data from different stations within a single catchment or sub-catchment into a single time series of weighted averages [17]. The resulting time series will represent the mean area values of rainfall for a catchment. In this, a study Thiessen polygon method was used to estimate a weighted average of precipitation and this Thiessen polygon was prepared by using Arc GIS 10.5 software. In the Thiessen polygon method, the precipitation recorded at each station was given a weight based on an area closest to the station. If $P_1, P_2, P_3, \dots, P_n$ is the precipitation magnitude recorded by the stations $1, 2, 3, \dots, n$ respectively, and $A_1, A_2, A_3, \dots, A_n$ are the respective areas of the Thiessen polygons and average precipitation over the catchment \overline{P}

is given by

$$\overline{P} = \frac{\sum_{i=1}^{n} \overline{P_i} * A_i}{A}$$
 thus, in general, for *n* stations (1)

The ratio A/A is called the weighted factor for each station.

The Thiessen-polygon method of calculating the average precipitation over an area is superior to the arithmetic average method as some weighted is given to the various stations on a rational basis. Further, the gauge stations outside the catchment area also used effectively [18].

Potential Evapotranspiration: In the present study daily potential evapotranspiration (mm) data for a period of 1994-1996 and 1997-1998 were used for calibration and validation purposes respectively and potential evapotranspiration treated as step accumulated totals. Daily maximum temperature, minimum temperature and radiation of Sagar station from Madhya Pradesh State Data Center (MPSDC, Bhopal) for the period of 1994 to 1998 were used for estimation of potential evapotranspiration [19], as shown below:

$$PET = mR (T_{avg} + 17.8) (T_{max} - T_{min})^{0.5}$$
(2)

where *PET* = Potential Evapotranspiration (mm/day)

m = Constant = 0.0009384 $R = \text{Radiation (MJ/m^2)}$ $T_{\text{max}} = \text{Maximum Temperature (°C)}$ $T_{\text{min}} = \text{Minimum Temperature (°C)}$

$$T_{avg} = \frac{T_{\min} + T_{\max}}{2} (^{\circ} C)$$

Discharge: Observed discharge data at the catchment outlet are required for comparison with the simulated runoff for model calibration and validation. In the present study, daily discharge data of Bina province in cubic meter per second (m³/s) for a period ranging from 1994-1996 and 1997-1998 were used for calibration and validation purposes respectively and the discharge treated as Instantaneous.

2.4. Model Setup

The NAM model simulates the rainfall-runoff process in a lumped fashion so provision was given in combined meteorological data from four gage stations of Bina basin specifically Begumganj, Gairatganj, Gyaraspur and Kurwai into a single time series of weighted averages, in such a manner which represent the entire basin. Bina basin Thiessen polygon map is shown in **Figure 3**. The areal precipitation was computed by multiplying the precipitation at each station and their calculated percentage weight. The percentage weights were used for the mean areal rainfall input, in the MIKE11-NAM model. The percentage weights input according to their contribution rank into the MIKE11-NAM model are shown in **Table 1**.



Figure 3. Thiessen polygon map of rain gauge stations in Bina river basin (Polygon 1, 2, 3 and 4 represent Thiessen polygon results for Gairatganj, Gyaraspur, Kurwai, and Begumganj meteorological stations respectively).

Sr.No	Rain gauge Station	Area (km²)	Weights (%)
1	Begumganj	1153.56	41
2	Kurwai	734.01	26
3	Gairatganj	521.23	18
4	Gyaraspur	413.64	15

 Table 1. Areal percentage weights of rain gauge stations found in Bina river basin.

The first step in MIKE 11-NAM modeling is to examine the relationship between precipitation and streamflow, which is crucial for accurate modeling of streamflow. A commonly adopted method is to fit a linear regression line between Runoff and Precipitation and to accept the result if the correlation coefficient is nearer to 1. In this study, the correlation was tested by computing the coefficient of determination (R^2) between precipitation and discharge data and it was found as 0.87 as shown in **Figure 4**. And this value indicated that the precipitation data has a strong positive correlation with discharge data, which was reliable to be used for rainfall-runoff modeling.



Figure 4. Scatter plot of mean annual precipitation and discharge data of Bina river basin for the period of 1994-1998 (Calibration and Validation periods).

Estimation of the runoff coefficient before the calibration process was another important step for gaining a hint about the Overland flow coefficient (CQOF) in the calibration of the model. Runoff coefficient is a part of the precipitation, which is converted into a runoff, was also calculated by dividing annual precipitation by observed annual runoff and shown in **Table 2**. The values of the runoff coefficient varied from 0.48 to 0.69. The average annual potential evapotranspiration (ET_0) was estimated at 931.366 mm.

2.5. Calibration, and Validation

The parameters of MIKE11-NAM could not be found direct from measurable quantities of basin characteristics and therefore, model calibration is necessary. Calibration is a process of modifying model parameters to reduce the error between the simulated and observed streamflow. In the MIKE 11-NAM model, a manual way of model calibration is practiced.

In manual calibration, a trial-and-error parameter adjustment is performed, based on a visual judgment by comparing the measured and the predicted discharge. Auto-calibration, the default model parameters were kept the same and the model was run in auto-calibration mode [20]. After several manual calibrations have been made the calibration was done again with very small changes. To check the quality of the results, the calibration was checked for the coefficient of determination (R²), water balance error (%WBL) values and graphically analyzed for the degree of agreement between simulated and observed runoff.

Model validation means evaluating the capability of the calibrated model. According to Refsgaard and Knudsenn [21], a model is said to be validated if its accuracy and predictive capacity in the verification period have been proven to lie within acceptable limits. The verification is implemented by using the new set of observed data and the parameters that have been calibrated in the previous step.

Year	Evapotranspiration	Annual Discharge	Annual Rainfall	Runoff	
	(mm)	(mm)	(mm)	Coefficient	
1994	939.46	950.3	1413.1	0.67	
1995	970.18	623.9	1105.1	0.56	
1996	960.93	690.4	1131.9	0.61	
1997	854.63	1097.1	1588.6	0.69	
1998	931.63	590.2	1234.2	0.48	

Table 2. Runoff coefficient results of Bina river basin for the period of 1994-1998 (Calibration and Validation periods).

2.6. Model Performance

The performance of the model can be examined based on the coefficient of determination (\mathbb{R}^2), the use of the coefficient of determination is to test the goodness of fit of the model and to assess how well a model explains and predicts future outcomes. Efficiency Index (EI) was another hydrological model assessor as described by Nash and Sutcliffe [22] which had been widely used to detect the model error for the long-term simulation. The EI was developed to evaluate the percentage of accuracy of the simulated values with respect to their observed values. EI values equal to 1 signifies the accurate performance of the model.

EI, R², and %WBL have defined as:

$$EI = \frac{\sum_{i=1}^{n} (O_i - \overline{O})^2 - \sum_{i=1}^{n} (O_i - P_i)^2}{\sum_{i=1}^{n} (O_i - \overline{O})^2}$$
(3)

$$\mathbf{R}^{2} = 1 - \frac{\sum_{i=1}^{n} (O_{i} - P_{i})^{2}}{\sum_{i=1}^{n} (O_{i} - \overline{O})^{2}}$$
(4)

$$\% \text{ WBL} = \frac{\sum_{i=1}^{n} P_i - \sum_{i=1}^{n} O_i}{\sum_{i=1}^{n} O_i} * 100$$
(5)

In these equations, O_i and P_i are t^{h} observed and simulated values, respectively, \overline{O} *is* mean value for observed and *n* is the number of samples.

2.7. Sensitivity Analysis

In MIKE 11-NAM model, the most sensitive model parameters are identified by changing the value of one of the model parameters and keeping the value of the remaining parameters constant [23]. The model parameters were picked one after another and their values obtained during the calibration increased and decreased by 10% and 20% to both sides. The analysis results were plotted against the EI and R² of the model and the most sensitive model parameters were identified. The sensitivity of the parameters depends on how it affects the value of EI and R² of the model.

3. Results and Discussion

3.1. Model Calibration and Validation

Once the model was set up with the input information, the calibration has been

carried out from 1994 to 1996. These optimum values are considered as the representative coefficient to determine the runoff within the catchment area. **Table 3** illustrates the final values of parameters that have been adjusted in the calibration process. **Figure 5** shows the comparison of simulated and observed discharge data during the past three year's runoff (1994 to 1996) in rate (m^3/s). The comparison shows a good match of simulated data with the observed data and a good overall agreement of the shape of the hydrograph with respect to timing, rate, and volume. Similar results were reported by several authors *i.e.* Hafezparast *et al.* [24] simulated Sarsoo river basin streamflow using the MIKE 11-NAM model. They found that a significantly a good agreement between the

Table 3. Optimal, lower bound and upper bound values of best parameters.

No	Parameters	Description of parameters	Unit	Optimal value	Lower-Bound	Upper-Bound
1	U _{max}	Maximum water content in surface storage	mm	12.90	10	20
2	L _{max}	Maximum water content in root zone storage	mm	156	100	300
3	CQOF	Overland flow runoff coefficient		0.72	0.1	1
4	CKIF	Time constant for routing interflow	hrs	496.60	200	1000
5	CK1,2	Time constant for routing overland flow	hrs	15.70	10	50
6	TOF	Root zone threshold value for overland flow		0.10	0	0.99
7	TIF	Root zone threshold value for inflow		0.16	0	0.99
8	TG	Root zone threshold value for GW recharge		0.34	0	0.99
9	CKBF	Time constant for routing base flow	hrs	3250	1000	4000



Figure 5. Observed and simulated hydrographs of monthly streamflow at the Bina river basin for the period of 1994-1996 (Calibration periods).

observed and simulated flow values. A study by Amir *et al.* [20] at Fitzroy basin, Australia using the MIKE 11-NAM model found that there is a good hydrographs agreement between observed and simulated discharge which shows the ability of the model to simulate the streamflow the basin. Odiyo *et al.* [25] simulated Latonyanda River Quaternary catchment (LRQ) streamflow using MIKE 11 NAM model and, found that the observed and the simulated streamflow for LRQ catchment correlated well except for under-prediction of peak events and a few low flows.

The simulated values of different components of the hydrological cycle, such as Potential evapotranspiration, Actual evapotranspiration, Groundwater recharge, Runoff, Overland flow, Interflow, and Baseflow simulated during model calibration are shown in **Table 4** in the form of water balance (mm). The coefficient of determination (R^2) and water balance error (%WBL) for the model calibration was obtained 0.87% and -8.63% respectively as presented in **Figure 5**, which indicated that the model calibrated efficiently and could simulate the runoff of the catchment. The comparison of observed and simulated mean monthly discharge is shown in **Figure 6**. It was observed that good agreement between the averages simulated and observed basin discharge.

The 1997 and 1998 time series had been used for checking the validity of the model. During validation, the set of model parameters acquired during the

Period Q-Obs Q-Sim %diff Rainfall PotEvap ActEvap Recharge OF IF 1994 950.3 9714 707.5 0 1413.1 937.5 372.1 319.4 45.1 1995 623.9 716.5 -0.11105.1 970.2 394.9 215.2 449.1 34.5

Table 4. Water balance distributions for calibration periods (1994-1996).

1131.9

3650.1

1996

Overall

690.4

2264.6

772.4

2460.3

-0.1

-0.1

Q-Obs = Observed Discharge, Q-sim = Simulated Discharge, %diff = Difference between Observed and Simulated Discharge PotEvap = Potential Evapotranspiration, ActEvap = Actual Evapotranspiration, OF = Overland Flow, IF = Inter Flow and BF = Base Flow.

961

2868.7

352.3

1119.3

237

771.7

501.8

1658.4



Figure 6. Mean monthly histogram of observed and simulated streamflow of the Bina river basin for the period of 1994 to 1996 (Calibration periods).

BF

9.1

9.7

9.7

28.5

38.8

118.4

Observed Discharge

calibration was served to simulate the runoff. Figure 7 and Table 5 represents the results of model validation. The coefficient of determination (R^2) and water balance error (%WBL) for the model validation was observed 0.68% and -6.72% respectively as shown in Figure 7, which indicated the capability of the model to estimate runoff for periods outside that used to calibrate the model. A study conducted by Tiwari *et al.* [26] on the Shipra river basin using the MIKE 11-NAM model found that the model is suitable for simulating the streamflow of the basin for the extended time period.

The simulated values of different components of the hydrological cycle, such as Potential evapotranspiration, Actual evapotranspiration, Groundwater recharge, Runoff, Overland flow, Interflow, and Baseflow simulated during model validation are shown in **Table 5** in the form of water balance (mm). The comparison of observed and simulated mean monthly runoff volume is shown in **Figure 8**, it was detected that good agreement between the averages simulated and observed basin runoff volume for validation period, this shows the model could calibrate runoff outside the calibration periods.

3.2. Model Performance

The reliability of the MIKE11-NAM was evaluated based on the coefficient of determination (R^2). It is expressed as a value between zero and one and it is obtained 0.87. The reliability of the MIKE11-NAM was also evaluated based on the

Table 5. Water balance distributions for validation periods (1997-1998).

Period	Q-Obs	Q-Sim	%diff	Rainfall	PotEvap	ActEvap	Recharge	OF	IF	BF
1997	1097.1	971.6	0.1	1588.6	852.5	396.1	345.6	732.5	58.3	7.5
1998	590.2	829.5	-0.3	1234.2	931.7	515.4	243.2	492.2	45.1	12.2
Overall	1687.3	1801.1	-0.1	2822.8	1784.2	911.4	588.7	1224.7	103.4	19.7

Q-Obs = Observed Discharge, Q-sim = Simulated Discharge, %diff = Difference between Observed and Simulated Discharge PotEvap = Potential Evapotranspiration, ActEvap = Actual Evapotranspiration, OF = Overland Flow, IF = Inter Flow and BF = Base Flow.



Figure 7. Observed and simulated hydrographs of monthly streamflow at the Bina river basin for the period of 1997-1998 (Validation periods).



Observed Discharge

Figure 8. Mean monthly histogram of observed and simulated streamflow of the Bina river basin for the period of 1997 to 1998 (Validation periods).

efficiency index (EI) as described by Nash and Sutcliffe (1996). The EI was developed to evaluate the percentage of accuracy or goodness of the simulated values with respect to their observed values. The efficiency index (EI) equal to 1 indicates the best (perfect) performance of the model. The EI obtained during this study was 0.87. Both evaluation criteria have shown a good result, which means the model is good enough to simulate the runoff of the catchment. Refsgaard and Knudsen [21] showed that the hydrological model is considered as valid when $R^2 > 0.80$ and %WBL < 10%.

3.3. Sensitivity Analysis

The sensitivity analysis of the MIKE11-NAM model was carried out by running the model by selecting model parameters one by one as a variable and keeping other parameters constant to identify the most sensitive model parameters. For each simulated runoff time series, EI and R² were calculated using Equations (3) and (4) respectively. The output results were analyzed by plotting EI and R² against the respective model parameters. The model parameters CQOF, CK1,2 and L_{max} were found as the most influencing and sensitive parameters as shown in Figure 9. Whereas, the remaining parameters were found non-sensitive. Therefore, the significant parameters are CQOF, CK1,2 and L_{max} because they result in the high differences in EI and R² magnitude. Our results were supported by various authors viz. Rainfall-Runoff modeling conducted by Yadav et al. [27] on the Sher river basin using MIKE-NAM 11 model reported that the model parameters CQOF, CK12 were the most sensitive ones. Shimelis [28] found that Umax, Lmax, CQOF, and CK1,2 were the most sensitive MIKE11-NAM model parameters for predicting Upper Guder Catchment streamflow. In this study sensitivity analysis has played a significant role in the improvement in calibration on which parameters must be focused.

4. Conclusion

Watershed and basin scales modeling of hydrological processes is important for



Figure 9. Scatter plot of sensitive model parameters and R^2 and EI (CQOF is Overland flow runoff coefficient, CK1,2 is Time constant for routing overland flow and (L_{max} is Maximum water content in root zone storage, R^2 is coefficient of determination and EI is efficiency index).

different purposes including water resources planning, development, and management. In this study, the performance of the MIKE 11-NAM model was evaluated for simulating streamflow of the Bina basin. Overall, the model performed satisfactorily in simulating monthly streamflow during both the calibration and validation periods. Results showed that the model had EI, R^2 , and %WBL of 0.87, 0.87% and -8.63%, respectively during the calibration period. The capability of the model was revealed by a good match of simulated data with the observed data and a good overall agreement of the shape of the hydrograph with respect to timing, rate, and volume. It should be also noted that the model's performance could potentially be further improved using more rainfall stations that effectively capture spatial rainfall distributions in the Bina river basin. However, given the simplicity and limited data requirements of the MIKE 11-NAM model makes it a useful tool to simulate streamflow especially in areas with limited data availability.

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Conflicts of Interest

The authors declare no conflicts of interest regarding the publication of this paper.

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