

# Short Review of Ischemia- and Hypoxia-Protective Roles of “Big Potassium” (BK) Channels

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## Abstract

There is accumulating evidence that the subfamily of large-conductance potassium (“big”, “BK”) channels are involved in diverse, and perhaps coordinated, protective or counteractive responses to local or generalized ischemia and hypoxia. Although widely distributed, the physiological differences among BK channels which results from posttranslational modification (alternative splicing) and co-assembly with auxiliary modulatory subunits ( $\beta_{1-4}$  and  $\gamma_{1-4}$ ), bestows localized differences in subunit composition, distribution, 2<sup>nd</sup>-messenger coupling, and pharmacologic properties. Due to the ubiquitous nature of BK channels and the multiplicity of subtypes, they have many potential therapeutic applications in the maintenance of oxygen homeostasis, cerebro- and cardio-protection, and stimulation of respiration in response to drug-induced respiratory depression. BK channels may also offer other potentially broad and underrecognized promising targets for novel pharmaceutical development.

## Keywords

Big potassium Channels, BK<sub>Ca</sub>, Ischemia, Hypoxia, Respiratory Stimulation, ENA-001

## 1. Introduction

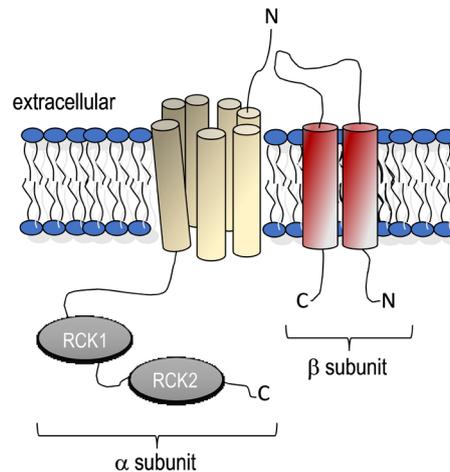
G-protein coupled receptors (GPCRs) are ubiquitous transmembrane receptor

proteins that are major targets in disease-treatment and drug-development [1]. They are currently the most common targets for FDA (United States Food and Drug Administration)-approved drugs [2]. Although the GPCRs are more commonly focused upon, BK (big potassium) channels are perhaps even more fundamental. Due to variability in component composition and posttranslational modifications, BK channel subtypes are expressed in different frequencies in various organs/tissues, with differing chemo-sensitivities and 2<sup>nd</sup>-messenger transduction processes. They are involved in various neurological processes and their dysfunction for example leads to neurological pathologies like epilepsy, paroxysmal dyskinesia, or schizophrenia. In places where their signaling is involved in the regulation of blood flow and the detection of deviations from normal pO<sub>2</sub>, pCO<sub>2</sub>, and pH, the macroscopic physiologic responses result in protection against episodes of ischemia and hypoxia. This includes cardiac and cerebral ischemia and hypoxia, and the stimulation of respiration. We present a short review of these topics.

## 2. BK Channels

There are three main subfamilies of Ca<sup>2+</sup>-activated potassium channels, SK (small conductance), IK (intermediate conductance), and BK (big conductance) [3]. The BK channels (encoded by the *Kcnma1* gene) are also called BK<sub>Ca</sub>, MaxiK, Slo1, KCa1.1, and KCNMA1, among other names [4]. They were first cloned in 1992, and based on their modulatory roles have been described as the “universal regulator of cellular excitability” and even “king of ion channels” [5] [6]. BK channels are voltage- and Ca<sup>2+</sup>-sensitive potassium channels, formed as tetramers of  $\alpha$  subunits [6]. The channel is formed by the interface of the four subunits [7]. BK  $\alpha$  subunits differ from the SK/IK group in the existence of an additional transmembrane helix which drives the *N*-terminus to the extracellular side of the plasma membrane. Each membrane-spanning domain of a BK channel contains a pore-gate and voltage-sensing domain [8]. These two domains are composed of two regulators of conductance of potassium, RCK1 and RCK2 [9]. The RCK1-RCK2 link with BK channels is highly conserved (Figure 1) [10].

An important aspect of BK channel function that they allow rapid and large influxes of potassium through the channel, thus hyperpolarizing the membrane. Conformational change of the subunits and channels transduces and stabilizes the channel pore in its open state [11]. The large (“big”) influx is 10 - 20 times larger than most other K<sup>+</sup> channels [6]. They can form homo- and hetero-multimeric channels and can be expressed on both pre and post synaptic sites [12] [13] [14]. BK channels can detect intracellular calcium concentrations as well as membrane depolarization independently. This puts the BK channel in a “unique” position to sense (monitor) the state of activity of excitable cells [15]. And the expression of particular BK subunit subtypes tune the BK channels to the local signaling environment (unless otherwise specified, we will use the term to mean BK channels in general) [14]. The different subunit subtypes differentiate the



**Figure 1.** Representation of a transmembrane BK channel, showing one of the tetrameric  $\alpha$  subunits with  $\text{Ca}^{2+}$ -sensing RCK1 and RCK2, and accessory  $\beta$  subunit. The  $\text{K}^+$  pore (“channel”) is formed at the interface of the four  $\alpha$  subunits. Variations in each of the components provides diversity in sensitivity and response.

functional expression of these channels. BK channel currents abruptly increase during the first two weeks after birth in animal models [16], which may be coincident with their functional maturation as the channels adjust (to) neuronal properties as the animal matures. This is an “experience dependent plasticity” that helps shape how BK channels will function in the mature animal [15] [17].

For most ion channels, functional identity is defined by properties conferred by the pore-forming subunits of the channel [14]. In contrast, an enormous diversity in BK channel function arises from a merging together with non-pore-forming regulatory subunits. In fact, the same BK pore-forming subunits can participate in channels that could be considered to be entirely functionally distinct, as a simple consequence of the “wardrobe” of (associated) regulatory subunits that can decorate the pore-forming subunits [14].

### 3. Cerebral Ischemia

During ischemia-induced reduction in blood flow, critical supplies of oxygen and glucose to cells are impeded or stopped. These results in a decoupling of oxidative phosphorylation, reduced ATP levels, disruption of ionic flow, and disruption of normal ionic gradients. This leads to, for example, depolarization of cerebral cellular membranes, with resultant rapid influx of  $\text{Ca}^{2+}$  and release of the excitatory amino acid, glutamate [15]. Normally, glutamate is safely cleared from the synaptic cleft, but the processes are overwhelmed during ischemia. The excess glutamate in synaptic clefts binds to NMDA (*N*-methyl-D-aspartate) and AMPA ( $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid) receptors, producing a wave of depolarization that further propagates the ischemic damage [18].

BK channels function as an “emergency brake” by limiting calcium-enhanced glutamate release and NMDA activity that occurs during cerebral ischemia [15].

For example, in a model of permanent occlusion of the middle cerebral artery in spontaneously hypertensive rats, intravenous administration of the fluoroindole BK channel opener BMS-204352 ((3S)-(+)-(5-Chloro-2-methoxyphenyl)-1,3-dihydro-3-fluoro-6-(trifluoromethyl)-2H-indole-2-one) reduced cortical infarct volume [19]. But a phase-3 study with BMS-204352 in humans in the setting of acute ischemic stroke was not positive [15] [19]. The reasons for this failure are not clear [20].

Blood flow in brain is closely regulated by a number of processes, but especially by neurovascular coupling [21]. The component processes are controlled through coordinated activity of neurons, astrocytes, and parenchymal arterioles. Changes in localized blood flow ensure adequate oxygenation and nutrition to brain tissues. The cascade that begins and continues this process is complex, involving glutamate receptors on astrocytes, and potassium efflux mediated by BK channels on astrocyte end feet [21]. Modulation/activation of BK channels appears to be a prime mechanism in the transition from vasodilation in normal oxygenation of brain tissue, to vasoconstriction in the presence of blood as a consequence of a cerebral aneurysm rupture, worsening cerebral ischemia, and damage to cells [21].

BK channel subtypes may also play a role during cerebral ischemia from stroke other than aneurysmal bleed. Focal ischemia occurs due to the activity of BK channels on astrocytes increasing intracellular calcium and potassium efflux resulting in apoptotic and necrotic cellular death and reactive gliosis, all of which extend the damage from the initial ischemic event [22].

In a 2019 study using a rat model, it was found that BK channels are a target for vitamin C [23]. Vitamin C intake correlates with a lower incidence of stroke (but not with lower incidence of myocardial infarction) [24]. There have been animal as well as human studies testing vitamin C for the prevention of cerebral infarcts. Unfortunately, they have yielded contradictory results [23]. Initially it was thought that the protective effect was due to the antioxidant action of vitamin C. However, long-term ingestion of vitamin C is a different situation than its use as a rescue therapeutic in an acute cerebral ischemic scenario. Giving low level vitamin C over time reduced the impact of cerebral infarct size in this model, and it was proposed that BK channels were at least partially contributory to this effect. Interestingly, in acute studies using the rat model, activation of the BK channel, caused by cerebral ischemia increased cerebral circulation through cerebral artery smooth muscles, resulting in a compensatory increase in blood flow [25].

In summary, it appears that targeted BK channel modulation could offer a potential adjunctive therapy in the setting of cerebral ischemia. Clearly, much more research in this area is needed.

#### 4. Cardioprotection

BK channels are extensively distributed in cardiovascular smooth muscle and

cardiac fibroblasts, where they play a role as mediators of inflammation and in the remodeling of the heart following ischemic injury [26] [27]. Multiple studies in animal models have suggested a role for BK channels in cardioprotection prior to, and after, reperfusion and ischemic injuries. For example, Bentzen *et al.* demonstrated that BK channels are involved in protection of the heart against ischemia-reperfusion of isolated perfused rat hearts subjected to 35 min of global ischemia followed by 120 min of reperfusion injury [28]. Similar beneficial results were found on ischemia-reperfusion infarct size and lactate dehydrogenase release [29]. Additional studies contribute to this conclusion [30] [31] [32] [33].

Borchet *et al.* demonstrated that BK channels likely participate in the improved resistance demonstrated by chronically hypoxic rats against injury caused by metabolic inhibition induced by sodium cyanide, followed by 30-min reenergization [34]. Soltysinska *et al.* showed, using an *ex-vivo* model of ischemia-reperfusion injury, that the area of infarction in BK channel knockout (BK<sup>-/-</sup>) mice devoid of BK channels was approximately double that in wild-type mice (which express normal levels of BK channels) [35].

In conclusion, the role of BK channels in cardioprotection is well recognized, at least in animal models. Further research is needed to focus on the best option in human populations.

## 5. Respiratory Stimulation

Hypoxia—an abnormally low level of oxygen pressure (pO<sub>2</sub>) in arterial blood—is a principal physiological alerting signal for maintaining normal oxygen homeostasis. The body's response to hypoxia is reflexive and rapid (in the absence of respiratory-depressant influences), with the primary sensing organs (containing chemoreceptors) being the carotid bodies at the bifurcation of the carotid arteries. Although similar sensors are found at the aortic arch and in the abdominal arteries, it is the carotid bodies that most respond to hypoxia [36]. The carotid bodies also respond to increase in carbon dioxide (pCO<sub>2</sub>) and decrease in pH. Under basal and normal conditions (pO<sub>2</sub>—100 mmHg), sensory carotid body signaling is low. But signaling increases dramatically with even a small decrease in arterial blood pO<sub>2</sub>, occurring within seconds. Hypoxia-sensitivity can differ between populations (e.g., strains of mice), but is maintained within very close limits within an individual [37]. Human twin studies suggest a genetically-inherited determinant of sensitivity to hypoxia [38].

The response of the carotid body to changes in blood gases and pH is noteworthy for its sensitivity, speed, and lack of adaptation over time [39]. One of the most powerful ligands and best characterized effectors of the BK channel is carbon monoxide (CO) [40]. CO activates BK channels via both direct and indirect mechanisms [40]. Although it was originally thought that hypoxia directly depolarizes the glomus cells of the carotid body, thereby inhibiting potassium outward currents, recent evidence points to the BK channels [41]. The data suggest that the BK channels are activated in the presence of hypoxia, as a sort of

negative-feedback loop [27]. Once the carotid body is stimulated by hypoxia, a variety of strong ventilatory autonomic, cardiovascular, renal, and endocrine responses are elicited [42]. Stimulation of BK channels in the carotid body induces neurotransmitter release and increased number of action potentials in the glossopharyngeal nerve [43]. The impulse excites the nucleus of the solitary tract which targets brainstem circuits and stimulates respiratory response elements. The increase in respiratory response results in an increase in tidal volume (volume of air displaced between inhalation and exhalation) as well as the respiratory rate. Due to normal cardiopulmonary coupling, there is a concomitant increase in cardiac output [43]. The hypoxic drive is strong enough to stimulate breathing even during hypocapnic apneas, such as occurs during opioid-induced respiratory depression and opioid overdose [43].

In conclusion, BK channels offer a clear target in the setting of hypoxia- and hypercapnia-reduced respiratory function. Further, BK channels have also been shown to be involved in the regulation of airway surface liquid (ASL) homeostasis, and therefore mucociliary clearance (MCC), both important innate host defense mechanisms [44]. In disease states where ASL volume is reduced and pathology ensues, targeting BK channels may be a viable pharmacologic target.

## 6. Discussion

The accumulated evidence suggests that BK channels—because of the extensive diversity of their subtypes (subunit composition, 3-D arrangement, and accessory molecules), distribution, and pharmacology—participate in the maintenance of protection against ischemia and hypoxia at multiple points throughout the body. They appear to be major players in providing cerebro- and cardio-protective actions against ischemia and hypoxia, as well as stimulate respiration in the presence of such conditions (both local and systemic). Although individual examples of organ protection have been widely published, we propose that BK channels participate in a coordinated control system. That is, that they work in concert to provide protection against ischemia and hypoxia not only where it occurs, but also preventing negative consequences at distal sites.

We cite as an example, stimulation of respiration. In the wake of the recent COVID pandemic, opioid- and polysubstance overdose deaths are nearing an all-time high. Deaths have dramatically increased due to high-potency illicit fentanoids and to polysubstance abuse [45]. The only respiratory-stimulant drug currently approved in the United States is doxapram. Its mechanism of action appears to mainly involve inhibition of TASK (TWIK (Tandem of P-domains in a Weakly Inward rectifying K<sup>+</sup> channel)-related Acid Sensitive K<sup>+</sup>) channels [46]. ENA-001, currently in clinical trials, appears to work mainly as a regulator at BK channels in the carotid bodies [47]. It has received attention as an “agnostic” respiratory stimulant, since it can counteract the effects of a number of diverse respiratory depressants (opioid, benzodiazepine, isoflurane, and Propofol) [48].

Some other physiological processes in which BK channel (subtypes) are

thought to play an important role include smooth muscle contraction, hormone secretion, neural excitation, and circadian rhythms [49]. The common link appears to be that BK channel action maintains a balance between over- and under-stimulation, helping shape normal activity and maintain homeostasis [15]. Therefore, numerous disorders may well be linked to BK channel dysfunction. For example, it has been suggested that BK channels in vascular smooth muscle could be targeted for treating diabetic complications and bladder dysfunctions [50] [51]. The BK channel activator unoprostone is approved for the treatment of ocular hypertension [52] [53]. It is also speculated that modulation of these channels could potentially play a role in the treatment of schizophrenia, autism, and other psychiatric disorders [54]. It will be interesting to see whether improvement of these seemingly disparate problems could be obtained using novel BK channel subtype directed drugs.

## 7. Conclusion

There is emerging and accumulating evidence that the “BK” subfamily of large-conductance potassium channels is involved in a diverse variety of physiological processes, and perhaps coordinated, protective or counteractive responses to local or generalized ischemia and hypoxia. They are widely distributed, yet physiological differences among BK channels bestow localized differences in subunit composition, distribution, 2<sup>nd</sup>-messenger coupling, and pharmacologic properties. BK channels have many potential therapeutic applications in the maintenance of oxygen homeostasis, cerebro- and cardio-protection, and stimulation of respiration in response to drug-induced respiratory depression. BK channels may also offer other promising targets for novel pharmaceutical development.

## Conflicts of Interest

The authors declare no conflicts of interest regarding the publication of this paper.

## Disclosures

Alexander Kraus is a scientific advisor to Enalare Therapeutics and (within the last 12 months) declares consultation for Spirify Pharmaceuticals, Develco Pharma Schweiz, Celanese, Cellis, Onena Medicines, MaK Medicine, and Princeton Capital Advisors. Robert B. Raffa is CSO of Neumentum, Co-founder of Enalare and CaRafe, and within the previous 12 months was consultant for Bridge Therapeutics and BDSI. John F. Peppin (within the last 12 months) declares consultation for Relmada Therapeutics and Enalare therapeutics. Joseph V. Pergolizzi Jr. discloses the following relationships: Consultant/ Speaker, Owner, and Researcher for Spirify, US World Meds, Salix, Enalare, Scilex, Pfizer, Lilly, Teva, Taketa, Regeneron, Grunenthal, Neumentum, BDSI and Bridge Therapeutics.

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