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# A Proposal on the Novel Method to Estimate Optimal Sleep Duration Based on Self-Reported Survey Data

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#### **Abstract**

Background: Many adolescents have a sleep debt. Individuals sleeping for their optimal sleep duration are expected to experience no sleepiness. Then, it is important to recognize one's optimal sleep duration to reduce sleep debt. However, there is no simple method to determine this value. Since body mass index and sleep duration exhibit a U-shaped association, it is expected that a person taking optimal sleep duration would show no marked deviation from the mean body mass index value for the population evaluated. By using selfreported sleepiness and standardized body mass index, this study aimed to estimate individual optimal sleep duration. Methods: Data from 2540 grade 5 - 11 students were used. Students who declared no sleepiness during class and also had a gender- and grade-standardized body mass index of ±1.5 were termed ideal students. The average sleep durations of ideal students were compared with those of non-ideal students. The differences of sleep duration between ideal and no-ideal students were added to habitual sleep duration of each non-ideal student to obtain assumed optimal sleep duration. A multiple regression line to predict assumed optimal sleep duration was calculated using the least squares method. Results: The mean sleep duration of 666 ideal students exceeded the lower limit of daily sleep duration proposed as "may be appropriate" for children aged 6 - 17 years by National Sleep Foundation of the USA, being longer than those of non-ideal students. Significant regression formula for assumed optimal sleep duration was obtained (adjusted R<sup>2</sup> = 0.996, p < 0.001), and the following formula to estimate daily optimal sleep duration was obtained; 0.714\*(sleep duration of weekdays) + 0.284\*(sleep duration of non-schooldays) + 0.513\*(sleepiness score; 1 - 4) + 0.002\*(grade; 5 - 11) + 0.009\*[gender (male: 1; female: 2)] - <math>0.005\*(social jetlag) + 0.008\*(standardized body mass index) - 0.501. Conclusions: No contradiction was identified in the sleep duration obtained from ideal students as with optimal sleep duration. Although further studies to confirm the current estimation are

needed, a simple formula to estimate individual optimal sleep duration through easily obtainable parameters was proposed.

# **Keywords**

Body Mass Index, Catch-Up Sleep, Insufficient Sleep Syndrome, Optimal Sleep Duration, Sleepiness

#### 1. Introduction

Sleep is a fundamental physiological process that is indispensable for maintaining physical and mental health [1]. Although both sleep quality and sleep quantity are basic sleep components, not enough studies on the associations between sleep quality and health or diseases have been performed [2]. The commonly obtained objective polysomnographic indices have been known to contribute little to the subjective ratings of sleep quality [3], and recently, subjective measures such as nonrestorative sleep [4] or "feeling fresh" [5] after waking have been paid attention for evaluating sleep quality. However, so far, no recommendation has been proposed on sleep quality. On the other hand, several recommendations on sleep quantity have been published [6] [7].

Most people in the modern society, especially adolescents [8], downplay the importance of sleep and develop insufficient sleep syndrome by accumulating a sleep debt. Among patients aged ≤20 years who attended an outpatient sleep clinic, 31% were diagnosed as having insufficient sleep syndrome [9]. Moreover, the prevalence of insufficient sleep syndrome among adolescents has been reported as 10% - 20% [10]-[12]. To reduce the sleep debt or counteract insufficient sleep syndrome, it is important to recognize one's own optimal sleep duration (OSD). Persons who take their OSD are assumed to show no sleepiness [13] [14]. However, there is no simple method to determine the OSD.

Classically, the OSD is measured by allowing participants to sleep for a long duration over multiple nights prior to accumulating a sleep debt. Their sleep duration shows a gradual decrease to a constant duration, which is assumed to be the OSD. According to Dement [13], Barbato *et al.* [14] monitored the sleep of eight healthy male volunteers with a mean age of 29.0 years for 5 weeks. The daily photoperiod was 16 hours during the first week, which decreased to 10 hours over the following 4 weeks. The subjects were instructed to remain in bed and to sleep whenever possible during the dark period. Their average nightly sleep time during the first week was 7.6 hours. When the dark period increased to 14 hours, their average total daily sleep time increased to more than 12 hours on the first night of the second week, and then gradually declined to an average of 8.25 hours by the fourth week (the third week of the longer dark period session), when they continued to be in bed in the dark for 5.75 hours without obtaining extra sleep. The authors concluded that the real daily OSD for these eight subjects was 8.25 hours. Similarly, the OSD has been estimated to be 8.88 hours

(range, 8.28 - 9.32 hours) for adolescents [15], 8.23 hours [16] and 8.9 hours [17] for young adults, 7.4 hours for older adults [17], and 8.41 hours (range, 7.29 - 9.26 hours) for 15 healthy young men [18], respectively. A major drawback to these methods is the substantial time they require to obtain results.

Van Dongen et al. [19] and Short et al. [15] estimated the maximum length of daily wakefulness that would not lead to deficits in sustained attention measured by a psychomotor vigilance task test. The estimated value was 15.84 hours for adults and 14.65 hours for adolescents. Thus, a sleep duration of 8.16 hours [19] and 9.35 hours [15] was needed to maintain optimal sustained attention performance. Eide and Showalter [20] and Fuligni et al. [21] estimated the OSD among adolescents based on the hypothesis that students with the highest academic performance score have an OSD. However, it is questionable whether students with an OSD always display a high academic performance level. Although a sleep debt might be associated with poor academic performance, it might also be affected by each individual's capabilities. In fact, sleep duration has shown a weaker correlation to school performance than to sleepiness [22]-[24]. The National Sleep Foundation of the USA indicates that sleep duration varies by age [6]. According to this recommendation, the lower limit of daily sleep duration proposed as "may be appropriate" is 7 hours for children aged 6 - 17 years and the upper limit is 12 hours for children aged 6 -13 years and 11 hours for those aged 14 - 17 years, respectively.

With respect to the OSD, body mass index (BMI) has been paid little attention. BMI is known to exhibit a U-shaped association with sleep duration [25]. Similar results have been obtained for adolescents [26] [27], although a different finding has also been reported [28]. On this U-shaped curve, sleep duration where BMI shows its trough value could be assumed as an OSD for the population. Thus, it could also be assumed that a person who sleeps for his/hers OSD shows no large deviation from the average BMI value for that population.

This study aimed to estimate individual optimal sleep duration on the basis of two assumptions; persons who take their OSD show no sleepiness, and those sleep for their OSD show no large deviation from the average BMI value for that population.

# 2. Materials and Methods

This cross-sectional study was approved in 2016 by the Committee for Medical Research Ethics of Tokyo Bay Urayasu Ichikawa Medical Center (Approval No. 199). It was conducted between October 2016 and November 2018 from 28 public schools (15 elementary schools, 8 junior high schools, and 5 senior high schools) located in Kanto district of Japan [24] [29]-[32]. Though the originally collected data were obtained from grade 5 - 12 students, the present analysis used a part of those data obtained in the same survey, because the recommended criteria [6] used as references in this study demonstrated the lower limit of daily sleep duration proposed as "may be appropriate" is 7 hours for children aged 6 - 17 years. Then, this study used data from grade 5 - 11 students (corresponding years of age ranged 10 - 17), because grade 12 included students aged 18 years old. The teachers

handed out the questionnaires (**Table 1**) with a letter stating that the responses would be anonymous and confidential and that participation was voluntary. The teachers collected written informed consent from each pupil (signed by his/her guardian) as well as the completed questionnaires and sent them to the author.

Table 1. Questionnaire.

Queries.	Choices for answer
Please select your grade.	Elementary school (Grade 5, 6), Junior high school (Grade 1, 2, 3), High school (Grade 1, 2, 3)
Please select your gender.	Gender (male, female)
Please describe your height and weight.	Height (cm), Weight (kg)
Please select your bedtime before schooldays.	1: <8 PM; 2: 8 - 9 PM; 3: 9 - 10 PM; 4: 10 - 11 PM; 5: 11 PM - 12 AM;6: 12 - 1 AM; 7: 1 - 2 AM; 8: 2 - 3 AM; or 9: >3 AM
Please select your bedtime before non-schooldays.	1: <8 PM; 2: 8 - 9 PM; 3: 9 - 10 PM; 4: 10 - 11 PM; 5: 11 PM - 12 AM;6: 12 - 1 AM; 7: 1 - 2 AM; 8: 2 - 3 AM; or 9: >3 AM
Please select your waking time on schooldays.	1: <5 AM; 2: 5 - 6 AM; 3: 6 - 7 AM; 4: 7 - 8 AM; 5: 8 - 9 AM; 6: 9 - 10 AM; 7: 10 - 11 AM; 8: 11 AM - 12 PM; or 9: >12 PM
Please select you waking time on non-schooldays.	1: <5 AM; 2: 5 - 6 AM; 3: 6 - 7 AM; 4: 7 - 8 AM; 5: 8 - 9 AM; 6: 9 - 10 AM; 7: 10 - 11 AM; 8: 11 AM - 12 PM; or 9: >12 PM
Please select the frequency you feel sleepy during class. (sleepiness score)	7 1: never; 2: sometimes; 3: often; or 4: always
Please select your frequency of eating breakfast (breakfast taking score)	1: always; 2: often; 3: sometimes; or 4: never
Please select your frequency of defecation. (defecation frequency score)	1: every day; 2: every other day; 3: once every 2 - 3 days; 4: twice a week or less
Please select the time you usually eat dinner.	1: around 6 PM; 2: around 7 PM; 3: around 8 PM; 4: around 9 PM; 5: around 10 PM; 6: around 11 PM; 7: later than 11 PM; or 8: not determined
Do you take part in any kind of after-school activity?	1: yes; 2: no
If yes, please select your frequency of participating in after-school activity.	g 1: once a week; 2: twice a week; 3: three times a week; 4: four times a week; 5: five times a week; 6: six times a week; or 7: every day
If yes, please select the average duration of a single after-school activity.	1: 1 hour; 2: 2 hours; 3: 3 hours; 4: 4 hours; or 5: 5 hours or more
How many days a week do you engage in habitual exercise except for school lessons?	l 0: none; 1: 1 day per week; 2: 2 days per week; 3: 3 days per week; 4: 4 days per week; 5: 5 days per week; 6: 6 days per week; or 7: 7 days per week
How long do you use variable media tools (television, video, video game, digital versatile disc computer, tablet, mobile [cell] phone, smart phone in a day? Please answer separately for schooldays and non-schooldays. (screen time score)	
Please select the best category for your overall academic performance.	1: very good; 2: good; 3: not good; or 4: poor.

The participants provided their grade, gender, height, and weight. The latter two figures were used to calculate the BMI (kg/m<sup>2</sup>). Both gender- and gradestandardized BMIs (with standard deviations as the units) were used for the analysis. With regard to bedtime and waking times, students were asked to choose one of nine 1-hour interval categories (bedtime: 1, before 20:00; 2, 20:00 - 21:00...8, 02:00 - 3:00, 9, after 3:00; waking time: 1, before 5:00; 2, 5:00 - 6:00...8, 11:00 -12:00; 9, after 12:00). The representative times for categories 2 - 8 were determined as their means (bedtime: 20:30, 21:30...1:30, 2:30; waking: 5:30, 6:30... 10:30, 11:30). For categories 1 and 9, the representative times were 19:30 and 3:30 for bedtime and 4:30 and 12:30 for waking time, respectively. The students provided these bedtimes and waking times separately for schooldays and non-schooldays. Social jetlag (the difference of the midpoint time of sleep between non-schooldays and schooldays [32] [33]) was also calculated from these bedtime and wake-up time data. Furthermore, these times were used to calculate separately the night-time sleep duration of the night before schooldays and non-schooldays. The weekly sleep duration was calculated with the following formula: [(sleep duration before schooldays) × 5] + [(sleep duration before non-schooldays) × 2]. Sleepiness during school class was scored based on four subjective categories (1, never; 2, sometimes; 3, often; and 4, always). Students who declared no sleepiness during class and with a standardized BMI between -1.5 to +1.5 were termed as ideal-students (id-St); all others were named as non-ideal students (non-id-St). The weekly sleep duration was compared between id-ST and non-id-St. In addition, the percentage of id-St and non-id-St students whose weekly sleep duration was less than 49 hours (7 times the value of the lower limit of daily sleep duration proposed as "may be appropriate" for children aged 6 - 17 years by the National Sleep Foundation of the USA [6]) was determined and compared.

In order to examine whether id-St can be regarded as having an OSD, the following two issues were evaluated: 1) Sleep duration of id-St is within the range of the authorized proposal [6]. 2) Sleep duration of id-St is longer than that of non-id-St. After evaluating these two issues, an individual OSD was assumed by using self-reported sleepiness and standardized BMI. Regarding the second issue, extra explanation is needed. Firstly, sleep duration of students who do not experience sleepiness is assumed to be longer compared to that of students who experience sleepiness, since subjective sleepiness increases with decreased sleep duration. Secondary, taking the U-shaped distribution between BMI and sleep duration into consideration [25]-[27], students with a BMI significantly deviating from the mean BMI are presumed to have either longer or shorter sleep duration than the OSD, that is, no consistent result is expected on the latter assumption. Then, by summating the former consistent estimation and the latter inconsistent assumption together, sleep duration of id-St is presumed to be longer than that of non-id-St.

An assumed OSD of each non-id-St was calculated according to the following process. Non-ideal students were divided into 11 categories by means of sleepi-

ness (1, 2, 3, and 4) and standardized BMI [high (1.5 or more), medium (within ±1.5), and low (-1.5 or less)]. Differences of averaged sleep duration between each non-id-St category and that of id-St was added to habitual sleep duration of each non-id-St individually. This summated sleep duration was termed as assumed OSD of non-id-ST. For id-St, their own habitual sleep durations were used as assumed OSD. A multiple regression line to predict assumed OSD as the dependent variable was calculated by the least squares method, using grade, gender, sleepiness score, standardized BMI, self-reported academic performance, after-school activity (hours/week), breakfast taking score, defecation frequency score, physical activity (days/week), screen time score both per schooldays and non-schooldays, sleep duration before both schooldays and non-schooldays as the explanatory variables.

The t-test, and the Bonferroni multiple comparison test (BMCT) were used for statistical analysis, as appropriate. A p-value < 0.05 with an effect size (Cohen's d) > 0.20 for the t-test and BMCT [34] were considered to be significant. Analyses were conducted using the BellCurve program for Excel.

#### 3. Results

Among 2540 students, 666 (male, 374; female, 292) were categorized as id-St. The mean weekly sleep duration of the id-St group was greater than that of the non-id-St group, although significant differences were observed only in the junior high school students (**Table 2**). The mean sleep duration of id-St was within the range of the authorized proposal [6]. The BMCT indicated a significant difference in the average weekly sleep duration among the three school types for both id-ST and non-id-St.

Table 2. Weekly sleep duration of ideal and non-ideal students.

School type N		id-St		Non-id-St	m vvolu o	d value
	N	mean, SD (hrs.)	N	p value N mean, SD (hrs.)		u value
Elementary school	422	62.0, 4.7	534	61.2, 5.4	0.02	<0.2
Junior high school	212	55.6, 6.5	837	54.1, 7.1	< 0.01	0.23
Senior high school	32	50.1, 9.0	503	48.6, 7.0	0.38	<0.2

id-St: ideal students; non-id-St: non-ideal students; SD: standard deviations; N: number; hrs.: hours.

The rates of students whose weekly sleep duration was less than 49 h were 0.9% for id-St and 1.9% for non-id-St among elementary school students, 12.7% and 17.1% among junior high school students, and 39.3% and 47.1% for senior high school students, respectively.

The mean daily sleep durations of id-St and 11 non-id-St categories were shown in **Table 3**. **Table 4** showed regression coefficients obtained by the least square method to calculate multiple regression line, setting assumed OSD as the dependent variable. Significant regression formula for assumed OSD was ob-

tained (adjusted  $R^2 = 0.996$ , p < 0.001), and the following formula to estimate daily OSD was obtained; 0.714\*(sleep duration of weekdays) + 0.284\*(sleep duration of non-schooldays) + 0.513\*(sleepiness score; 1 - 4) + 0.002\*(grade; 5 - 11) + 0.009\*[gender (male:1; female: 2)] - 0.005\*(social jetlag) + 0.008\*(standardized body mass index) - 0.501.

Table 3. Daily sleep durations of ideal students and 11 non-ideal students' categories.

Students	Standardized BMI	N	Averaged sleep duration (hrs.)	Standard deviation (hrs.)
Ideal students	Medium BMI	666	8.49	0.95
Non-id-St with no sleepiness (sleepiness score:1)	High BMI	56	8.45	0.87
	Low BMI	14	8.13	0.88
Non-id-St with sleepiness score 2	High BMI	94	7.91	1.26
	Medium BMI	1198	7.98	1.09
	Low BMI	36	8.14	1.07
Non-id-St with sleepiness score 3	High BMI	22	7.18	1.26
	Medium BMI	296	7.34	1.16
	Low BMI	8	7.71	0.86
Non-id-St with sleepiness score 4	High BMI	14	7.39	1.33
	Medium BMI	135	7.01	1.19
	Low BMI	1	6.57	N/A

non-id-St: non-ideal students; sleepiness score 1: never felt sleepiness during school class; sleepiness score 2: sometimes felt sleepiness during school class; sleepiness score 4: always felt sleepiness during school class; sleepiness score 4: always felt sleepiness during school class; BMI: body mass index; high BMI: 1.5 or more standardized BMI value; medium BMI: within  $\pm 1.5$  standardized BMI; low BMI: -1.5 or less standardized BMI; hrs.: hours; N: number; h: hours; N/A: not applicable.

**Table 4.** Regression coefficients obtained by the least square method to calculate multiple regression line, setting assumed optimal sleep duration as the dependent variable.

Variables	Regression coefficient				Q	
			95% CI		$ \beta$	p
Constant	-0.501	-0.540	to	-0.462	-0.501	0.001>
Grade (5 - 11)	0.002	0.000	to	0.004	0.004	0.038
Gender (male:1; female:2)	0.009	0.003	to	0.015	0.004	0.002
After school activity (hrs./week)	-0.000	-0.000	to	0.000	-0.000	0.960
Breakfast taking score	-0.003	-0.009	to	0.002	-0.002	0.188
Defecation frequency score	0.001	-0.002	to	0.004	0.001	0.655
Physical activity (days/week)	0.000	-0.001	to	0.001	0.001	0.420

#### Continued

Self-reported academic performance score	0.002	-0.002	to	0.006	0.002	0.259
Screen time score on schooldays	-0.005	-0.010	to	0.000	-0.004	0.053
Screen time score on non-schooldays	0.001	-0.003	to	0.005	0.001	0.599
Social jetlag (hrs.)	-0.005	-0.009	to	-0.001	-0.004	0.027
Sleep duration on schooldays	0.714	0.710	to	0.717	0.826	0.001>
Sleep duration on non-schooldays	0.284	0.281	to	0.286	0.372	0.001>
Sleepiness score	0.513	0.509	to	0.517	0.388	0.001>
Standardized BMI	0.008	0.005	to	0.011	0.008	0.001>

BMI: body mass index; CI: confidence interval;  $\beta$ : standardized regression coefficient.

#### 4. Discussion

This study revealed that the average weekly sleep duration of id-St exceeded 49 h, which is the lower sleep duration proposed as "may be appropriate" for children aged 6 to 17 years by the National Sleep Foundation of the USA [6]. Additionally, the mean weekly sleep duration of id-St in all school types was longer than that of non-id-St, and the rate of students whose weekly sleep duration was less than 49 h was higher in the non-id-St group compared to that in the id-St group. Suffice it to say that there was no contradiction to regard sleep duration of id-St as an OSD. However, it should also be noted that the currently obtained values for each school type were lower than reported OSDs [10]-[14]. Additionally, some id-St had a sleep duration of less than 49 hours. Moreover, subjective sleepiness is known to underestimate the real sleep deficiency [19]. Hence, students whose weekly sleep duration is less than 49 hours should be carefully monitored, even if they are considered id-St.

After confirming the above-mentioned two issues (sleep duration of id-St was within the range of the authorized proposal [6], and sleep duration of id-St is longer than that of non-id-St), the formula to estimate daily OSD was calculated. For example, estimated daily OSD of a grade-9-boy-student who had 6 hours sleep on schooldays, 9 hours sleep on non-schooldays, felt sleepiness sometimes in the class, social jetlag of 1.5 hours and had a standardized BMI of +1.3 was calculated as follows;  $(0.714 \times 6) + (0.284 \times 9) + (0.513 \times 2) + (0.002 \times 9) +$  $(0.009 \times 1) - (0.005 \times 1.5) + (0.008 \times 1.3) - 0.501 = 7.3949$  hours (=51.7643-hour weekly OSD). Most adolescents are known to sleep longer on the weekend than on weekdays [1] [35] [36]. This phenomenon, called weekend catch-up sleep, has become almost indispensable for modern adolescents [31] [35] [36]. Determining one's own OSD must be the first step to reduce a sleep debt [31], however, authorized proposals for the sleep duration [6] [7] demonstrated wide range due to the marked interindividual variations for each person's daily OSD. Hence, these recommendations tend to be neglected, even though many adolescents know that they are sleep deprived. Taking weekend catch-up sleep into consideration, it may be easier for student to assess their own OSD based on the weekly sleep duration. If 1.5-hour-catch-up sleep is allowed, we could advise the above-mentioned student to take 6.9663 (=  $[51.7643 - (1.5 \times 2)]/7$ ) -hour-sleep on schooldays and 8.4663 (= 6.9663 + 1.5) -hour-sleep on non-schooldays, respectively. If students know their own OSD without difficulty by using currently proposed formula, then students with a sleep debt may pay more attention to increase their sleep duration, resulting in improvements to their physical and mental health, although social pressure to decrease their sleep duration would still exist. If students themselves can recognize even a slight improvement in their mental and physical state by increasing their sleep duration, it is expected that their motivation to increase their sleep duration until reaching their own OSD will be enhanced. It is also important for the surrounding support to encourage students to take a step towards increasing their sleep duration, even if it's just a little.

The mean weekly sleep duration of id-St decreased significantly from elementary school to senior high school. However, a recent review pointed out the possibility that the OSD may not be shortened during adolescence [37]. Additional studies need to be conducted to investigate this issue.

There were several limitations in this study. First, the questionnaire was not standardized or validated. The survey, using the questionnaire referred to when creating the one for this study, has been conducted biennially since 1993 with revisions, using as the basic material for policy making [38]. In addition, it has been published describing lifestyles of children in Japan [38]. Although no scientific article discussing generalization of the questionnaire has been found [39], this questionnaire is assumed to be a generalized and established one. Second, the current study relied on self-reports from students and thus it lacked direct measurements of sleep duration, height, weight, and other evaluated factors. This design was one of the biggest limitations to the present study. However, the current sleep duration and the mean BMI values are similar to the results for Japanese schoolchildren [38]. This similarity could support the external validity of this study to some extent. The third problem was on the sleepiness scale. Though there are several standardized scales for assessing sleepiness, the present study used a simple one query with four choices. The Stanford Sleepiness Scale is a one-item self-report rating scale (7-point) specifically focusing on sleepiness [40], and the Epworth Sleepiness Scale evaluates the ease of falling asleep [41]. However, the test-retest reliability of the Epworth Sleepiness Scale has recently been found to be poor [42]. Although the original questionnaire [38] had a single question with two choices as for sleepiness, current study made four choices for a single query. Through the same single question with four choices, factors associated with sleepiness were assessed in a survey introduced above [24] [29]. By using the same simple questionnaire, sleepy pupils were independently associated with not only late bedtime but also poor academic performance and breakfast skipping [30]. There is a description that the scale with a single-item measure is best suited for repeated use over the course of a research study [43]. Forth, the present study also did not measure demographic factors such as family composition, socioeconomic status, sleeping/bedroom arrangements and parents' educational background. Among these factors, socioeconomic status is a crucial issue to examine in association with lifestyle behaviors, including sleep [44]. In addition, it may be important to know features of id-St, however, this study failed to know details on their geographic and economic features. Finally, this study was cross-sectional, and thus the current findings failed to identify a causal relationship. The study failed to obtain enough internal validity.

### 5. Conclusion

In spite of above-mentioned weak points, the current study raised the possibility that BMI in addition to sleepiness score could be used to assess an OSD. After confirming this possibility, this study proposed a simple formula to estimate individual OSD through easily obtainable parameters. Of course, further studies to confirm the current proposal are needed. Recognizing one's own OSD is the first step to reducing sleep debt [31]. It is expected that this study will help students suffering from chronic sleep debt.

# **Conflicts of Interest**

The author declares no conflicts of interest regarding the publication of this paper.

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