

# Simulations of High-Current Plasma Beam by Continuum and Statistical Mechanical Models

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## Abstract

The paper builds the high-current plasma beams model under different dimensions (1D, 2D, and 3D) by continuum (magnetohydrodynamics MHD) and statistical (Monte Carlo MC) mechanics under conditions of low pressures ( $10^{-3}$  Pa). After detailed presentation of the model, two methods firstly have been analyzed in terms of plasma beam properties. Then, we compare the simulation results of MHD numerical simulation with MC stochastic particles simulation. Finally, through further analysis, it is demonstrated that integrated hybrid MHD and MC method (IMHDMC) provides an innovative practical tool to capture essential properties of high-current plasma beams.

## Keywords

Plasma Beam Simulation, Magnetohydrodynamics, Monte Carlo, PHITS, IMHDMC

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## 1. Introduction

Plasma is a collection of electrons and ions, which is regarded as a truly multi-physics phenomenon, in the fourth fundamental state. Plasma exhibits strongly coupled interaction between electron and ion transport, electromagnetic fields, heat transfer, and fluid mechanics [1]. As a result of complexity of plasma physics, experiences are not sufficient to predict plasma behavior to desired level of accuracy and experimental plasma in details is difficult to be achieved due to extreme operating conditions. In this paper, author uses two computational

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modelling methods to simulate high-current plasma beams and one advanced method to analyze simulation results further. The important object, high-current plasma beams, is used to demonstrate HOPE Innovations Inc.'s alternative approach to generate fusion energy.

The long term purpose of HOPE's research is to validate the Z-pinch phenomenon used in the multi-pinch plasma for nuclear fusion. The aim of this paper is not to find analyze the fusion process, rather the use of alternative algorithms to properly map the plasma in a Z-pinch. Navier-stokes equations for plasma are normally used for mass transport analysis and plasma thrusters [2]. Monte Carlo, or better known as particle-in-cell, method is used mainly in ion thruster analysis [3]. This research explores the possibility of combining fluid analysis with particle analysis to have a complete picture of the plasma.

## 2. Methodology

The methodology encompasses the three methods of plasma simulations: continuum mechanics (magnetohydrodynamic (MHD) model), statistical mechanics (Monte Carlo model), and the hybrid of the two. The section covers the theory and assumptions, flow charts, and the reasoning behind the use of the hybrid model.

### 2.1. MHD Methodology

The MHD methodology covers the use of Navier-Stokes equations in a plasma environment. The assumptions covers the quasi-neutrality of the plasma; the flow chart covers how the algorithm solve the equations effectively.

#### 2.1.1. Assumptions

The 3D MHD model studied is time-dependent and based on the following main assumptions.

- 1) The plasma is treated as a single conducting fluid using macroscopic variables and their corresponding hydrodynamic conservation equations.
- 2) The single-fluid equations describe behavior of plasma, which is very-low-frequency and like large-scale fluid.

The aim of MHD method is to get general behavior of high-current plasma beams under low pressures.

#### 2.1.2. MHD Flowchart

The equations for mass density,  $\rho$ , velocity,  $\mathbf{v}$ , and charge density,  $\rho_e$ , are obtained by summing the corresponding multi-fluid equations over all species:

##### 1) Conservation of Mass

We start from the equation of total mass conservation in MHD is

$$\frac{\partial \rho}{\partial t} + \mathbf{v} \cdot \nabla \rho = 0. \quad (1)$$

The mass conservation dictates there is no change in the mass during transport.

##### 2) Conservation of Charge

The equation for conservation of charge is

$$\frac{\partial \rho_e}{\partial t} + \mathbf{v} \cdot \nabla \rho_e = 0. \quad (2)$$

##### 3) Conservation of Momentum

The momentum conservation equation in MHD is

$$\frac{\partial \rho \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \rho \mathbf{v} = -\nabla p + \nabla \tau + \mathbf{J} \times \mathbf{B} \quad (3)$$

where  $p$ ,  $\tau$ ,  $\mathbf{J}$ , and  $\mathbf{B}$  are the isotropic pressure, shear stress, current density, and magnetic field, respectively.

##### 4) Ohm's Law

$$\mathbf{J} = \sigma (\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad (4)$$

where  $\sigma$  and  $\mathbf{E}$  are the plasma conductivity and electric field, respectively.

## 5) Faraday's Law

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}. \quad (4)$$

The 1D, 2D and 3D profiles of the above-mentioned parameters have been successfully modelled. The 2D profiles are constructed in the polar coordinates  $(r, \theta)$ . The 3D profiles are generated in the cylindrical coordinate system  $(r, \theta, z)$ . The flowchart of MHD simulation is shown in **Figure 1**.

## 2.2. MC Methodology

Then methodology of the Monte-Carlo covers the theory and the flow chart of the algorithm. The theory covers the layers of the algorithm. It is much slower than the MHD method, but the algorithm covers both continuum and statistical mechanics of the fluid. The flow chart illustrates the path of the algorithm to simulate the plasma.

### 2.2.1. MC Theory

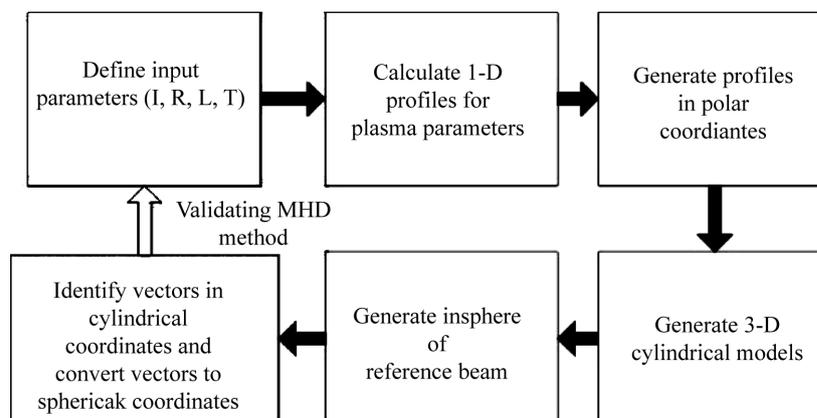
In MC theory, when we consider an electron crosses one or several layers of the plasma, path length is firstly assumed to be obtained by mean free path and random number in initial layer. Then next path length is corrected directly based on the ratio of mean free paths of several layers which the electron traverses. The MC program is composed of three parts as shown in **Figure 2**.

Where  $N$  and  $L$  are the number of traced electrons and the number of steps of the tracing electron, respectively.  $N_m$  and  $L_m$  are the corresponding maximum values.  $E_k$  denotes the kinetic energy and  $Z$  denotes the depth of the tracing electron.  $T_i$  denotes the total thickness of sample and  $E_c$  denotes the cut-off energy.

### 2.2.2. MC Flowchart

The particle and heavy ion transport code is an essential implement in the design and study of the high-current plasma beams. The multi-purpose Monte Carlo Particle and Heavy Ion Transport code System (PHITS) [5] [6] based on the NMTC (Nuclear Meson Transport Code) and JAM (Jet-Aa Microscopic Transport Model) has been developed. The physical processes which PHITS includes in a multipurpose simulation code are divided into two categories: the transport process and the collision process. In the transport process, the PHITS can simulate a motion under external fields such as magnetic and electric fields. Without external fields, neutral particles move along a straight trajectory with constant energy up to a next collision point. The second category of the physical processes is collision with nuclei in material. According to the mean free path, the PHITS chooses next collision point using the MC method. To generate secondary particles of the collision, we need the information on final state of collision.

In **Figure 3**, we have six steps to simulate high-current plasma beams by MC method. In first step, the geometry of high-current plasma beams is defined using Boolean operator, including cells and surfaces, etc. Next, we use infinite space and fill them with certain materials and define them as void. The wall is made of copper and plasma beam is produced by ionized electron source beam. In the third step, we select cylindrical shape of



**Figure 1.** Flowchart of MHD simulation.

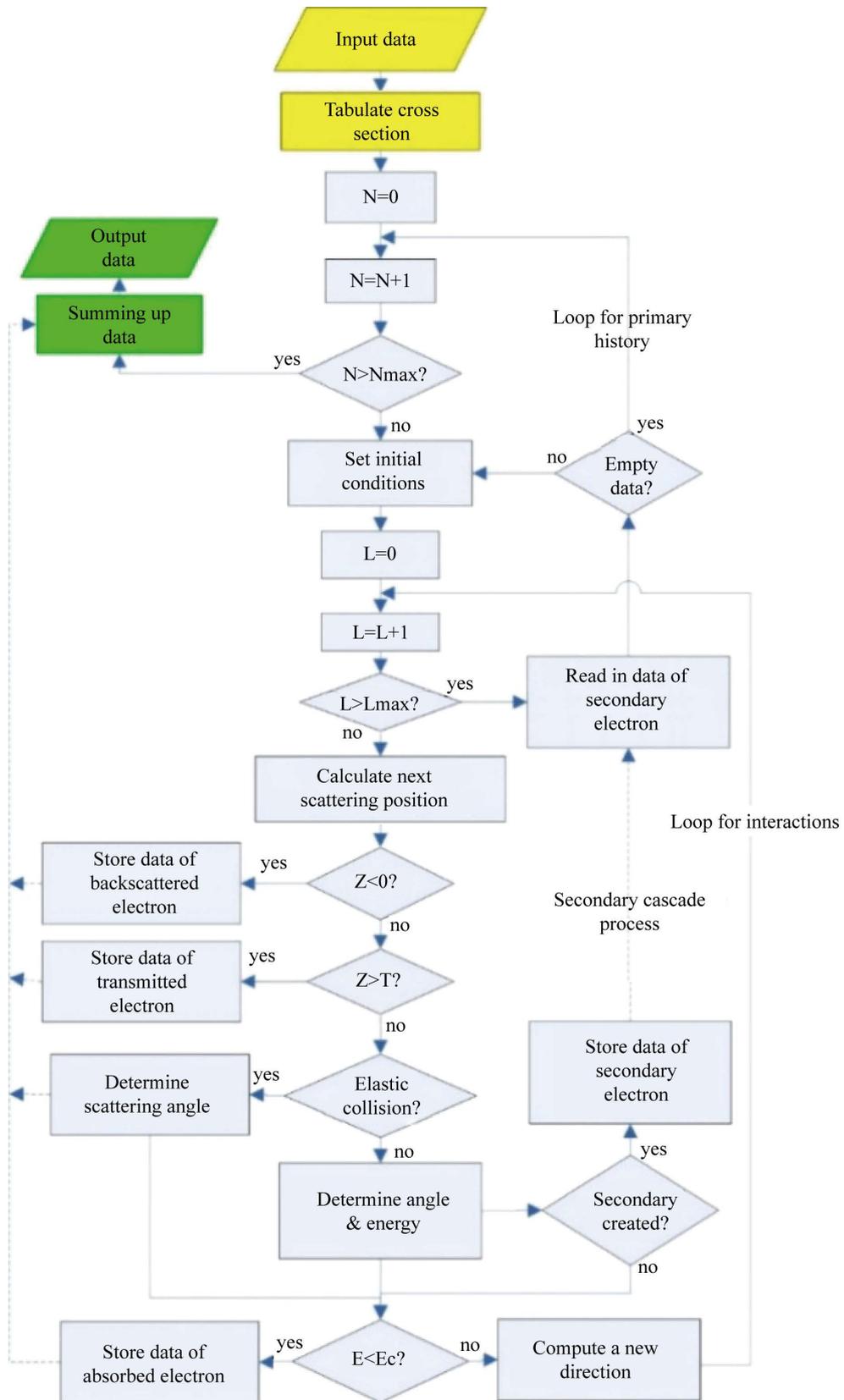


Figure 2. MC algorithm [4] in MC model.

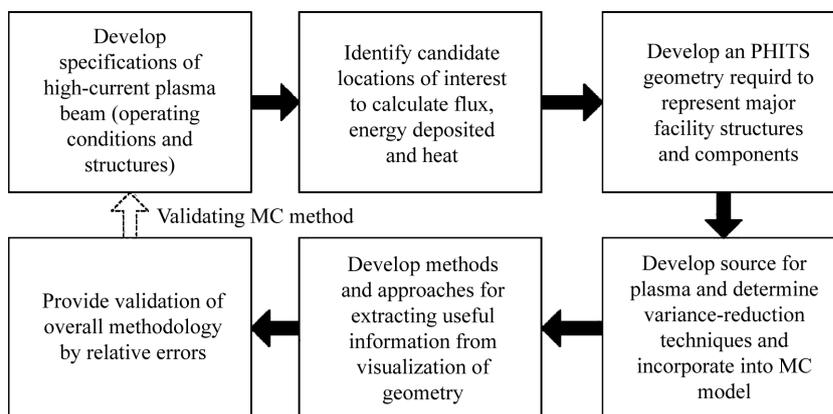


Figure 3. MC flowchart by PHITS for high-current plasma beams.

source distribution with mono-energy source particle. Then, we use [T-3dshow] and [T-gshow] tallies for checking geometry, such as 2D and 3D visualizations, and we also use [T-track], [T-heat] and [T-deposit] tallies for calculating physical quantities, such as particle flux and heat and deposition energy. In the final step, some control functions are applied to improve MC simulation accuracy, such as calculation mode and particle transport simulation (maxcas and maxbch).

### 2.3. IMHDMC Methodology

In **Figure 4**, it illustrates that IMHDMC method includes three parts: general input, two models coupled and interaction, final output. Once we obtain MHD and MC simulation results, IMHDMC method is used to integrate simulation results in order to obtain reasonable and useful analysis in industrial applications, such as plasma gasification and fusion energy generation. Finally, simulated results from MHD and MC methods are validated by IMHDMC method.

## 3. Simulation

To initiate a high-current plasma beam, a voltage is applied to both ends of a single carbon tube passing through the chamber, as shown in **Figure 5**. A notch or a length of thinner tube is made at the center point of the carbon tube. The tube is expected to vaporize starting from the center thinned-down location, and thus a plasma arc is generated and maintained by the supplied voltage and current. When the current passing through the plasma media is high enough, a pinched plasma beam forms under the influence of the Lorentz force. The plasma beam may extinguished when the gap between the electrodes exceeds the length.

### 3.1. MHD Simulation

The self-induced force density [8] (or Lorentz force) of the plasma beams has to be calculated from the vector components of  $J$  and  $B$ . **Figure 6** shows the equilibrium in the  $z$ -pinch which can be represented by a cylindrical plasma column of radius  $a$  with cylindrical coordinates  $(r, \theta, z)$ . The equilibrium is treated by assuming axial symmetry. The axial plasma current has a current density distribution  $J_z(r)$ . The axial current  $J_z(r)$  generates an azimuthal magnetic field  $B_\theta(r)$ .

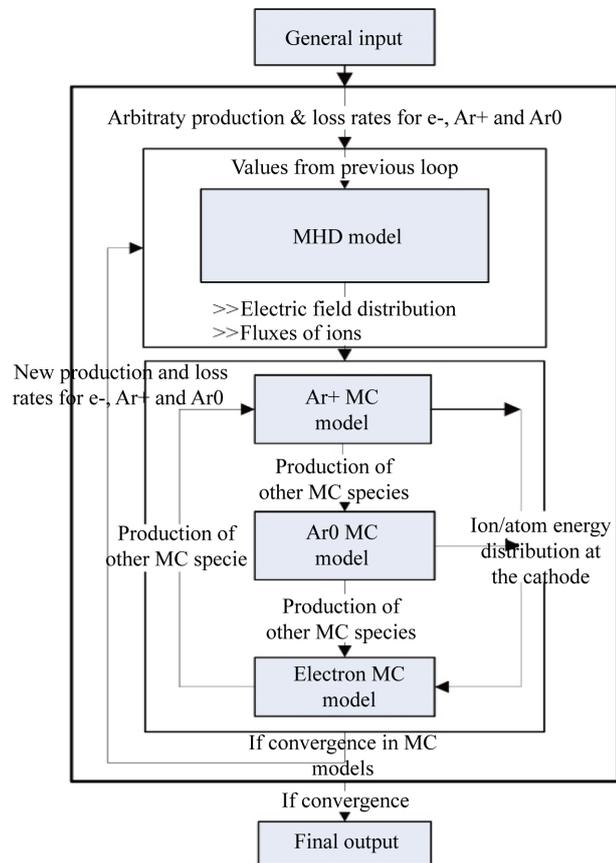
The azimuthal field is defined inside the plasma by [9]

$$B_\theta(r) = \frac{\mu_0 I r}{2\pi a^2} \quad (5)$$

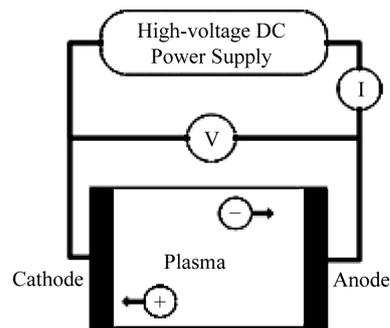
where  $I$  is the plasma current.

By analyzing Equation (1) to Equation (3), current density  $J(r)$  is related to magnetic field, we can get

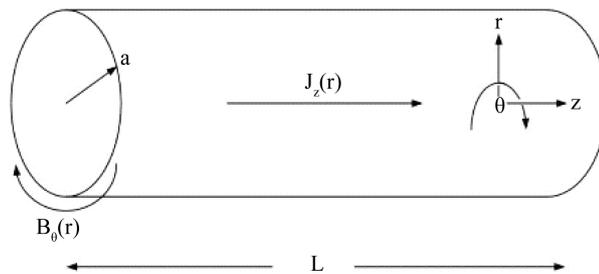
$$J_z(r) = \frac{1}{\mu_0} \left( \frac{dB_\theta}{dr} + \frac{B_\theta}{r} \right) = \frac{I}{\pi a^2} = \text{constant}, \quad (6)$$



**Figure 4.** IMHDMC [7] flowchart for high-current plasma beams.



**Figure 5.** Simple representation of a discharge tube-plasma.



**Figure 6.** Equilibrium of Z-pinch configuration.

which suggests that current density is uniform across the plasma.

The plasma pressure is integrated from  $r$  to  $a$  and solving for  $P$  leads to [10]

$$P(r) = \frac{\mu_0 I^2}{4\pi^2 a^4} (a^2 - r^2) = P(0) \left( \frac{a^2 - r^2}{a^2} \right) \quad (7)$$

which is a parabolic profile. The overall pressure profile is

$$P(r) = kT(r)n(r) = kT(0) \left( \frac{a^2 - r^2}{a^2} \right)^{\frac{2}{3}} n(0) \left( \frac{a^2 - r^2}{a^2} \right)^{\frac{1}{3}}, \quad (8)$$

where  $k$  is the Boltzmann constant. The plasma density and temperature profiles can be written as:

$$n(r) = n(0) \left( \frac{a^2 - r^2}{a^2} \right)^{\frac{1}{3}} = \frac{P(0)}{kT(0)} \left( \frac{a^2 - r^2}{a^2} \right)^{\frac{1}{3}}, \quad (9)$$

$$T(r) = T(0) \left( \frac{a^2 - r^2}{a^2} \right)^{\frac{2}{3}}. \quad (10)$$

### 3.2. MC Simulation

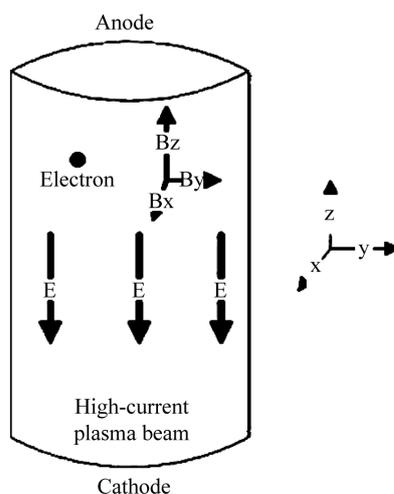
The electrons in plasma participate in elastic and inelastic collisions and these collisions mainly cause changing energy and direction of moving electrons. The basic MC theory is based on Null-Collision technique [11] and the electron flux, heat and deposit energy have been investigated.

#### 1) MC Simulation Model

The MC simulation model is shown in **Figure 7** and the electric field in high-current plasma beam is assumed to be uniform in the cathode region. Besides, the magnetic field is time-independent in space.

#### 2) Simulation Algorithm

Firstly, MC simulation is started with initial electrons of high-current plasma beams emitted from the cathode. For an initial electron, its exact position is  $x = 0, y = 0, z = 0, r = 0$ , which is assumed to have an initial projectile energy value at 158 keV. The entry angle is randomly selected in line with a cosine distribution. Electrons are assumed to move freely, until an arbitrary collision happens. The flight time of electrons between two successive collisions is determined by the Null-Collision method. The motion equations of electrons are integrated by a fourth order Runge-Kutta routine. The energy of electrons after one collision can be used as the starting condition



**Figure 7.** High-current plasma model for MC simulation.

for the free motion of electrons until next collision. The electron is assumed to be scattered isotropically and its new direction is calculated by

$$\cos(\theta) = 1 - 2N_1, \tag{11}$$

$$\varphi = 2\pi N_2, \tag{12}$$

where  $\theta$  and  $\varphi$  denote polar angles,  $N_1$  and  $N_2$  denote uniform random numbers between 0 and 1. Electrons are followed from the source, through whole life history of collisions, until they escape the limitation (copper wall). The sequence is repeated continuously for the initial electrons ( $10^6$ ), and the important parameters are calculated as an average of all electrons.

### 3.3. IMHDMC Simulation

The simulation starts with MHD model, using arbitrary production and loss rates for Ar and electrons densities. In MHD model, the electric field distribution, current density and Lorentz force density calculated are used as input in MC model. After one complete run of MC model have been carried out, this run is repeated and has all the data from MC model. Through the same pattern, a number of consecutive runs of MC model have to be performed, until convergence is reached, which is defined by plasma fluxes arriving at the cathode. When convergence is achieved within MC model, MHD model starts to use appropriate production and loss rates, which are obtained from MC model. The results yield a new electric field distribution, new current density and Lorentz force density profiles of plasma. These new data are inserted again in MC model, then the procedure of simulating MC model is repeated in the same way.

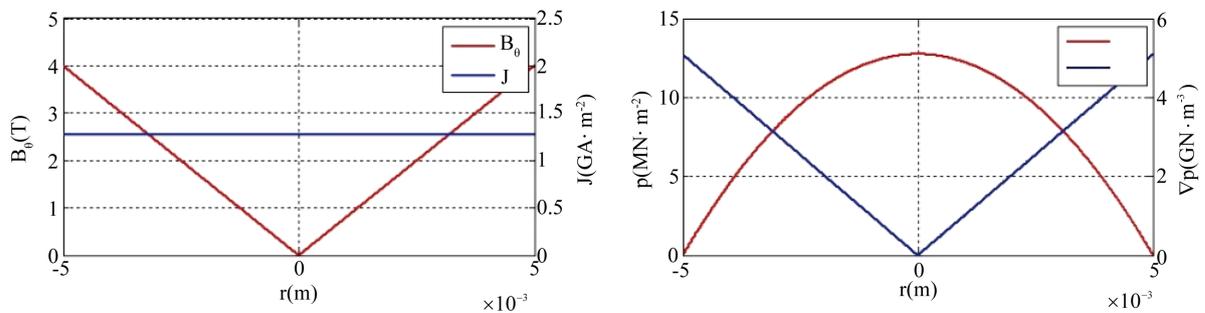
## 4. Results and Discussion

This sections covers the results from the three methods. Firstly, the MHD results and discussion on the results; then the MC method; and finally the hybrid model, and the comparison among the three.

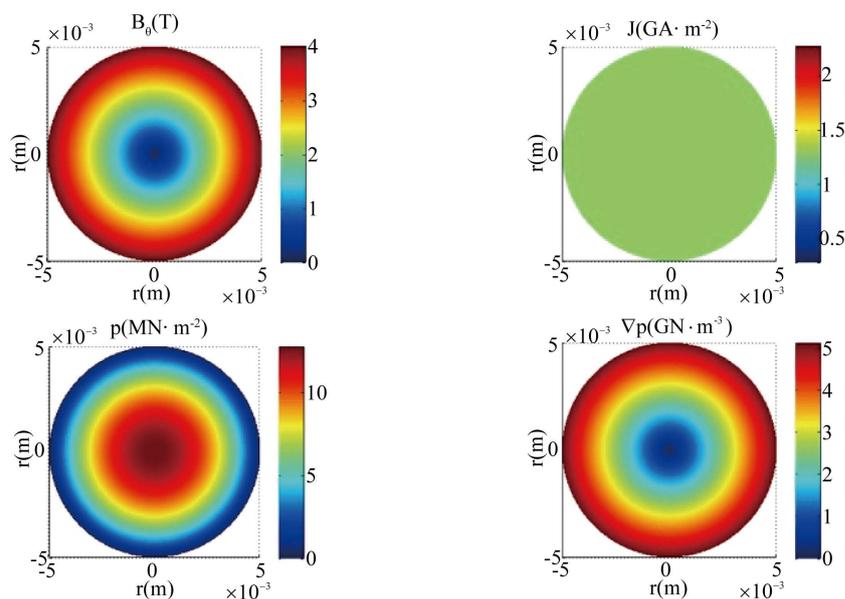
### 4.1. MHD Method

The radial profiles of plasma parameters in a single plasma beam are shown in **Figure 8**, and the profiles are calculated using Equation (1), Equation (2), and Equation (5). These profiles are used to construct the polar profiles required for the 3D modelling of plasma beam. **Figure 9** shows the polar profiles of plasma parameters of single plasma beam. The color bar in each plot represents the variation of the plasma parameter over the beam radius.

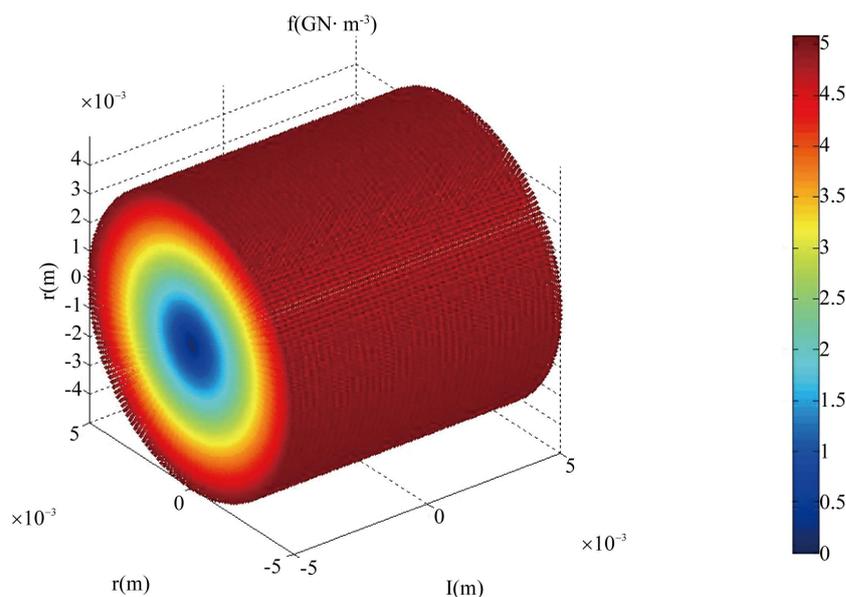
The 3D model for high-current plasma parameters is shown in **Figure 10**. The model represents the Lorentz force (or the force density) acting on the plasma beam. The length of model is about 10 mm which corresponds to the diameter of the focal region. Since the model is constructed in the cylindrical coordinates ( $r, \theta, z$ ), the corresponding in-sphere has to be converted to the spherical coordinates ( $r, \theta, \varphi$ ). **Figure 11** shows Lorentz force for high-current plasma beam. The force for high-current plasma beam is calculated by integrating force density over cylinder volume.



**Figure 8.** Radial profiles of plasma parameters in high-current plasma beam. The negative represents the opposite direction of the conventional cylindrical coordinates.



**Figure 9.** Polar plots of plasma parameters in high-current plasma beam.



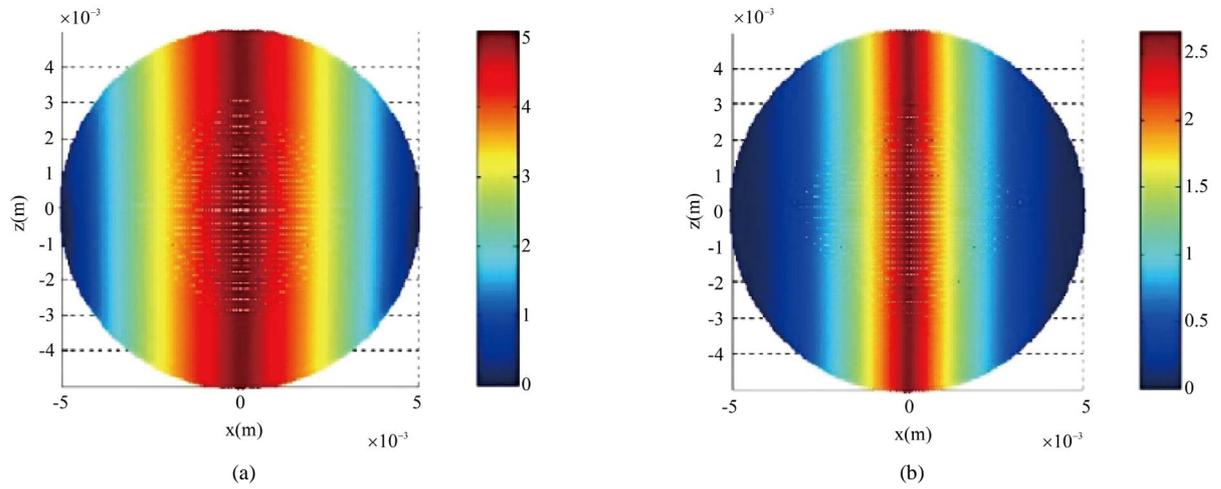
**Figure 10.** 3D model of Lorentz force for high-current plasma beam.

## 4.2. MC Method

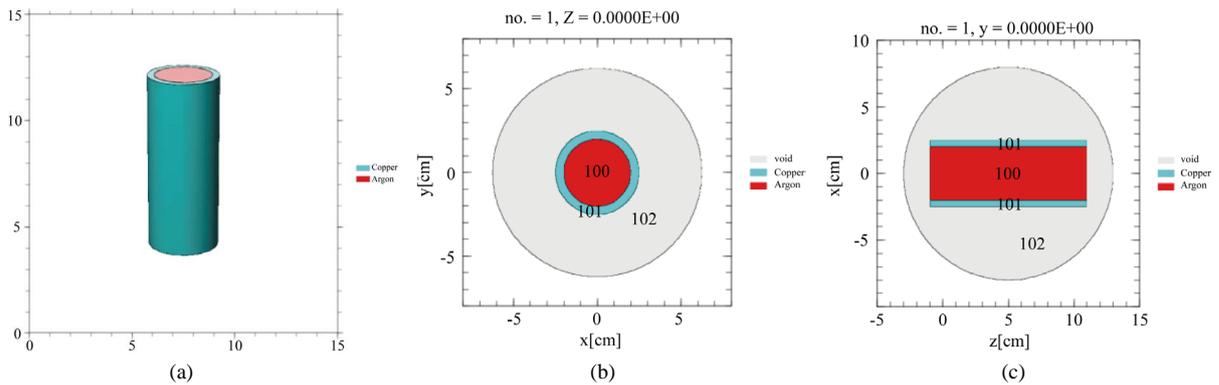
### 4.2.1. Plasma Trajectory and Flux

Tally [T-3dshow] gives us a 3D plasma beam geometry, as shown in [Figure 12](#). We can see that argon is in red color and copper is in cyan color and check 2D geometry of MC model by tally [T-gshow]. The sphere is hypothetical so that it is supposed to be void region.

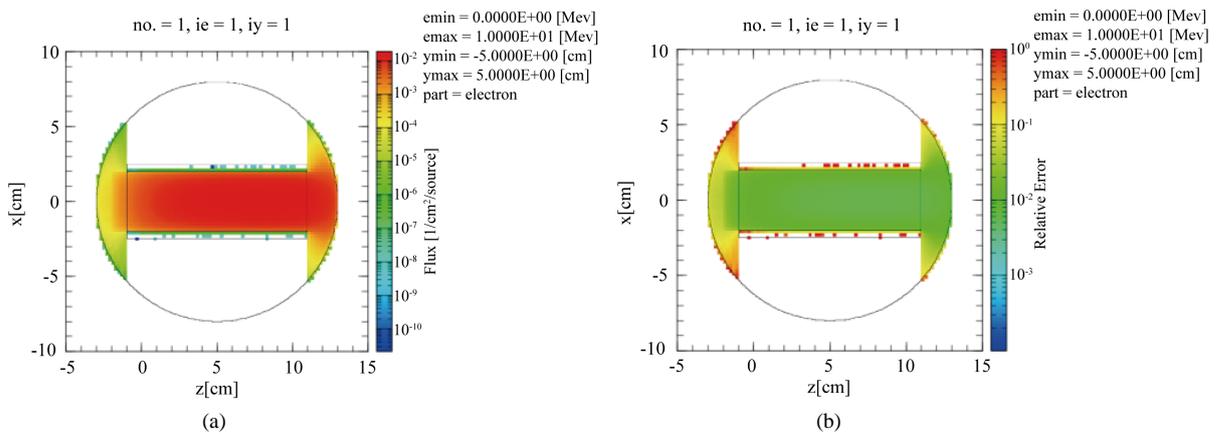
We can check trajectory and flux of electron source under magnetic field by [t-track] tally. In PHITS input file, we can let electron source direction along with z axis by setting  $dir = 1$  in FORTRAN format. Under effect of magnetic field ( $10 \text{ KG} = 1\text{T}$ ), the flux shape of plasma beam is formed as shown in [Figure 13](#). In the part (a), plasma beam has an average energy around  $10^{-2}$  MeV inside of copper. In the inlet of copper, electron has lower energy and it distributes along with surface of sphere in void region. The energy gradient is obvious from  $10^{-3}$



**Figure 11.** (a) Force density for high-current plasma beam and (b) force for high-current plasma beam.



**Figure 12.** (a) Plasma beam 3D geometry by [T-3dshow] tally; (b) Plasma beam 2D geometry on xy plane; (c) Plasma beam 2D geometry on xz plane.



**Figure 13.** Electron flux of electron source on x-z plane in part (a) and its corresponding relative errors in part (b).

MeV to  $10^{-6}$  MeV along x axis. Since plasma beam is along with positive of z axis, the outlet energy is higher than the inlet energy from  $10^{-4}$  MeV to  $10^{-2}$  MeV. The energy gradient is a little blur compared with the outlet energy gradient. In the part (b), the relative error is so small in plasma region so that it is convinced that the simulation results have high accuracy. In the process of this simulation, it takes almost 1 hour to run all algorithms.

### 4.2.2. Plasma Deposition Energy Distribution

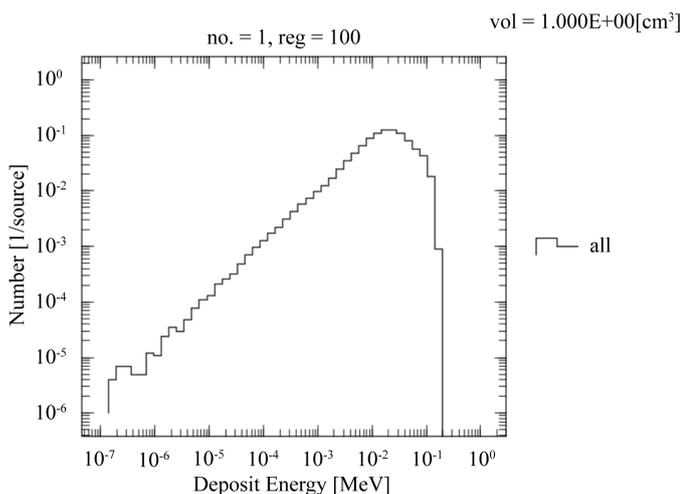
Only ionization energy losses by charged particles (electron) are scored by [T-deposit] tally. Particles with energy below  $e_{min}$  parameters are not traced by PHITS simulation. Plasma beam mainly distributes in cell 100 so we use reg mesh. In **Figure 14**, the deposition energy distribution is similar to Gaussian distribution. Since total number of particles is  $10^6$ , more than  $10^4$  source particles have  $10^{-2} - 10^{-1}$  MeV deposit energy. With decrease of deposit energy, the number of source particles are reduced. It takes 1 hour to finish running all algorithms by PHITS.

### 4.2.3. Heat Distribution

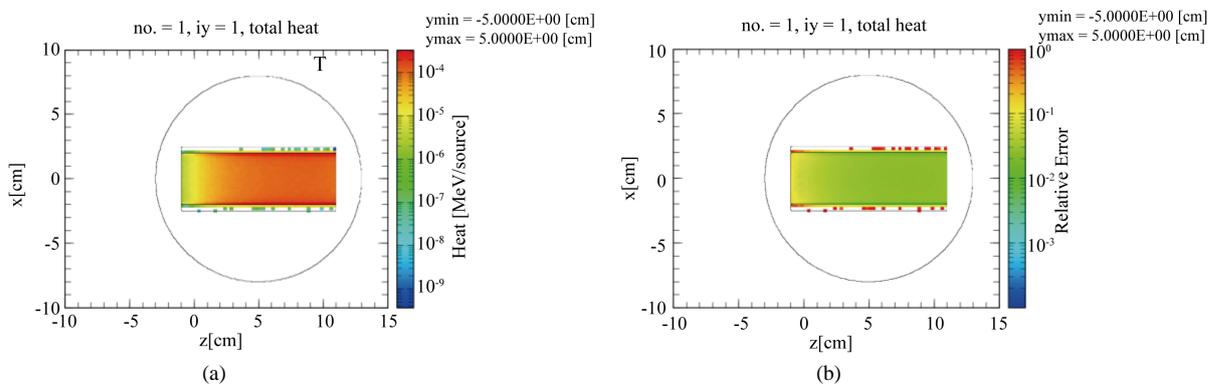
During process of argon ionization, neutral atoms and ions are produced. We can use [T-heat] tally to calculate neutral and ion doses. In **Figure 15**, for the part (a), plasma beam has low heat and the value is smaller than  $10^{-4}$  [MeV/source]. The heat is almost uniform except the entrance part. In copper region, there are also some heat distribution since neutrals and ions penetrate into copper and produce  $10^{-7}$  [MeV/source] heat. In the part (b), we can see that relative errors of heat is smaller than 0.1 in plasma beam. According to relative error on the edge of copper, it is reasonable for us to neglect heat value in the part (a) corresponding to the same position. It takes around 1 hour 16 minutes to obtain heat distribution simulation.

### 4.3. IMHDMC Method

The IMHDMC method is a comprehensive method that integrates MHD and MC simulation results together. Therefore, it links magnetohydrodynamic fluid with particle transport and collision in high-current plasma



**Figure 14.** Deposition energy (MeV) calculated in region 100.



**Figure 15.** Dose of neutrals and ions are calculated by the Kerma approximation in [T-heat] tally in (a) and its corresponding error in (b).

beams. A MHD simulation defines spatial distribution of magnetohydrodynamic fluid fields and this distribution is then employed by a MC simulation to characterize energy deposited and heat field. MATLAB codes are used for MHD simulation, while PHITS is used for MC transport and collision simulation. According to IMHDMC simulation, Lorentz force are main parameters which affect fluid flow in a magnetic field. In the center of high-current plasma beam, there is highest particle flux where there is largest Lorentz force density. Besides, the pressure starts to decrease from center point along radial direction, which is same as heat distribution. Finally, high-current plasma beam deposits energy in a form of Gaussian and the maximum value is at initial energy value.

## 5. Conclusion

High-current plasma beams are simulated by two methods: MHD and MC methods. Then it is analyzed by IMHDMC method. For MHD method, the initial work is focused on developing analytical model for high-current plasma beams. Numerical simulations are successfully conducted using MATLAB codes to obtain various plasma parameters. For MC method, we use tallies to check 2D and 3D plasma beam geometry. Also, we develop electron flux on two planes and the heat and deposit energy distributions are obtained. For all calculations, the accuracy is satisfied since the relative errors are smaller than 0.01. Finally, the unified viewpoint by IMHDMC method in the same conditions provides us a totally new picture to analyze the simulation results correctly.

## Acknowledgements

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