

# Phase-Matching and Parametric Conversion for the Mid-Infrared in As<sub>2</sub>S<sub>3</sub> Waveguides

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## ABSTRACT

We illustrate two As<sub>2</sub>S<sub>3</sub> waveguide designs for four-wave mixing, which can generate 3.03 μm mid-infrared light from a 1.55 μm near-infrared signal source and a 2.05 μm pump source. Through simulations, we verify that four-wave mixing phase-matching efficiencies up to 100% can be achieved using dispersion engineering to maintain the dispersion at 2.05 μm near to zero. The best conversion efficiency is -10 dB. When the waveguide length is 1 cm, the parametric conversion bandwidth is 1525 nm. We also evaluated the shift of 100% phase-matching efficiency wavelengths based upon fabrication tolerances.

**Keywords:** As<sub>2</sub>S<sub>3</sub>; Mid-Infrared; Phase-Matching; Four-Wave Mixing

## 1. Introduction

Four-wave mixing (FWM) is a third-order nonlinear process. In degenerate FWM, if the phase-matching condition is met, two pump photons and a signal photon can generate an idler photon. In mid-infrared (Mid-IR) region, the vast majority of gaseous chemical substances exhibit fundamental vibrational absorption bands, and the absorption of light provides a nearly universal means for their detection [1]. The high sensitivity and capability of non-intrusive *in-situ* detection make it ideal for trace gas detection.

Recently, although many papers have been published on FWM in silicon waveguides for both near-infrared (near-IR) [2-9] and mid-IR [10,11] and in chalcogenide waveguides for near-IR [12-14], the parametric conversion by FWM for mid-IR in chalcogenide waveguides has not been demonstrated yet. In addition, the applications silicon waveguides cannot be utilized over 2.5 μm wavelength region due to the strong absorption from the substrate material, silicon dioxide (SiO<sub>2</sub>). On the contrary, lithium niobate (LiNbO<sub>3</sub>), as a widely-used optical material, has very high transmission over the wavelength range from 0.42 to 5.2 μm and exhibits excellent electro-optic, nonlinear and piezoelectric properties. Therefore, it is a good substrate material for mid-IR integrated optics up to 5 μm.

Chalcogenide glasses have been investigated as an alternative platform for nonlinear signal processing [15]. They are a set of amorphous materials which show good transparency and low loss for both 1.3 μm - 1.55 μm tele-

communication windows and into the mid-IR region. Arsenic tri-sulfide (As<sub>2</sub>S<sub>3</sub>) is very popular among them by its low two photon absorption (TPA) coefficient, which makes it ideal for nonlinear optics. Magnesium fluoride (MgF<sub>2</sub>), which has wide transmission range (0.2 μm - 7 μm) into the mid-IR, is a good candidate for cladding material because of its lower refractive index. Magnesium fluoride (MgF<sub>2</sub>), which has wide transmission range (0.2 μm - 7 μm) at mid-IR, is a good candidate for cladding material because of its ruggedness and durability. It also keeps the potential of optical tuning by adding electrodes on it.

In this paper, we report two As<sub>2</sub>S<sub>3</sub> waveguide designs, which have near zero dispersion at a pump wavelength of 2.05 μm and satisfy the phase-matching condition at a signal wavelength of 1.55 μm. The corresponding idler wavelength is 3.03 μm which is a common operation wavelength for trace gas sensors [16]. The FWM phase-matching efficiency can be as high as 100%. Through simulations, we verify that the parametric conversion efficiency is -10 dB for pump power intensity of 0.1 GW/cm<sup>2</sup>. Under the same intensities, our results are 20 dB better than the parametric conversion efficiency of silicon waveguides.

## 2. Dispersion Engineering

To satisfy the phase-matching condition, dispersion engineering is required. In the first place, we have to maintain the very low dispersion at the pump wavelength by varying the waveguide dimensions. To achieve high effi-

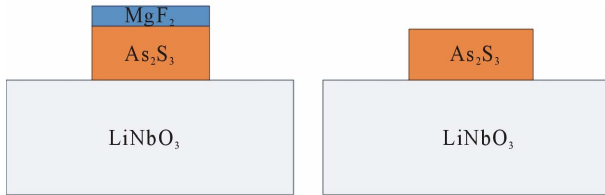
cient FWM, it is also necessary to tune the 100% phase-matching efficiency at signal wavelength by changing cladding thickness. **Figures 1(a)** and **(b)** show that the structures of  $\text{As}_2\text{S}_3$  waveguide with and without  $\text{MgF}_2$  top cladding. The reason to simulate different designs is to evaluate the influence of  $\text{MgF}_2$  on conversion bandwidth.

The resulting dispersion curves for the fundamental TE mode are demonstrated in **Figure 2**. All simulations are from Fimmwave, a commercial software by Photo Design Inc. When the waveguide width is  $1.4 \mu\text{m}$  and the height is  $1.7 \mu\text{m}$  with  $0.18 \mu\text{m}$   $\text{MgF}_2$  cladding on top of it, the dispersion at  $2.05 \mu\text{m}$  is  $1.2 \text{ ps/nm/km}$  which is very close to zero; when the waveguide width is  $1.5 \mu\text{m}$  and the height is  $1.685 \mu\text{m}$  without a cladding material, the zero dispersion wavelength is exactly  $2.05 \mu\text{m}$ .

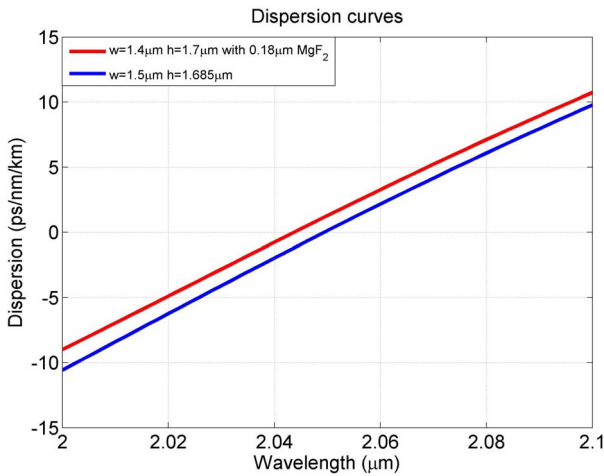
### 3. Phase-Matching Condition and FWM Phase-Matching Efficiency

FWM is a nonlinear phase-matched process resulting from the near-instantaneous third-order susceptibility,  $\chi^{(3)}$  [17]. For degenerate FWM, the relationship of the three wavelengths can be described as:

$$\frac{1}{\lambda_i} = \frac{2}{\lambda_p} - \frac{1}{\lambda_s} \quad (2)$$



**Figure 1.** (a)  $\text{As}_2\text{S}_3$  waveguide with  $\text{MgF}_2$  cladding (b) without cladding.



**Figure 2.** Dispersion curves for two  $\text{As}_2\text{S}_3$  waveguide designs.

where  $\lambda_i$ ,  $\lambda_p$  and  $\lambda_s$  are idler, pump and signal wavelengths. The FWM phase-matching efficiency depends on how well the phase-matching condition is satisfied: where  $n_s$ ,  $n_i$  and  $n_p$  are effective indices of signal, idler and pump wavelengths;  $P_p$  is pump power.

$$\Delta\kappa = 2\pi \left( \frac{n_s}{\lambda_s} + \frac{n_i}{\lambda_i} - 2 \frac{n_p}{\lambda_p} \right) + 2\gamma P_p \quad (2)$$

$$\gamma = \frac{2\pi n_2}{\lambda_p A_{eff}} \quad (3)$$

$\gamma$  is nonlinearity coefficient.  $A_{eff}$  is effective mode area for the pump, which are  $1.84 \mu\text{m}^2$  and  $1.99 \mu\text{m}^2$  for the structures with and without  $\text{MgF}_2$  cladding, respectively.

If the phase-matching condition is achieved, the FWM efficiency can be very high. It is described by the following formula in [18]:

$$\eta^2 = \frac{\alpha_0^2}{\alpha_0^2 + \Delta\kappa^2} \left[ 1 + 4e^{-\alpha_0 L} \frac{\sin^2(L\Delta\kappa/2)}{(1 - e^{-\alpha_0 L})^2} \right] \quad (4)$$

where  $\alpha_0$  is the waveguide propagation loss at  $2.05 \mu\text{m}$ . We use a value of  $0.33 \text{ dB/cm}$  from our previous work [19]. Since TPA coefficient of  $\text{As}_2\text{S}_3$  is very small, the nonlinear loss due to it can be neglected.  $L$  is the length of  $\text{As}_2\text{S}_3$  waveguide,  $4 \text{ cm}$ .

**Figure 3** shows the phase-matching curves for the two  $\text{As}_2\text{S}_3$  waveguide designs. The phase-matching condition is met at  $1.55 \mu\text{m}$ ,  $3.03 \mu\text{m}$  and the region near the pump wavelength, which means that the FWM phase-matching efficiency, depicted in **Figure 4**, can be as high as 100% at these wavelengths for both designs. In wavelength regions near  $1.55$  and  $3.03 \mu\text{m}$ , the FWHM of the structure without cladding is  $25 \text{ nm}$ , which is  $9 \text{ nm}$  wider than that of structure with  $\text{MgF}_2$  cladding on top.

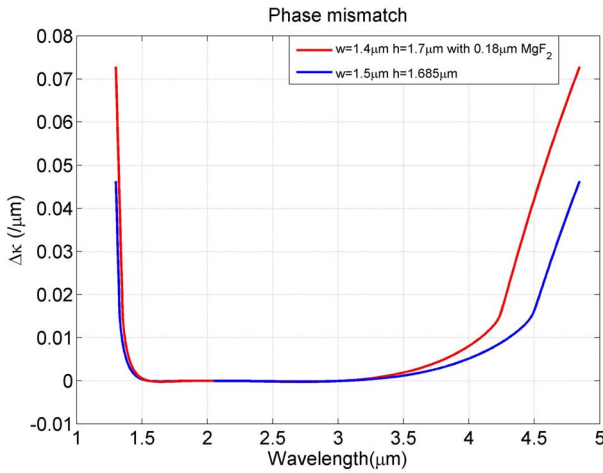
### 4. Coupled Equation and Parametric Conversion Efficiency

FWM can be described by the following coupled differential equations [20]:

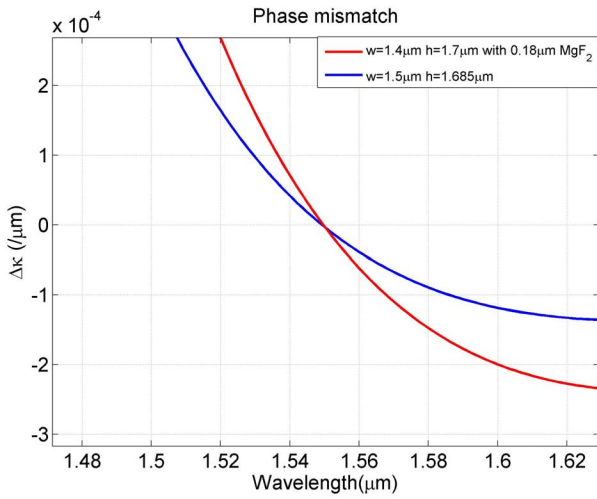
$$\begin{aligned} \frac{\partial A_s}{\partial z} &= i\gamma \left( |A_s|^2 + 2|A_i|^2 + 2|A_p|^2 \right) A_s \\ &+ \gamma A_p^2 A_i^* \exp(-i\Delta\kappa z) \end{aligned} \quad (5)$$

$$\begin{aligned} \frac{\partial A_i}{\partial z} &= i\gamma \left( |A_i|^2 + 2|A_s|^2 + 2|A_p|^2 \right) A_i \\ &+ \gamma A_p^2 A_s^* \exp(-i\Delta\kappa z) \end{aligned} \quad (6)$$

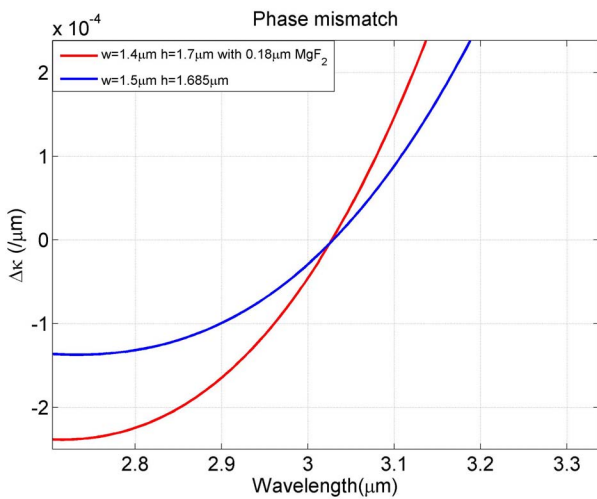
$$\begin{aligned} \frac{\partial A_p}{\partial z} &= i\gamma \left( |A_p|^2 + 2|A_i|^2 + 2|A_s|^2 \right) A_p \\ &+ 2\gamma A_i A_s A_p^* \exp(i\Delta\kappa z) \end{aligned} \quad (7)$$



(a)

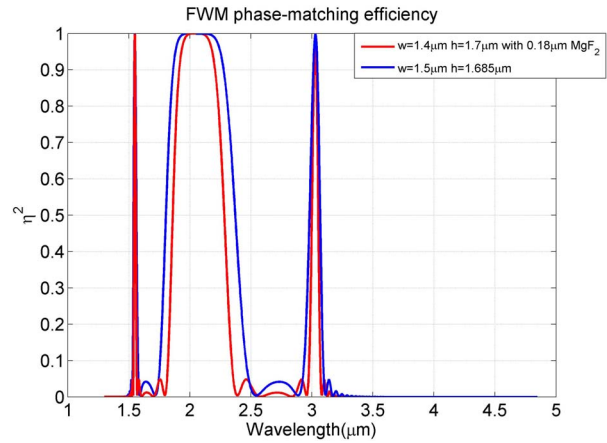


(b)



(c)

**Figure 3.** (a) Phase mismatch curves as a function of signal wavelength; (b) Phase mismatch around 1.55 μm and (c) 3.03 μm.



**Figure 4.** FWM phase-matching efficiency as a function of signal wavelength.

where  $A_p$ ,  $A_s$  and  $A_i$  denote the complex electric field amplitude of pump, signal and idler wavelengths, respectively. An improved understanding can be obtained by considering a strong pump and a weak signal incident such that the pump remains undepleted during the FWM process [20]. Therefore, the coupled differential equations can be solved by an analytic method. The parametric conversion efficiency is described by:

$$G_i = \left[ \frac{\gamma P_p}{g} \sinh(gL_{eff}) \right]^2 \quad (9)$$

where  $g$  is parametric gain and  $L_{eff}$  is the effective  $As_2S_3$  waveguide length for nonlinear process. They can be expressed by:

$$g = \sqrt{(\gamma P_p)^2 - (\Delta\kappa/2)^2}$$

For simulation purposes, the pump power intensity coupled into the  $As_2S_3$  waveguide are chosen to be 0.1  $GW/cm^2$  and 0.01  $GW/cm^2$ , respectively. The parametric conversion

$$L_{eff} = \frac{1 - \exp(-\alpha_0 L)}{\alpha_0} \quad (10)$$

efficiency  $G_i$  is shown in **Figure 5**. When  $L$  is 4 cm,  $G_i$  is -10 dB and -30 dB for each pump power intensity. Under the same intensities, our results are 20 dB better than the parametric conversion efficiency of silicon waveguides in [21]. The remarkable improvement is mainly due to the 100% FWM phase-matching efficiency  $\eta^2$ .

### 5. Fabrication Tolerance

In addition to the high nonlinearity, chalcogenide glass exhibits photosensitivity, too [22]. We have studied the photodarkening effect of  $As_2S_3$  in a hybrid Mach-Zehnder interferometer [23]. A uniform exposure to

intensive green light can increase  $\text{As}_2\text{S}_3$  refractive index by 1% [5], which can change the phase-matching condition of the  $\text{As}_2\text{S}_3$  waveguides. It provides the tuning ability after traditional photolithography.

Figure 6 shows that the FWM phase-matching efficiency curves for the design with  $\text{MgF}_2$  cladding when the refractive index of  $\text{As}_2\text{S}_3$ , waveguide width or height is changed. These cases may happen during fabrication. The changes shift the 100% phase-matching efficiency wavelengths, which are summarized in Table 1.

Figure 7 demonstrates the parametric conversion efficiency curves corresponding to the different  $\text{As}_2\text{S}_3$  waveguide lengths for  $0.1 \text{ GW/cm}^2$  pump power intensity. Due to the length dependence of phase-matching ( $L\Delta\kappa$ ), the conversion bandwidth increases with the decreasing waveguide length. When  $L$  is 1 cm ( $L_{\text{eff}} = 0.96$  cm), the conversion bandwidth is 1525 nm corresponding to  $-21$  dB parametric conversion efficiency. The flat curve indicates that we can achieve continuously tunable

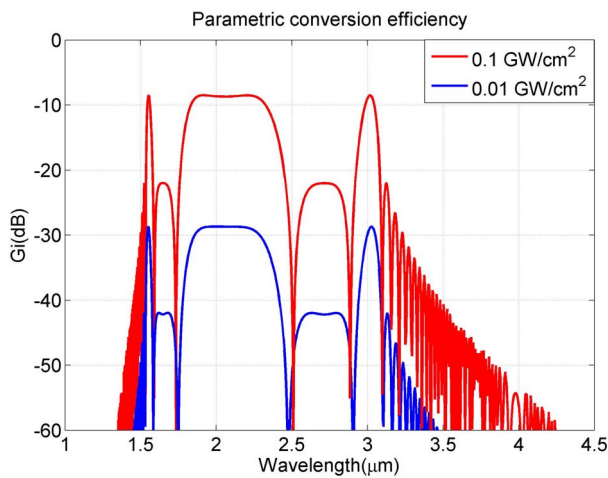


Figure 5. Parametric conversion efficiency as a function of signal wavelength.

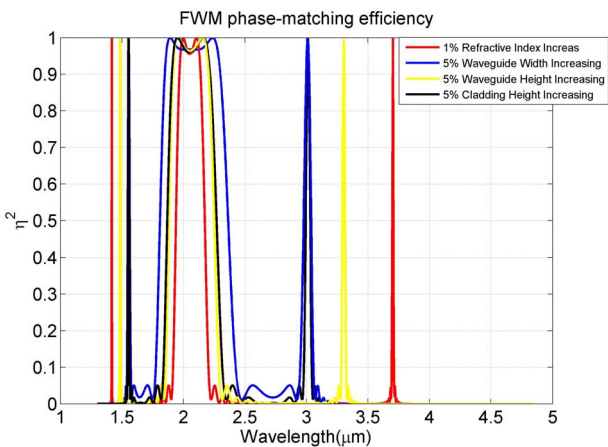


Figure 6. FWM phase-mismatch efficiency as a function of signal wavelength.

Table 1. 100% FWM phase-matching efficiency wavelengths for different fabrication tolerances.

Fabrication tolerances	100% FWM phase-matching efficiency wavelength ( $\mu\text{m}$ )	Idler wave length ( $\mu\text{m}$ )
1% refractive index increasing of $\text{As}_2\text{S}_3$	1.418	3.698
5% waveguide width increasing	1.555	3.007
5% waveguide height increasing	1.486	3.304
5% cladding height increasing	1.554	3.013

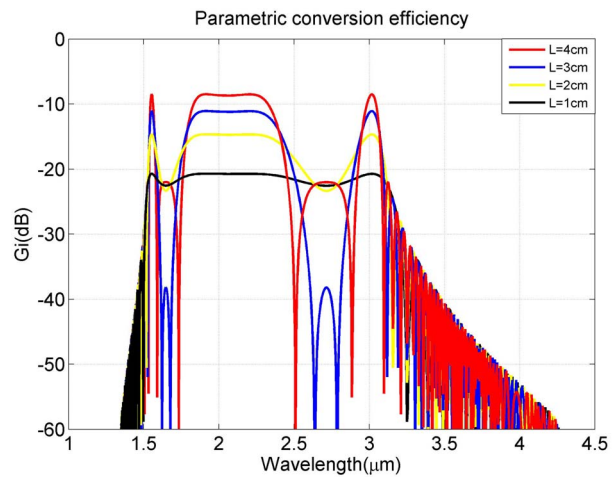


Figure 7. Parametric conversion efficiency as a function of signal wavelength.

FWM between the near-IR and mid-IR.

## 6. Conclusion

In this paper, we presented mid-IR FWM simulations for  $\text{As}_2\text{S}_3$  waveguides, which can generate  $3.03 \mu\text{m}$  mid-IR light by a  $1.55 \mu\text{m}$  near-IR signal source and a  $2.05 \mu\text{m}$  pump source. The FWM phase-matching efficiency at  $1.55 \mu\text{m}$  is up to 100% for the designs with and without  $\text{MgF}_2$  cladding. For  $0.1 \text{ GW/cm}^2$  pump power intensity, the best parametric conversion efficiency at  $3.03 \mu\text{m}$  is  $-10$  dB, which is 20 dB better than the results in silicon waveguides in [21]. The largest conversion bandwidth of 1525 nm is achieved when the waveguide length is 1 cm. In addition, we simulated the 100% FWM phase-matching efficiency shift for various fabrication variations.

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