

# Decomposition of Point-Symmetry Using Ordinal Quasi Point-Symmetry for Ordinal Multi-Way Tables

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## Abstract

For multi-way tables with ordered categories, the present paper gives a decomposition of the point-symmetry model into the ordinal quasi point-symmetry and equality of point-symmetric marginal moments. The ordinal quasi point-symmetry model indicates asymmetry for cell probabilities with respect to the center point in the table.

## Keywords

Decomposition, Multi-Way Table, Ordinal Quasi Point-Symmetry, Point-Symmetry

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## 1. Introduction

Consider an  $R_1 \times R_2 \times \cdots \times R_T$  table with ordered categories. Let  $i = (i_1, \dots, i_T)$  for  $i_k = 1, \dots, R_k$  and  $k = 1, \dots, T$ , and let  $p_i$  denote the probability that an observation will fall in  $i$ th cell of the table. Let  $X_k$  denote the  $k$ th variable of the table for  $k = 1, \dots, T$ . Denote the  $h$ th-order ( $h = 1, \dots, T-1$ ) marginal probability  $P(X_{k_1} = i_{k_1}, \dots, X_{k_h} = i_{k_h})$  by  $p_{i_{k_1} \dots i_{k_h}}^{(k_1, \dots, k_h)}$  with  $1 \leq k_1 < \dots < k_h \leq T$ .

In the case of  $R_1 = \dots = R_T$  ( $= R$ ), the symmetry ( $S^T$ ) model is defined by

$$p_i = \psi_i \quad \text{for any } i,$$

where  $\psi_i = \psi_j$  for any permutation  $j = (j_1, \dots, j_T)$  of  $i$  (Bhappkar and Darroch, [1]; Agresti, [2], p. 439). We may also refer to this model as the permutation-symmetry model.

The  $h$ th-order marginal symmetry ( $MS_h^T$ ) model is defined by, for a fixed  $h$  ( $h = 1, \dots, T-1$ ),

$$p_{i_1, \dots, i_h}^{(s_1, \dots, s_h)} = p_{j_1, \dots, j_h}^{(s_1, \dots, s_h)} = p_{i_1, \dots, i_h}^{(t_1, \dots, t_h)} \quad \text{for any } (i_1, \dots, i_h),$$

where  $(j_1, \dots, j_h)$  is any permutation of  $(i_1, \dots, i_h)$ , and for any  $(s_1, \dots, s_h)$  and  $(t_1, \dots, t_h)$  (Bhaskar and Darroch, [1]). The  $h$ th-order quasi symmetry ( $QS_h^T$ ) model is defined by, for a fixed  $h$  ( $h = 1, \dots, T - 1$ ),

$$p_i = \mu \left( \prod_{k=1}^T \alpha_k(i_k) \right) \left( \prod_{1 \leq k_1 < k_2 \leq T} \alpha_{k_1 k_2}(i_{k_1} i_{k_2}) \right) \cdots \left( \prod_{1 \leq k_1 < \dots < k_h \leq T} \alpha_{k_1, \dots, k_h}(i_{k_1}, \dots, i_{k_h}) \right) \psi_i \quad \text{for any } i,$$

where  $\psi_i = \psi_j$  for any permutation  $j$  of  $i$  (Bhaskar and Darroch, [1]). Bhaskar and Darroch [1] gave the theorem that:

1) For the  $R^T$  table and a fixed  $h$  ( $h = 1, \dots, T - 1$ ), the  $S^T$  model holds if and only if both the  $QS_h^T$  and  $MS_h^T$  models hold.

Tahata, Yamamoto and Tomizawa [3] considered the  $h$ th-linear ordinal quasi symmetry ( $LQS_h^T$ ) model, which was defined by, for a fixed  $h$  ( $h = 1, \dots, T - 1$ ),

$$p_i = \mu \left( \prod_{k=1}^T \alpha_k^{i_k} \right) \left( \prod_{1 \leq k_1 < k_2 \leq T} \alpha_{k_1 k_2}^{i_{k_1} i_{k_2}} \right) \cdots \left( \prod_{1 \leq k_1 < \dots < k_h \leq T} \alpha_{k_1, \dots, k_h}^{i_{k_1}, \dots, i_{k_h}} \right) \psi_i \quad \text{for any } i,$$

where  $\psi_i = \psi_j$  for any permutation  $j$  of  $i$ . This model is a special case of the  $QS_h^T$  model. The  $LQS_h^T$  model is the ordinal quasi symmetry model when  $h = 1$  (Agresti, [4], p. 244). Tahata et al. [3] also considered the  $h$ th-order marginal moment equality ( $MME_h^T$ ) model, which was expressed as, for a fixed  $h$  ( $h = 1, \dots, T - 1$ ),

$$\mu_{k_1, \dots, k_l} = \mu_{1, \dots, l} \quad (l = 1, \dots, h),$$

where  $\mu_{k_1, \dots, k_l} = E(X_{k_1} \cdots X_{k_l})$  for  $1 \leq k_1 < \dots < k_l \leq T$ . Tahata et al. [3] obtained the theorem that:

2) For the  $R^T$  table and a fixed  $h$  ( $h = 1, \dots, T - 1$ ), the  $S^T$  model holds if and only if both the  $LQS_h^T$  and  $MME_h^T$  models hold.

Various decompositions of the symmetry model are given by several statisticians, e.g. Caussinus [5], Bishop, Fienberg and Holland ([6], Ch.8), Read [7], Kateri and Papaioannou [8], and Tahata and Tomizawa [9].

For the  $R_1 \times R_2 \times \dots \times R_T$  table, the point-symmetry ( $P^T$ ) model is defined by

$$p_i = \gamma_i \quad \text{for any } i,$$

where  $\gamma_i = \gamma_{i^*}$  and  $i^* = (i_1^*, \dots, i_T^*)$  with  $i_k^* = R_k + 1 - i_k$  for  $k = 1, \dots, T$  (Wall and Lienert, [10]; Tomizawa, [11]). This model indicates the point-symmetry of cell probabilities with respect to the center point of multi-way table.

For the  $R^T$  table, Tahata and Tomizawa [12] considered the  $h$ th-order marginal point-symmetry ( $MP_h^T$ ) model defined by, for a fixed  $h$  ( $h = 1, \dots, T - 1$ ),

$$p_{i_{k_1}, \dots, i_{k_h}}^{(k_1, \dots, k_h)} = p_{i_{k_1}^*, \dots, i_{k_h}^*}^{(k_1, \dots, k_h)} \quad (1 \leq k_1 < \dots < k_h \leq T; i_l = 1, \dots, R_l; l = k_1, \dots, k_h).$$

Tahata and Tomizawa [12] also considered the  $h$ th-order quasi point-symmetry ( $QP_h^T$ ) model defined by, for a fixed  $h$  ( $h = 1, \dots, T - 1$ ),

$$p_i = \mu \left( \prod_{k=1}^T \alpha_k(i_k) \right) \left( \prod_{1 \leq k_1 < k_2 \leq T} \alpha_{k_1 k_2}(i_{k_1} i_{k_2}) \right) \cdots \left( \prod_{1 \leq k_1 < \dots < k_h \leq T} \alpha_{k_1, \dots, k_h}(i_{k_1}, \dots, i_{k_h}) \right) \gamma_i \quad \text{for any } i,$$

where  $\gamma_i = \gamma_{i^*}$ . Tahata and Tomizawa [12] gave the theorem that:

3) For the  $R^T$  table and a fixed  $h$  ( $h = 1, \dots, T - 1$ ), the  $P^T$  model holds if and only if both the  $QP_h^T$  and  $MP_h^T$  models hold.

Theorem 3) is Theorem 1) with structures in terms of permutation-symmetry, i.e. the  $S^T$ ,  $QS_h^T$  and  $MS_h^T$  models, replaced by structures in terms of point-symmetry, i.e. the  $P^T$ ,  $QP_h^T$  and  $MP_h^T$  models. However, a theorem in terms of point-symmetry corresponding to Theorem 2) is not obtained yet. So we are now interested in the decomposition of the  $P^T$  model.

In the present paper, Section 2 proposes three models. Section 3 gives a new decomposition of the  $P^T$  model. Section 4 provides the concluding remarks.

## 2. Models

Let  $S = \left\{ h \mid h = 2m - 1, m = 1, \dots, \left\lfloor \frac{T}{2} \right\rfloor \right\}$ , where  $\lfloor x \rfloor$  denotes the largest integer less than or equal to  $x$ .

Consider the model defined by, for a fixed odd number  $h$  ( $h \in S$ ),

$$\mu_{k_1 k_2 \dots k_l} = \mu_{k_1 k_2 \dots k_l}^* \quad (1 \leq k_1 < k_2 < \dots < k_l \leq T; l = 1, 3, \dots, h),$$

where

$$\mu_{k_1 k_2 \dots k_l}^* = E(X_{k_1} X_{k_2} \dots X_{k_l}), \quad \mu_{k_1 k_2 \dots k_l}^{**} = E(X_{k_1}^* X_{k_2}^* \dots X_{k_l}^*),$$

and  $X_k^* = R_k + 1 - X_k$  for  $k = 1, \dots, T$ . We shall refer to this model as the  $h$ th-order marginal moment point-symmetry (MMP $_h^T$ ) model. Note that if the MP $_h^T$  model holds then the MMP $_h^T$  model holds. Under the MMP $_1^T$  model, we see, for any  $k$  ( $k = 1, \dots, T$ ),

$$\mu_k = \frac{R_k + 1}{2}.$$

Then we obtain, for any  $k_1$  and  $k_2$  ( $1 \leq k_1 < k_2 \leq T$ ),

$$\begin{aligned} \mu_{k_1 k_2} - \mu_{k_1 k_2}^* &= \sum_{i_{k_1}=1}^{R_{k_1}} \sum_{i_{k_2}=1}^{R_{k_2}} (i_{k_1} i_{k_2} - i_{k_1}^* i_{k_2}^*) p_{i_{k_1} i_{k_2}}^{(k_1, k_2)} \\ &= -(R_{k_1} + 1)(R_{k_2} + 1) + (R_{k_1} + 1)\mu_{k_2} + (R_{k_2} + 1)\mu_{k_1} = 0. \end{aligned}$$

Under the MMP $_3^T$  model, we see, for any  $k_1$ ,  $k_2$  and  $k_3$  ( $1 \leq k_1 < k_2 < k_3 \leq T$ ),

$$\mu_{k_1 k_2 k_3} = -\frac{1}{2} \left( \frac{1}{2} (R_{k_1} + 1)(R_{k_2} + 1)(R_{k_3} + 1) - (R_{k_1} + 1)\mu_{k_2 k_3} - (R_{k_2} + 1)\mu_{k_1 k_3} - (R_{k_3} + 1)\mu_{k_1 k_2} \right).$$

Then we obtain, for any  $k_1$ ,  $k_2$ ,  $k_3$  and  $k_4$  ( $1 \leq k_1 < k_2 < k_3 < k_4 \leq T$ ),

$$\begin{aligned} \mu_{k_1 k_2 k_3 k_4} - \mu_{k_1 k_2 k_3 k_4}^* &= \sum_{i_{k_1}=1}^{R_{k_1}} \sum_{i_{k_2}=1}^{R_{k_2}} \sum_{i_{k_3}=1}^{R_{k_3}} \sum_{i_{k_4}=1}^{R_{k_4}} (i_{k_1} i_{k_2} i_{k_3} i_{k_4} - i_{k_1}^* i_{k_2}^* i_{k_3}^* i_{k_4}^*) p_{i_{k_1} i_{k_2} i_{k_3} i_{k_4}}^{(k_1, k_2, k_3, k_4)} \\ &= (R_{k_1} + 1)(R_{k_2} + 1)(R_{k_3} + 1)(R_{k_4} + 1) - (R_{k_1} + 1)(R_{k_2} + 1)\mu_{k_3 k_4} \\ &\quad - (R_{k_1} + 1)(R_{k_3} + 1)\mu_{k_2 k_4} - (R_{k_1} + 1)(R_{k_4} + 1)\mu_{k_2 k_3} - (R_{k_2} + 1)(R_{k_3} + 1)\mu_{k_1 k_4} \\ &\quad - (R_{k_2} + 1)(R_{k_4} + 1)\mu_{k_1 k_3} - (R_{k_3} + 1)(R_{k_4} + 1)\mu_{k_1 k_2} + (R_{k_1} + 1)\mu_{k_2 k_3 k_4} \\ &\quad + (R_{k_2} + 1)\mu_{k_1 k_3 k_4} + (R_{k_3} + 1)\mu_{k_1 k_2 k_4} + (R_{k_4} + 1)\mu_{k_1 k_2 k_3} \\ &= 0. \end{aligned}$$

Thus we are not interested in the MMP $_h^T$  model with  $h$  being even. Therefore we shall consider the MMP $_h^T$  model with  $h$  being odd.

Consider the model defined by

$$p_i = \mu \left( \prod_{k=1}^T \alpha_k^{i_k} \right) \gamma_i \quad \text{for any } i,$$

where  $\gamma_i = \gamma_i^*$ . We shall refer to this model as the ordinal quasi point-symmetry (OQP $^T$ ) model. In the case of  $T = 2$ , this model is identical to the model proposed by Tahata and Tomizawa [13]. The special case of the OQP $^T$  model obtained by putting  $\alpha_1 = \dots = \alpha_T = 1$  is the P $^T$  model. Also the OQP $^T$  model is the special case of the QP $_1^T$  model obtained by putting  $\{\alpha_k(i_k) = \alpha_k^{i_k}\}$ . The OQP $^T$  model may be expressed as

$$\log \frac{p_i}{p_i^*} = \beta_0 + \sum_{k=1}^T i_k \beta_k \quad \text{for any } i,$$

with  $\beta_0 = -\sum_k (R_k + 1) \log \alpha_k$  and  $\beta_k = \log \alpha_k^2$ . From this equation, we can see the log-odds that an ob-

servation falls in  $i$ th cell instead of in the point-symmetric  $i^*$ th cell, i.e.  $\log(p_i/p_{i^*})$ , is described as a linear combination with intercept  $\beta_0$  and slope  $\beta_k$  for the category indicator  $i_k$  under the OQP<sup>T</sup> model. Thus the parameter  $\beta_k$  can be interpreted as the effect of a unit increase in the  $k$ th variable on the log-odds.

Consider the model being more general than the OQP<sup>T</sup> model as follows, for a fixed odd number  $h$  ( $h \in S$ ),

$$p_i = \mu \left( \prod_{k=1}^T \alpha_k^{i_k} \right) \left( \prod_{1 \leq k_1 < k_2 < k_3 \leq T} \alpha_{k_1 k_2 k_3}^{i_{k_1} i_{k_2} i_{k_3}} \right) \cdots \left( \prod_{1 \leq k_1 < \cdots < k_h \leq T} \alpha_{k_1 \cdots k_h}^{i_{k_1} \cdots i_{k_h}} \right) \gamma_i \quad \text{for any } i,$$

where  $\gamma_i = \gamma_{i^*}$ . We shall refer to this model as the  $h$ th-linear ordinal quasi point-symmetry (LQP <sub>$h$</sub> <sup>T</sup>) model. Especially, when  $h=1$ , the LQP <sub>$h$</sub> <sup>T</sup> model is identical to the OQP<sup>T</sup> model. Also the LQP <sub>$h$</sub> <sup>T</sup> model is the special case of the QP <sub>$h$</sub> <sup>T</sup> model obtained by putting

$$\left\{ \alpha_k(i_k) = \alpha_k^{i_k} \right\}, \left\{ \alpha_{k_1 k_2 k_3}(i_{k_1} i_{k_2} i_{k_3}) = \alpha_{k_1 k_2 k_3}^{i_{k_1} i_{k_2} i_{k_3}} \right\}, \dots, \left\{ \alpha_{k_1 \cdots k_h}(i_{k_1}, \dots, i_{k_h}) = \alpha_{k_1 \cdots k_h}^{i_{k_1} \cdots i_{k_h}} \right\}, \text{ and } \left\{ \alpha_{k_1 k_2}(i_{k_1} i_{k_2}) = 1 \right\},$$

$$\left\{ \alpha_{k_1 k_2 k_3 k_4}(i_{k_1} i_{k_2} i_{k_3} i_{k_4}) = 1 \right\}, \dots, \left\{ \alpha_{k_1 \cdots k_{h-1}}(i_{k_1}, \dots, i_{k_{h-1}}) = 1 \right\}.$$

Figure 1 shows the relationships among models.

### 3. Decomposition of Point-Symmetry

We obtain the following theorem:

**Theorem 1.** For the  $R_1 \times R_2 \times \cdots \times R_T$  table and a fixed odd number  $h$  ( $h \in S$ ), the P<sup>T</sup> model holds if and only if both the LQP <sub>$h$</sub> <sup>T</sup> and MMP <sub>$h$</sub> <sup>T</sup> models hold.

*Proof.* If the P<sup>T</sup> model holds, then both the LQP <sub>$h$</sub> <sup>T</sup> and MMP <sub>$h$</sub> <sup>T</sup> models hold. Assuming that both the LQP <sub>$h$</sub> <sup>T</sup> and MMP <sub>$h$</sub> <sup>T</sup> models hold, then we shall show the P<sup>T</sup> model holds. Let  $q = \{q_i\}$  denote cell probabilities which satisfy both the LQP <sub>$h$</sub> <sup>T</sup> and MMP <sub>$h$</sub> <sup>T</sup> models. The LQP <sub>$h$</sub> <sup>T</sup> model is expressed as

$$\log q_i = \log \mu \gamma_i + \sum_{k=1}^T i_k \log \alpha_k + \sum_{1 \leq k_1 < k_2 < k_3 \leq T} i_{k_1} i_{k_2} i_{k_3} \log \alpha_{k_1 k_2 k_3} + \cdots + \sum_{1 \leq k_1 < \cdots < k_h \leq T} i_{k_1} \cdots i_{k_h} \log \alpha_{k_1 \cdots k_h},$$

where  $\gamma_i = \gamma_{i^*}$ . Let

$$c = \sum_{i_1=1}^{R_1} \cdots \sum_{i_T=1}^{R_T} \gamma_i, \quad \pi_i = \frac{\gamma_i}{c}.$$

Note that  $\pi = \{\pi_i\}$  satisfy  $0 < \pi_i < 1$ ,  $\sum_{i_1} \cdots \sum_{i_T} \pi_i = 1$  and  $\pi_i = \pi_{i^*}$ . Then the LQP <sub>$h$</sub> <sup>T</sup> model is also expressed as

$$\log \left( \frac{q_i}{\pi_i} \right) = \log \mu c + \sum_{k=1}^T i_k \log \alpha_k + \sum_{1 \leq k_1 < k_2 < k_3 \leq T} i_{k_1} i_{k_2} i_{k_3} \log \alpha_{k_1 k_2 k_3} + \cdots + \sum_{1 \leq k_1 < \cdots < k_h \leq T} i_{k_1} \cdots i_{k_h} \log \alpha_{k_1 \cdots k_h}. \tag{1}$$

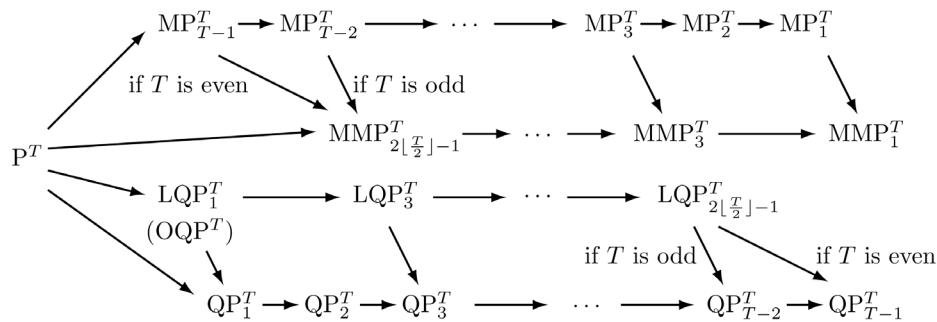


Figure 1. Relationships among various models. Note: “ $M_1 \rightarrow M_2$ ” indicates that model  $M_1$  implies model  $M_2$ .

The  $\text{MMP}_h^T$  model is expressed as

$$\mu_{k_1 k_2 \dots k_l}^q = \mu_{k_1 k_2 \dots k_l}^{q^*} \quad (1 \leq k_1 < k_2 < \dots < k_l \leq T; l = 1, 3, \dots, h), \quad (2)$$

where

$$\mu_{k_1 k_2 \dots k_l}^q = \sum_{i_{k_1}=1}^{R_{k_1}} \dots \sum_{i_{k_l}=1}^{R_{k_l}} i_{k_1} \dots i_{k_l} q_{i_{k_1} \dots i_{k_l}}^{(k_1, \dots, k_l)}, \quad \mu_{k_1 k_2 \dots k_l}^{q^*} = \sum_{i_{k_1}=1}^{R_{k_1}} \dots \sum_{i_{k_l}=1}^{R_{k_l}} i_{k_1}^* \dots i_{k_l}^* q_{i_{k_1} \dots i_{k_l}}^{(k_1, \dots, k_l)}.$$

Then we denote  $\mu_{k_1 k_2 \dots k_l}^q$  ( $= \mu_{k_1 k_2 \dots k_l}^{q^*}$ ) by  $\mu_{k_1 k_2 \dots k_l}^0$ .

Consider arbitrary cell probabilities  $p = \{p_i\}$  which satisfy the  $\text{MMP}_h^T$  model and

$$\mu_{k_1 k_2 \dots k_l}^p = \mu_{k_1 k_2 \dots k_l}^{p^*} = \mu_{k_1 k_2 \dots k_l}^0 \quad (1 \leq k_1 < k_2 < \dots < k_l \leq T; l = 1, 3, \dots, h), \quad (3)$$

where

$$\mu_{k_1 k_2 \dots k_l}^p = \sum_{i_{k_1}=1}^{R_{k_1}} \dots \sum_{i_{k_l}=1}^{R_{k_l}} i_{k_1} \dots i_{k_l} p_{i_{k_1} \dots i_{k_l}}^{(k_1, \dots, k_l)}, \quad \mu_{k_1 k_2 \dots k_l}^{p^*} = \sum_{i_{k_1}=1}^{R_{k_1}} \dots \sum_{i_{k_l}=1}^{R_{k_l}} i_{k_1}^* \dots i_{k_l}^* p_{i_{k_1} \dots i_{k_l}}^{(k_1, \dots, k_l)}.$$

From (1), (2) and (3),

$$\sum_{i_1=1}^{R_1} \dots \sum_{i_T=1}^{R_T} (p_i - q_i) \log \left( \frac{q_i}{\pi_i} \right) = 0. \quad (4)$$

Let  $K(\cdot; \cdot)$  denote the Kullback-Leibler information, e.g., it between  $q$  and  $\pi$  is

$$K(q; \pi) = \sum_{i_1=1}^{R_1} \dots \sum_{i_T=1}^{R_T} q_i \log \left( \frac{q_i}{\pi_i} \right).$$

From (4),

$$K(p; \pi) = K(p; q) + K(q; \pi).$$

Thus, for fixed  $\pi$ ,

$$K(q; \pi) = \min_p K(p; \pi),$$

and then  $q$  uniquely minimize  $K(p; \pi)$  (see Darroch and Ratcliff, [14]).

Let  $q^* = \{q_i^*\}$ . Then, in a similar way as described above, we obtain

$$K(q^*; \pi) = \min_p K(p; \pi),$$

and then  $q^*$  uniquely minimize  $K(p; \pi)$ , hence  $q = q^*$ . Namely  $q$  satisfy the  $\text{P}^T$  model. The proof is completed.

For the analysis of data, the test of goodness-of-fit of the  $\text{LQP}_h^T$  model is achieved based on, e.g., the likelihood ratio chi-square statistic which has a chi-square distribution with the number of degrees of freedom

$$\begin{cases} \frac{1}{2} \left( \prod_{k=1}^T R_k - 1 \right) - \sum_{i=1}^{\frac{h+1}{2}} \binom{T}{2i-1} & (R_k : \text{odd for } k = 1, \dots, T), \\ \frac{1}{2} \prod_{k=1}^T R_k - \sum_{i=1}^{\frac{h+1}{2}} \binom{T}{2i-1} & (\text{otherwise}). \end{cases}$$

Also the number of degrees of freedom for the  $\text{MMP}_h^T$  model is

$$\sum_{i=1}^{\frac{h+1}{2}} \binom{T}{2i-1}.$$

We point out that, for a fixed  $h$ , the number of degrees of freedom for the  $P^T$  model is equal to sum of those for the  $LQP_h^T$  and  $MMP_h^T$  models.

#### 4. Concluding Remarks

For multi-way contingency tables, we have proposed the  $MMP_h^T$ ,  $OQP^T$  and  $LQP_h^T$  models. Under the  $OQP^T$  model, the log-odds that an observation falls in a cell instead of in its point-symmetric cell is described as a linear combination of category indicators. For a fixed odd number  $h$  ( $h \in S$ ), the  $LQP_h^T$  model implies the  $QP_h^T$  model.

We have given the theorem that the  $P^T$  model holds if and only if both the  $LQP_h^T$  and  $MMP_h^T$  models. For the analysis of data, the decomposition given in the present paper may be useful for determining the reason when the  $P^T$  model fits data poorly.

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