

# Differences in Survival Rates between Different Patterns of Unstable Pertrochanteric Femoral Fractures\*

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## ABSTRACT

**Background:** Unstable pertrochanter femur fractures are common in orthopedic practice. They pose a surgical challenge in both reduction and fixation. The fixation devices used are based on hip intramedullary nailing with femur head lag screw or blade. The aim of this paper is to compare different types of unstable pertrochanter fractures. **Materials & Methods:** We retrospectively reviewed 386 unstable pertrochanter femur fractures surgically treated in our hospital from 2000 to 2009. These included 62 (16.1%) unstable pertrochanter fractures with fractured lesser trochanter (31.A2-2, 31.A2-3); 63 (16.3%) reverse oblique fractures (31.A3-1), 51 (13.2%) transverse fractures (31.A3-2), 145 (37.6%) comminuted fractures (31.A3-3) and 65 (16.8%) subtrochanter fractures. We compared survival rates between fracture types. The clinical characteristics, surgery immediate outcome (e.g., tip apex distance, reduction quality), and long term results, *i.e.*, complications were also compared between fracture types. **Results:** Survival analysis showed that the fracture types can be grouped into low and high risk fracture types. The former group included, reverse oblique and comminuted fractures. Lesser trochanter, transverse and subtrochanter fractures were included in the high risk group. The survival estimates for five years were 64.6% and 49.3% for the low and high risk fracture types, respectively ( $p$  value = 0.008). Multivariate survival analysis showed that the hazard ratio for the high risk fracture group was 1.9 (95% CI = 1.37 - 2.67). No differences were found between unstable pertrochanter femur fractures with regards to clinical and epidemiology characteristics. Optimal tip apex distance (TAD) of less than 25 mm was found in 66.7%, 57.1% and 66.7% of lesser trochanter, reverse oblique and subtrochanter fractures, respectively. TAD of less than 25 mm was found in 81.2% of both transverse and comminuted fractures ( $p$  value = 0.032). No statistically significant difference was found between fracture types, in regards to complication or revision rates. **Conclusions:** Survival rates were higher in patients suffering from reverse oblique or comminuted pertrochanteric fractures. No differences were found between fracture types, in regards to clinical and other outcome parameters.

**Keywords:** Intertrochanteric Fractures; Survival; Fracture Classification

## 1. Introduction

Pertrochanteric fractures are among the most widely treated orthopedic injuries. Their annual incidence is expected to reach 500,000 by 2040, in the US alone [1]. About half of this is estimated to be unstable fractures [2].

The AO/OTA classification system divides pertrochanteric fractures into several groups: simple pertrochanteric

(31.A1), pertrochanteric with lesser trochanter fracture (31.A2) and complex pertrochanteric fractures (31.A3) [3]. Each of these three groups is further divided into three groups, consisting of a total of nine different fracture patterns. These patterns are commonly divided into stable pertrochanteric fractures (31.A1 fracture group and 31.A2-1 subtype) and unstable pertrochanteric fractures (31.A2-2 and 31.A2-3 subtypes and 31.A3 fracture group) [4-6]. Fixation devices available for pertrochanteric fractures vary from intra-medullary devices and extra-medullary plate both with femur head screw. The extra-medullary

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device was shown to have fewer complications in stable pertrochanteric fractures. Patients with unstable pertrochanteric fractures were shown to benefit from intramedullary fixation devices such as the Cephalomedullary nail, Gamma nail, Y nail among other [5,7-20].

Subtrochanteric fractures are defined as fractures within the immediate 5 cm distal to the lesser trochanter. As opposed to femur shaft fractures, these fractures require fixation of the femoral head-neck-shaft complex. Fractures of the subtrochanteric region often are fixed by intramedullary proximal femur fixation devices. As such these fractures can be included as part of the unstable per-subtrochanteric fracture patterns [21].

Several authors described their experience of different unstable pertrochanteric fractures. These include a recent review by Lundy focusing on subtrochanteric fractures and a description of open reduction in treating subtrochanteric fractures [2]. Other authors focused on reverse oblique or transverse fracture types [6]. We are unaware of any work comparing the survival among different subtypes of unstable pertrochanteric fractures.

In this report we compare between the different patterns of unstable per-subtrochanteric fractures. We compare the survival rates, epidemiology, reduction achieved, screw placement, complications and revision rates between the different fracture types.

## 2. Patients & Methods

The study presented is a retrospective study based on national mortality registry and our hospital admission and outpatient-clinic files. The study was approved by our institute's internal review board (IRB) ethics committee. Since this is a retrospective study, patients' informed consent was not required by the IRB.

Between 2000 and 2009, 386 unstable pertrochanteric fractures were operated in our institute. These consisted of 62 (16.1%) pertrochanteric fractures in which the lesser trochanter was fractured and the fracture was defined as unstable (31.A2-2 and 31.A2-3). There were 63 (16.3%) reverse oblique fractures (31.A3-1), 51 (13.2%) transverse pertrochanteric fractures (31.A3-2) and 145 (37.6%) of comminuted fractures (31.A3-3). Subtrochanteric fractures included 65 (16.8%) fractures.

The Fractures had been fixed by means of the Targon proximal femur (Targon PF) device (Aesculap, Tuttlingen, Germany) or with the antirotation trochanteric nailing system (ATN) device (dePuy, Warsaw, IN, USA). Both of which are double screw intramedullary fixation devices. All surgeries were performed in accordance to standard surgery technique and the manufacturer's recommendations.

The radiology computerized achieve was used for classification of fractures according to the OTA/AO classification

system [3]. Patterns were classified by two independent researchers (A.O and Y.L). The senior author (N.S) was consulted whenever consensus was not reached. Radiology measurements were performed including the tip apex distance and placement of the center of the lag screw within the head-neck interface "Safe-zone" (defined as the second quarter from the bottom) [22].

Acceptable reduction was considered as translation of less than 20 mm in any plane as measured by the medial cortex in anterior-posterior radiography view or anterior cortex on axial radiography view.

Statistical analysis was performed by an experienced biostatistician (A.H.). Data analysis was conducted using SPSS© 16 (SPSS©, Chicago, IL). Categorical data are presented as frequency count (percent of available data). Comparisons of categorical variables between fracture types were performed using either the chi-square test or the Fisher's exact test. The latter was used when expected count in any cell was less or equal five. Continuous variables are presented as mean ( $\pm$ standard deviation). Comparisons of continuous variables among fracture patterns were performed using the Kruskal-Wallis test. Comparisons of paired data, mainly the increase in mobility aids before and one year after surgery, were performed using the Wilcoxon rank sign test. Survival data are presented using the Kaplan-Meier survival curves. Kaplan-Meier survival estimates were used for one and five years survival along with 95% confidence interval (95% CI). Comparisons between survival curves were done by the log-rank test.

Data were extracted by reviewing of the patients' admission and out-patients clinic electronic files. Complications, comorbidities, use of walking aids and recovery parameters were extracted from the hospital records. The national mortality registry was consulted for mortality status and date. Patients that were not registered as deceased were considered as censored at the date of the inquiry.

Multivariate survival analysis was performed with the Cox proportional hazards model. The independent covariates in the model were fracture group (according to high or low risk fracture type), ASA score and Age group—up to 60 years old (46 pts, 11.9%), 60 - 80 years old (158 pts, 40.7%), above 80 year old (182 pts, 47.1%). Results are reported as hazard ratios and their 95% confidence intervals. Hazard ratio should be interpreted as relative risk, so that hazard ratio above one means excessive risk.

Data were not available for all patients in all variables measured. The numbers of patients used for analysis of each variable are given within the summary tables. In each analysis frequencies and percents were calculated from available data. For example, for epidemiologic and clinical presentation parameters, percents were calculated from

the entire patients' population. Complications and revision rates are calculated from a subset of patients which included patients that either had a complication or completed at least one year of follow-up and showed signs of union on X-ray radiography.

### 3. Results

Between 2000 and 2009, 386 unstable ptertrochanteric fractures were operated in our institute. These included 95 (24.6%) fractures in men and 291 (75.4%) fractures in women. Mean age at surgery was 76.16 years ( $\pm 15.2$ ). Mean American society of anesthesiologists (ASA) score was 2.75 ( $\pm 0.61$ ), where 124 patients (32.2%) and 225 patients (58.4%) had ASA scores of 2 and 3, respectively. Patients with transverse fractures (31.A3-2) had higher ASA scores ( $p$  value = 0.026). Mechanism of injury was

low energy fall in 175 fractures (87.1%). Initial treatment at the emergency ward included skeletal traction in 145 fractures (71.8%). Skeletal traction was performed more often in reverse oblique, comminuted or subtrochanter fractures. This difference was found to be statistically significant ( $p$  value = 0.001) Epidemiological and clinical data according to fracture types are presented in **Table 1**.

Of the 386 fractures treated, 254 fractures (65.8%) were treated by the Targon PF © device, while 132 fractures (34.3%) were fixed using the ATN © device. No statistically significant difference was found between the fracture types. The nail length was found to be longer (300 mm - 340 mm) in fixation of subtrochanteric and comminuted fractures (**Table 2**).

Immediate surgical outcome varied between fracture types. Tip Apex Distance (TAD) was found to be below 25 mm in about 81% of comminuted and transverse frac-

**Table 1. Demographic and clinical characteristics (Total = 386 patients).**

	Fractured Lesser Trochanter (A2.2 & A2.3) N = 62	Reverse Oblique (A3.1) N = 63	Transverse (A3.2) N = 51	Comminuted (A3.3) N = 145	Subtrochanteric N = 65	P value
Gender						
Male	20 (32.3%)	11 (17.5%)	9 (17.6%)	35 (24.1%)	20 (30%)	0.173
Female	42 (67.7%)	52 (82.5%)	42 (82.4%)	110 (75.9%)	45 (69.2%)	
Age	78.9 ( $\pm 12.5$ )	79.0 ( $\pm 9.8$ )	77.5 ( $\pm 14.2$ )	75.8 ( $\pm 14.8$ )	70.4 ( $\pm 21.1$ )	0.243
Side						
Left	32 (51.6%)	32 (50.8%)	29 (56.9%)	78 (53.8%)	34 (52.3%)	0.971
Right	30 (48.4%)	31 (49.2%)	22 (43.1%)	67 (46.2%)	31 (47.7%)	
ASA score	2.69 ( $\pm 0.64$ )	2.78 ( $\pm 0.60$ )	3.00 ( $\pm 0.566$ )	2.68 ( $\pm 0.61$ )	2.72 ( $\pm 0.50$ )	0.026
Above one year follow-up	26 (41.9%)	26 (41.3%)	19 (37.3%)	72 (49.7%)	22 (34.4%)	0.253
Skeletal traction (N = 202)	3 (7%)	11 (40.7%)	5 (17.9%)	25 (32.9%)	13 (46.4%)	0.001
Diabetes Mellitus (N = 201)	10 (23.8%)	4 (14.8%)	5 (17.9%)	19 (25.0%)	5 (17.9%)	0.764
Mechanism of injury (N = 202)						
Low energy (fall)	37 (86%)	25 (92.6%)	24 (85.7%)	68 (89.5%)	21 (77.8%)	0.327
High energy	6 (14%)	2 (7.4%)	4 (14.3%)	8 (10.5%)	6 (22.2%)	

**Table 2. Surgery and post operative outcome parameters.**

	Fractured Lesser Trochanter (A2.2 & A2.3) N = 62	Reverse Oblique (A3.1) N = 63	Transverse (A3.2) N = 51	Comminuted (A3.3) N = 145	Subtrochanteric N = 65	P value
IM Nail Type						
Targon PF	34 (53.9%)	49 (77.8%)	28 (54.9%)	96 (66.2%)	47 (73.4%)	0.13
ATN	29 (46.1%)	14 (22.2%)	23 (45.1%)	49 (33.8%)	17 (26.6%)	
Nail length						
Standard (200 - 240 mm)	56 (90.3%)	58 (92.1%)	48 (94.1%)	109 (75.2%)	12 (19.0%)	0.0001
Long (300 - 340 mm)	6 (9.7%)	5 (7.9%)	3 (5.9%)	36 (24.8%)	51 (81.0%)	
Tip Apex distance $\leq$ 25 mm (N = 235)	28 (66.7%)	24 (57.1%)	29 (81.2%)	69 (81.2%)	20 (66.7%)	0.032
Lag screw within "safe-zone" (N = 378)	53 (85.5%)	50 (80.6%)	42 (87.5%)	120 (84.5%)	49 (76.6%)	0.506
Reduction not achieved (N = 383)	6 (9.8%)	17 (27.0%)	10 (19.6%)	35 (24.1%)	12 (19.0%)	0.134
Mobility points change from pre to 1 year post surgery (N = 60)	1.66 ( $\pm 1.23$ )	1.77 ( $\pm 1.30$ )	2.14 ( $\pm 1.06$ )	1.90 ( $\pm 1.33$ )	0.75 ( $\pm 1.35$ )	0.174
Walking 1 year after surgery (N = 77) 72% - 93.5%	15 (100%)	8 (88.9%)	11 (100%)	25 (89.3%)	13 (92.9%)	0.566

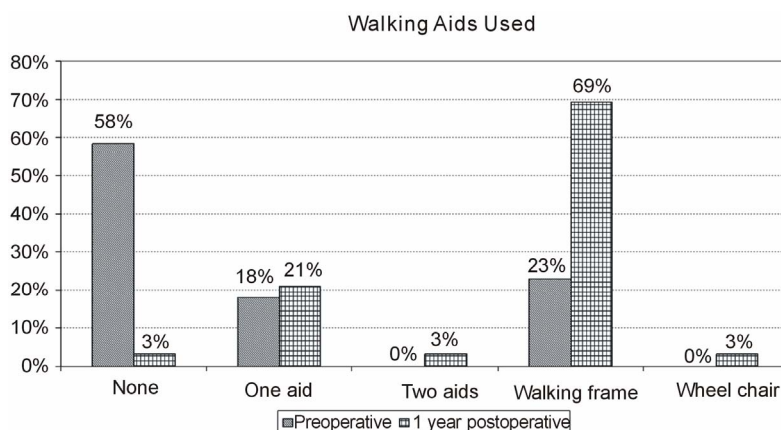
tures. The TAD was below 25 mm in only 57% - 66% of lesser trochanter, revers oblique and subtrochanteric fractures. This difference was found to be statistically significant (p value = 0.032). However, 76% to 87% of the lag screws were placed within the head-neck interface “safe-zone”. This difference was not found to be statistically significant (p value = 0.506). Reduction was not achieved in 7.6% patients. No statistically significant difference was found between fracture types (p value = 0.386).

Seventy two patients (93.5%) walked of 77 patients whose mobility status was recorded one year after surgery. Mobility aids used as recorded by the Parker score increased by a mean of 1.6 points ( $\pm 1.3$ ) one year after surgery. Sixty nine percent of the patients used a walking frame one year after surgery (**Figure 1**). No statistically

significant difference was found in regards to mobility status between fracture types (**Table 2**).

One hundred and ninety one patients had either at least one year of follow-up with documented fracture union or any complication. Common complications included 14 (7.3%) fractures that had cutout, 10 fractures (5.2%) that had hardware failure and 7 fractures fixed with internal rotation above 30 degrees. Less common complications included deep wound infection, secondary loss of reduction, and fractures non-unions, with rates of 5 (2.6%), 5 (2.6%) and 3 (1.6%) fractures, respectively. No statistically significant difference was found between fracture types (**Table 3**).

Thirty two patients (16.8%) of the aforementioned 191 patients had revision surgery. Common revision types included 14 (7.3%) hardware removals, 7 (3.7%) arthro-



**Figure 1. Walking aids score: where no aids, one aid, two aids, walking frame and wheel chair received a score of 1 - 5 in an ascending order. Mean increase in ambulation score from preoperative to 1 year after surgery was 1.63 points ( $\pm 1.32$ ). This difference was found to be statistically significant (p value = 0.0001). No statistically significant difference was found between fracture patterns.**

**Table 3. Complications.**

Total N = 191	Fractured Lesser Trochanter (A2.2 & A2.3) N = 34	Reverse Oblique (A3.1) N = 31	Transverse (A3.2) N = 23	Comminuted (A3.3) N = 78	Subtrochanteric N = 25	P value
Cut out—14 pts (7.3%)	3 (8.8%)	2 (6.5%)	4 (17.4%)	5 (6.4%)	---	0.232
Hardware failure—10 pts (5.2%)	4 (11.7%)	2 (6.5%)	---	4 (5.2%)	---	0.278
Nonunion—3 pts (1.6%)	1 (2.9%)	---	1 (4.3%)	---	1 (4.0%)	0.16
Deep wound infection—5 pts (2.6%)	---	---	---	4 (5.1%)	1 (4.0%)	0.508
Secondary loss of reduction 5 pts (2.6%)	1 (2.9%)	1 (3.2%)	---	1 (1.3%)	1 (4.0%)	0.674
Internal rotation—7 pts (3.7%)	3 (8.8%)	2 (6.5%)	---	1 (1.3%)	1 (4.0%)	0.172
Other—3 pts (1.5%)	1 (2.9%)	---	---	1 (1.3%)	1 (4.0%)	0.564
Total complications—47 pts (24.6%)	13 (38.2%)	7 (22.6%)	5 (21.7%)	16 (20.5%)	6 (24.0%)	0.369

**Table 3** includes surgical complications and revision surgery. Other complications include one patient with pain that required revision, 1 patient with fracture at the lower end of the fixation device, and one patient with superior placement of the fixation device that required revision. No cases of deep vein thrombosis were reported.

plasties and 5 (2.6%) rotational corrections. No statistically significant difference was found between fracture types (**Table 4**).

One year and five years survival of the entire cohort were 79.6% (95% CI of 75.1% - 84.2%) and 48.9% (95% CI of 42.3% - 56.4%), respectively. Comparing survival between specific inter-trochanteric fractures, no statistically significant difference was found (p value = 0.07, **Table 5**). The fractures were grouped into high and low risk fracture types, according to survival. The low risk fracture types included reverse oblique fractures (31.A3-1) and comminuted fractures (31.A3-3). High risk fracture types included lesser trochanter unstable fractures (31.A2-2 and 31.A2-3), transverse fractures (31.A3-2) and subtrochanteric fractures (32 either A, B or C). One year and five years survival for the low risk fracture types were 86% (95% CI 81.4% - 90.8%) and 64.6% (95% CI 57.4% - 72.6%), respectively. One year and five years survival for the high risk fracture types were 76.4% (95% CI, 70.4% - 82.9%) and 49.3% (95% CI, 41.0% - 59.2%). This difference was found to be statistically significant (p value = 0.008, **Figure 2**).

Multivariate analysis by the Proportional hazards model showed that the high risk fracture group was associated with higher mortality risk. Setting the low risk

fracture group to have hazard ratio of one (as baseline) the hazard ratio for the high risk fracture group was 1.9 (95% CI = 1.37 - 2.67). This difference was found to be statistically significant (p value = 0.0001). The hazard rate associated with age 60 - 80 was 17.7 (95% CI = 4.3 - 73.1). The hazard rate associated with age above 80 years old was 19.6 (95% CI = 4.7 - 80.7). The hazard rate associated with each point of the ASA score was 1.152 (95% CI = 0.88 - 1.5). This was not found to be statistically significant (p value = 0.29).

#### 4. Discussion

In this manuscript, it was shown that survival rates differed between different types of unstable petrochanteric fractures. These fractures could be divided into low and high risk fracture types. The low risk group includes: reverse oblique and comminuted fractures. The high risk fracture types include: lesser trochanter, transverse and subtrochanteric fractures. No difference in clinical or epidemiologic characteristics was found in order to explain the difference in survival. No difference was found in complication and revision rates that could also elucidate the survival difference.

In previous works, other authors focused mainly on

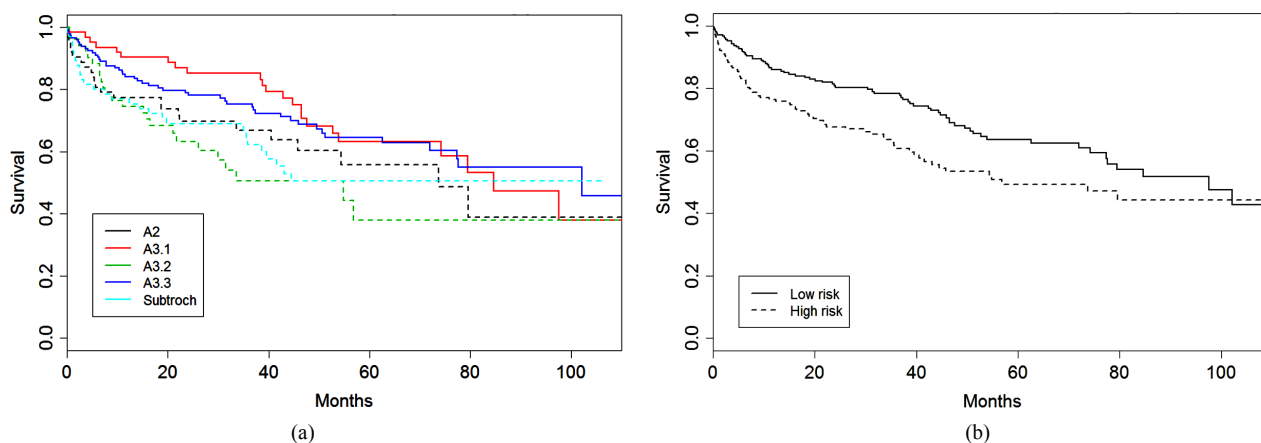
**Table 4. Revisions.**

Total N = 191 pts	Fractured Lesser Trochanter (A2.2 & A2.3) N = 34	Reverse Oblique (A3.1) N = 31	Transverse (A3.2) N = 23	Comminuted (A3.3) N = 78	Subtrochanteric N = 25	P value
Total Hip replacement—3 pts (1.6%)	2 (5.9%)	---	1 (4.3%)	---	---	0.086
Hemiarthroplasty—4 pts (2.1%)	---	---	---	4 (5.1%)	---	0.441
Exchange nail—4 pts (2.1%)	---	---	1 (4.3%)	2 (2.6%)	1 (4.0%)	0.533
Hardware removal—11 pts (5.7%)	3 (8.8%)	3 (9.4%)	1 (4.3%)	4 (5.1%)	---	0.552
Nail removal and plating—3 pts (1.6%)	---	---	2 (8.7%)	---	1 (4.0%)	0.018
Rotation correction—5 pts (2.6%)	2 (5.9%)	1 (3.2%)	---	1 (1.3%)	1 (4.0%)	0.455
Soft tissue revision due to infection 1 pt (0.5%)	---	---	---	1 (1.3%)	---	1.00
Total revisions—32 pts (16.8%)	7 (20.6%)	4 (12.9%)	5 (21.7%)	12 (15.4%)	4 (16.0%)	0.876

**Table 5. Survival estimates (95% confidence intervals).**

Total = 386 patients	Fractured Lesser Trochanter (A2.2 & A2.3) N = 62	Reverse Oblique (A3.1) N = 63	Transverse (A3.2) N = 51	Comminuted (A3.3) N = 145	Subtrochanteric N = 65
Six months	95.2% (90% - 100%)	93.5% (87.6% - 99.9%)	88.2% (79.8% - 97.5%)	91% (86.5% - 95.8%)	80% (70.8% - 90.3%)
One year	77.4% (67.6% - 88.5%)	90.3% (83.3% - 98%)	74.5% (63.4% - 87.5%)	84.1% (78.4% - 90.3%)	76.9% (67.3% - 87.9%)
Five years	55.7% (42% - 73.9%)	63.2% (50.9% - 78.4%)	37.9% (22.8% - 62.8%)	64.5% (56% - 74.3%)	50.5% (38.5% - 66.3%)

P value for survival curves comparing all the fracture types = 0.07.



**Figure 2. Kaplan-Meier survival curves. Low risk fracture types include AO types A3.1 (reverse oblique), A3.3 (Comminuted). High risk fracture type include AO types A2 (lesser trochanter fracture), A3.2 (transverse), and subtrochanteric fractures. The difference in survival was found to be statistically significant ( $p$  value = 0.008). (a) Kaplan Meier survival curves after fixation—by fracture type. (b) Kaplan Meier survival curves after fixation—by risk group.**

describing series of specific unstable fractures, without comparing between them. Reported complications of unstable pertrochanteric fractures fixation included cutouts (4% - 20%), femoral shaft fractures (0% - 10%) and nonunions (1% - 2%) [4]. Subtrochanteric fracture fixation had shown similar results [21,23]. These complication rates are comparable to the rates presented in this study. In our work, no statistically significant difference was found between fracture types in reviewing postoperative complications.

Some authors studied factors influencing the mortality of patients after internal fixation of pertrochanteric fractures. Forte *et al.* have examined the ninety day mortality in patients treated by internal fixation of pertrochanteric fracture. They have shown that patients treated at a low volume versus high volume institutes had mortality rates for ninety days of 24.4% and 12.9%, respectively [24]. These survival rates are comparable to the survival presented in this study. Donegan *et al.* has shown that higher ASA was associated with higher in hospital mortality rates. This was due to higher medical complications in patients with higher ASA scores [25]. In our study no clinically significant difference in ASA score was found between fracture types.

We found the lack of successful reduction in 7.6% of patients, with no statistically significant difference among fracture types. This result does not indicate as to the difficulty in achieving reduction, only the final results. This finding is especially important since the reduction quality is considered by many to be one of the major criteria in preventing further complications [26-28]. The TAD was found to differ between fracture types. This did not influence the failure rates between fracture types. This finding is in contradiction to other authors who have shown that TAD above 25 was associated with higher rates of cutouts [26,29].

Recently, we reported a new radiographic measure for correcting lag screw position. Using polar to Cartesian coordinates transformation, we were able to devise a femur head-neck interface “safe zone” for the center of the lag screw. In short, this safe zone is the second quarter (from the bottom) of the head-neck interface line. In this dataset 76% to 87% of the lag screws were found within the head-neck “safe-zone”. This parameter did not differ among fracture types. This finding can explain why there were no differences in the rates of cutout between different fracture patterns.

The main drawback of the article is the fact that it is retrospective. As such, some data were not available, either not accessible or it was not recorded to begin with. However, the retrospective nature of the analysis enabled us to include maximum patients in our study. Further, the main result of the study, namely survival rates, was extracted from the national databases which are independent from the study design.

We believe that our results offer some new conclusions regarding unstable pertrochanteric fractures. We found that unstable pertrochanteric fractures are similar in demographics and surgery outcome. Also their complications and revision rates are similar. However, these fractures differ in survival of patients after surgery.

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