

Contraception and Venous Thromboembolism: Risk Factors and Clinical Considerations

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Abstract

Venous thromboembolism (VTE) is a known risk with some forms of hormonal contraception, and should be considered by health care providers when counselling patients about their contraceptive options. Various other risk factors exist for VTE, including family history of VTE and a personal history of VTE or thrombophilia. This article will summarize various known risk factors for VTE, as well as what is known about the VTE risk imparted by the use of different contraceptives.

Keywords

Venous Thromboembolism, Family History, Contraception

1. Introduction

An increased risk of venous thromboembolism (VTE) is a known risk with some forms of hormonal contraception and this is an important consideration when health care providers (HCPs) counsel patients about contraceptive options. A patient's medical history may indicate an increased personal risk for VTE; thus HCPs need to know what level of risk the patient's history imparts and the impact of various contraceptive methods on that individual's risk. No single contraceptive method will be a suitable fit for all women so HCPs need to understand the range of available contraceptive options and, with the woman, need to consider the benefits and risks of each.

VTE remains a major cause of morbidity and mortality worldwide. Some estimates suggest that in the USA alone close to 1 million people are affected each year. Of these, deep vein thrombosis (DVT) resulting in pulmonary embolism (PE) kills approximately 60,000 people per year. One quarter of cases of PE present with sudden death and as many as 1/3 of cases die within a month of diagnosis. Among people who survive DVT, one-half will have long-term complications (post-thrombotic syndrome) such as swelling, pain, discoloration, and scaling in the affected limb. These statistics

reflect all cases of VTE, many of whom are elderly, immobilized or have major comorbidities such as obesity or cancer [1].

Some forms of hormonal contraception are known to be a risk factor for VTE [2]. Because these methods are used by an estimated 100 million women worldwide (10 million in the USA), even though the absolute risk is small, they have the potential to be a significant contributor to overall VTE numbers.

Hormonal contraception is typically used in women ages 12 - 50 and the background rate of VTE in this population varies considerably in published data. In 2007 Heinemann and Dinger published a review of the range of baseline estimates of VTE in this population. They suggested that the variation in quoted risk was partly due to differences in methodology as well as definitions of VTE and the sensitivity of diagnostic tests over time. However they concluded in their analysis that the true incidence in women not using hormonal contraception was approximately 4 - 5 per 10,000 women years [3].

This article will highlight important clinical considerations for HCPs with regard to VTE risk and contraception. It will start with a review of risk factors for VTE, including the inherited thrombophilias, followed by a review of contraceptive options and their expected influence on VTE risk.

2. Risk Factors for VTE

Traditional teaching about VTE focused on the triad of endothelial dysfunction, hypercoagulability, and hemodynamic changes such as stasis or turbulence. Labeled “Virchow’s triad” in the 1950’s, long after Virchow’s death in 1902, it recognized his important discovery of the association between DVT and PE in 1856. In recent years our understanding of risk factors for DVT has grown steadily.

Risk factors for VTE may be inherited or acquired, and some are modifiable. Evidence suggests these risks related to hormones may act synergistically and in some cases may actually be super additive [4] [5] [6].

2.1. Pregnancy

Pregnancy is a time of significant risk for VTE even in healthy young women [7] [8] [9]. While the absolute risk of a pulmonary embolism during pregnancy or postpartum is low, pulmonary emboli remain one of the leading causes of maternal death in developed countries [10] [11]. Some studies suggest that the days around delivery bear the highest risk [12] [13]. The MEGA (Multiple Environmental and Genetic Assessment of risk factors for venous thrombosis) study found that the risk of venous thrombosis was 5-fold increased during pregnancy (OR, 4.6; 95% CI, 2.7 - 7.8) and 60-fold increased during the first 3 months after delivery compared with non-pregnant women (OR, 60.1; 95% CI, 26.5 - 135.9) [14]. Translated into women years, per 10,000 women 29 events would occur in pregnancy and over 300 in the postpartum period [15].

2.2. Obesity

Obesity is an independent risk factor for VTE. Lifestyle and socioeconomic factors have been linked to the increasing prevalence of obesity in the North American population.

Obesity defined as a body mass index (BMI) of greater than 30 kg/m², is associated with an increased risk of thrombosis [16] [17]. Tsai *et al.* found that the hazard ratios for BMIs greater than 30, 35, and 40 after controlling for age, sex, and race were 2.23 (95% CI 1.5 - 3.11), 1.52 ((5% CI, 0.78 - 2.96), and 2.71 (95% CI, 1.26 - 5.84), respectively. Similar results were obtained in the Copenhagen City Heart Study with a hazard ratio for VTE in individuals with BMI > 30 (compared to BMI < 20) being 2.10 (95% CI, 1.39 - 3.16). In the case-control PILI Genetic Risk monitoring (PILGRIM) Study, Suchon *et al.* found an Odds ratio of 3.46 (95% CI, 1.81 - 7.03) for patients with a BMI over 35 [18].

2.3. Polycystic Ovary Syndrome

Women with polycystic ovary syndrome (PCOS) may have the added burden of a genetic risk for weight gain, and may also have an increased risk of VTE above what would be expected based on BMI alone. Women with PCOS, many of whom are prescribed hormonal contraceptives for the non-contraceptive benefits of menstrual regulation and suppression of hyperandrogenism [19], have increased baseline risk for VTE. Among women aged 18 - 24 with PCOS the adjusted odds ratio for VTE was 3.26 (95% CI, 2.61 - 4.08) [20]. In another population-based cohort study examining VTE risk among women with PCOS who were not using hormonal contraception the relative risk of VTE compared to non PCOS subjects was 1.55 (95% CI 1.10 - 2.19) [21]. This risk of VTE in women with PCOS may be further augmented by exposure to hormonal contraceptives [4] [21] [22].

2.4. Trauma, Surgery and Immobility

Trauma [23], surgery [24] and immobility [25] all elevate the risk for VTE although the extent of risk depends on the numerous factors such as type and length of surgery [26], use of anticoagulation [27] [28], degree or duration of immobility, etc.

2.5. Malignancy

Malignancy also poses a risk for development of VTE and although most patients will have a known cancer diagnosis prior to a thrombotic event, occasionally this diagnosis is made thereafter [29] [30] [31].

2.6. Chronic Medical Conditions and Smoking

Chronic medical diseases and smoking may be linked to an increased risk for VTE but the evidence, to date, is conflicting. One meta-analysis of cardiovascular risk factors found the risk of VTE was 2.33 for obesity (95% CI, 1.68 to 3.24), 1.51 for hypertension (95% CI, 1.23 to 1.85), 1.42 for diabetes mellitus (95% CI, 1.12 to 1.77), 1.18 for smoking (95% CI, 0.95 to 1.46), and 1.16 for hypercholesterolemia (95% CI, 0.67 to 2.02) [32]. While smoking was not statistically significant in this analysis, other individual studies have suggested an increased risk. The MEGA case control study demonstrated an Odds Ratio for VTE in current smokers of 1.43 (95% CI, 1.28 - 1.60), while former smokers had an Odds Ratio of 1.23 (95% CI, 1.09-1.38). Heavy smokers with a ≥20 pack-year history had an Odds Ratio of 4.30 (95% CI, 2.59 - 7.14), and women who

smoked while using combined hormonal oral contraceptives had an Odds Ratio of 8.79 (95% CI, 5.73 - 13.49) [33]. In the case-control PILI Genetic Risk monitoring (PILGRIM) Study, Suchon *et al.* found an Odds Ratio for smokers of 1.65 (95% CI, 1.30 - 2.10) [18].

2.7. Air Travel

Air travel has also been identified as an important contributor to VTE, likely due to a combination of cramped seating, immobility, hypoxia and dehydration [34]. In the MEGA study [35] Cannegieter *et al.* found an overall Odds Ratio of 2.1 (95% CI 1.5 - 3.0), and they estimated that the odds ratio for women using combined oral contraceptives could be as high as 20-fold. In a case control study, Martinelli *et al.* also found the overall Odds Ratio to be 2.1 (95% CI, 1.1 - 4.0), and for women using combined hormonal oral contraceptives the Odds ratio was 13.9 (95% CI 1.7 - 117.5) [36].

2.8. Age

Advancing age is an important contributor to VTE risk. During the reproductive years the background rate of VTE is low but steadily increasing [37] [38] [39]. Occasionally combined hormonal contraception is used in older women during the perimenopausal transition to regulate bleeding and reduce intermittent menopausal symptoms. A Dutch case-control study examined the impact of hormonal contraception on VTE in women beyond age 50. The relative risk of venous thrombosis was especially high in women using oral contraception with one or more thrombophilic defects, with an OR of 16.3 (95% CI, 9.2 - 28.9). When the same analysis was performed using family history as a proxy for genetic thrombophilia the results were very similar OR 14.2 (95% CI, 6.7 - 30.0) for oral contraception use [40].

2.9. Blood Type

For patients with non-O blood type (compared to O blood type), the risk of VTE is elevated. A cohort study by Sode *et al.* determined that the hazard ratio for VTE is 1.4 (95% CI, 1.3 - 1.5) and there is an even greater impact when combined with Factor V Leiden and Prothrombin gene mutations [41]. In the case-control PILI Genetic Risk monitoring (PILGRIM) Study, Suchon *et al.* found an Odds ratio of 1.98 (95% CI, 1.57 - 2.49) for non-O blood type [18]. In post-menopausal women, non-O blood type is associated with a variety of pro-coagulant changes in coagulation factors when hormone replacement treatment is used [42].

2.10. Family History

Family history of VTE is an independent risk factor for VTE [6]. When the family history indicates a member with a known VTE the Odds Ratio for a thrombophilia is increased 2-fold (OR 2.2, 95% CI, 1.9 - 2.6) and up to 4-fold (OR 3.9, 95% CI, 2.7 - 5.7) when more than one family member has been affected [43]. Accordingly it is important to confirm whether the affected relative was screened for a thrombophilia and if so, the result of that screening. If an underlying hereditary thrombophilia is present in a first degree relative, or when one or more relatives have a documented VTE (especially under age 50) screening for thrombophilia is generally warranted prior to the initiation of

hormonal contraception [6]. Universal screening for thrombophilias for all contraception users is not feasible due to excessive cost [44] [45] however, selective screening for thrombophilias in patients with a family history of VTE may be warranted.

3. Inherited Thrombophilias

Inherited thrombophilias are estimated to affect 5% to 8% of the U.S. population. The level of risk varies according to the type of thrombophilia. Coagulation always necessitates a balance between pro-coagulant and anti-coagulant factors resulting in two ways that a thrombophilia can induce hypercoagulability: a deficiency in anti-thrombotic regulating factors or a gain of function for a pro-coagulant factor.

The inherited thrombophilias include genetic defects in both of these categories, leading to variable degrees of hypercoagulability [43] [46] [47]. Gain of function mutations are more common than deficiency mutations.

3.1. Gain of Function Mutations

Mutations in the Prothrombin (G20210A) gene, or the Factor V Leiden gene lead to hypercoagulability by increasing pro-coagulant factors.

3.1.1. Mutations in the Prothrombin (G20210A) Gene

Prothrombin (G20210A) mutation is present in 0.7% - 4% of the European population, with geographic variation noted [48]. In affected individuals, prothrombin levels can be 30% higher than in unaffected individuals and this leads to increased coagulability. The relative risk of VTE compared to unaffected individuals is 2.8 (95% CI, 1.4 - 5.6) [49].

3.1.2. Factor V Leiden

Mutation of the Factor V Leiden (FVL) gene affects 1% - 8.5% of the Caucasian population, and is the most common thrombophilia in this population [50] [51]. Also referred to as “Activated Protein C resistance”, Factor V Leiden leads to a mutation in Factor V that prevents it from being inactivated by the powerful anti-coagulant factor called Protein C. Heterozygous carriers of the Factor V Leiden mutation have an approximately 7-fold increased risk of VTE compared to unaffected individuals. In a case-control study, Price *et al.* calculated an Odds ratio of 6.6 (95% CI, 3.6 - 12.0) [52], and homozygous carriers (who account for only 1% of FVL carriers) are estimated to have as much as an 80-fold increased risk of VTE [53].

Interestingly, the increased risk of VTE in women who are FVL carriers and who use combined hormonal contraception may be increased to a greater degree than would be estimated based on the relative risks of either risk factor alone. In this context, the relative risk of VTE conferred by these two risk factors may be multiplicative rather than additional. In a case-control study, Vandenbroucke *et al.* found an Odds Ratio of 34.7 (95% CI, 7.8 - 154) for women with both risk factors, compared to women with neither risk factor [46].

3.2. Deficiency of Function Mutations

Protein C deficiency, Protein S deficiency and Antithrombin deficiency are rare yet important inherited thrombophilias because they increase the risk of clotting signifi-

cantly in affected individuals. These thrombophilias result from a deficiency in anti-coagulant factors in the clotting cascade.

3.2.1. Protein C Deficiency

Heterozygosity for protein C deficiency is inherited in an autosomal dominant fashion and affects between 0.2% - 0.5% of the population [54] [55]. However, there is a lot of variation in phenotype and many carriers will be asymptomatic. A retrospective cohort family study determined that the relative risk of thrombosis in carriers of protein C deficiency is 7.3 (95% CI, 2.9 - 18.4) [56], while a case-control study [57] suggests a relative risk of 6.5 (95% CI, 1.8 - 24). A meta-analysis of observational studies [58] demonstrated an odds ratio of thrombosis of 7.51 (95% CI, 3.21 - 17.52). It should be noted that Protein C deficiency can also be acquired, most often in the setting of severe infection or sepsis.

3.2.2. Protein S Deficiency

Protein S is a cofactor of Protein C and confers an anticoagulant effect on the clotting cascade. Mutations in the gene coding for protein S are inherited in an autosomal dominant fashion. The prevalence of protein S deficiency is between 0.03% - 0.13% [59]. A retrospective cohort family study determined that the relative risk of thrombosis in carriers of protein S deficiency is 8.5 (95% CI, 3.5 - 20.8) [56], while a meta-analysis of observational studies [58] found a slightly lower odds ratio for thrombosis of 5.37 (95% CI, 2.70 - 10.67). Protein S deficiency can also exist in an acquired form, and may be present in conditions such as pregnancy, oral contraceptive use, disseminated intravascular coagulation (DIC), nephrotic syndrome and liver disease.

3.2.3. Antithrombin Deficiency

Antithrombin (also referred to as Antithrombin III) is a naturally occurring enzyme inhibitor with anticoagulant properties. As its name suggests, it inhibits thrombin, but it also has effects on Factor Xa and Factor IXa in the coagulation cascade.

Hereditary deficiency of antithrombin has a prevalence of approximately 0.02% - 0.2% [60]. A retrospective cohort family study determined that the relative risk of thrombosis in carriers of antithrombin deficiency is 8.1 (95% CI, 3.4 - 19.6) [56], while a meta-analysis of observational studies [58] found a higher odds ratio for thrombosis of 16.26 (95% CI, 9.90 - 26.70).

4. Contraceptive Options and VTE Risk

When counselling patients about contraceptive options, VTE risk is only one of several considerations. Individualized counselling is needed, to ensure that risks, benefits and patient preferences are all considered.

An important consideration in choosing a contraceptive method is the effectiveness of the method in preventing pregnancy. While barrier methods and natural family planning methods carry no risk for VTE, they also have much higher failure rates than hormonal methods [61]. When considering VTE risk, it must be recalled that pregnancy confers a higher risk of VTE compared to the use any hormonal contraceptive method, and thus the risk of pregnancy must factor in to method selection. In an article

by van Vlijmen *et al.* [62], mathematical modeling was used to estimate the VTE risk for women with factor V Leiden mutations using combined oral contraceptives, intrauterine contraceptives and male condoms, taking into account the VTE risk associated with the contraceptive method itself, as well as VTE risk due to unintended pregnancies related to contraceptive failure. In their analysis, it was estimated that women with Factor V Leiden mutations who used condoms for contraception have an increased risk of VTE compared to those who used COCs, due to the higher risk of contraceptive failure and consequent pregnancy-related VTE. The authors advocate for a rational approach to contraceptive counselling and encourage HCPs not to unnecessarily deny patients access to effective contraception when the potential for pregnancy is higher in contraceptive non-users or users of less effective methods.

Long-acting reversible contraceptives (LARCs) are the most efficacious and include intrauterine devices and contraceptive implants. The copper IUD and levonorgestrel Intrauterine System (LNG-IUS) provide 99.2% and 99.8% efficacy at pregnancy prevention, respectively [61] and are not associated with an increased risk of VTE [63] [64]. The progestin secreting contraceptive implant has a 99.95% efficacy at preventing pregnancy and also does not increase the risk of VTE [65].

4.1. Copper Intrauterine Devices (IUDs)

Copper IUDs do not increase the risk of VTE and are very effective at preventing pregnancy. This may be a safe choice for women with VTE risk factors. It should be noted that copper IUDs may increase the risk of menorrhagia and/or dysmenorrhea for some women [66], and therefore their use may not be acceptable to women using anticoagulation (for example, women with a personal history of VTE and/or a high risk thrombophilia).

4.2. Combined Hormonal Contraceptives

Combined hormonal contraceptives (CHCs), whether pill, patch, or vaginal ring, are known risk factors for VTE [15] [67] [68]. With the introduction of the sub-50 µg estrogen combined oral contraceptives there was a fall in VTE risk compared to older higher dose formulations and because of this the risk of VTE has been largely attributed to the procoagulant effects of estrogen. While lowering of the estrogen dose in newer pills to 10 - 20 µg per tablet may further reduce the risk of VTE [39] [69] there is, to date, no compelling evidence to support this premise. Contemporary combined hormonal contraceptives are estimated to double the background risk of VTE from 4 - 5/10,000 to approximately 9 - 10/10,000 women years [3] [70].

In recent years an apparent increase in VTE risk associated with the progestin component of combined hormonal contraception has ignited a debate about the validity of the research and the potential for confounding by indication. Third generation progestins have been preferentially prescribed to women with higher baseline risk for VTE because they were thought to confer less metabolic risk. Similarly other progestins with strong anti-androgenic effects (cyproterone acetate and drospirenone) have been preferentially prescribed to women with obesity and androgenic features of PCOS [22] [70] [72].

Several database studies suggest greater VTE risk with combined hormonal contraceptive products containing third generation progestins (desogestrel and gestodene) and those containing drospirenone than with second generation products containing levonorgestrel. Comparing levonorgestrel to other progestins Lidegaard reported a Relative Risk for desogestrel of 2.2 (95% CI, 1.7 - 3.0), gestodene of 2.1 (95% CI, 1.6 - 2.8), drospirenone 2.1 (95% CI, 1.6 - 2.8) [39]. These studies have been criticized for significant methodological deficiencies [15] [71] [72]. Several higher quality prospective cohort studies have shown no differences in VTE risk between products based on progestin formulation [70] [73] [74] [75] [76]. The International Active Surveillance Study of Women Taking Oral Contraceptives (INAS) study reported a RR of 0.8 (95% CI, 0.4 - 1.5) when comparing VTE rates with drospirenone vs levonorgestrel [75].

The risk of VTE in women on combined hormonal contraception is greatest in the first months of use [70] [76] and may relate to the uncovering of an, as yet, undiagnosed thrombophilia [77]. Discontinuation of combined hormonal contraception returns the risk of VTE to baseline after the first month [78].

4.3. Progestin-Only Contraceptives

Progestin-only contraceptives (levonorgestrel Intrauterine Systems (LNG-IUS), implants, injectables, and pills) have generally not shown an increased risk of VTE in users [64] [79]. Two meta-analyses that included studies of all forms of progestin-only contraception have been undertaken, and both show reassuring results. In a meta-analysis by Mantha *et al.* [64], the adjusted Relative Risk for VTE for users vs. non-users of progestin-only contraceptives was 1.03 (95% CI, 0.76 - 1.39). A Meta-analysis by Bergendal *et al.* [79] demonstrated an overall Odds Ratio of 1.45 which was not statistically significant (95% CI, 0.92 - 2.26).

Controversy exists over whether or not there is a possible increased risk of VTE with Depomedroxyprogesterone acetate (DMPA) injections. The WHO Collaborative Study of Cardiovascular Disease and Steroid Hormone Contraception [80], a case-control study, demonstrated a statistically insignificant increased risk of VTE in users of DMPA, with an adjusted Odds Ratio of 2.19 (95% CI 0.66 - 7.26), while another case-control study by van Hylckama Vlieg *et al.* [63] demonstrated an adjusted Odds Ratio of 3.0 (95% CI, 1.2 - 7.5), adjusted for BMI, family history of VTE and smoking status. A meta-analysis assessing the risk of venous thromboembolic events in women taking progestin-only contraception included a sub group analysis of DMPA users that included both the WHO and van Hylckama Vlieg studies which revealed an increased relative risk of 2.67 (95% CI 1.29 to 5.53). The authors concluded that further research was necessary as there were only the two studies included within this subgroup [64]. It should be noted that in these case-control studies there may be residual confounding based on potential for prescription bias (patients with a perceived higher risk of VTE could have been preferentially prescribed DMPA) or indication bias (patients may have been using DMPA for indications other than solely contraception).

Progestin-only pills (POPs) do not increase the risk of VTE. The WHO Collaborative Study of Cardiovascular Disease and Steroid Hormone Contraception [80], a case-control study, demonstrated a non-significant increase in VTE risk with POP use, with

an adjusted Odds Ratio of 1.82 (95% CI, 0.79 - 4.22). The Transnational Study on Oral Contraceptives and the Health of Young Women [81], a case-control study, demonstrated an adjusted Odds Ratio of 0.68 (95% CI, 0.28 - 1.66) for VTE in POP users compared to non-oral contraceptive users. A cohort study by Lidegaard *et al.* [39] demonstrated an adjusted Relative Risk of VTE for users of Norethisterone POPs of 0.56 (95% CI, 0.29 - 1.07) and an adjusted RR for users of Desogestrel POPs of 0.64 (95% CI 0.29 - 1.42). The relative risk for the subgroup of POP users in the meta-analysis by Mantha *et al.* [64] was 0.90 (95% CI, 0.57 - 1.45).

Progestin-only implants do not appear to increase the risk of VTE, although there are few published studies on this topic. The follow-up analysis by Lidegaard *et al.* [65] of the registry-based Danish cohort study on non-oral hormonal contraception included an analysis of users of the subcutaneous etonogestrel implant (Implanon®). The adjusted Relative Risk of VTE (compared to non-users of contraception) was non-significant at 1.40 (95% CI, 0.58 - 3.38).

The Levonorgestrel secreting intrauterine systems (LNG-IUS) do not increase the risk of VTE. A retrospective cohort based study by Lidegaard *et al.* [65] on non-oral hormonal contraception demonstrated an adjusted Relative Risk of 0.57 (95% CI, 0.41 - 0.81), which suggests a protective effect although a physiological explanation for this is not elucidated. In a case-control study, van Hylckama Vlieg [63] also demonstrated a low risk of VTE in users of LNG-IUS, with an adjusted Odds Ratio of 0.3 (95% CI, 0.1 - 1.3), which also suggests a protective effect but does not reach statistical significance. In a meta-analysis that included both the Lidegaard and Vlieg studies, Mantha *et al.* calculated relative risk of 0.61 (95% CI, 0.24 - 1.53) [64].

5. Conclusion

Risk factors for VTE should be elicited prior to prescribing hormonal contraception. A positive family history of VTE may be, and positive family history for a thrombophilia should be, an indication for thrombophilia screening prior to prescribing hormonal options. An individualized risk/benefit discussion and knowledge of a range of contraceptive options should minimize the risk of VTE while affording effective contraception. Non hormonal and progestin-only methods appear to offer the greatest margin of safety.

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