

# On the Maximum Number of Dominating Classes in Graph Coloring

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## Abstract

We investigate the dominating- $\chi$ -color number,  $d_\chi(G)$ , of a graph  $G$ . That is the maximum number of color classes that are also dominating when  $G$  is colored using  $\chi(G)$  colors. We show that  $d_\chi(G \vee H) = d_\chi(G) + d_\chi(H)$  where  $G \vee H$  is the join of  $G$  and  $H$ . This result allows us to construct classes of graphs such that  $d_\chi(G) > 1$  and  $d_\chi(G) = \chi(G)$  thus provide some information regarding two questions raised in [1] and [2].

## Keywords

Graph Coloring, Dominating Sets, Dominating Coloring Classes, Chromatic Number, Dominating Color Number

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## 1. Introduction

Let  $G$  be a graph with vertex set  $V$  and edge set  $E$ . A subset  $I$  of  $V$  is independent if no two vertices in  $I$  are adjacent. A subset  $S$  of  $V$  is a dominating set if every vertex in  $V \setminus S$  is adjacent to at least one vertex in  $S$ . We define a coloring  $C$  of  $G$  with  $k$  colors to be a partition of  $V$  into  $k$  independent sets:

$$C = \{C_1, C_2, \dots, C_k\}$$

such that

$$C_1 \cup C_2 \cup \dots \cup C_k = V$$

and  $C_i$  is independent for  $i = 1, 2, \dots, k$ . The minimum of  $k$  for which such a partition is possible is the chromatic number of  $G$ , denoted  $\chi(G)$ . The dominating- $\chi$ -color number of  $G$  is motivated by a two-stage

optimization problem. First, we partition the vertex set of  $G$  into the minimum number of independent sets; secondly, we maximize the independent sets that are also dominating in  $G$ . Clearly, the number of independent sets we use in the first stage will be  $\chi(G)$ , the chromatic number of  $G$ . Among all colorings of  $G$  using  $\chi(G)$  colors, the maximum number of independent sets that are also dominating is defined to be the dominating- $\chi$ -color number of  $G$ , denoted by  $d_\chi(G)$ . Formally, we have

$$d_\chi(G) = \max \{ \text{number of coloring classes of } \mathcal{C} \text{ that are dominating in } G : \mathcal{C} \text{ is a } \chi\text{-coloring of } G \}.$$

The dominating- $\chi$ -color number of  $G$  was first introduced in [2]. More research has been done in this area since then (see for example [1] [3] [4]). However, the two interesting questions posed in [1] and [2] remain unanswered. In this article, we present some more results about the dominating- $\chi$ -color number of a graph that are relevant to these two questions.

## 2. Main Results

The following observation was made in [2].

**Theorem 1** For all graph  $G$ ,  $1 \leq d_\chi(G) \leq \chi(G)$ .

The following two questions are posed in [1] and [2].

**Question 1.** Characterize the graphs  $G$  for which  $d_\chi(G) = 1$ .

**Question 2.** Characterize the graphs  $G$  for which  $d_\chi(G) = \chi(G)$ .

Neither of the two extreme cases is trivial. It is known that if  $G$  has an isolated vertex, then  $d_\chi(G) = 1$ . However, a graph  $G$  with  $d_\chi(G) = 1$  can be connected and have arbitrarily large minimum degree.

**Theorem 2.** [1] For every integer  $k \geq 0$ , there exists a connected graph  $G$  with  $\delta(G) = k$  and  $d_\chi(G) = 1$ .

The following lemma may help us understand the relation between the structure of a graph and its dominating- $\chi$ -color number. It shows that if a graph  $G$  contains a complete bipartite graph as a spanning subgraph, then the dominating- $\chi$ -color number of  $G$  is the sum of the dominating- $\chi$ -color numbers of these two subgraphs.

**Lemma 1.** If  $V(G)$  can be partitioned into two sets  $V_1$  and  $V_2$  such that every vertex in  $V_1$  is adjacent to every vertex in  $V_2$ , then  $d_\chi(G) = d_\chi(G_1) + d_\chi(G_2)$  where  $G_i$  is the subgraph of  $G$  induced by  $V_i$  for  $i = 1, 2$ .

*Proof.* Since in any coloring of  $G$ , no vertex in  $V_1$  can share a color with a vertex in  $V_2$ , we have  $\chi(G) = \chi(G_1) + \chi(G_2)$ . Let  $\chi(G_1) = k_1$  and  $\chi(G_2) = k_2$ . Let  $C_1$  be a  $k_1$ -coloring of  $G_1$  with  $d_\chi(G_1)$  dominating coloring classes using the colors  $\{1, 2, \dots, k_1\}$ . Let  $C_2$  be a  $k_2$ -coloring of  $G_2$  with  $d_\chi(G_2)$  dominating coloring classes using the colors  $\{k_1 + 1, k_1 + 2, \dots, k_1 + k_2\}$ . The combination of  $C_1$  and  $C_2$  is clearly a  $(k_1 + k_2)$ -coloring of  $G$ . A coloring class of  $C$  is either a coloring class of  $C_1$  or a coloring class of  $C_2$ . Suppose that  $S$  is a coloring class of  $C_1$  that dominates  $G_1$ . Every vertex in  $V_1 \setminus S$  is adjacent to at least one vertex in  $S$ . Every vertex in  $V_2$  is adjacent to every vertex in  $S$ . Therefore  $S$  is a dominating set in  $G$ . Similarly, every coloring class of  $C_2$  that dominates  $G_2$  is a dominating set in  $G$ .  $C$  is a coloring of  $G$  with at least  $d_\chi(G_1) + d_\chi(G_2)$  coloring classes. We have  $d_\chi(G) \geq d_\chi(G_1) + d_\chi(G_2)$ .

Suppose that  $C'$  is a coloring of  $G$  with  $\chi(G)$  colors and  $d_\chi(G)$  dominating coloring classes. The restriction of  $C'$  to  $G_i$  is a coloring of  $G_i$  with  $\chi(G_i)$  colors for  $i = 1, 2$ . Let  $S$  be a dominating coloring class of  $C'$ .  $S \subset V_1$  or  $S \subset V_2$ . Suppose that  $S \subset V_1$ . Then  $S$  is a dominating set for  $G_1$ . Therefore, every dominating coloring class of  $C'$  is either a dominating coloring class of  $G_1$  or a dominating coloring class of  $G_2$ . Therefore  $d_\chi(G_1) + d_\chi(G_2) \geq d_\chi(G)$ .

Using Lemma 1, we have a sufficient condition for the dominating- $\chi$ -color number of a graph to be greater than one.

**Corollary 1.** If the complement of  $G$  is disconnected, then  $d_\chi(G) > 1$ .

The join of two graphs  $G_1$  and  $G_2$ , denoted by  $G_1 \vee G_2$ , is defined by

$$V(G_1 \vee G_2) = V(G_1) \cup V(G_2),$$

$$E(G_1 \vee G_2) = E(G_1) \cup E(G_2) \cup \{xy : x \in V(G_1), y \in V(G_2)\}.$$

In other words, we construct  $G_1 \vee G_2$  by taking a copy of each of  $G_1$  and  $G_2$  and joining every vertex in

$G_1$  with every vertex in  $G_2$ . It is known that  $\chi(G_1 \vee G_2) = \chi(G_1) + \chi(G_2)$ . By Lemma 1, there is a similar relation between the dominating- $\chi$ -color numbers.

**Theorem 3.**  $d_\chi(G_1 \vee G_2) = d_\chi(G_1) + d_\chi(G_2)$ .

It is shown in [1] that it is possible for a graph with chromatic number  $k$  to have dominating- $\chi$ -color number  $l$  for any  $k$  such that  $1 \leq l \leq k$  and  $(k, l) \neq (2, 1)$ . We present a new construction to prove this result using Theorem 3.

**Theorem 4.** For all integers  $k, l$  such that  $1 \leq l \leq k$  and  $(k, l) \neq (2, 1)$ , there exists a connected graph  $G$  with  $\chi(G) = k$  and  $d_\chi(G) = l$ .

*Proof.* We prove by induction on  $l$ . If  $l = 1$ , the existence of such graphs is guaranteed by Theorem 2. For  $(k, l) = (3, 2)$ , it is easy to check that  $\chi(C_5) = 3$  and  $d_\chi(C_5) = 2$ . Therefore the theorem is true for  $(k, l) = (3, 2)$ . Suppose that  $l > 1$  and  $(k, l) \neq (3, 2)$ . Let  $k' = k - 1$  and  $l' = l - 1$ .  $(k', l') \neq (2, 1)$ . By inductive hypothesis, there is a connected graph  $H$  with  $\chi(H) = k'$  and  $d_\chi(H) = l'$ . Let  $G = H \vee K_1$ . Since  $\chi(K_1) = d_\chi(K_1) = 1$ , by Theorem 3 we have

$$\chi(G) = \chi(H) + 1 = k' + 1 = k$$

and

$$d_\chi(G) = d_\chi(H) + 1 = l' + 1 = l.$$

This proves the theorem.

Next we turn our attention to Question 2. Arumugam *et al.* [2] showed that if  $G$  is uniquely  $\chi$ -colorable, then  $d_\chi(G) = \chi(G)$ . Therefore if  $G$  contains a subgraph that is uniquely  $\chi(G)$ -colorable, then  $d_\chi(G) = \chi(G)$ . It is natural to ask whether there are any other kind of such graph, that is, whether there are any graph  $G$  such that  $d_\chi(G) = \chi(G) = k$  and  $G$  does not contain a uniquely  $k$ -colorable subgraph. For  $k = 2$ , the answer is no since every edge is a uniquely 2-colorable subgraph. For  $k = 3$ , the answer is yes. Arumugam *et al.* [1] showed that  $d_\chi(C_{6i+3}) = \chi(C_{6i+3}) = 3$  for any nonnegative integer  $i$ .  $C_{6i+3}$  was not uniquely 3-colorable for  $i > 0$ . Using this fact and Theorem 3, we can show that the answer of our question is yes for all  $k \geq 3$ .

First, we need a technical lemma.

**Lemma 2.** The graph  $G = G_1 \vee G_2$  is uniquely  $(\chi(G_1) + \chi(G_2))$ -colorable if and only if  $G_1$  is uniquely  $\chi(G_1)$ -colorable and  $G_2$  is uniquely  $\chi(G_2)$ -colorable.

The proof is easy and omitted.

**Theorem 5.** Let  $k$  be an integer greater than 3. There is a graph  $G_k$  such that  $d_\chi(G_k) = \chi(G_k) = k$  and  $G_k$  do not contain a uniquely  $k$ -colorable subgraph.

*Proof.* We prove by induction on  $k$ . We have shown that the statement is true for  $k = 3$ . Suppose that  $k \geq 4$  and the statement is true for  $k - 1$ . Let  $G_k = G_{k-1} \vee K_1$ . Since  $d_\chi(K_1) = \chi(K_1) = 1$ ,  $d_\chi(G_k) = d_\chi(G_{k-1}) + d_\chi(K_1) = k$  by Theorem 3 and the inductive hypothesis. Every  $k$ -chromatic subgraph  $H$  of  $G_k$  must have the form  $H = H_{k-1} \vee K_1$  where  $H_{k-1}$  is a subgraph of  $G_{k-1}$ . By Lemma 2,  $H$  is uniquely  $k$ -colorable if and only if  $H_{k-1}$  is uniquely  $(k - 1)$ -colorable. Since  $G_{k-1}$  does not contain a uniquely  $(k - 1)$ -colorable subgraph,  $G_k$  does not contain any uniquely  $k$ -colorable subgraph. This proves the theorem.

The graphs constructed in Theorem 5 contain large cliques. In fact,  $G_k$  contains many copies of  $K_{k-1}$ . If  $k = 3l + j$  for some integers  $l$  and  $j$ , we may reduce the size of the largest clique in  $G_k$  by taking the join of copies of  $C_9$  in the first  $l$  steps and then taking the join with  $K_1$  afterwards. Thus, we have the following result.

**Theorem 6.** Let  $j, l$  be nonnegative integers and  $k = 3l + j$ . There is a graph  $G_k$  such that  $d_\chi(G_k) = \chi(G_k) = k$ .  $G_k$  does not contain a uniquely  $k$ -colorable subgraph and the largest clique in  $G_k$  has size  $2l + j$ .

### 3. Remarks

It is well known that there are uniquely  $k$ -colorable graphs with arbitrarily large girth. Therefore, there are graphs  $G$  such that  $d_\chi(G) = \chi(G)$  and  $G$  has arbitrarily large girth. In light of Theorems 5 and 6, we would like to ask the following question.

**Question 3.** Are there triangle-free graphs  $G$  such that  $d_\chi(G) = \chi(G) = k$ , and does  $G$  not contain a uniquely  $k$ -colorable graph? Furthermore, are there such graphs with arbitrarily large girth?

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