



# Quality of Life after Orthodontic Therapy from the Perspective of CS-OIDP

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## Abstract

**Objective:** The aim of this study was to assess the impact of occlusal problems on quality of life in 288 patients who had undergone fixed orthodontic therapy, as well as to investigate the factors associated with this impact. **Material and Methods:** The orthodontic treatment needed by the IOTN index and the adequacy of treatment through the PAR index were evaluated in models. The impact on quality of life was assessed using the CS-OIDP index. For data analysis, we used the Mann-Whitney and Kruskal-Wallis test for a confidence level of 95%. **Results:** The impact of malocclusion on quality of life was low, being present in 29.2% of cases. Performances of smiling, emotional state, and cleanliness of the mouth were the most affected. Factors related to the impact were the kind of service of the treatment performed, the need for orthodontic treatment, type of problem that motivated the treatment, and current age at the end of treatment. **Conclusions:** Despite the low impact, it was strengthened the hypothesis that patients undergoing orthodontic therapy had an improved quality of life.

## Keywords

Quality of Life, Malocclusion, PAR, IOTN, CS-OIDP

**Subject Areas:** Dentistry, Epidemiology

## 1. Introduction

Malocclusions are considered a public health problem because of their high prevalence, possible prevention and

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treatment, as well as causing social impact by interfering in the quality of life of individuals. The dentofacial disharmony may negatively influence people's lives in relation to psychosocial factors [1]. The demand for direct orthodontic treatment is high, strengthening the hypothesis that these problems have significant social impact in a general context [2]. The main reason for the demand of orthodontic treatment has been the improvement in the dentofacial appearance, more than the need for proper correction [3].

More recently, the incorporation of subjective measures to assess the impact of occlusal problems in people's lives has been observed, thus the need perceived by individuals [4]. This new approach by Chaves (1986) meets the above, which refers to the search for a criteria of related treatment needed from the point of view of the adjustment of the individual in society [5].

The literature theoretically suggests that orthodontic treatment promotes many psychosocial benefits [5]-[8]. However, the assessment of changes of pre or post orthodontic and/or orthosurgical treatment is based on traditional clinical measures, but it is the perception of the individual which is the central link of any need and satisfaction of treatment [9] [10].

In recent decades, several instruments for the assessment of quality of life related to oral health life were developed. The oral impact on daily performance (OIDP) is a type of specific OHRQoL measure of the group (Oral Health-Related Quality of Life), which seeks to relate these oral problems to quality of life [10]-[12]. When used to evaluate an oral health problem, it can be referred to as a specific condition of oral impact on daily performance (CS-OIDP) modified by Locker in 1988 for its use in Odontology [10]. Another positive factor of the CS-OIDP is that it also assesses the intensity and/or extent of the impact [13] [14].

Based on this, the study aimed to assess the impact of occlusal problems on quality of life in adults who have undergone fixed orthodontic therapy, as well as to investigate the factors associated with this impact, taking into consideration reports of a negative impact on quality of life of individuals.

## 2. Materials and Methods

This study is characterized as an exploratory, observational, and cross-sectional study which evaluated 288 adults undergoing fixed orthodontic therapy, aged between 18 - 70 years, and treated both in public and private facilities in Natal, RN, Brazil. The study population was selected from graduate courses offered by the Academy of Dentistry of Rio Grande do Norte and the Brazilian Dental Association of Rio Grande do Norte—ABO/RN, and private offices that make up the cases were treated in private hospitals. The present study was approved by the Ethics Committee (CEP) of the Federal University of Rio Grande do Norte (Brazil).

Prior to analysis of study models, a calibration of the examiners was held in order to ensure uniformity of interpretation, understanding, and application of the occlusal index: IOTN (Index of Treatment Need) which is used to classify the severity of orthodontic treated cases, and PAR (Peer Assessment Rating) used to balance the level of orthodontic treated cases in different institutions of the study. For this purpose, the first step consisted of the study of criteria for data collection from study models, through discussion of articles and explanation of each occlusal index. The second phase consisted of a pilot study whose objective was to provide examiners with the establishment of criteria for analysis and measurement of occlusal indices; which was made using 30 selected models with several cases of malocclusion.

After this phase, there was an inter-calibration. This calibration was also performed in this pilot study assessing 30 study models. Thus, an acceptable standard of kappa value equal to or greater than 0.80 was considered in each of the indices evaluated. Therefore, the lowest kappa value obtained in this step was greater than 0.86 for all variables studied.

The independent variables were divided into two groups: socio-economic demographic variables (type of service, age, gender, current age, and age at end of treatment) and the independent orthodontic variables (satisfactory use of retainer, time of use of retainer, undergoing speech therapy, main problems reported, IOTN-DHC, IOTN-AC, initial PAR, final PAR, difference between initial and final PAR). IOTN-DHC was used as a component of dental health, whereas the IOTN-AC was used as an aesthetic component.

The initial categorization of the need for orthodontic treatment was obtained through the IOTN (Index of Treatment Need) index and quality of 20 cases treated in private and public networks were investigated with the PAR index, through the improvement rate of post-orthodontic therapy [15].

To collect data related to quality of life, the CS-OIDP (Condition-Specific Forms of the Oral Impacts on Daily Performances) index was used, which evaluated the specific condition of the oral impact on daily per-

formance and analysed if the correction of malocclusion has impacted or not in the quality of life of the patients. This impact was collected through the analysis of eight daily performances, these being: eating, speaking, cleaning the mouth, relaxing, smiling, studying, emotional status, and social contact. Performances as frequency and severity of the impact range was not considered in this study. If no impact was reported, the score of zero was assigned. The impact score for each of the eight performances was estimated by multiplying the score of the corresponding frequency and their respective severity. The total score for the OIDP attributed to malocclusion was the sum of the scores of the eight performances (in a range from 0 to 72), multiplied by 100 and divided by 72.

Initially, a descriptive analysis of all variables was performed at the expense of measures of absolute and relative frequency and statistical indices that summarize the data. For data analysis, aiming to relate factors associated with the CS-OIDP, we used the Mann-Whitney and Kruskal-Wallis test for a confidence level of 95%.

### 3. Results

The characterization results of the sample is according to the **Table 1**.

The impact of malocclusion on quality of life was low with an average of 2.56 and a standard deviation of 6.55, but was still present in 29.2% of patients, despite orthodontic treatment being performed. In this study, any higher minimum impact than zero was considered. The performances of smiling, emotional state, and cleanliness of the mouth (10.4%, 10.4% and 10.1%, respectively) were the most affected (**Figure 1**).

Making an association between the type of service and the CS-OIDP index, patients in the private health sector reported more impact than undergoing treatment in public services. Another aspect observed in this study was that the older the patient was, the more likely the individual revealed an impact on quality of life, as individuals under age 21 years, both in the current age and the age after completion of orthodontic therapy had smaller values of CS-OIDP (**Table 2**).

Independent variables related to orthodontic therapy, as the main problems that caused the patient to seek orthodontic therapy, conducting additional speech therapy, whether or not retainer used satisfactorily according to

**Table 1.** Descriptive analysis of the independent variables.

VARIABLES		N	%
<b>Services</b>	Public	136	47.2
	Private	152	52.8
<b>Gender</b>	Female	166	57.6
	Male	122	42.4
	Average	75	26.0
<b>Level of Education</b>	College Not Completed	40	13.9
	Graduated College	173	60.1
	Bad tooth positioning	152	52.8
<b>Main Problems</b>	Space between teeth	93	32.3
	Deformity of the mouth and face	22	7.6
	Others	21	7.3
<b>Speech Therapy</b>	Yes	89	30.9
	No	199	69.1
<b>Satisfactory Use of Retainer</b>	Yes	256	88.9
	No	32	11.1
VARIABLES		MEAN	STANDARD DEVIATION
<b>Current Age</b>		31.50	10.41
<b>Final Age Post-Treatment</b>		28.83	10.94
<b>Time to Remove the Unit/Months</b>		44.22	40.92

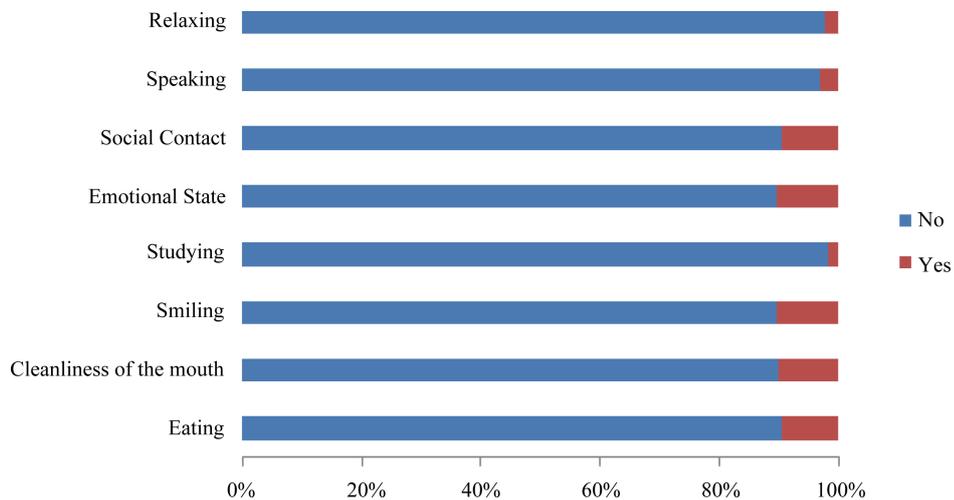


Figure 1. Variables that most impacted after orthodontic treatment.

Table 2. Mid table Q<sub>25</sub> - Q<sub>75</sub> CS-OIDP regarding socio-economic demographic independent variables.

VARIABLES		N	MEDIAN	Q <sub>25</sub> - Q <sub>75</sub>	VALUE OF p*
Type of service	Public	136	0	0.00 - 0.00	<0.001
	Private	152	0	0.00 - 2.78	
Gender	Female	166	0	0.00 - 1.39	0.431
	Male	122	0	0.00 - 1.39	
Education	High School <sup>a</sup>	75	0	0.00 - 0.00	0.105
	Some College <sup>a</sup>	40	0	0.00 - 0.00	
	Graduated College <sup>a</sup>	173	0	0.00 - 2.08	
Current age	Up to 21years <sup>a</sup>	105	0	0.00 - 0.00	<0.001
	22 to 33 years <sup>b</sup>	91	0	0.00 - 0.00	
	Over 33 years <sup>b</sup>	92	0	0.00 - 4.17	
Age after orthodontic treatment	Up to 25years <sup>a</sup>	104	0	0.00 - 0.00	0.010
	26 to 35 years <sup>b</sup>	92	0	0.00 - 1.39	
	Over 35 years <sup>b</sup>	92	0	0.00 - 3.82	

\* p value overall, <sup>a</sup>, <sup>b</sup>: post-tests with Mann-Whitney and Bonferroni correction.

self-patient assessment and time of use of this retainer not significantly associated with oral impacts on daily performances. Regarding the need for early orthodontic treatment, there was only a significant association with IOTN-DHC, thus not having IOTN-AC (Table 3).

Therefore, the factors related to the impact were the kind of service in which the treatment was performed, the need for orthodontic treatment, the type of problem that motivated treatment, and current age and age at the end of treatment.

#### 4. Discussion

When evaluating the impact of malocclusion on quality of life, the level of evidence of articles available in the literature, according to a systematic review, is relatively low as most studies in this area are of the cross-sectional type [16]. However, there is a consensus that orthodontic treatments with or without orthognathic surgery are the ones that require the use of socio-dental indicators among all dental specialties, for practically generating a large psychosocial influence on patients during all of the treatment time and also having the characteristic that, in some cases, aim for small or large facial changes [9] [16]-[18].

**Table 3.** Table median Q<sub>25</sub> - Q<sub>75</sub> CS-OIDP in relation to orthodontic independent variables.

VARIABLES		N	MEDIAN	Q <sub>25</sub> - Q <sub>75</sub>	VALUE OF p*
<b>Speech Therapy</b>	Yes	89	0	0.00 - 1.39	0.835
	No	199	0	0.00 - 1.39	
<b>Satisfactory use of retainer</b>	Yes	256	0	0.00 - 1.39	0.212
	No	32	0	0	
<b>Duration of retainer use</b>	Up to 12 months	213	0	0.00 - 1.39	0.782
	Over 12 months	53	0	0.00 - 1.39	
<b>Main Problem</b>	Badly positioned teeth <sup>a</sup>	152	0	0.00 - 2.78	<b>0.017</b>
	Space between teeth <sup>a</sup>	93	0	0	
	Mouth or Face Deformity <sup>b</sup>	22	0.69	0.00 - 12.84	
	Others <sup>a</sup>	21	0	0.00 - 1.39	
	Without or less than needed treatment	109	0	0	
<b>IOTN-DHC</b>	Moderate or more than needed treatment	179	0	0.00 - 2.78	<b>0.019</b>
	Without or less than needed treatment	160	0	0.00 - 1.39	
<b>IOTN-AC</b>	Moderate or more than needed treatment	128	0	0.00 - 1.39	0.838
<b>Initial PAR</b>	Up to 14	154	0	0.00 - 1.39	0.668
	Over 14	134	0	0.00 - 1.39	
<b>Final PAR</b>	0	230	0	0.00 - 1.39	0.863
	Over 0	58	0	0.00 - 1.74	
<b>Difference Initial PAR-Final PAR</b>	Up to 13	153	0	0.00 - 1.39	0.314
	> 13	132	0	0.00 - 1.43	

\*p value overall, <sup>a</sup>, <sup>b</sup>: post-tests with Mann-Whitney and Bonferroni correction.

According to Sheiham (2000), the use of socio-dental indicators, offers important advantages for the planning and provision of dental services, and the main thing is the shift in emphasis from purely biological aspects to psychological and social aspects [19].

Thus, taking into account that untreated malocclusion reflects this physical, psychological, and social impact on daily life, patients who possessed moderate or high need for orthodontic treatment at the beginning of orthodontic therapy (p = 0.019), observed through the IOTN-DHC, also showed to have the greater impact on quality of life than those with little need for treatment. This corroborates studies which found that those patients who rated themselves as aesthetically bad were far more likely to have a negative effect on quality of life than those who were satisfied with their aesthetics [20] [21]. These same findings were also reported by studies evaluating the association of severe need for orthodontic treatment through the IOTN, with quality of life [21]-[24].

In evaluating current research, the impact of socio-dental malocclusions in children or adolescents, only some evaluate the prevalence of this impact [22] [24]. In the present study, the sample consisted of individuals aged 18 - 70 years who underwent corrective orthodontic therapy in public and private services. The post-orthodontic therapy impact was low, averaging 2.56, assuming that the score of zero indicates no impact, but still present in 29.2% of patients. The prevalence of this impact, in the study by Soares and Lima (2011) was the highest, 55.3%, and also when compared to other studies, Barnábe *et al.*, 2007; Gherunpong *et al.*, 2006; Marques *et al.*, 2005; Peres *et al.*, 2009, who found a lower prevalence, 21.2% 24.6%, 20.3% and 27%, and 36.4%, respectively [25]-[29]. However, it should be emphasized that some of these studies conducted research on patients under the age of 18 and without previous orthodontic treatment [25] [27] [28]. In this study, the fact that the impact was

low but this post-orthodontic treatment can be justified because it was punctuated by adults older than 33 years ( $p < 0.001$ ), and especially those submitted to private therapy ( $p < 0.001$ ), assuming that this group are more critical of their appearance in relation to adolescents or patients treated in public services and therefore stressed greater impact on quality of life even without severe occlusal alteration present. Shafiulla *et al.* (2009) emphasized that from the stage of adolescence, the concern with dental appearance increased [30].

There were no significant differences between genders nor with regard to degree of education among them, and also there was no significant influence between them or the results found by CS-OIDP. Therefore, notwithstanding the current study, there has been a gender balance and in some studies it was observed that females report having a greater impact of their malocclusion on quality of life, which affirms to women being much more critical of their self-image and its impact on aesthetics [22] [23] [31] [32].

When individually evaluating the performance that affects the quality of life, Smiling (10.4%) and emotional state (10.4%) were the highest scores, but little difference with clean mouth (10.1%), reinforcing the idea of the association of the impact factor on aesthetics and corroborating studies have also reported the smile as the performance that is the most bothersome [32] [33].

Regarding orthodontic independent variables, it was observed that individuals who scored the bad tooth position as the main factor that led to the search for orthodontic therapy, but the factor that showed a significant comparison was deformity of the mouth and face ( $p = 0.017$ ), which also indicates the greater importance of aesthetic factor.

Findings in this regard are supported by the study of Bernabé *et al.* (2007), which found that changes with emphasis on the anterior segment had a major negative impact on quality of life [26]. Based on this hypothesis, several studies have reported that dentofacial aesthetics play an important role in both social interaction as well as the psychosocial well-being, where the dental appearance has greater importance than when it is a measured aspect of the functional amendment itself [21] [28] [31]. Because of these findings, and the report of Shaffiulla *et al.* (2009), it is justified to argue that the quest for orthodontic treatment is influenced primarily by the desire to look attractive in search of improved self-esteem [30].

Given these analyzes, it is valuable to realize that dental aesthetics has great influence on the demand for dental services, as the cosmetic change interferes with both social and psychological aspects, especially in the age range studied, where the adult is inserted in the social environment in a more active way.

Following this context that involves adults, we sought to further evaluate the quality of the treated cases (PAR), and whether or not the speech therapy, as well as the proper use of a retainer after orthodontic treatment would influence the results, but no significant relationship was found in this study.

Therefore, it is necessary that further studies are conducted, especially on adults, so that they can increasingly scientifically support the impact of malocclusion on quality of life of adults. Regardless of the social class to which the individual belongs, they also have the right to improve their oral health, to improve their self-esteem, and their psychosocial aspect, which also justifies the expansion of dental care, promoting improvement of oral health of the entire population of an egalitarian and universal society.

## 5. Conclusion

It was observed that for the patient to not experience any impact on quality of life, there would have to be no complaints present in the 9 evaluated performances, according to the analysis of the CS-OIDP, and the low impact presented strengthens the hypothesis that patients undergoing orthodontic therapy have an improved quality of life.

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