

# The statistical prediction of East African rainfalls using quasi-biennial oscillation phases information

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## ABSTRACT

A simple correlation method and a quasi-biennial oscillation (QBO)/rainfall composite analysis were used to examine the teleconnections between the seasonal rainfall anomalies of March through May (long-rains) over East Africa and the different QBO phases in the stratospheric zonal winds, and also explore the predictive potential of the long rainy season using information about the phases of the QBO for the period 1979-2003. We study the spatial correlation patterns statistically to understand the climatic associations between the equatorial stratospheric zonal wind and regional rainfall at the interannual time scale. The aim of this analysis is to establish whether this global signal can be employed as predictor variable in the long-range forecasts. Principal component analysis (PCA) is employed in the first instance to reduce the large dimensionality of the predictant (monthly rainfall data), to retain the time series of the principal components (PCs) and to delineate the rain gauge network of East Africa into homogeneous zones. Spatial patterns of the factor loading were used to delineate East Africa into 11 homogeneous zones.

**Keywords:** Principal Component Analysis (PCA); Climatological Rainfall Zones; QBO-Index; SOI-Index; March to May Seasonal Rainfall in East Africa

## 1. INTRODUCTION

East Africa experiences two main rainy seasons, namely the ‘long’ rains (March to May) and the ‘short’ rains (October to December). Significant evidence of the relationships between short-rains over eastern Africa, and SST and ENSO have been observed [1-3], and rela-

tively less attention has been directed at the predictive potential of the long-rains season over the region, which is more critical than the short-rains in many parts of the region for the agricultural industry and other social economic activities. The long-rains season has been associated with complex interactions between many regional and large-scale mechanisms which generally induce large heterogeneities in the spatial rainfall distribution [4,5] and virtually negligible correlations with ENSO [3]. Recent studies of interannual variability in the tropics have largely focused on the ENSO, so much so that other important long-term sources of climate variability may have been overlooked. Therefore the objective of this study is to investigate the relationships between the different quasi-biennial oscillation (QBO) phases in the stratospheric zonal wind and the long-rains season of eastern Africa, and also explore the predictive potential of the long rainy season using information about the phases of the QBO. Several investigators have reported the presence of the QBO in various atmospheric parameters and at different regions of the globe [5-7]. Studies by Holton and Lindzen, Plumb, Holton and Tan [8-10] have indicated that the stratospheric equatorial QBO is forced locally by alternating downward propagating patterns of westerly and easterly mean zonal winds which repeat with somewhat irregular period averaging about 26 months. It has been pointed out that the stratospheric QBO is excited primarily by vertically propagating equatorial wave modes, and that these modes excite a quasi-biennial mean zonal wind response through the mechanism of radioactive damping which causes the waves to decay in amplitude with height and thus to transfer momentum to the mean zonal flow [8]. Lau and Sheu [11] have indicated that the fundamental period of the Southern Oscillation (SO) is approximately double that of the QBO, which in turn twice that of the annual cycle. QBO has been found to be strongly phase locked with the annual cycle and it also tends to enhance major negative swings in the SO associated with the El Niño-Southern Oscillation (ENSO) events. Evidence

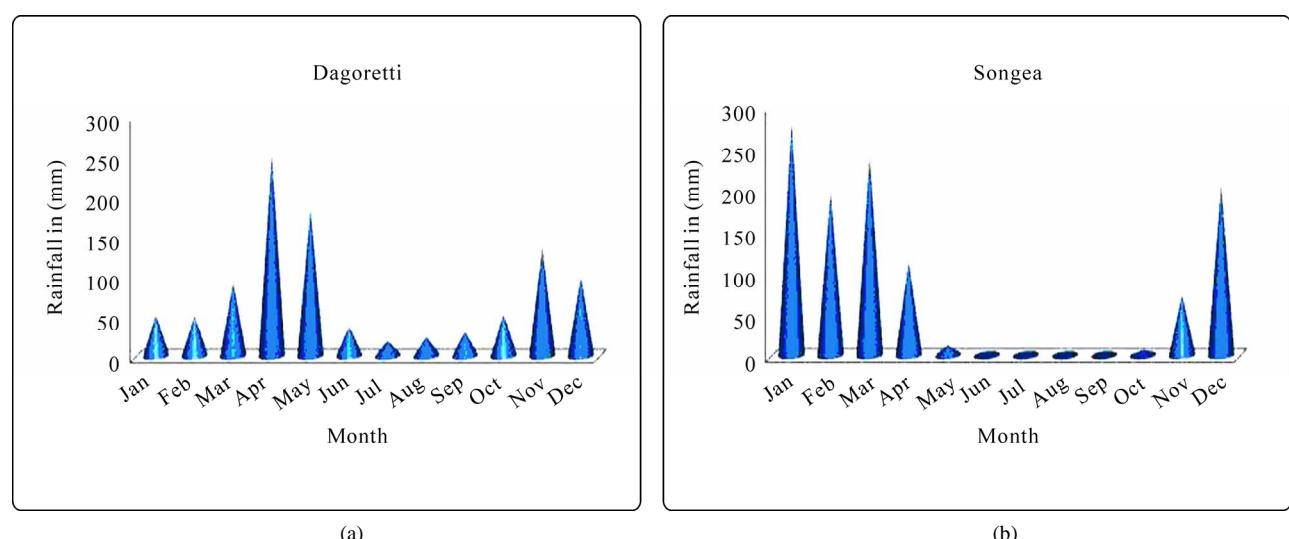
suggests that the development of ENSO tends to be associated with the easterly phase of the lower stratospheric QBO [11]. Many attempts have been made to examine the predictability potential of the QBO signals because of its persistence and appearance in many atmospheric parameters [6,12,13]. Mukherjee *et al.* [6] have identified a significant relationship between the phases of the QBO in the zonal wind in the lower stratosphere (30-mb) and the percentage departure of the summer monsoon rainfall of India. They showed that the strong easterly phase of the QBO is associated with weak monsoons and weak easterly/westerly phases with active monsoons. The weakening of the easterly winds is generally a manifestation of westerly phases of the QBO in the lower stratosphere, as the prevailing winds in the stratosphere during summer monsoon are broadly easterly. Mason and Tyson [13] have analyzed the phases of QBO and southern Africa rainfall and found a significant correlation (+ 0.6) between QBO and regional rainfall when the QBO is the west phase. Ogallo *et al.* [14] have investigated the characteristics of QBO over tropical eastern Africa using zonal wind composites from Nairobi, Kenya ( $1^{\circ} 18'S$ ,  $36^{\circ} 45'E$ ) for the period 1966-1987. Their results, based on spectral analysis indicated the dominance of a 28 months period in the zonal wind component. The results also indicated some significant (at 5% level) association between rainfall and QBO signal based on the reversal in zonal winds.

## 2. AREA OF STUDY

The domain of study is the eastern Africa region which lies within longitude lines  $29\text{-}42^{\circ}\text{E}$  and by latitude lines  $5\text{-}12^{\circ}\text{S}$ . The region has complex topographical features: East African highlands that include high moun-

tains; Kilimanjaro 5895 m above sea level, Kenya 5199 m and Elgon 4321 m. Some of these mountains like Kilimanjaro and Kenya have permanent glaciers at their top throughout the year, which makes them very special as potential indicators of regional or large-scale long-term climate fluctuations. The complex mountains are also the source watersheds for some of the major rivers of the region [15], they therefore form an integral component of the regional hydrological cycle. The other unique physical characteristics of the region include the water masses of Lake Tanganyika, Turkana, Albert, Victoria and Indian Ocean. The total rainfall amount for meteorological stations in East Africa varies from year-to-year as well as having large seasonal variations. The mean annual rainfall totals range from below 500 mm in the semi-arid areas, which include the northern and eastern parts of Kenya and eastern and central Tanzania. However, the areas around the Lake Victoria have a relatively high mean annual rainfall of 1200-1600 mm [16]. The coastal areas receive over 1000 mm, the highlands of central Kenya and southern Tanzania, much of Uganda and western Tanzania also receive rainfall of more than 800 mm. The region experiences bimodal and unimodal rainfall seasons **Figure 1**.

Thus Uganda, Kenya and most of the locations in the northern half of Tanzania experience two rainfall seasons (bimodal) which includes March-May (MAM) long rains' and 'short rains' October-December (OND). The southern half of Tanzania is characterized by a unique unimodal rainfall pattern characteristic to the southern Africa rainfall that occurs between November and April in the subsequent year. These rainfall patterns are controlled by the seasonal migration of the Inter-Tropical Convergence Zone (ITCZ).

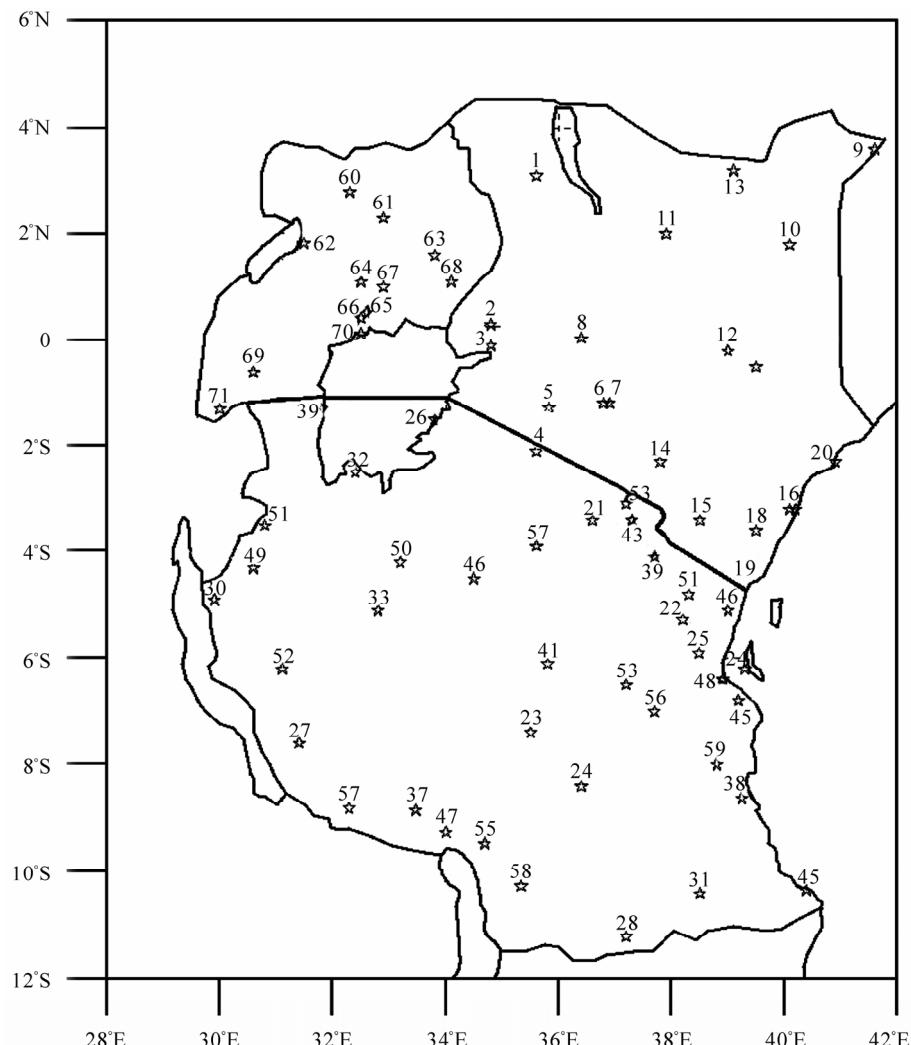


**Figure 1.** Annual cycle of rainfall for 2 selected stations, bimodal (a) Dagoretti and unimodal (b) Songea.

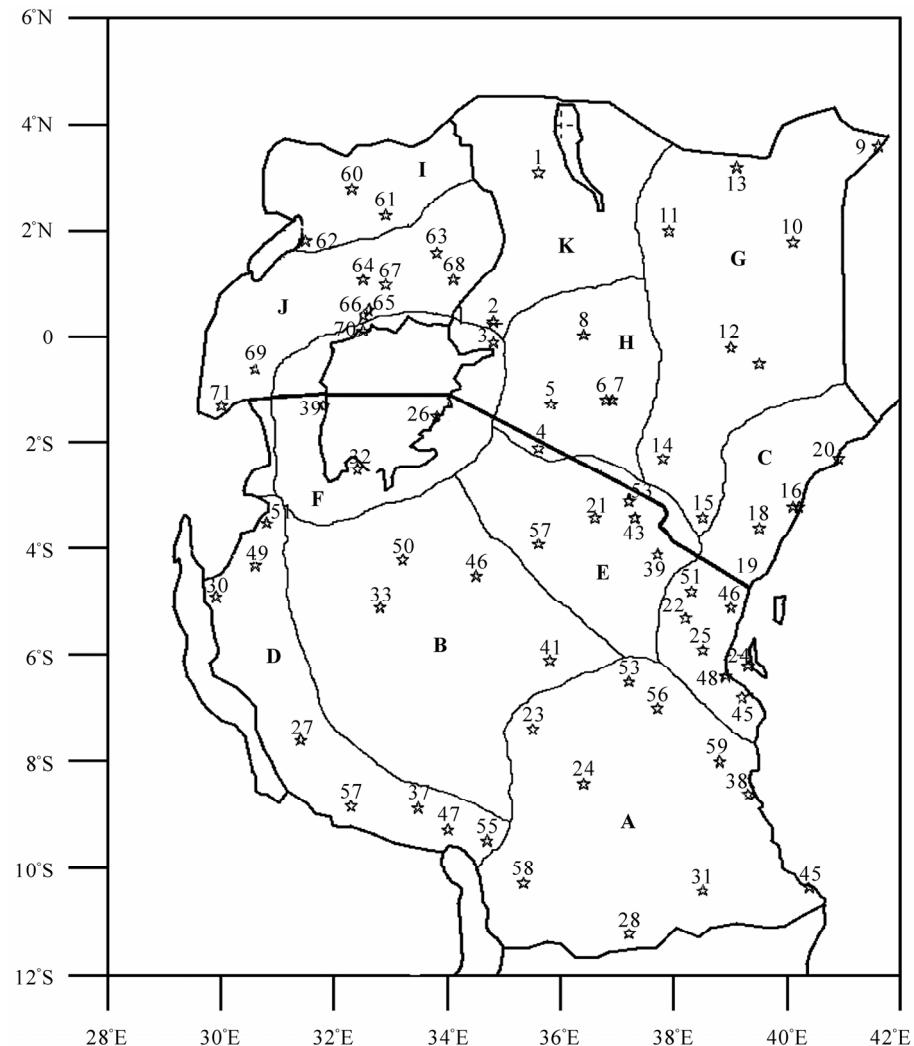
### 3. DATA DESCRIPTION AND METHODOLOGY

The data used as predictor variables in this study are Southern Oscillation Index (SOI) and globally averaged equatorial stratospheric 30-mb zonal wind index (QBO). This data set was obtained from climate analysis center (CPC/NOAA) database. The data used as the predictant variables are long-term monthly rainfall records for 71 meteorological stations scattered over the East African region **Figure 2**, and are supplied by the Tanzania Meteorological Agency (TMA) and, the Ugandan and Kenyan Meteorological Departments. To ensure consistency with the SOI and QBO-indices data; we extracted rainfall records from the stations for the period from 1979 to 2003. A simple correlation method and a QBO/rainfall composite analysis were used to examine the teleconnections between the seasonal rainfall anomalies of

March through May (long-rains) over East Africa and the different QBO phases in the stratospheric zonal winds, and also explore the predictive potential of the long rainy season using information about the phases of the QBO for the period 1979-2003. We study the spatial correlation patterns statistically to understand the climatic associations between the equatorial stratospheric zonal wind and regional rainfall at the interannual time scale. The aim of this analysis is to establish whether this global signal can be employed as predictor variable in the long-range forecasts. Principal Component Analysis (PCA) is employed in the first instance to reduce the large dimensionality of the predictant, to retain the time series of the principal components (PCs) and to delineate the rain gauge network of East Africa into homogeneous zones. Spatial patterns of the factor loading were used to delineate East Africa into 11 homogeneous zones **Figure 3**.



**Figure 2.** The location of stations used in the study.



**Figure 3.** The homogeneous climatological zones over eastern Africa derived from PCA.

The stratification of the QBO indices was based on four seasons: March to May (MAM), June to August (JJA), September to November (SON), and December to February (DJF). The standardized departures of the rainfall for East Africa from 71 meteorological stations, normals were calculated for the long-rains season of MAM based on the relation:  $(Y - \bar{Y})/\rho$ , where  $Y$  is MAM rainfall in a year,  $\bar{Y}$  and  $\rho$  are mean and standard deviation respectively, based on the 1979-2003 period.

### 3.1. Principal Component Analysis

The following is PCA procedures [17]. Consider the matrix  $F_{MXN}$ , whose elements  $f_{mn}$  are standardized values, when  $m = 1, 2, 3, \dots M$  (stations) or grid points and  $n = 1, 2, 3, \dots N$  (time *i.e.* months, years, seasons etc).

- 1) Compute the covariance matrix  $Z_{MXM}$

$$Z = \frac{1}{N} FF^T \quad (1)$$

2) Determine the eigenvectors  $E = [e_1, e_2 \dots e_M]$  and eigenvalues  $\lambda = [\lambda_1, \lambda_2, \dots, \lambda_M]$  from the characteristics equation of  $Z$ , where  $I$  is a unit matrix

$$(Z - \lambda I) = 0 \quad (2)$$

3) Compute the principal component time series,  $C$  such that its elements  $C_{MN}$ , are the projection of  $f_{mn}$  on  $e_M$  given by

$$C = E^T F \quad (3)$$

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Each of the PCs will be orthogonal to the other, the (PC1) will be the most dominant pattern and it explains most of the variances, then (PC2) will be second followed by PC3, etc. The Kaiser criterion was used to de-

termine the number of significant factors retained in the PCA varimax rotation [18]. The magnitude of the loading of each variable (station) on each of the common factors is the index, which is used to assign the variable (station) to its group or type. Finally other verification method which included the use of relief and physical processes playing role in rainfall generation over East Africa were used to determine the reality of the delineated PCA rainfall patterns.

### 3.2. Correlation Analysis

In view of the large variability of distribution of rainfall over the region, the analysis was based on the 11 homogeneous climatological zones as can be seen in **Figure 3**, in order to examine the relationship of rainfall of those zones with QBO. Each of the 71 rainfall indices and the regional rainfall index time series for 11 zones were first correlated with the equatorial averaged stratospheric zone wind in order to identify the spatial extent of the associations between QBO and rainfall. The zones that significantly correlated with QBO were identified and were cross-correlated with the SOI to test the contribution of each of the two global climate indices on the long-rains season. These zones time series were then generalized into categories using contingency tables based on the west and east phases of the QBO. Zero and non-zero lag correlation analysis were used in this study and the statistical significance of the correlation coefficient ( $R$ ) is tested based on the Z-transformation of Fisher and criterion student. The student's t-statistic was used to decide the significance of the correlation from the matrices [19]. The space and time patterns of the significant correlations were then used to investigate the relationships between QBO and SOI, and regional rainfall. Simple correlation coefficient ( $R$ ) can be expressed as follows.

$$R = \frac{\sum_{i=1}^N (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum_{i=1}^N (X_i - \bar{X})^2 \sum_{i=1}^N (Y_i - \bar{Y})^2}} \quad (4)$$

where  $X_i, Y_i$  are predictors and predictants, and  $\bar{X}, \bar{Y}$  are the mean values respectively;  $N$  is the sample size,  $-1 \leq R \leq 1$ , positive and negative values of ( $R$ ) are indicative of the positive or negative relationship respectively. The statistical significance of the correlation coefficient ( $R$ ) is tested based on the Z-transformation of Fisher.

$$Z = \frac{1}{2} \ln \frac{1+R}{1-R} \quad (5)$$

and criterion student,  $|Z/\sigma_z| \geq \alpha_{kp}$  where  $\alpha_{kp}$  coincide with 5, 1 or 0.1% level of significance. The stan-

dard deviation of parameter  $Z$  can be estimated using the relationships:

$$\sigma_z = \frac{1}{\sqrt{N-3}} \quad (6)$$

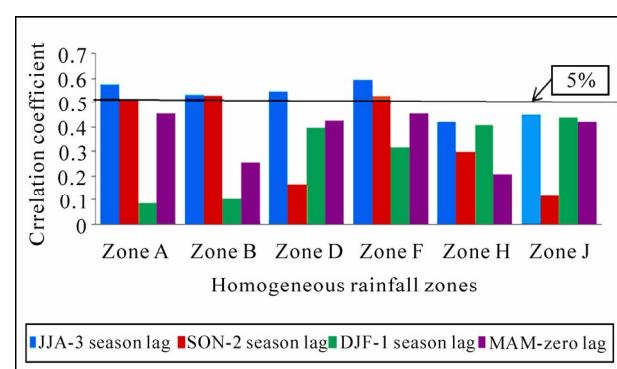
### 3.3. Composite Analysis

In the composite analysis we used the years with above normal rainfall and coinciding with west phases of the QBO and years with below normal rainfall and coinciding with the east phase of the QBO. The years having a standardized rainfall index of  $\geq 0.12$  were classified as high-rainfall and the years having a standardized rainfall index  $\leq -0.12$ , classified as low-rainfall years. The choice of this range of the standardized rainfall index is based on a student t-test applied on a sample size of 25 years. The t-scores on the high- and low-rainfall indices indicate that the two series are significantly different at 95% significance level.

## 4. RESULTS AND DISCUSSION

### 4.1. Relationships between Seasonal Rainfall and the QBO-Index

The basis for using the lower equatorial stratospheric zonal wind index in seasonal prediction is based on its tendency to persist for several months after the phase change from easterly to westerly and vice versa. **Figure 4** shows plots of simultaneous and lag correlations between the long-rains over homogeneous rainfall zones in eastern Africa and 30-mb QBO-index for the period 1979-2003. Based on the criterion student on a sample size of 25 years, correlation coefficients [ $r$ ]  $\geq 0.51$  are above 5% confidence level. **Table 1** gives a summary of the seasonal and monthly correlation indices between the two variables.



**Figure 4.** The mean correlation patterns of three seasons lag, two seasons lag, one season lag and zero lag between QBO-index and MAM seasonal rainfall over East Africa. Correlation values above 5% significant level area also indicated.

**Table 1.** Simultaneous and lag correlations coefficient between the QBO-index and MAM seasonal rainfall over 11 homogeneous zones over East Africa based on a sample size of 25 years.

Period	Zone A	Zone B	Zone C	Zone D	Zone E	Zone F	Zone G	Zone H	Zone I	Zone J	Zone K
JJA	0.58**	0.54**	-0.42*	0.55**	0.11	0.60**	0.32	0.42*	0.41*	0.45*	0.20
SON	0.52**	0.53**	-0.34	0.17	-0.19	0.53**	0.35	0.30	0.26	0.12	0.09
DJF	0.09	0.11	-0.15	0.40*	0.45*	0.32	0.33	0.41*	0.28	0.44*	0.25
MAM	0.46*	0.26	-0.21	0.43*	0.41*	0.46*	0.20	0.21	0.35	0.42*	0.23
JUNE	-0.04	0.47*	-0.34	0.40*	0.16	0.56**	0.23	0.41*	0.40*	0.43*	0.20
JULY	-0.50*	0.54**	-0.43*	0.46*	0.13	0.59**	0.33	0.40*	0.41*	0.40*	0.19
AUG	-0.49*	0.57**	-0.41*	0.37	0.03	0.57**	0.38	0.42*	0.36	0.29	0.18
SEPT	-0.39	0.55**	-0.38	0.26	-0.08	0.55**	0.38	0.42*	0.30	0.19	0.13
OKT	-0.51**	0.52**	-0.35	0.17	-0.19	0.52**	0.35	0.43*	0.26	0.13	0.10
NOV	-0.55**	0.50*	-0.29	0.07	-0.29	0.46*	0.31	0.40*	0.20	0.05	0.03
DEC	-0.51**	0.44*	-0.25	0.02	-0.35	0.32	0.20	0.41*	0.12	-0.02	-0.04
JAN	0.39	-0.20	0.04	0.27	0.52**	0.23	0.17	0.03	0.09	0.40*	0.17
FEB	0.36	-0.09	0.02	0.31	0.53**	0.29	0.15	0.12	0.18	0.31	0.29
MAR	0.18	0.08	-0.08	0.40*	0.48*	0.38	0.16	0.16	0.28	0.47*	0.26
APR	-0.04	0.20	-0.23	0.43*	0.44*	0.46*	0.19	0.21	0.35	0.44*	0.24
MAY	-0.27	0.35	-0.29	0.40*	0.30	0.51**	0.22	0.42*	0.38	0.32	0.18

\*\*Significant at 99% level. \*Significant at 95% level.

Results indicate significant simultaneous and lag correlations between the QBO-index and rainfall over zone F, which covers the Lake Victoria region (+0.6), the southern region of Tanzania (zone A) of about +0.6, the western region of Tanzania (zone D) of about +0.6, the central region of Tanzania (zone B), the eastern, central and western of Uganda (zone J) and, central rift valley and Nairobi area (zone H). The QBO/rainfall correlations in these zones are significantly high for at least five months prior to the MAM rainfall season. The highest significant correlation between seasonal rainfall and QBO-index is +0.6 observed between the MAM rainfall index and the JJA QBO-index of the previous year and decreases towards the target rainfall season (MAM). These lagged relationships between the two variables indicate high prospects for using them in the development of prediction methodology. However, the correlations suddenly collapse between 3 and 2 seasons lag for zones D, H and J. The sudden collapse in correlations suggests that long-term prediction (of two seasons or in advance) may not be feasible in these three zones. The observed areas of significant lag correlations suggest that seasonal prediction may be feasible in those areas. Cross-correlation between the QBO-index, rainfall and

SOI was computed and the resulting indices are summarized in **Table 2**. These results show some good associations between QBO-index and the region rainfall with significant simultaneous and lag correlations of +0.5 (explained about 25% of variance) and +0.6 (explained about 36% of the variance) respectively. The fact that the two global climate indices (QBO and SOI) are statistically unrelated at both simultaneous and lagged time, gives more confidence of using them as predictors of the seasonal rainfall with low risk of introducing artificial skill.

**Table 2.** Simultaneous and lag cross-correlation matrix for Rainfall, QBO and SOI index for the period (1979-2003).

	Rainfall index zone F	QBO-index (MAM)	SOI-index (MAM)	QBO-index (July)
QBO-index (MAM)	0.46*			
SOI-index (MAM)	-0.15	0.15		
QBO-index (July)	0.59**	0.78**	0.10	
SOI-index (July)	0.07	0.21	0.44*	-0.04

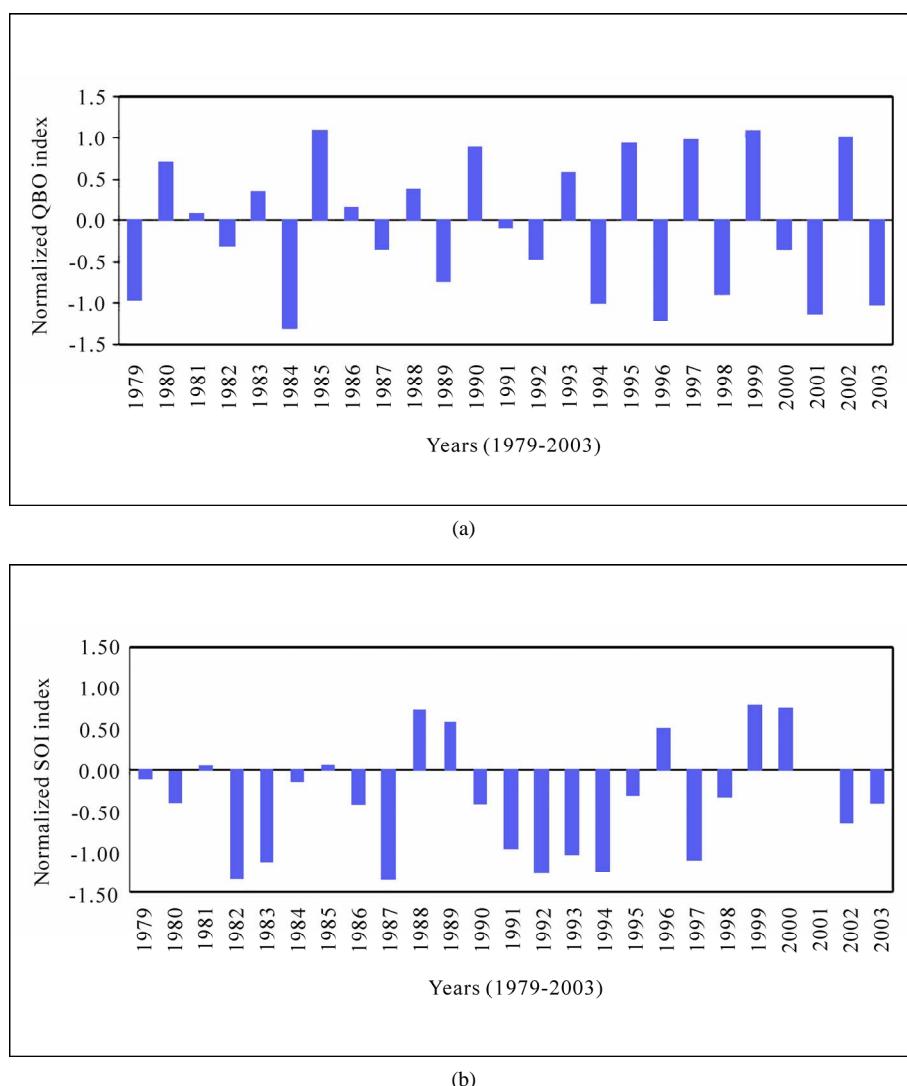
\*\* Significant at 99% level. \*Significant at 95% level.

#### 4.2. Predictability Potential of Seasonal Rainfall Using the QBO-Index

**Figure 5** shows the time evolution of the QBO-index and SOI for the period 1979-2003. The figures show years with westerly and easterly phases of the QBO-index and SOI. iii. The west phases of the QBO-index were observed during 1980, 1983, 1985, 1986, 1988, 1990, 1993, 1995, 1997, 1999 and 2002 while east phases of QBO-index occurred in 1979, 1982, 1984, 1987, 1989, 1992, 1994, 1996, 1998, 2000, 2001 and 2003. On the other hand, frequent negative phases have been dominant by the SOI for the analysis period. During the years: 1982, 1984, 1987, 1992, 1994, 1998 and 2003, the east phases of the QBO-index coincided with the low (negative) phases of the SOI. All these years with exception of 1984 and 1994 have been classified as ENSO years [20]. This observation is consisted with the

notion that ENSO tends to be associated with east phases of the QBO.

**Table 3** shows three homogeneous zones of East Africa that we have identified to have significant correlation with the QBO-index. Generalizations of the seasonal rainfall in these three zones into categories using contingency tables and the west and east phases of the QBO are also summarized in **Table 3**. Based on these three zones, stratospheric westerly wind phases corresponding to above normal rainfall, were observed 7 out of 11 cases for zone A, 7 out of 11 for zone B, and, 8 out of 11 for zone F, giving conditional probabilities of about 0.6, 0.6 and 0.7 for the associations of above normal rainfall during the long-rains over eastern African region and west phase of the QBO. Below normal rainfall coinciding with the westerly phase of the QBO were observed during 1983 for the period of analysis. This



**Figure 5.** Annual mean time series for QBO-index (a) and SOI index (b).

**Table 3.** Contingency table for zonal wind phases at 30-mb level and the March to May seasonal rainfall anomalies over three homogeneous zones of East Africa.

		Rainfall anomaly				
		QBO-index phases	AN	NN	BN	TOTAL
ZONE A	West phases	7	2	2	11	
	East phase	3	1	8	12	
	TOTAL	10	3	10	23	
ZONE B	West phases	7	1	3	11	
	East phase	3	2	7	12	
	TOTAL	10	3	10	23	
ZONE F	West phases	8	1	2	11	
	East phase	2	2	8	12	
	TOTAL	10	3	10	23	

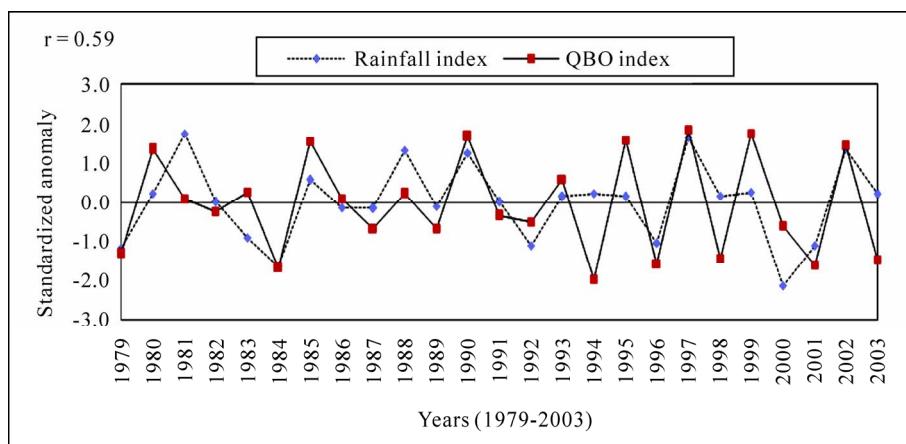
AN-above Normal rainfall ( $X_i \geq X_{mean} + 0.12\sigma$ ) ;  $\sigma$  -The standard deviation; BN-below Normal rainfall ( $X_i \leq X_{mean} - 0.12\sigma$ ) ;  $X_i$  -Denotes an observation; NN-near Normal rainfall ( $X_{mean} - 0.12\sigma \leq X_i \leq X_{mean} + 0.12\sigma$ ) .

year has been classified as prolonged ENSO year [20].

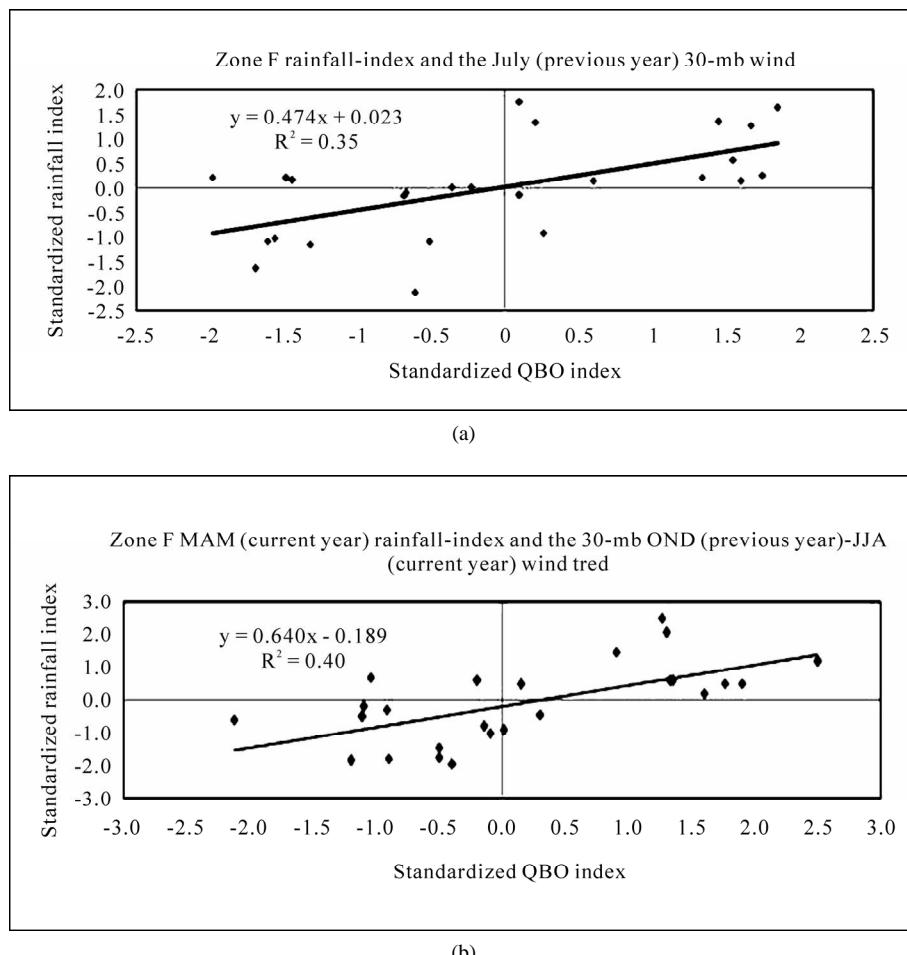
Stratospheric east wind phases and below normal rainfall shows 8 out of 12 for zone A, 7 out 12 for zone B, and, 8 out of 12 for zone F, giving conditional probabilities of about 0.7, 0.6 and 0.7 for below normal rainfall in the three regions and the east phase of the stratospheric zonal wind. The results obtained in this study support the notion that above/below normal rainfall is associated with the stratospheric westerly/easterly zonal wind phases. These good associations between phases of QBO and seasonal rainfall indicate encouraging potential for rainfall predictability using the information about

the QBO phases. Significant correlations between rainfall in Zones A, B and F and, QBO-index persists for two seasons prior to the long-rains season, but collapses in Zones D, H and J. In the rest of the analyses, we use the rainfall index for zone F as an example for testing the prediction potential of rainfall using the QBO-index. In **Figure 6**, we present the July QBO-index prior to the onset of the long-rains which indicated a high significant correlation with the MAM seasonal rainfall over the Lake Victoria region of eastern Africa. It is evident from this figure that about 70% of the large positive/negative anomalies in the rainfall were observed during the period of large positive/negative QBO-index. Some of the extreme rainfall anomalies were however, not related to the QBO-index. The correlation coefficient between the QBO-index and sub-region rainfall is found to be 0.59, which is at 99% significant level based on criterion student. This highly significant correlation indicates some robust associations between the seasonal rainfall and the QBO. The shown robust relationship between the long-rains and the QBO-index shows high predictive potential.

For the purpose of predicting the regional rainfall, the most useful index appears to be the trend for QBO-index before the rainfall season. The positive October to December (OND) minus JJA QBO trend could be a good indicator for the non-occurrence of drought over eastern Africa. Similarly, a negative trend could be a good indicator for the non-occurrence of high rainfall over the region. **Figure 7** shows a scatter diagram between March to May seasonal rainfall anomaly around Lake Victoria region and the July 30-mb equatorial zonal wind (a) and, the October to December minus June to August trend in the QBO-index (b). The correlation coefficient between the East African rainfall anomaly from zone F and the difference between OND and JJA QBO-index is 0.63



**Figure 6.** Time series of rainfall over Lake Victoria region (zone F) and previous year July QBO-index.



**Figure 7.** Scatter diagram between MAM seasonal rainfall anomaly over Lake Victoria region and the July 30-mb equatorial zonal wind (a), and the October to December minus June to August trend in the QBO-index (b).

(explaining about 40% of the rainfall variance) which is higher than that for JJA season (0.6). As shown on **Figure 7**, most of the severe drought years are in the lower left quadrant, and most of the very heavy rainfall years are in the upper right quadrant of the scatter diagram. The near absence of points in the lower right corner of this scatter diagram suggests that a positive QBO-index trend should be a very useful predictor for non-occurrence of droughts over equatorial eastern Africa.

## 5. CONCLUSIONS

After analyzing the results obtained from the relationships between the different QBO phases in the stratospheric zonal wind and long-rains season of eastern Africa we are able to reach the following conclusions:

1) The relationship between the MAM seasonal rainfall and El Niño applies to limited number of years, the ones when El Niño occurs, whereas the relationship between the QBO-index and seasonal rainfall is applicable

in all years. Therefore monitoring of both the parameters can provide very useful guidance for the long-range forecasting of seasonal rainfall in the region.

2) It is shown that, above/below normal rainfall in eastern Africa is associated with the stratospheric west-easterly/zonal wind phases.

3) It is shown that the phase of the QBO prior to the MAM seasonal rainfall is a useful predictor index for the seasonal rainfall. This is particularly the case for the long-rains for which ENSO provides only limited skill in the predictability of the rains. This observation should be explored further in the search for more effective seasonal climate predictors over eastern Africa and the other regions of Africa.

4) In order to improve prediction of the MAM seasonal rainfall in East African countries, which has a foremost impact on all sectors of the economy including agriculture, water supply and hydropower generation across much of the region we recommended using QBO

signals as predictors.

## 6. ACKNOWLEDGEMENTS

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