

Effects of Microstructural Modification Using Friction Stir Processing on Fatigue Strength of Butt-Welded Joints for High-Strength Steels

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Abstract

Friction stir processing (FSP) is an effective surface-microstructure modification technique using a rotational tool to refine and homogenize microstructure of metallic materials. In this study, FSP was conducted on the surface of the heat-affected zone (HAZ), which is a region exhibiting degraded mechanical properties and shown to have microstructural changes, of butt-welded joints for two high-strength steels with tensile strength grades of 490 MPa and 780 MPa (hereafter HT490 and HT780, respectively). Inhomogeneous mixing of materials derived from weld metals and base metals (BMs) in a stir zone (SZ) produced inhomogeneous distribution of elements and microstructure depending on the set of the advancing side and retreating side in the SZs. The welded joints with FSP for HT490 exhibited higher hardness than that of the BM through whole of the SZ surface (fine polygonal ferrite grains and bainite structure with laths at the Mn-rich and Mn-poor regions, respectively). On the other hand, those for HT780 exhibited the minimum hardness value similar to that of the BM at the SZ surface (a few polygonal ferrite grains in the matrix of martensite laths). Fatigue strength increased by about 35 MPa and 15 MPa in stress amplitude at 10⁷ cycles as fatigue limit due to FSP. Fatigue failure occurred at the BM and the SZ, respectively, in the welded joints modified by FSP for HT490 and HT780, in comparison with the HAZs in the as-welded joints for both grade steels. The difference in fatigue strength increase due to FSP and failure location between the welded joints for HT490 and HT780 can be attributed to the topmost SZ microstructures and their distribution.

Keywords

Friction Stir Processing (FSP), High-Strength Steel, Fatigue Strength, Grain Refinement, Post-Weld Treatment

1. Introduction

Friction stir processing (FSP), which is based on the principle of friction stir welding, is an effective surface-modification technique using a rotational tool to refine and homogenize microstructure of various metallic materials [1]. FSP can locally produce a stir zone (SZ) with higher mechanical properties such as strength, ductility and fracture toughness in comparison with the base metal (BM) [2]-[7]. Furthermore, material flow during FSP contributes to the improvement of fatigue property of cast alloys [8] [9]. This can be explained by elimination of internal defects and coarse precipitates that can act as fatigue crack initiation sites in addition to grain refinement.

Recently, FSP has been also applied to fusion welds as post-weld treatment. For example, Costa *et al.* [10] [11] [12] [13] reported that grain refinement and stress concentration reduction due to FSP at the weld toe increased fatigue strength of several aluminum alloy joints fabricated by metal inert gas welding. FSP can be used as a new technique to increase fatigue strength of the fusion-welded joints, and provide advantages different from grinding [14] [15] [16] and remelting [17] [18] [19] for the weld geometry modification or peening [20] [21] [22] and post-weld heat treatment [23] for decreasing tensile residual stress. However, use of the FSP technique has been limited to a few light metal alloy welds so far. On the other hand, FSP might possibly be useful for modifying soft or brittle microstructures related to phase transformation in the heat-affected zone (HAZ) in the steel joints, and it is essential to understand fatigue property of joints with the topmost SZ microstructure mixed with the BMs and weld metals (WMs) at the prior HAZ.

We have reported that application of FSP on the topmost layer of tungsten inert gas (TIG) welds on SS400 mild steel plates could increase bending fatigue strength [24]. Significant grain refinement in the SZ of the topmost layer on the weld bead surfaces was observed, and it could be a reason for the increased fatigue strength. In the present study, FSP was conducted on the HAZ surface of butt-welded joints for two high-strength steels with 490 MPa and 780 MPa in tensile strength grades (hereafter HT490 and HT780, respectively), and the effects on microstructure and fatigue strength were investigated.

2. Experimental Procedures

Double-sided CO_2 gas butt-welding on square groove was conducted on 4.5mm-thick HT490 and HT780 plates using MX-Z200 and DW-A80L filler metals, respectively. The chemical compositions of these plates and filler metals are shown in **Table 1**. After removing excess WMs by grinding, double-sided TIG welding was conducted on the weld beads produced by CO_2 gas welding. CO_2 gas welding and TIG welding were operated under the conditions as shown in **Table 2**.

Double-sided FSP was performed on the surface of four fusion lines in Ar atmosphere. A WC-6%Co tool having a 12-mm-diameter shoulder and 0.8-mm-

Ν	Aaterials	С	Si	Mn	Р	S	Cu	Ni	Cr	Мо	V	Al	Fe	C_{eq}
HT490	BM	0.14	0.23	1.08	0.014	0.006	0.01	0.01	0.02	-	0.002	0.028	Bal.	0.33
	Filler metal	0.03	0.55	1.55	0.011	0.007	0.02	0.01	0.02	< 0.01	< 0.01	-	Bal.	0.3
HT780	BM	0.11	0.26	0.8	0.008	0.001	0.18	0.79	0.45	0.44	0.04	-	Bal.	0.49
	Filler metal	0.06	0.31	1.9	0.008	0.007	-	2.48	0.02	0.14	< 0.01	-	Bal.	0.58

 Table 1. Chemical compositions of BM and filler metal for the welded plates (unit mass%).

Table 2. Process parameters of CO₂ gas welding and TIG welding.

Walding processo	Welding current,	Arc voltage,	Welding speed,	Arc tilt angle,	Arc length,	
weiding processes	I/A	<i>V</i> /V	v/mm/min	$ heta(\degree)$	<i>L</i> /mm	
CO ₂ gas welding	330	31	900	-40	15	
TIG welding	180	13	50	5	2	

long and 4-mm-diameter probe was inclined at 3° and operated at a travel speed of 140 mm/min in the welding direction with a counter-clockwise rotation at a rotational speed of 400 rpm with 14.7 kN in applied stress control [24].

Microstructure of the specimens obtained from the joints was observed by optical microscopy (OM) and scanning electron microscopy (SEM). A cross section of the specimens after mechanical polishing was etched with 2% nital solution for these observations. Main elements of the BM and filler metal were analyzed by electron probe microanalyzer (EPMA) equipped with SEM.

Vickers hardness tests were performed at room temperature (RT) on the cross section with an applied load of 1.96 N and loading time of 35 s. Tensile fatigue tests were performed at RT with a sinusoidal waveform of 20 Hz as a function of maximum applied stress with a stress ratio of 0.1. The shape and size of the specimens prepared using electrical discharge machine are shown in **Figure 1**. The gauge section contains the BMs, HAZs and WMs with and without FSP-modified regions. The specimen surfaces were smoothed by mechanical polishing to eliminate stress concentration regions before the testing to focus on the effects of microstructural modification on the fatigue strength.

3. Results and Discussion

Figure 2 shows cross-sectional OM images of microstructure beneath the surface of the as-welded joints for HT490 and HT780. The BMs of HT490 and HT780 exhibited ferrite-pearlite structure and tempered martensite structure, respectively. The WMs mainly consisted of acicular ferrite structure, with the finest grains in the both grade as-welded joints. The HAZs of about 1 to 2 mm in width formed in the vicinity of WMs. Pearlite globalization and tempering occurred in intercritical HAZ (ICHAZ) of HT490 and HT780, respectively. Microstructure changes between fine-grained HAZ and coarse-grained HAZ (CGHAZ) were attributed to recrystallization or phase transformation for ferrite grains.



Figure 1. Schematic illustration of a fatigue specimen (mm scale).



Figure 2. Cross-sectional OM images of microstructure in the as-welded joints for (a) HT490 and (b) HT780, respectively. (a) As-welded HT490; (b) As-welded HT780.

Figure 3 shows cross-sectional OM images of the welded joints for HT490 and HT780 with and without FSP. The bead width in both as-welded joints was about 8 mm (Figure 3(a) and Figure 3(c)). FSP conducted along HAZs to modify the topmost microstructure, resulting in formation of the SZ without a defect of about 1 to 2 mm in depth and about 10 mm in width. The BMs and WMs were not homogeneously mixed in the SZ; the materials in the prior WM were worn away on the advancing side (AS) and segregated beneath the surface, while materials in the prior WM remained and spread in the BM region on the retreating side (RS), depending on the tool rotation. To understand material plastic flow caused by FSP, elemental analysis using EPMA was conducted. Figure 4 shows EPMA mappings of Mn, which is an element with large content difference between the BM and filler metal, in the welded joints for HT490 and HT780 modified by FSP. A color change from blue to red indicates an increase in Mn content. The WMs had higher Mn content than that of the BMs in both grade steels. Plastic flow due to FSP did not mix the BMs and WMs homogeneously, resulting in uneven separation of mainly two regions with Mn-rich (green) and Mn-poor (blue) regions in the SZ. The material of the WM flowed into the BM in sharp-edge shape on the RS in the right-hand upper side SZ (the set on RS in the prior WM and AS in the prior BM) in both grade of steels. EPMA point analyses confirmed that the green region on the RS of the SZ exhibited a little lower content (1.53 and 1.48 mass% in Figure 4(a) and Figure 4(b), respectively) than the initial WM (1.74 and 1.58 mass% in Figure 4(a) and Figure 4(b), respectively) and blue region in the SZ exhibited a little higher Mn content (1.18 and 0.96 mass% in Figure 4(a) and Figure 4(b), respectively) than that of the initial BM (1.08 and 0.86 mass% in Figure 4(a) and Figure 4(b), respectively). This suggests that the mixing of WMs and BMs took place not homogeneously but quite partially in the SZ. The Mn-content separation due to inhomogeneous



Figure 3. Cross-sectional OM images of the welded joints (a), (c) w/o and (b), (d) w/FSP for (a), (b) HT490 and (c), (d) HT780.



Figure 4. EPMA mappings of Mn of the welded joints with surface microstructure modified by FSP for (a) HT490 and (b) HT780, together with Mn contents at the positions pointed by arrows. (a) FSP-modified HT490; (b) FSP-modified HT780.

mixing was also observed in the left-hand upper side SZ (the set on AS in the prior WM and RS in the prior BM) of both grade steels, but it is not similar and Mn content separated roughly up and down resulting in higher Mn-content regions segregated beneath the surface. The difference of Mn-content distributions between the different sets on AS and RS in the SZ is dependent on the tool rotation, and corresponds to contrast difference in the SZ in **Figure 3(b)** and **Figure 3(d)**. The partial mixing with sharp-edge shape of the green region in the SZ was shorter-ranging and wider-ranging, respectively, in the vertical and horizontal directions in HT780 than in HT490 (the set on RS in the prior WM and AS in the prior BM), while that of Mn segregation beneath the surface was more in HT780 than in HT490 (the set on AS in the prior BM).

Figure 5 shows cross-sectional OM and SEM images beneath the surface of the welded HT490 and HT780 joints modified by FSP. FSP provided significant grain refinement beneath the surface. However, the SZ possessed both Mn-rich (more material from the prior WM) and Mn-poor (more material from the prior BM) with bright and dark contrasts, respectively (**Figure 5(a)** and **Figure 5(b)**). Fine polygonal ferrite grains (**Figure 5(c)**) and bainitic ferrite grains with laths (**Figure 5(d)**) were observed in the Mn-rich and Mn-poor regions in the SZ of HT490, respectively. On the other hand, Mn-rich regions in the SZ of HT780 exhibited duplex structure consisting of martensite laths and polygonal ferrite grains and its Mn-poor regions exhibited full martensite structure, as shown in **Figure 5(e)** and **Figure 5(f)**, respectively. Although the Mn-rich regions could

more easily exhibit bainite or martensite structures than the Mn-poor regions in terms of carbon equivalent (C_{eq}), in fact, it allowed polygonal ferrite formation. This can be explained by the difference in prior austenite grain size. Initial microstructure of the WM was finer than that of the BM. This trend should remain after austenitic phase transformation during FSP. Therefore, ferrite formation during the cooling time could easily occur from the fine austenite grains in the Mn-rich regions consisting of materials mainly from the prior WM.

Figure 6 shows Vickers hardness mappings beneath the surface of the welded joints for HT490 and HT780 with and without FSP. A color change from blue to red indicates an increase in hardness. Average values of BMs hardness of HT490 and HT780 were about 170 HV and 280 HV, respectively. The ICHAZ exhibited the lowest hardness in both grade as-welded steel joints. In contrast, the highest hardness region was observed at the WM in HT490 and CGHAZ in HT780, which exhibited acicular ferrite structure and martensite structure, respectively. In HT490, although heterogeneous Mn distribution and OM-image contrast were observed in the SZ (the set on RS in the prior WM and AS in the prior BM), grain refinement provided by FSP increased hardness homogeneously beneath the surface. Hardness of the Mn-rich region (about 200 HV) exhibiting the fine polygonal ferrite grains in the SZ was higher than that of the BM, but slightly lower than that of the Mn-poor region (about 230 HV). On the other hand, the large hardness difference among the WM, HAZs, and BMs was observed at the surface of the as-welded joints for HT780 (about 230 and 380 HV for ICHAZ and CGHAZ, respectively). The difference was disappeared and averaged after surface microstructure modification provided by FSP. Most of the SZ regions exhibited the highest hardness of about 420 HV with full martensite structure, while the topmost layer possessed hardness of 280 HV (similar to that of the BM) with the polygonal ferrite grains in addition to martensite laths, and was dispersed thin through whole of the SZ surface. Therefore, hardness increase beneath the joint surface due to microstructure modification provided by FSP had a great impact for HT490 than for HT780.

Effect of the surface microstructure modification provided by FSP on fatigue performance was investigated in the welded joints for HT490 and HT780, and the relationship between the applied stress amplitude and number of cycles to failure (S-N diagram) is shown in **Figure 7**, together with those of the as-welded specimens. Fatigue life of the welded joints modified by FSP (\circ , solid line) was longer than that of the as-welded joints (Δ , broken line) at every applied stress amplitude for both HT490 and HT780. The stress amplitude without failure at 10⁷ cycles (arrows) was estimated at about 180 MPa and 145 MPa as fatigue limit with and without FSP for HT490, respectively, and similarly about 195 MPa and 180 MPa for HT780. The stress amplitude due to FSP increased to about 35 MPa and 15 MPa at HT490 and HT780, respectively. Thus, FSP can be concluded as an effective technique to increase fatigue strength of the welded joints for both HT490 and HT780, although the former increase was larger than the latter.



Figure 5. Cross-sectional OM images beneath the surface of the welded joints with surface microstructure modification by FSP for (a) HT490 and (b) HT780, and (c)-(f) SEM images around the points shown by c-f in (a) and (b). (a) FSP-modified HT490; (b) FSP-modified HT780.



Figure 6. Vickers hardness mappings of the welded joints (a), (c) w/o and (b), (d) w/FSP for (a), (b) HT490 and (c), (d) HT780. (a) As-welded HT490; (b) FSP-modified HT490; (c) As-welded HT780; (d) FSP-modified HT780.



Figure 7. S-N curves of the welded joints w/o and w/FSP for HT490 and HT780 obtained in the four-point bending fatigue tests.

To clarify the fatigue failure location, OM and SEM observations were conducted at a corresponding cross section of the welded joints with and without FSP for both grade steels. Failure locations of all specimens after four-point bending fatigue tests are indicated in Table 3, and the typical OM and SEM images are shown in Figure 8 and Figure 9. Fatigue failure occurred mostly at ICHAZ with the lowest hardness and occasionally at CGHAZ for HT490 (Figures 8(a)-(d)), and at CGHAZ with the highest hardness for HT780 in the as-welded joints (Figure 8(g) and Figure 8(h)). On the other hand, fatigue failure occurred at the BM of the welded joint with FSP for HT490 (Figure 8(e) and Figure 8(f)), suggesting that fatigue resistance would be higher in the SZ than that in the BM. In contrast, it occurred at the SZ of the welded joint with FSP for HT780 (Figure 8(i) and Figure 8(j)), suggesting that fatigue resistance of the SZs would be similar or lower than that of the BM. This is in good agreement with formation of thin layers with hardness of 280 HV similar to that of the BM through whole of the SZ surface with the polygonal ferrite grains in addition to martensite laths.

Fatigue crack beneath the surface initiation site in the SZs surface for HT780 seems to change the propagation direction frequently at the grain boundaries (Figure 9(b)) in comparison to that of the CGHAZ as shown in Figure 9(a). Grain refinement provided by FSP could contribute to increase resistance to fatigue crack propagation. However, the presence of thin layers with hardness of 280 HV partly with ferrite grains at the SZ surface facilitated fatigue crack initiation resulting in hardness decrease. The fatigue cracks were reported to easily initiate in ferrite grains or at ferrite/martensite interface in duplex ferrite-martensite structure [25]. Thus, the difference of fatigue strength increase and the failure location due to FSP between HT490 and HT780 can be attributed to the topmost SZ microstructures and their distribution.

4. Conclusions

FSP was conducted on the HAZ surface of butt-welded joints for HT490 and HT780. Grain refinement due to FSP can be concluded to be an effective technique to increase the fatigue strength. The difference in fatigue strength increase due to FSP and failure location between the welded joints for HT490 and HT780 can be attributed to the topmost SZ microstructures and their distribution. The important findings are as follows:

 Inhomogeneous mixing of materials derived from the WMs and BMs in the SZs produced inhomogeneous distribution of elements and microstructure depending on the set on AS and RS in the SZs. The Mn-rich and Mn-poor regions were observed beneath the surface of the welded joints. They were, respectively, fine polygonal ferrite grains and bainite structure with laths for HT490, and a few polygonal ferrite grains in the matrix of martensite laths and full martensite structure for HT780.



Figure 8. S-N curves of the welded joints w/o and w/FSP for HT490 and HT780 obtained in the four-point bending fatigue tests.



Figure 9. Cross-sectional SEM images beneath the fatigue crack initiation sites on the surface of the welded joints for HT780 (a) w/o and (b) w/FSP in Figure 8(h) and Figure 8(j), respectively.

Specimens		Stress amplitude, σ_a /MPa									
		146	158	169	180	191	203	214	225	236	
UT400	As-welded	Not failure	ICHAZ	ICHAZ	CCHAZ	ICHAZ	-	-	-	-	
п1490	FSP-modified	-	Not failure	Not failure	Not failure	BM	-	-	-	-	
117700	As-welded	-	-	-	Not failure	CGHAZ	CGHAZ	CGHAZ	CGHAZ	CGHAZ	
H1/80	FSP-modified	-	-	-	-	Not failure	SZ	SZ	SZ	SZ	

Fable 3. Failure l	location in	all fati	gue	tests.
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- 2) Hardness of the Mn-rich regions was lower than that of the Mn-poor regions in the SZ. The welded joints with FSP for HT490 exhibited higher hardness than that of the BM through whole of the SZ surface, while those for HT780 exhibited the minimum hardness value similar to that of the BM at the SZ surface.
- 3) Fatigue strength increased by about 35 MPa and 15 MPa in stress amplitude at 10⁷ cycles as fatigue limit due to FSP. Fatigue failure occurred at the BM and the SZ, respectively, in the welded joints modified by FSP for HT490 and HT780, in comparison with the HAZs in the as-welded joints for both grade steels.

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