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#### A New Prediction System of Sepsis: A Retrospective, Clinical Study

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#### **PRODUCTION INFORMATION**

For manuscripts that have been accepted for publication, please contact: E-mail: <u>mri@scirp.org</u> developed into severe sepsis, and approximately 3% of that had developed into sepsis shock [1]. There are more than 18 million cases with severe sepsis each year all over the world, and this number is rising with a speed of 1.5 percent to 8.0 percent per year [2]. Furthermore, treating sepsis would cost a lot of money and medical resource and bring tremendous burden to the individuals, families and society, so it is important to predict sepsis at early stage.

Over 100 kinds of biomarkers related to sepsis have been reported in literatures, which may have some predictive value to sepsis [3]. However, these biomarkers still have many deficiencies. For example, some studies reported C-reactive protein (CRP) had the lowest sensitivity value of 30%, specificity value of 75%, positive predictive value (PPV) of 31% and negative predictive value (NPV) of 81% [4] [5] [6]. Some studies questioned the ability of procalcitonin (PCT) to predict or diagnose sepsis, and they found that the current studies had some shortcomings. Most of the studies about PCT had a small sample capacity(less than 100 cases), the cases of these study were lack of universality, and most of the studies focused on specific subsets (ICU, trauma, burns, pediatrics, elderly patients) [7] [8]. Santana Reyes found that, interlukin-6 (IL-6) level had little difference between patients with systemic inflammatory response syndrome (SIRS) and sepsis, and the sensitivity (67%) and specificity (65%) of IL-6 was low, which suggested that IL-6 was not a good indicator to sepsis [9]. Therefore, some researches showed that there was no perfect single indicator which could be used to predict the occurrence of sepsis, and using multiple markers to predict the occurrence of sepsis would be a hot point [3]. This study was designed to analyze 6 commonly used biomarkers in clinic, such as PCT, CRP, fibrinogen (Fib), lactate concentration (Lac), D-dimer (D-d), neutrophil ratio (NEUT%) in order to figure out several sensitive indicators and establish a new prediction system of sepsis, which could achieve a higher sensitivity and specificity to predict sepsis at early stage.

#### 2. Materials and Methods

#### 2.1. Study Subjects

This was a retrospective study of clinical cases and all the medical records were collected from intensive care unit of the Sixth Affiliated Hospital of Sun Yat-sen University from January 2015 to January 2016. The enrolled cases should meet the following requirements: First, all the patients were more than 18 years old and less than 70 years old; second, The length of ICU stay of all cases were more than 3 days (including 3 days); third, the patients should perform two or more SIRS symptoms when transferred to ICU. SIRS symptoms included the temperature was more than 38°C or less than 36°C, heart rate was more than 90 beats per minute, respiratory rate was more than 20 times per minute or PaCO<sub>2</sub> was less than 32 mmHg and the white blood cell (WBC) count was more than  $12 \times 10^9$ /L or less than  $4 \times 10^9$ /L.

Cases were excluded if the patients were in the state of immune suppression (such as patients of immune system disease, bone marrow transplant or solid organ transplantation, or long-term use of steroids), with leukopenia (WBC <  $1.0 \times 10^9$ /L) or agranulo-

cytosis (WBC  $< 0.5 \times 10^{9}$ /L) or hematologic malignancies, dead or discharged from ICU within 12 hours, with cachexia, or had been diagnosed with sepsis.

According to the definitions of 1991 ACCP/SCCM Sepsis Directory and 2001 SCCM/ESICM/ACCP/ATS/SIS International Sepsis Definition Conference [10] [11], which was shown in **Table 1**, the patients were divided into non-sepsis group and sepsis group according to their prognosis.

Table 1. Diagnostic criteria for sepsis.

Infection, <sup>*</sup> document	ed or suspected, and	l some of the following:
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#### General variables

Fever (core temperature > 38.3°C) Hypothermia (core temperature < 36°C) Heart rate > 90 min<sup>-1</sup> or >2 SD above the normal value for age Tachypnea Altered mental status Significant edema or positive fluid balance (>20 ml/kg over 24 hrs) Hyperglycemia (plasma glucose > 120 mg/dl or 7.7 mmol/L) in the absence of diabetes

#### Inflammatory variables

Leukocytosis (WBC count > 12000  $\mu$ L<sup>-1</sup>) Leukopenia (WBC count < 4000  $\mu$ L<sup>-1</sup>) Normal WBC count with > 10% immature forms Plasma CRP > 2SD above the normal value Plasma PCT > 2SD above the normal value

#### Hemodynamic variables

Arterial hypotension (SBP < 90 mmHg, MAP < 70 mmHg, or an SBP decrease > 40 mmHg in adults or 2 SD below normal for age) Svo<sub>2</sub> >70% Cardiac index >3.5 L·min<sup>-1</sup>·M<sup>-2</sup>

#### Organ dysfunction variables

Arterial hypoxemia (PaO<sub>2</sub>/FiO<sub>2</sub> < 300) Acute oliguria (urine output < 0.5 ml·kg<sup>-1</sup>·hr<sup>-1</sup> or 45 mmol/L for at least 2 hrs) Creatinine increase > 0.5 mg/dL Coagulation abnormalities (INR > 1.5 or aPTT > 60 secs) Ileus (absent bowel sounds) Thrombocytopenia (platelet count < 100,000 $\mu$ L<sup>-1</sup>) Hyperbilirubinemia (plasma total bilirubin > 4 mg/dL or 70 mmol/L)

#### Tissue perfusion variables

Hyperlactatemia (>1 mmol/L) Decreases capillary refill or mottling

WBC, white blood cell; SBP, systolic blood pressure; MAP, mean arterial blood pressure; Svo<sub>2</sub>, mixed venous oxygen saturation; INR, international normalized ratio; aPTT, activated partial thromboplastin time. <sup>a</sup>Infection defined as a pathologic process induced by a microorganism.

#### 2.2. Data Collection

We collected the information including age, gender, underlying diseases, the surgical site, vital signs (temperature, respiration, heart rate, and blood pressure), microbial culture results, the site of infection. We collected plasma level of PCT, CRP, Fib, Lac, D-dimer and NEUT% from the first day to the last day in ICU or the day that the patient was diagnosed as sepsis. The microbiological culture results of drainage fluid, sputum and blood were recorded, of which drainage fluid and sputum culture were kept every day in ICU and the blood culture was kept only when the patient's temperature was more than 38°C. The plasma level of PCT, CRP, Fib, D-d and NEUT% was measured by the medical laboratory department of the Sixth Affiliated Hospital of Sun Yat-sen University. The plasma level of PCT and CRP was measured by immunochromatography and performed by using Norman NRM411 automatic chemiluminiscence analyzer (Norman Inc., Nanjing, China). The plasma level of Fib and D-d was measured by using Precil C2000-A automatic blood coagulation instrument (Precil Inc., Beijing, China). The plasma level of NEUT% was measured by using Sysmex XE-2100 hematology analyzer (Sysmex Inc., Cobe, Japan). Lac was measured by using the Nova stat profile critical care xpress (Nova Inc, USA,) of ICU. Microbiological culture was performed by using BacT/ALERT 3D60 automatic blood culture system (Biomérieux, France).

#### 2.3. Statistical Analysis

The general information was descriptively analyzed. Continuous variables were presented as mean  $\pm$  standard deviation of the mean (SD). Differences of age, vital signs and biomarker levels between the two groups were determined using two-tailed Student's t test. The performance of each biomarker and the prediction model as a sepsis prediction was demonstrated with receiver operating characteristic (ROC) analysis. The logistic regression was used to create the new prediction system. Statistical analysis was performed by using SPSS 16.0 statistical software package (SPSS Inc., Chicago, IL, USA). A value of P < 0.05 was considered statistically significant.

#### 3. Results

#### 3.1. The Characteristics of the Patients

From January 2015 to January 2016, a total of 489 critically ill patients entered ICU, of which 225 patients was diagnosis as SIRS, but only 113 cases met the inclusion criteria and were enrolled in the study. Exclude cases include 2 children, 51 organ transplant patients, 2 autoimmune diseases patients, 1 hematologic malignancies patient, 4 cachexia patients, 3 patients who had used immunosuppressive drugs, 5 patients who died or discharged within 12 hours, and 44 patients without complete information.

In the 113 enrolled cases, 69 (61%) patients had developed into sepsis, while the other 44 (39%) were not. The difference of age composition was statistically significant between sepsis and non-sepsis groups. Non-sepsis patients were younger than sepsis patients (61 ± 11 vs. 52 ± 8, P < 0.001). Meanwhile, we found that body temperature and systolic blood pressure had no statistical difference. The difference was statistically significant on heart rate between the two groups (97  $\pm$  7 vs. 85  $\pm$  4, *P* = 0.033). Because a large portion of the patients had no spontaneous breathing after surgeries when they entered ICU, the respiratory rate was not analyzed. The characteristics of the enrolled patients were shown in **Table 2**.

#### 3.2. Microorganism Characteristics

Sixty-nine cases of 113 were diagnosed with sepsis, including 55 (79.7%) with positive microbiological culture and 14 (20.3%) with negative microbiological culture. There were 42 cases (60.9%) with single bacterial infection, 3 cases (4.3%) with single fungal infection, 10 cases (14.5%) with mixed bacterial infection, and 14 cases (20.3%) with

Characteristics	All Patients	Sepsis Patients	Non-sepsis Patients	P Value
Case number	113	69	44	
Age (Year)	58 ± 12	61 ± 11	52 ± 8	< 0.001
Gender				
male	72	46	26	
Female	41	23	18	
Surgery sites				
Gastroenteric Surgery	32	22	10	
Hepatobiliary Surgery	44	30	16	
Vascular Surgery	14	9	5	
Urinary Surgery	2	1	1	
Orthopedic Surgery	2	1	1	
Thoracic Surgery	14	7	7	
Internal Medicine	3	2	1	
Underlying disease				
Diabetes	23	13	10	
Hypertension	45	27	18	
Cardiovascular Disease	19	10	9	
Renal Insufficiency	8	5	3	
Cirrhosis	Cirrhosis 10		5	
Vital signs				
Temperature (°C)	36.6 ± 0.7	$36.7 \pm 0.6$	$36.4 \pm 0.7$	0.15
Heart rate (per minute)	89 ± 10	97 ± 7	85 ± 4	0.033
Respiratory rate (per minute)	$17 \pm 7$	21 ± 5	$18 \pm 8$	-
Systolic Pressure (mmHg)	$123 \pm 13$	$121 \pm 10$	124 ± 9	0.17.

Table 2. The characteristics of the enrolled patients.

P < 0.05 means the difference was statistically significant between sepsis and non-sepsis groups.

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Variable	Number of Cases (N)	Proportion (%, N/69)		
Infection categorization				
Identified Etiologic Agent	55	79.7		
No Identified Etiologic Agent	14	20.3		
Site of infection				
Lung	27	38.5		
Intra-Abdominal	17	25.2		
Blood	11	16.3		
Biliary Tract	2	2.90		
Urinary Tract	2	2.90		
Operative Incision or Wounds	4	6.10		
Etiologic agent				
Escherichia Coli	16	23.2		
Klebsiella Pneumoniae	19	27.5		
Pseudomonas Aeruginosa	8	11.6		
Acinetobacter Baumannii	8	11.6		
Stenotrophomonas Maltophilia	3	4.35		
Proteus Mirabilis	3	4.35		
Enterobacter Aerogenes	2	2.90		
Staphylococcus Epidermidis	3	4.35		
Staphylococcus Aureus (Including MRSA)	2	2.90		
Staphylococcus Haemolyticus	2	2.90		
Enterococcus Faecium	8	11.6		
Enterococcus Faecalis	7	10.1		
Gram-Positive Cocci	22	31.9		
Gram-Negative Bacillus	59	85.5		
Candida Albicans	11	15.9		
Candida Glabrata	5	7.25		
Candida Parapsilosis	4	5.80		

Table 3. Infection categorization, infection sites and etiologic agents of sepsis patients.

mixed bacterial and fungal infections. Infection categorization, infection sites and etiologic agents are shown in **Table 3**.

Sixteen species of pathogenic microbial were cultured, of which 7 kinds of Gramnegative bacilli were cultured, and the infection rate in sepsis patients was 85.5%. Five kinds of Gram-positive cocci were cultured, and the infection rate in sepsis patients was 31.9%, which were mainly *Enterococcus faecium* and *Enterococcus faecalis*. Three species of Fungi were cultured, and the infection rate in sepsis patients was 29.0%, which were mainly *Candida albicans*.

#### 3.3. Levels of Plasma PCT, CRP, Fib, Lac, D-d, and NEUT%

The levels of plasma PCT, CRP, Fib, Lac, D-d, and NEUT% of 113 patients were reviewed. We found that the levels of PCT, CRP, D-d, Lac of sepsis patients were higher than that of non-sepsis patients (P < 0.05), while the levels of NEUT%, Fib were not statistically different between the two groups (P > 0.05). The comparison of the biomarkers between sepsis group and non-sepsis group are shown in Table 4.

#### 3.4. Prediction Value of PCT, CRP, NEUT%, Fib, D-d and Lac to Sepsis

The ROC curve to the prediction value of PCT, CRP, NEUT%, Fib, Lac, D-d were shown in **Figure 1**. PCT could distinct non-sepsis patients and sepsis patients with high accuracy, and the AUC of PCT was 0.803 (95% CI, 0.735 - 0.871, P = 0.000), higher than the other biomarkers. PCT, CRP, Lac, D-d and NEUT% were sensitive indicators to predict sepsis. Fib has the worst predictive capability.

#### 3.5. Construction of Prediction System for Sepsis

In this study, we took all 6 biomarkers into logistic regression analysis (backward method) to build predictive models. Three biomarkers of Lac, PCT, and CRP eventually were picked out as **Table 5**. The final prediction system was  $Logit(P) = 0.314 + 0.105 \times Lac(mmol/l) + 0.099 \times PCT(ng/mL) + 0.012 \times CRP(mg/L)$ .

#### 3.6. Analysis of Prediction System for Sepsis

The ROC curve to the prediction value of the new predictive system was shown in **Figure 2**. We found that the AUC of this system was 0.834 (95% CI, 0.773 - 0.895, P = 0.000), higher than any of the above 6 indicators, indicating that the prediction accuracy for sepsis was higher than any individual indicators. The cutoff value of this system was 0.628.

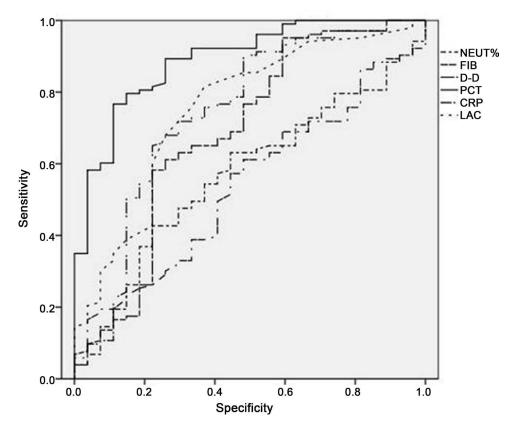
#### 4. Discussion

PCT, CRP, Fib, Lac, D-d, and NEUT% are used frequently in most hospitals. These biomarkers represent different parts of pathophysiologic change in sepsis, including the inflammatory response (PCT, CRP, NEUT%), coagulation (D-d, Fib), and oxygen

Table 4. Comparison of the biomarkers between sepsis group and non-sepsis group.

Variable	Non-sepsis group (N = 52)	Sepsis Group (N = 80)	Pvalue
NEUT% (%)	$0.63 \pm 0.31$	0.72±0.25	0.065
FIB (g/L)	$3.35 \pm 1.43$	$3.30 \pm 1.71$	0.826
D-d (ug/L)	$860.85 \pm 620.15$	$1326.29 \pm 1870.94$	0.021
PCT (ng/mL)	$3.45 \pm 4.83$	36.02 ± 101.29	0.001
CRP (mg/L)	$92.35\pm69.06$	139.96 ± 93.66	<0.001
LAC (mmol/L)	$1.32 \pm 1.38$	$2.43 \pm 2.88$	0.001

P < 0.05 means the difference was statistically significant between sepsis and non-sepsis groups.

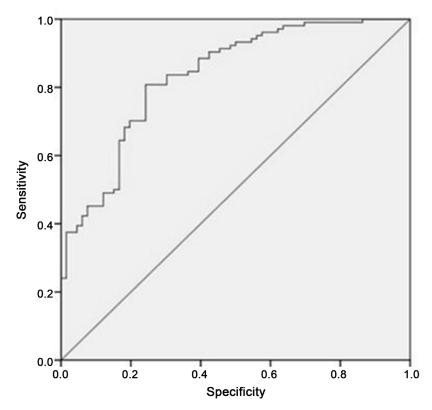


**Figure 1.** ROC Curve Analysis of PCT, CRP, NEUT%, Fib, D-d and Lac to sepsis. The AUC of PCT was 0.803 (95% CI, 0.735-0.871, *P* = 0.000), higher than the other biomarkers. The AUC of Lac, CRP, NEUT%, D-d, FIB was 0.725 (95% CI, 0.645 - 0.804, *P* = 0.000), 0.682 (95% CI, 0.599 - 0.765, *P* = 0.000), 0.604 (95% CI, 0.518 - 0.691, *P* = 0.022), 0.597 (95% CI, 0.510 - 0.685, *P* = 0.032), 0.471 (95% CI, 0.383 - 0.559, *P* = 0.523), respectively.

В	S.E. Wald	Wald	df	Sig.	Exp(B) —	95.0% C.I. for EXP(B)		
	3.E.	S.E. Wald				Lower	Upper	
Lac	0.105	0.003	17.279	1	0.000	0.988	0.982	0.993
PCT	0.099	0.036	7.452	1	0.006	1.102	1.028	1.182
CRP	0.012	0.003	8.557	1	0.003	1.009	1.003	1.015
Constant	0.314	0.472	0.506	1	0.477	1.399		

 Table 5. Building predictive system by logistic regression analysis.

metabolism (Lac). Each indicator can predict the occurrence of sepsis. However, when they are used singly, the accuracy of the prediction to sepsis is not ideal as mentioned before. PCT is a good predictive biomarkers for sepsis. In our study, PCT could distinguish non-sepsis and sepsis group well, and the difference between the two groups was statistically significant. Its diagnostic accuracy was the best of all biomarkers of this study. PCT has been considered as the most promising biomarkers for infection, which is produced and expressed after cytokines (IL-6, tumor necrosis factor, etc.) stimulates a variety of cells such as brain cells, or neutrophils. The levels of PCT can rapidly



**Figure 2.** ROC Curve Analysis for the new prediction system. The AUC of the system was 0.834 (95% CI, 0.773 - 0.895, P = 0.000), higher than PCT, CRP, NEUT%, Fib, D-d or Lac.

increase after infection and there is a significant correlation between PCT level and the severity of infection [12]. Furthermore, its peak occurs at the time of 24h after sepsis, earlier than CRP, IL-6. This feature can help clinicians to predict sepsis earlier. Most of the studies considered that the sensitivity and specificity of PCT for predicting or diagnosing sepsis were higher than other biomarkers. Although PCT has many advantages to predict sepsis, there are also more and more literatures beginning to question its accuracy. A meta-analysis study showed that diagnosis value of PCT to sepsis was not high enough, in which both the average sensitivity and specificity of PCT were only 71%, and AUC was just 0.78 [13]. Our study also found that between sepsis and non-sepsis group, using PCT alone did not have the best predictive effect.

CRP is an acute phase proteins, which may be activated by infection. As described by literatures, the accuracy of predicting sepsis had a larger fluctuation. Some scholars believed that variation per day of CRP exceeding 41 mg/L was appropriate to predict the occurrence of sepsis with the sensitivity of 92.1% and the specificity of 72.4% [14]. But Luzzani found that the diagnosis sensitivity and specificity of CRP for sepsis was only 69% and 61%, and they believed the prediction value of CRP to sepsis is not high [15]. Our study found that CRP could distinguish non-sepsis and sepsis well. However, the accuracy of CRP was not high, the AUC was 0.682 (95% CI, 0.599 - 0.765, P = 0.000). To interpret this part of the results we can start from the CRP features. The level of CRP can rise quickly in 4 hours after infection. The highest concentration may reach 2000 times than the normal value, while declines rapidly after eliminating the infection, so it can reflect the occurrence and development process of infection. But there are so many factors that can influence CRP, such as trauma, burns, major surgery, cancer. The majority of cases enrolled in this study were post-operative patients, which could affect the predictive ability of CRP. Therefore, all above demonstrated us again that using CRP alone as a predictor for sepsis was not enough.

A large number of inflammatory factors and cytokines release in SIRS patients, so abnormal coagulation and fibrinolysis often exist in these patients. Gando S showed that sepsis could lead to activation of the extrinsic coagulation pathway, resulted in the formation of thrombin and Fib, and then tumor necrosis factor-mediated plasminogen activator caused activation of kinase, which could significantly degrade Fib to D-d [16]. The study by Kollef found that plasma D-d levels exceeding 1000 ng/mL could predict the occurrence of sepsis [17]. Rodelo JR also found that the plasma D-d levels of sepsis patients were much higher than that of non-sepsis patients [18]. Although these literatures confirmed that the predictive value of D-d, a variety of factors can affect the concentration of D-d and Fib, including hematology, oncology and clinical transfusion. Our study found that D-d can be used to distinguish non-sepsis and sepsis group. The difference between the two groups was statistically significant (860.85  $\pm$  620.15 vs.  $1326.29 \pm 1870.94$ , P = 0.021), which showed that coagulation in sepsis patients had been affected. However, the difference of Fib between the two groups was not significant (3.35  $\pm$  1.43 vs. 3.30  $\pm$  1.71, P = 0.826). The results of this part demonstrated that using either Fib or D-d alone could not predict the occurrence of sepsis well.

In recent years, there are many biomarkers which could predict sepsis being found, including: lipopolysaccharide-binding protein, interleukin-8, interleukin-10, triggering receptor expressed on myeloid cell-1, endothelin-1, etc. [19] [20] [21] [22] [23]. But the perfect indicator which can well predict the occurrence of sepsis have not been found by now. Therefore, the latest point is using comprehensive detection of multiple biomarkers to improve diagnostic accuracy for sepsis [24] [25] [26].

There was little literature which used multiple biomarkers to predict sepsis [27]. Kofoed's study had compared four kinds of combinations, including one panel consisting of three predictive biomarker (soluble urokinase-type plasminogen activator receptor [V-SUPAR], soluble myeloid cells triggers receptor -1 [sTREM-1], macrophage inhibitory factor [MIF]), V-suPAR and age, sTREM and age, as well as the panel and age, to predict 30/180-day mortality in community-acquired infections patients [28]. Selberg and his colleagues created a scoring system consisting of PCT and activation of complement 3 (C3a), and compared the ability for distinguishing sepsis and non-sepsis patient with PCT, interleukin-6, C3a, elastase and CRP. Finally they found scoring system can better distinguish the two groups than other biomarkers [29]. Shapiro formed a sepsis scoring system by using the neutrophil gelatinase-associated lipocalin, protein C, and IL-1 receptor antagonist to predict 72 hours prognosis for severe sepsis and septic shock patients [30]. Punyadeera collected 118 serum markers of 16 patients, and found that combined detection of matrix metalloproteinase-3 (MMP-3), matrix metalloproteinase-10 (MMP-10), interleukin-1 $\alpha$ , interferon-inducible protein-10 (IP-10), soluble interleukin-2R (sIL-2R), soluble fatty acid synthase (sFas), soluble tumor necrosis factor type I receptor protein (sTNF-R1), soluble advanced glycation endproducts receptor (sRAGE), granulocyte macrophage colony-stimulating factor (GM-CSF), interleukin-1 $\beta$ , and eosinophil activation chemokine (Eotaxin) could better distinguish the severity of sepsis, and predict the risk of death in sepsis patients [31].

Not the same as the literature above, our study aimed to establish an early prediction model to sepsis for clinicians to make effective treatment timely. The final model system was logit(P) =  $0.314 + 0.105 \times \text{Lac(mmol/l)} + 0.099 \times \text{PCT(ng/mL)} + 0.012 \times \text{CRP(mg/L)}$ . Prediction accuracy of this system was better than any single indicators above. The AUC was 0.834 (95% CI, 0.773 - 0.895, P = 0.000).

The system still had only a medium predictive ability due to the small number of samples. Because of limitation of experimental conditions, some "good" indicators were not included (such as tumor necrosis factor, *etc.*). This was the limitation of this study. Further studies are needed.

#### 5. Conclusion

The three serum biomarkers of Lac, PCT, CRP can establish a prediction system of sepsis which is:  $logit(P) = 0.314 + 0.105 \times Lac(mmol/l) + 0.099 \times PCT(ng/mL) + 0.012 \times CRP(mg/L)$ . And the prediction system can better predict the occurrence of sepsis compared with other biomarkers.

#### **Declaration of Interests**

All authors have no interests to be declared.

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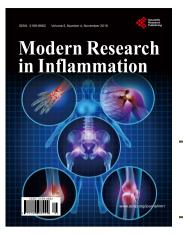
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