

# Generalized $\alpha$ -Entropy Based Medical Image Segmentation

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## ABSTRACT

In 1953, R enyi introduced his pioneering work (known as  $\alpha$ -entropies) to generalize the traditional notion of entropy. The functionalities of  $\alpha$ -entropies share the major properties of Shannon's entropy. Moreover, these entropies can be easily estimated using a kernel estimate. This makes their use by many researchers in computer vision community greatly appealing. In this paper, an efficient and fast entropic method for noisy cell image segmentation is presented. The method utilizes generalized  $\alpha$ -entropy to measure the maximum structural information of image and to locate the optimal threshold desired by segmentation. To speed up the proposed method, computations are carried out on 1D histograms of image. Experimental results show that the proposed method is efficient and much more tolerant to noise than other state-of-the-art segmentation techniques.

## KEYWORDS

$\alpha$ -Entropy; Cell Image; Entropic Image Segmentation

## 1. Introduction

Instinctively, image segmentation is the process of dividing an image into different regions such that each region is homogeneous while not the union of any two adjacent regions. An additional requirement would be that these regions have a correspondence to real homogeneous regions belonging to objects in the scene [1]. Image segmentation is an elementary and significant component in many applications such as image analysis, pattern recognition, medical diagnosis and currently in robotic vision. However, it is one of the most difficult and challenging tasks in image processing, and it determines the quality of the final results of the image analysis. The recent developments in Digital Mammography (DM), Magnetic Resonance Imaging (MRI), Computed Tomography (CT), and other diagnostic imaging techniques provide physicians with high resolution images which have significantly assisted the clinical diagnosis. These up-to-date technologies not only have a recognizably increased knowledge of normal and diseased anatomy for medical research but also become a significant part in diagnosis and treatment planning [2].

Due to the increasing number of medical images, taking advantage of computers to facilitate the processing and analyzing of this huge number of images has become indispensable. Especially, algorithms for the delineation of anatomical structures and other regions of interest are a key component in assisting and automating specific radiological tasks. These algorithms, named image segmentation algorithms, play a fundamental role in many medical imaging applications such as the quantification of tissue volumes [3,4], diagnosis [5], localization of pathology [6,7], study of anatomical structure [8,9], treatment planning [10], partial volume correction of functional imaging data [11], and computer integrated surgery [12-14]. Techniques for carrying out segmentations vary broadly depending on some factors such as specific application, imaging modality, etc. For instance, the segmentation of brain tissue has different requirements from the segmentation of the liver [15]. General imaging artifacts such as noise, partial volume effects, and motion can also have significant consequences on the performance of segmentation algorithms. Additionally, each imaging modality has its own idiosyncrasies with which to contend.

There is currently no single segmentation technique that gives satisfactory results for each medical image.

Since the pioneering work by Shannon [16,17] in 1948, entropy appears as an attention-grabbing tool in many areas of data processing. In 1953, Rènyi [8] introduced a wider class of entropies known as  $\alpha$ -entropies. The functionalities of  $\alpha$ -entropies share the major properties of Shannon's entropy. Moreover, the  $\alpha$ -entropies can be easily estimated using a kernel estimate. This makes their use attractive in many areas of image processing [18-20]. In this paper, we propose an efficient entropic technique for segmenting cell images which utilizes generalized Rènyi entropy. Our work for cell image segmentation has a relatively good performance in comparison to other related state-of-the-art techniques [21,22].

The outline of this paper is as follows. The next section discusses the generalized form of  $\alpha$ -entropies especially generalized Rènyi entropy. The proposed entropic segmentation method is explained in Section 3. Section 4 is to present the experimental results that validate the use of the proposed method. Advantages of our method and concluding remarks are outlined in Section 5.

## 2. Entropy of Generalized Distributions

Entropy has first appeared in thermodynamics as an information theoretical concept which is intimately related to the internal energy of the system. Then it has applied across physics, information theory, mathematics and other branches of science and engineering [9]. When given a system whose exact description is not precisely known, the entropy is defined as the expected amount of information needed to exactly specify the state of the system, given what we know about the system.

Suppose  $P = \{p_1, p_2, \dots, p_n\}$  be a finite discrete probability distribution that satisfies these conditions  $p_k \geq 0, k = 1, 2, \dots, n$  and  $\sum_{k=1}^n p_k = 1$ . The amount of uncertainty of the distribution  $P$ , is called the entropy of the distribution,  $P$ . The Shannon entropy of the distribution,  $P$ , a measure of uncertainty and denoted by  $H(P)$ , is defined as

$$H(P) = -\sum_{k=1}^n p_k \log_2 p_k \quad (1)$$

It should be noted that the Shannon entropy given by Equation (1) is additive, *i.e.* it satisfies the following relation:

$$H(A+B) = H(A) + H(B) \quad (2)$$

for any two distributions  $A$  and  $B$ . Equation (2) states one of the most important properties of entropy, namely, its additivity: the entropy of a combined experiment consisting of the performance of two independent experi-

ments is equal to the sum of the entropies of these two experiments. The formalism defined by Equation (1) has been shown to be restricted to the Boltzmann-Gibbs-Shannon (BGS) statistics. However, for nonextensive systems, some kind of extension appears to become necessary. Rènyi entropy, which is useful for describing the non-extensive systems, is defined as

Entropic segmentation for noisy mammography image.

$$H_\alpha(P) = \frac{1}{1-\alpha} \log_2 \sum_{k=1}^n p_k^\alpha \quad (3)$$

where  $\alpha \geq 0$  and  $\alpha \neq 1$ . The real number  $\alpha$  is called an entropic order that characterizes the degree of non-extensivity. This expression reduces to Shannon entropy in the limit  $\alpha \rightarrow 1$ . We shall see that in order to get the fine characterization of Rènyi entropy, it is advantageous to extend the notion of a probability distribution, and define entropy for the generalized distributions. The characterization of measures of entropy (and information) becomes much simpler if we consider these quantities as defined on the set of generalized probability distributions.

Suppose  $[\Omega, P]$  be a probability space that is,  $\Omega$  an arbitrary nonempty set, called the set of elementary events, and  $P$  a probability measure, that is, a non-negative and additive set function for which  $P(\Omega) = 1$ . Let us call a function  $\xi = \xi(\omega)$  which is defined for  $\omega \in \Omega_1$ , where  $\Omega_1 \subset \Omega$ . If  $P(\Omega_1) = 1$  we call  $\xi$  an ordinary (or complete) random variable, while if

$0 < P(\Omega_1) \leq 1$  we call  $\xi$  an incomplete random variable. Evidently, an incomplete random variable can be interpreted as a quantity describing the result of an experiment depending on chance which is not always observable, only with probability  $P(\Omega_1) < 1$ . The distribution of a generalized random variable is called a generalized probability distribution. Thus a finite discrete generalized probability distribution is simply a sequence  $p_1, p_2, \dots, p_n$  of nonnegative numbers such that setting  $P = \{p_k\}_{k=1}^n$  and taking

$$\varpi(P) = \sum_{k=1}^n p_k \quad (4)$$

where  $\varpi(P)$  is the weight of the distribution and  $0 < \varpi(P) \leq 1$ . A distribution that has a weight less than 1 will be called an incomplete distribution. Now, using Equation (3) and Equation (4), the Rènyi entropy for the generalized distribution can be written as

$$H_\alpha(P) = \frac{1}{1-\alpha} \log_2 \left[ \frac{\sum_{k=1}^n p_k^\alpha}{\sum_{k=1}^n p_k} \right] \quad (5)$$

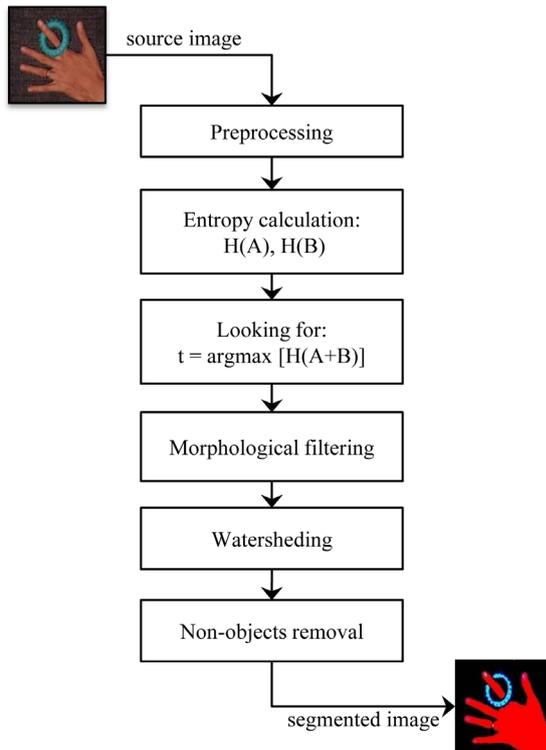
Note that Rènyi entropy has a nonextensive property

for statistical independent systems, defined by the following pseudo additivity entropic formula

$$H_\alpha(A+B) = H_\alpha(A) + H_\alpha(B) + (\alpha - 1) \cdot H_\alpha(A) \cdot H_\alpha(B) \quad (6)$$

### 3. Suggested Methodology

Image segmentation problem is considered to be one of the most holy grail challenges of computer vision field especially when done for noisy images. Consequently it has received considerable attention by many researchers in computer vision community. There are many approach for image segmentation, however, these approach are still inadequate. In this work, we propose an entropic method that achieves the task of segmentation in a novel way. This method not only overcomes image noise, but also utilizes time and memory optimally. This wisely happens by the advantage of using the Rànyi entropy of generalized distributions to measure the structural information of image and then locate the optimal threshold depending on the postulation that the optimal threshold corresponds to the segmentation with maximum structure (*i.e.*, maximum information content of the distribution). The implementation steps of the proposed segmentation method are shown in the block diagram of **Figure 1**. The following sections outline in detail the process behind each step.



**Figure 1.** Block diagram of the proposed segmentation method.

### 3.1. Preprocessing

Preprocessing ultimately aims at improving the image in ways that increase the opportunity for success of the other ulterior processes [17,23]. In this step, we apply a Gaussian filter to the input image prior to any process in order to reduce the amount of noise in an image.

### 3.2. Entropies Calculation

Suppose  $\{p_i\}_{i=1}^n$  be the probability distribution for the image. At the threshold,  $t$  this distribution is divided into two sub distributions; one for the foreground (class  $f$ ) and the other for the background (class  $b$ ) given by  $P^f = \{p_i\}_{i=1}^t$  and  $P^b = \{p_i\}_{i=t+1}^n$  respectively. Thus, the generalized Rànyi entropies for the two distributions as functions of  $t$  are given as

$$H_\alpha^f(t) = \frac{1}{\alpha - 1} \log_2 \left[ \frac{\sum_{k=1}^t p_k^\alpha}{\sum_{k=1}^t p_k} \right] \quad (7)$$

$$H_\alpha^b(t) = \frac{1}{\alpha - 1} \log_2 \left[ \frac{\sum_{k=t+1}^n p_k^\alpha}{\sum_{k=t+1}^n p_k} \right] \quad (8)$$

### 3.3. Image Thresholding

Thresholding is the most often used technique to distinguish objects from background. In this step an input image is converted by thresholded into a binary image so that the objects in the input image can be easily separated from the background. To get the desired optimum threshold value  $t^*$ , we have to maximize the total entropy,  $H_\alpha^{f+b}(t)$ . When the function  $H_\alpha^{f+b}(t)$  is maximized, the value of parameter  $t$  that maximizes the function is believed to be the optimum threshold value [24]. Mathematically, the problem can be formulated as

$$\begin{aligned} t^* &= \arg \max [H_\alpha^{f+b}(t)] \\ &= \arg \max [H_\alpha^f(t) + H_\alpha^b(t) + (1 - \alpha) \cdot H_\alpha^f(t) \cdot H_\alpha^b(t)] \end{aligned} \quad (9)$$

### 3.4. Morphology-Based Operations

In image processing, dilation, erosion, closing and opening are all well-known as morphological operations. In this step we aim at improving the results of the previous thresholding step. Due to the inconsistency within the color of objects, the resulting binary image perhaps includes some holes inside. By applying the closing morphological operation, we can get rid of the holes from the binary image. Furthermore Opening operation with small structure element can be used to separate some objects that are still connected in small number of pixels [25,26].

### 3.5. Overlapping Cancellation

In this step we attempt to remove the overlapping between objects that perhaps happened through extensively applying the previous morphological operations. To perform this, we first get the Euclidean Distance Transform (EDT) of the binary image. Then we apply the well-known watershed algorithm [27,28] on the resulting EDT image. The EDT ultimately converts the binary image into one where each pixel has a value equal to its distance to the nearest foreground pixel. The distances are measured in Euclidean distance metric. The peaks of the distance transform are assumed to be in the centers of the objects. Then the overlapping objects can be yet easily separated.

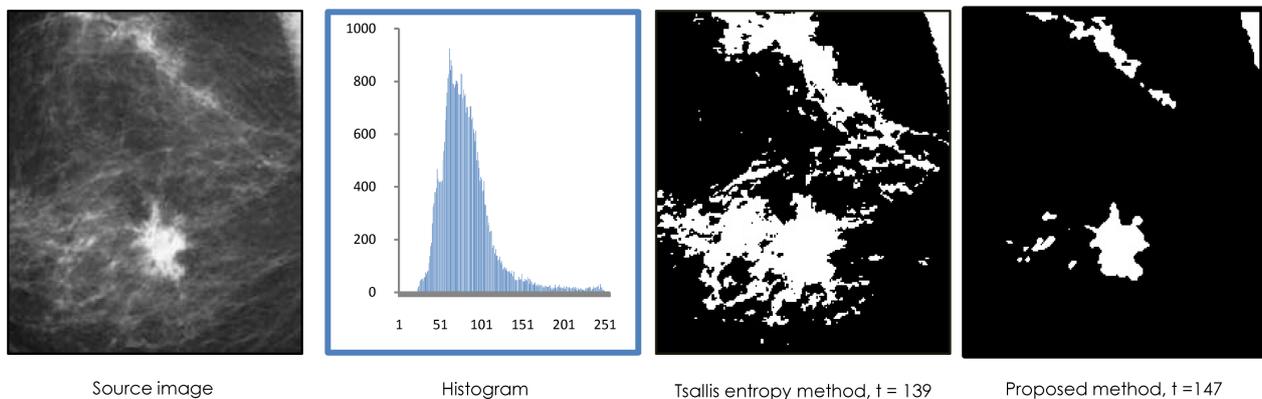
### 3.6. Non-Objects Removal

This step helps in removing incorrect objects according to the object size. Sizes of objects are measured in comparison to the total size of image. Each tiny noise object of size less than a predefined minimum threshold can be discarded. Also each object whose size is greater than the maximum threshold size can be removed as well. Note that thresholds of size used herein are often dependent on the application, and so they are considered as user-defined data.

## 4. Experimental Results

In this section, the results of the proposed approach are presented. First to investigate the proposed approach for image segmentation we began by different image histograms. Each of these histograms describes the “objects” and the “background”. Additionally, to verify the benefit of using the generalized R enyi entropy, we have tried using another formula of entropy (e.g. Tsallis entropy) which is given by

$$H_{\alpha} = \frac{1 - \sum_{k=1}^n p_k^{\alpha}}{\alpha - 1} \quad (10)$$



**Figure 2. Entropic segmentation for noisy mammography image.**

The results of segmentation have testified to the higher efficiency of our entropic segmentation approach especially when generalized R enyi entropy is used.

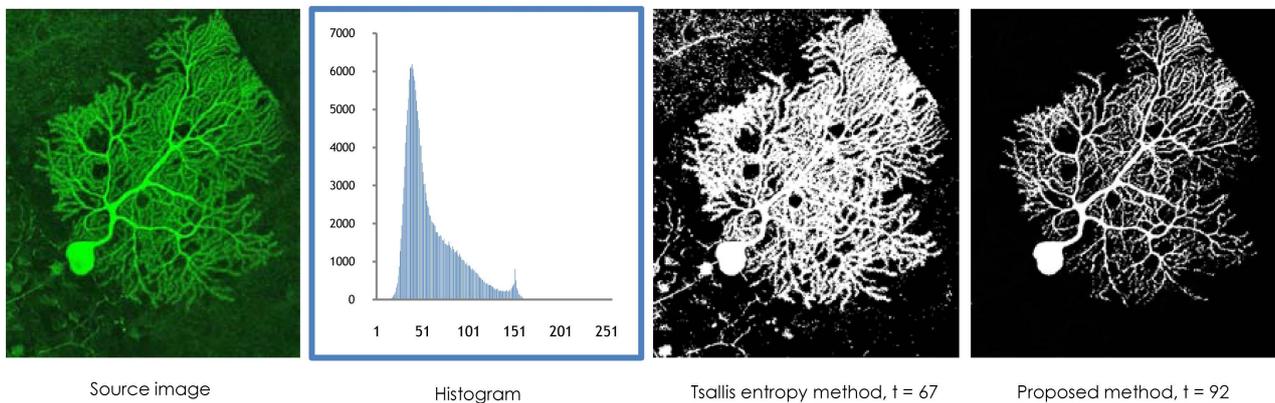
In **Figure 2**, an image of a mammogram showing breast cancer with a bright region (tumefaction) surrounded by a noisy region. The histogram roughly exemplifies an unimodal distribution of the graylevel values. The proposed entropic method will look for regions with uniform distribution in order to find the maximum entropy. This will regularly take place at the peak limit. It is well-known that segmenting this type of images is typically a challenging task. However the proposed method could performed well when applied on this type of images. Additionally, segmentation results in the figure show that using generalized R enyi entropy is better than using Tsallis entropy.

**Figure 3** shows another example of our segmentation method. We present an image of a medical domain with a spatial background scattering noise; a stained brain cell that shows branching of cell dendrites-fibers that receive input from other brain cells. Several values of  $\alpha$  are experimented. But the superior segmentation results has been obtained at  $\alpha = 0.9$ .

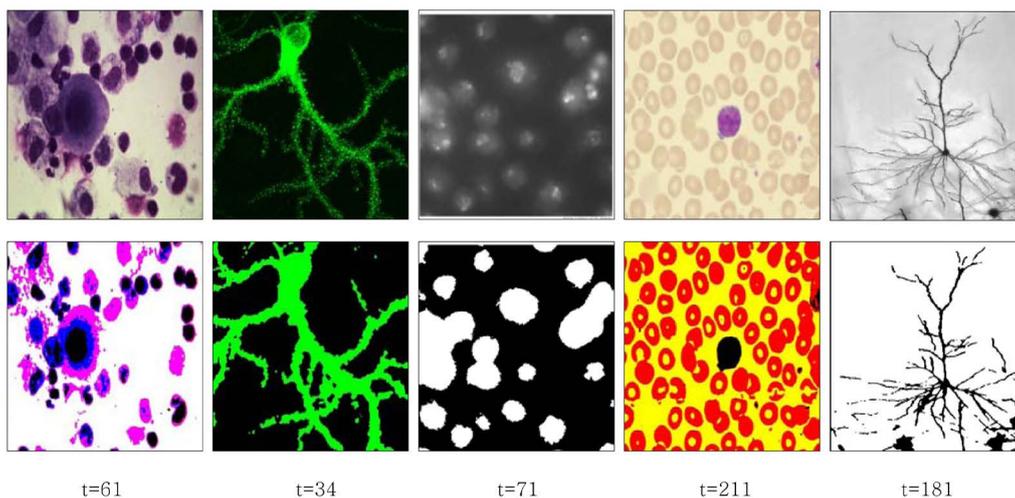
In **Figure 4**, we show the segmentation results of the proposed method on a sample of color medical images. In this example the images are segmented with  $\alpha$  equal to 0.8.

## 5. Conclusion

In this paper, we introduced a new method for cell image segmentation based on generalized  $\alpha$ -entropy. The proposed method has achieved the task of segmentation in a novel way. This method has been shown to provide good results in most cases and perform well when applied to noisy cell images. The experimental results show that using generalized R enyi formalism of entropy is more viable than using Tsallis counterpart in segmentating cell image. The chief advantages of the method are its high



**Figure 3.** Entropic segmentation for a brain cell image with a spatial noise around.



**Figure 4.** Results of the proposed segmentation method for a sample of test images.

rapidity and its tolerance to image noise.

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