

# **Fault Waveform Regenerator and Its Digital Closed-Loop Modification Technique**

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# Abstract

In order to provide a novel and more effective alternative to the commonly used relay protection testing device that outputs only the sinusoidal testing signals, the concept of fault waveform regenerator is proposed in this paper, together with its hardware structure and software flow chart. Fault waveform regenerator mainly depends on its power amplifiers (PAs) to regenerate the fault waveforms recorded by digital fault recorder (DFR). To counteract the PA's inherent nonlinear distortions, a digital closed-loop modification technique that is different from the predistortion technique is conceived. And the experimental results verify the effectiveness of the fault waveform regenerator based on the digital closed-loop modification technique.

# **Keywords**

Fault Waveform Regenerator, Digital Closed-Loop Modification Technique, Power Amplifier, Relay Protection Testing Device

# **1. Introduction**

In order to ensure the safety, reliability and stability of the operation of power system, various types of relay protection as well as supervisory equipment are deployed in power system. However, every now and then faults would occur unavoidably, which are likely to cause complex transient processes or oscillations because there are large numbers of capacitors and inductors spreading all over the power grid. In addition, the switch-on and switch-off of the large capacitive or inductive loads would also give rise to high-order harmonics. All these disturbances may have detrimental effects on the operations of relay protection and supervisory equipment nearby. Therefore, recording the harmful wave-forms of faults and the related transient processes in power grid is of great help to the fault causal investigations, and this is achieved by digital fault recorders (DFR). However, if we can regenerate these waveforms by a certain device with respect to the data recorded by DFR, it will be even more helpful because the regenerated fault waveforms can be directly used to test relay protection and supervisory equipment in a much more realistic environment than the commonly used testing methods based on software simulation [1] [2] or relay protection testing devices [3] [4], where the behavior of the tested relay protection and supervisory equipment and sometimes the cause of fault can be diagnosed in detail, simplifying the parameters tuning process of relay protection and supervisory equipment, and making the parameters more reliable and accordant to reality. In this paper, the concept of fault waveform regenerator is proposed to put the idea above into practice, and it is a device that can regenerate fault waveforms according to the data recorded by DFR. In fault waveform regenerator, power amplifiers (PAs) are indispensable component to amplify the fault waveforms to the voltage and current levels of the secondary sides of potential transformer (PT) and current transformer (CT) for the fact that relay protection and supervisory equipment are connected to PT and CT's secondary sides. In addition, fault waveforms generally consist of intricate harmonic component spreading in a wide bandwidth, while PAs are inevitably accompanied by the inherent nonlinearity due to their finite bandwidth. Therefore, a digital closed-loop modification technique is further proposed to modify PAs' nonlinear errors. The digital closed-loop modification technique includes three basic steps: 1) output fault waveforms directly through PAs regardless of the nonlinear distortion; 2) sample the output waveforms from the feedback channels, and compare them with the accurate ones and calculate errors; 3) modify the subsequent fault waveforms with respect to the errors; 4) output the modified fault waveforms through PAs to obtain the accurate fault waveforms. It should be noted that the four steps above are executed dynamically in real application.

In short, this paper has treated PAs from the angle of system, that is to say, fault waveforms, *i.e.* the data sources, are also taken into consideration with PAs as a whole, unlike most other methods that pay much attention to improve the linearity of PAs. In virtue of digital closed-loop modification technique, fault waveforms are able to adapt the characteristics of PAs actively, and thus the nonlinear distortion can be inhibited conveniently and effectively. The digital closed-loop modification technique is a little similar to predistortion technique (PDT), which is widely adopted in the PAs of communication system [5] [6] [7]. However, these two techniques have the following difference. Most PDTs depend greatly on the look-up tables (LUTs) that should be constructed beforehand according to the inverse characteristics of PAs, and the inverse characteristics of PAs are sometimes very difficult to obtain; while the digital closed-loop modification technique introduces some adaptive algorithms to modify fault waveforms online, circumventing the acquirement of PAs' inverse characteristics. There are many adaptive algorithms that can be chosen, and in this paper the adaptive neural-fuzzy inference system (ANFIS) has been chosen due to its excellent performance.

In the following, the fundamental principle of digital closed-loop modification technique is discussed in Section 2. In Section 3, the hardware layout of fault waveform regenerator is presented, including central controller, auxiliary controller, isolation device, PA and power supply. Accordingly, in Section 4, the software realization considerations are proposed. In Section 5, the experiment is carried out to verify the performance of fault waveform regenerator. Finally Section 6 concludes the paper with meaningful comments.

### 2. Digital Closed-Loop Modification Technique

Because PAs have inherent nonlinear characteristics, theoretically, it can be considered as a nonlinear system. For clarity, a PA is described as the following virtual function *F*:

$$\mathbf{y} = F\left(\mathbf{x}\right) \tag{1}$$

where  $\mathbf{x} = [\mathbf{x}_1, \mathbf{x}_2, ..., \mathbf{x}_N]^T$  is the column vector of the input time series of the recorded fault waveforms arranged with respect to the sampling time, the notation T denotes the transposition of matrix, and *N* is the data number or the length of the time series; in accordance,  $\mathbf{y} = [y_1, y_2, ..., y_N]^T$  is the column vector of the output time series of the feedback waveforms. Obviously, the ideal or expected output of PA should be

$$\boldsymbol{y}^* = \boldsymbol{K}\boldsymbol{x} \tag{2}$$

where *K* is the linear amplification coefficient of PA, and in practice there is a deviation between  $F(\mathbf{x})$  and  $K\mathbf{x}$  due to the existence of the PA's nonlinearity.

To counteract PA's nonlinearity, the *digital closed-loop modification technique* is conceived by the author, which includes the following four steps.

1) Divide **x** into several short segments, named as subseries. For example, divide **x** into subseries of equal length, *i.e.*  $\mathbf{x} = [\mathbf{x}_{s1}, \mathbf{x}_{s2}, ..., \mathbf{x}_{sM}]$ , thereinto, *M* is the total number of subseries, the *i*th subseries  $\mathbf{x}_{si} = [x_{(i-1)L+1}, x_{(i-1)L+2}, ..., x_{iL}]^{T}$ , i = 1, 2, ..., M, and *L* is the length of the subseries and LM = N.

2) Amplify the first subseries  $\mathbf{x}_{s1}$  through PA, and meanwhile, sample the output waveform, *i.e.* obtain the corresponding output subseries  $\mathbf{y}_{s1}$ ; calculate the deviation (also expressed in subseries) between the actual output and the expected output  $\mathbf{e}_{s1}$ :

$$\boldsymbol{e}_{s1} = \boldsymbol{y}_{s1}^* - \boldsymbol{y}_{s1} = K\boldsymbol{x}_{s1} - F(\boldsymbol{x}_{s1})$$
(3)

By Equation (3), PA's characteristic, *i.e.* the functional relation  $F(\cdot)$ , can be identified and is denoted as  $\hat{F}(\cdot)$  for distinction.

3) Estimate the possible deviation between the second output subseries and the second expected output according to the identified  $\hat{F}(\cdot)$  and the second input subseries  $\mathbf{x}_{s2}$ :

$$\hat{\boldsymbol{e}}_{s2} = K\boldsymbol{x}_{s2} - \hat{F}\left(\boldsymbol{x}_{s2}\right) \tag{4}$$

Then modify the second input subseries:

$$\tilde{\boldsymbol{x}}_{s2} = u\left(\hat{\boldsymbol{e}}_{s2}, \boldsymbol{x}_{s2}\right) \tag{5}$$

where  $u(\cdot)$  is the modification function with two variables, and  $\tilde{x}_{s2}$  is the modified  $x_{s2}$ . Similarly,  $u(\cdot)$  cannot always be described as a simple and specific expression, and therefore an abstract form is adopted here.

4) Repeat Steps 2) and 3), replacing  $\mathbf{x}_{s1}$  by  $\tilde{\mathbf{x}}_{s2}$  and  $\mathbf{x}_{s2}$  by  $\mathbf{x}_{s3}$  respectively, until the last input subseries  $\mathbf{x}_{sM}$  is reached. This produces the effect that the subsequent input subseries are modified according to PA's characteristic before outputted, and thus the actual output subseries can progressively approach the expected output subseries.

To sum up, the fundamental principle of fault waveform regenerator based on digital closed-loop modification technique is as **Figure 1**. Firstly, by various means of digital communication, e.g. Ethernet, RS-232/RS-485, USB, etc., the fault waveform data recorded by DFR are transmitted to the inner memory buffer of fault waveform regenerator and adjusted to form  $\mathbf{x}$  vector from the original storage format (e.g. the common format for transient data exchange (COMTRADE) [8] [9]). Then,  $\mathbf{x}$  is modified by the modification function  $u(\cdot)$  as described in Equation (5), and here  $\mathbf{x}$  is turned into the modified form  $\tilde{\mathbf{x}}$ . Finally,  $\tilde{\mathbf{x}}$  is inverted from digital signal back to analog signal by digital-to-analog converter (DAC) to drive the PA to output the regenerated fault wave-form, which is linked to the tested relay protection or supervisory equipment. Meanwhile, digital signal  $\mathbf{y}$  is acquired from analog-to-digital converter (ADC) by sampling the output waveform, and the microprocessor/microcomputer (MP/MC) takes  $\mathbf{y}$  to identify  $\hat{F}(\cdot)$  so as to modify  $\mathbf{x}$  according to Equations (4) and (5).

## 3. Hardware Layout

For better realizing digital closed-loop modification technique, the hardware layout of fault waveform regenerator plays also an important part, which is shown in **Figure 2**.

#### **3.1. Central Controller**

In this paper, one of Texas Instruments' (TI) digital signal processors (DSPs), TMS320 LF2407A, is chosen as the central controller of fault waveform regenerator because of its outstanding performance of numerical computation and low cost. The DSP in **Figure 2** is dedicated to realizing digital closed-loop modification technique in real time, and coordinating the digital-signal output to DAC and the digital-signal feedback from ADC. Besides, DSP takes the spare time among the main tasks to complete the man-machine interfacing work, including displaying important operation parameters on liquid crystal display (LCD), and fetching the fault waveform data or sending the output waveform data from or to the outer personal computer via Ethernet, RS-232/RS-485 or USB.

#### **3.2. Auxiliary Controller**

Because the DSP has already undertaken the heaviest tasks of central controller,



**Figure 1.** The fundamental principle of fault waveform regenerator based on digital closed-loop modification technique.



Figure 2. The hardware layout of fault waveform regenerator.

to ensure the reliability of its operation, it is better to introduce an auxiliary controller to help it deal with some other simple but important tasks. In this paper, a field programmable gate array (FPGA), Altera's low-cost EP1C6, is chosen as the auxiliary controller, and considering its unique capability of real-time and parallel operation, its main tasks are to implement the high-speed synchronous data exchange between DSP and the ADCs/DACs in multiple channels. Besides, FPGA also helps DSP handle some peripheral interface works, including address decoding and peripheral expanded memory (e.g. FLASH) accessing without spending extra time. The FLASH memory mentioned here is necessary because it is used to reliably preserve the fault waveform data and important system parameters even when the system encounters a power outage.

#### **3.3. Isolation Device**

Fault waveforms usually consist of alternate current (AC) harmonics (i.e. peri-

odic component) and direct current (DC) component (*i.e.* nonperiodic component), and the DC component may be eliminated or distorted by instrumental CT and PT or traditional current and voltage sensors. Due to this, an isolation device that can feed through both AC and DC components is required in the feedback channel so as to transmit the output waveform to DSP without extra errors. In addition, on the printed circuit board (PCB) the DACs are usually configured with other low-power, low-voltage electronic devices on the same ground plane, and for the electronic devices' safety, it is very essential to isolate the low-power, low-voltage ground plane from the high-power, high-voltage ground plane of PAs. For the two reasons above, in this paper, the isolation amplifiers, Burr-Brown's (BB) new product ISO124, are introduced in both the feedback and output channels, which are of lower price and higher precision than Hall sensors and are of simpler circuit design than linear analog optocouplers.

## 3.4. Power Amplifier

Fault waveforms are divided into two kinds, *i.e.* voltage waveform and current waveform, and therefore the PAs of fault waveform regenerator should be designed accordingly as voltage-type and current-type as well. Commonly, current-type PA has larger nonlinear distortion than voltage-type PA because the larger current of current-type PA may produce more heat, causing larger variations of the circuit parameters. Therefore, larger effort has to be put on designing current-type PA, while voltage-type PA can be treated as the simplified type of current-type PA and directly adopts the control strategy of current-type PA. Owing to the digital closed-loop modification technique proposed in this paper, PAs' accuracy requirement is relaxed so that the designing difficulty is reduced.

#### **3.5. Power Supply**

PAs are the most power-consuming component of fault waveform regenerator because the output fault waveforms need to have plenty of power to drive the tested relay protection and supervisory equipment. Under fault condition the fault current may go up to 20 times or 30 times greater than that under normal condition, and such a big current may cause 100 to 200 W power consumption in the input impedance of the tested relay protection and supervisory equipment. All the power consumption will be supplied by PAs' DC source. The traditional DC source consists of the line-frequency transformer and the noncontrollable rectifier bridge, not only having enormous weight and volume and being unsuitable for portability, but also producing a lot of harmonics that may increase PAs' nonlinear distortion. To avoid the drawbacks of the traditional DC source, in this paper the power electronic transformer is chosen as the substitute, which consists of power electronic converter together with small-volume high-frequency transformer, though the circuit is relatively complex.

## 4. Software Realization

### 4.1. Adaptive Neural-Fuzzy Inference System

From Section 2, it is seen that the difficulty of digital closed-loop modification technique is to identify  $\hat{F}(\cdot)$  and to calculate the modified subseries  $\tilde{x}$ . As mentioned, ANFIS that integrates the advantages of both artificial-neural network (ANN) and fuzzy inference system (FIS) is a preferable solution. On one hand, ANN is famous for its adaptive learning capability, however, it should be supervised and guided carefully to finish the learning procedure rapidly and ensure the convergence in global optimum. On the other hand, FIS is good at controlling unknown or half-known object by simulating the manual operation of human beings according to the control rule table; however, the control rule table is usually very difficult to acquire and optimize in practice. Therefore, it is wise to integrate ANN and FIS together, using ANN to learn and produce control rule table for FIS while using FIS to guide ANN, and the result is ANFIS [10] [11]. ANFIS shows a strong ability to approach any nonlinear functions within a finite range so that it is used to approximate the nonlinear half-known object  $\hat{F}$ ( ) and replace  $\hat{F}(\cdot)$  to calculate  $\tilde{x}$ . Moreover, ANFIS can also take advantage of its adaptability to track and counteract the nonlinear and unpredictable variations of the circuit parameters resulted from devices, power supply and load. Because the detailed realization procedures of ANFIS can be found in many literatures, they are omitted in this paper for brevity.

#### 4.2. Control Principle Based on ANFIS

The control principle of fault waveform regenerator based on ANFIS is shown in **Figure 3**, where the subscript "f" is used to distinguish the fuzzy functions and fuzzy subseries or vectors from their "clear" types.

Firstly, the fault waveform data vector  $\mathbf{x}$  and the output waveform data vector  $\mathbf{y}$  are fuzzified by Fuzzifiers No. 1 and No. 2 and become two fuzzy vectors  $\mathbf{x}_{\rm f}$  and  $\mathbf{y}_{\rm f}$  that can be dealt with by the FIS part of ANFIS. Considering that  $\mathbf{x}$  consists of the precise fault waveform data, it is reasonable to use the clear quantities directly as fuzzy ones, and therefore, Fuzzifier No. 1 adopts the single-point fuzzy set as the fuzzifying method:

$$u_{A}(\mathbf{x}_{f}) = \begin{cases} 1 & \mathbf{x}_{f} = \mathbf{x} \\ 0 & \mathbf{x}_{f} \neq \mathbf{x} \end{cases}$$
(6)





1

Because feedback samples in y are mixed with stochastic noise, Fuzzifier No. 2 adopts the normal distribution function, *i.e.* Gaussian function fuzzy set, as the fuzzifying method:

$$\mu_B(\mathbf{y}_f) = e^{-\frac{(\mathbf{y}_f - \mathbf{y})^2}{2\sigma^2}}$$
(7)

In Equations (6) and (7), A and B are the linguistic variables that can take various linguistic terms.

After fuzzification,  $\mathbf{x}_{f}$  and  $\mathbf{y}_{f}$  together with PA's fuzzy model  $F_{f}(\cdot)$ , *i.e.* the open-loop model of fault waveform regenerator, can be expressed by the following fuzzy rules:

$$\mathbf{R}_{i}$$
: if  $\mathbf{x}_{f}$  is  $a_{i}$  and  $F_{f}(\cdot)$  is  $c_{i}$ , then  $\mathbf{y}_{f}$  is  $b_{i}$  (8)

where j = 1, 2, ..., P, and P is the number of fuzzy rules, membership functions and linguistic terms; because a big P is not bound to produce better result than a small P [12] [13], for computational convenience, it is recommended to choose a small P, e.g. P = 3 to 7;  $a_{j}$ ,  $b_{j}$  and  $c_{j}$  are linguistic terms, *i.e.* the specific fuzzy sets, related to A, B and C (the linguistic variables of PA's fuzzy model), and they have the following relationships (take A and  $a_{j}$  for example, and B and  $b_{j}$ , C and  $c_{j}$  have the similar relationships):

$$\begin{cases}
A = a_j, j = 1, 2, \dots, P \\
a_j \in \left\{ \underbrace{\overset{P \text{ terms}}{\dots, \text{NM}, \text{NS}, \text{ZE}, \text{PS}, \text{PM}, \dots} \right\}
\end{cases}$$
(9)

where NM, NS, ZE, PS and PM in the second formula are the abbreviations of Negative Medium, Negative Small, Zero, Positive Small and Positive Medium, respectively. Based on Mamdani fuzzy implication [14] [15], Equation (8) can be further expressed as

$$\mathbf{R}_{j} = \left[ \mathbf{x}_{\mathrm{f}} \times F_{\mathrm{f}}\left(\cdot\right) \right] \rightarrow \mathbf{y}_{\mathrm{f}} = \mathbf{x}_{\mathrm{f}} \times F_{\mathrm{f}}\left(\cdot\right) \times \mathbf{y}_{\mathrm{f}}$$
(10)

where  $\times$  is the direct product not the traditional multiplication, and  $\rightarrow$  is the implication conjunction not the function mapping symbol.

By Equation (10), PA's fuzzy model  $\hat{F}(\cdot)$  can be identified and is notated as  $\hat{F}(\cdot)$ . Then, the inverse type of  $\hat{F}_{f}(\cdot)$ , noted as  $\hat{F}_{f}^{-1}(\cdot)$ , can be obtained from the fuzzy-inversion calculation of Equation (10):

$$\mathbf{R}_{j}^{-1} = \left[ \boldsymbol{y}_{\mathrm{f}} \times F_{\mathrm{f}}^{-1}(\cdot) \right] \to \boldsymbol{x}_{\mathrm{f}} = \boldsymbol{y}_{\mathrm{f}} \times F_{\mathrm{f}}^{-1}(\cdot) \times \boldsymbol{x}_{\mathrm{f}}$$
(11)

and the corresponding fuzzy rules become

 $\mathbf{R}_{i}^{-1}$ : if  $\mathbf{y}_{f}$  is  $b_{i}$  and  $F_{f}^{-1}(\cdot)$  is  $c_{i}$ , then  $\mathbf{x}_{f}$  is  $a_{i}$  (12)

Now, the expected fuzzy output  $y_{\rm f}^*$  can be substituted into Equation (11) or (12) to produce the corresponding  $\tilde{x}_{\rm f}$ , which implies that if  $\tilde{x}_{\rm f}$  is defuzzied and inputted back into the PA, then the accurate  $y_{\rm f} = y_{\rm f}^*$  can be generated, making  $\hat{e} = 0$ .

To sum up, Equations (10) and (11) (or (12)) together have actually realized

the functions of Equations (4) and (5), and implicitly integrate the procedures of model identification, model inversion and signal modification. Therefore, ANFIS serves as both an identifier and a modifier, integrally and dynamically realizing the goals of digital closed-loop modification technique.

#### 4.3. Real-Time Realization

Although ANFIS can effectively realize digital closed-loop modification technique, great computational burden has fallen on DSP, making it difficult to meet the requirement of real-time control. Therefore, two empirical measures may be introduced to lighten the computational burden of DSP.

1) Confine the length of the subseries processed by ANFIS every time according to DSP's computational capability. Thus, every new input subseries  $\mathbf{x}_{fs(i+1)}$  (i = 1, 2, ..., M-1) can be modified (noted as  $\tilde{\mathbf{x}}_{fs(i+1)}$ ) by Equation (11) or (12) with the preceding input subseries  $\mathbf{x}_{fsi}$  and the corresponding output subseries  $\mathbf{y}_{fsi}$  before defuzzified and inputted to PA.

2) Reduce the learning times of ANFIS in terms of a certain error threshold. Because the learning procedure is the most time-consuming part of ANFIS, moderately reducing the learning times when the errors are relatively small and negligible may make an agreeable compromise between the computational cost and the expectation of zero errors. Moreover, reducing the learning times can also prevent ANFIS from over fitting PA's model [16] [17], which may cause system instability.

#### 4.4. Algorithm Flow

To sum up the discussions of the previous subsections, the algorithm flow of software realization for fault waveform regenerator can be depicted as **Figure 4**, where the processes of fuzzification and defuzzification are omitted for clarity.

## **5. Experimental Results**

In this section, an actual set of fault-waveform data is chosen as an experimental example to test the performance of fault waveform regenerator with ANFIS-based digital closed-loop modification technique. The fault-waveform data (fault current) were recorded by DFR in 2015 from a single-phase line-to-ground fault that occurred in Jiangxi Power Grid of China. The regenerated fault waveforms with and without ANFIS-based digital closed-loop modification are acquired from the FLASH of fault waveform regenerator via RS-232/RS-485 and then drawn in MATLAB as **Figure 5**. And for comparison, the precise fault waveform is superimposed in the figure (the dotted line). From **Figure 5(a)**, it is seen that without ANFIS-based digital closed-loop modification (the system is actually working under open-loop condition) the regenerated fault waveform is distorted notablely (especially near the amplitudes) by PA's nonlinearity. Differently, from **Figure 5(b)**, it is seen that with ANFIS-based digital closed-loop condition (the system is working under closed-loop condition) condition the system is working under closed-loop condition the system is working under closed-loop condition (the system is working under closed-loop condition) the regenerated fault waveform is distorted notablely (especially near the amplitudes) by PA's nonlinearity. Differently, from **Figure 5(b)**, it is seen that with ANFIS-based digital closed-loop condition (the system is working under closed-loop condition) the regenerated fault waveform is distorted notablely (especially near the amplitudes) by PA's nonlinearity. Differently, from **Figure 5(b)**, it is seen that with ANFIS-based digital closed-loop condition (the system is working under closed-loop condition (the system is working under closed-loop condition) the regenerated fault closed-loop modification (the system is working under closed-loop condition) the regenerated fault closed-loop modification (the system is working under closed-loop conditis) conditis closed-loop condition (the system is wor

dition) the regenerated fault waveform approaches the precise fault waveform rapidly and then the two match together steadily, meaning that the nonlinear distortion is modified effectively.



**Figure 4.** The algorithm flow of software realization for fault waveform regenerator.





**Figure 5.** Fault waveforms regenerated by fault waveform regenerator. (a) Without ANFIS-based digital closed-loop modification; (b) With ANFIS-based digital closed-loop modification.

# **6.** Conclusion

The fault waveform regenerator together with the digital closed-loop modification technique based on ANFIS is proposed in this paper, and the hardware layout and software realization method are discussed. The experimental results have verified the effectiveness of the fault waveform regenerator and the feasibility in application of adjusting and testing relay protection and supervisory equipment. The various adjusting and testing examples will be carried out in the future work and presented in another paper.

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# **Conflicts of Interest**

The author declares no conflicts of interest regarding the publication of this paper.

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