

# Study on Multi-Objective Effect Evaluation System of Smart Grid Construction

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## Abstract

This paper builds multi-objective effect evaluation indicator system of smart grid construction from five connotations including strong and reliable, clean and green, friendly and interactive, transparent and open, economical and effective, which is embodied in the power generation, transmission, transformation, distribution, consumption, dispatching and information communication platform of smart grid. Taking the construction of smart grid in a certain area of China as an example, this paper uses analytic hierarchy process (AHP) to make an empirical analysis on it, and makes a comprehensive and objective evaluation on its construction effect.

## Keywords

Smart Grid, Multi-Objective Effect, Evaluation Indicator System, AHP

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## 1. Introduction

In order to tackle climate change and ensure energy security, countries around the world are increasing emphasis on the development of clean energy and the improvement of energy efficiency at present. As the basis and premise for achieving low-carbon electricity, smart grid technology has developed rapidly in many countries in recent years, and effectively promotes the grid smart [1] [2].

Some countries have put forward appropriate evaluation system of smart grid based on their basic national conditions and development stage of power industry, in order to cooperate with the construction of strong smart grid and reflect its technical characteristics and functional properties comprehensively.

The foreign typical evaluation systems of smart grid mainly contain the smart grid maturity model proposed by IBM [3], the framework system of smart grid assessment drafted by DOE [4], the smart grid construction evaluation indicator of EPRI [5], the smart grid profit evaluation system of EU [6] and so on. It should be noted that the evaluation system of US pays more attention to the safety and reliability of power system because of its obsolete facilities and the hidden dangers against security and stability; the evaluation system of Europe focuses on development and utilization of new energy and low carbon due to the shortage of fossil energy and large specific gravity of new energy generation.

Along with the deepening of smart grid construction in China, domestic scholars are researching and exploring smart grid evaluation hotly, mainly including the establishment of evaluation indicator system, research for

evaluation model and method [7] [8], etc.

Combined with the target and development direction of smart grid in China, this paper has built multi-objective effect evaluation indicator system of smart grid construction from five connotations including “strong and reliable”, “clean and green”, “friendly and interactive”, “transparent and open”, “economical and effective” [9], which can make a comprehensive and objective evaluation on the effect of smart grid construction.

## 2. Multi-objective Effect Evaluation Indicator System of Smart Grid Construction

### 2.1. Construction Principle of the Indicator System

Currently, the World Bank and the national government departments commonly adopt the SMART criterion to select indicators, and the 5 letters of SMART represent specific, measurable, attainable, relevant and trackable. The advantage of this criterion is having a relatively clear standard, which makes evaluation easy.

Considering the characteristics and connotations of smart grid, according to SMART criteria, this paper has proposed the principle of establishing indicator system. 1) Comprehensiveness. Indicators should reflect the action of each construction link as much as possible. 2) Independence. Various indicators in the same level should have clear connotation, mutual independence and cannot overlap each other. 3) Typicality. Indicators should make key points of construction stand out and grasp the main aspect of problem. 4) Measurability. Evaluation indicators of each construction action should have the appropriate standards and use the same standard as unified evaluation dimension.

### 2.2. Five Connotations of Smart Grid

The State Grid Corporation has proposed the development train of thought about unified strong smart grid with Chinese characteristics, which is “one goal, two main lines, three stages, four systems, five connotations, six links”. The five connotations are:

1) Strong and reliable, which mean strong grid structure, strong power transmission capacity, safe and reliable power supply ability.

2) Clean and green, which mean promoting development and utilization of renewable energy, reducing energy consumption and pollutant emission, and improving the proportion of clean energy in final energy consumption.

3) Friendly and interactive, which mean flexible adjustment of power grid operation mode, easy for various types of power and users accessing or exiting the grid, promoting the generation companies and users to actively participate in grid operation regulation.

4) Transparent and open, which mean the transparent and shared information of power grid, power sources and users, and open grid without discrimination.

5) Economical and effective, which mean improving the operation and transportation efficiency of power grid, reducing operating costs, promoting the efficient utilization of energy resources and electric power assets.

According to these five connotations above, the effect evaluation indicator system of smart grid construction extracts effect indicators from each construction link of smart grid, which can embody the smart grid characteristics scientifically and comprehensively.

### 2.3. Multi-Objective Effect Evaluation Indicator System

The smart grid has five connotations including “strong and reliable”, “clean and green”, “friendly and interactive”, “transparent and open”, “economical and effective”, which are embodied in the power generation, transmission, transformation, distribution, consumption, dispatching and information communication platform of smart grid. Build multi-objective effect evaluation indicator system of smart grid construction based on these connotations, as shown in **Table 1**.

## 3. Effect Evaluation Model Based on AHP

### 3.1. Determine the Weight of Indicators by AHP

The multi-objective effect evaluation indicator system of smart grid construction has obvious hierarchical structure, as shown in **Figure 1**. Because there are more species and quantity of indicator, and the indicator system is large, it is suitable to use AHP to solve the problem of reasonable weight of indicator and then evaluate the

**Table 1.** Multi-objective effect evaluation indicator system.

Primary Indicator	Second-level Indicator	Third-level Indicator	Fourth-level Indicator	
$x_1$ Strong and reliable	$x_{11}$ Transmission reliability	$x_{11}^1$ System recovery performance		
		$x_{11}^2$ Transformer forced outage rate		
		$x_{11}^3$ Transformer availability coefficient		
		$x_{11}^4$ Overhead lines forced outage rate		
		$x_{11}^5$ Overhead lines availability coefficient		
		$x_{11}^6$ Breaker forced outage rate		
		$x_{11}^7$ Breaker availability coefficient		
		$x_{11}^8$ The N-1 pass rate of 220 kV and above grid		
	$x_{12}$ Power supply reliability		$x_{12}^1$ Power supply reliability rate	$x_{12}^{11}$ Reliability rate in city
				$x_{12}^{12}$ Reliability rate in countryside
			$x_{12}^2$ Comprehensive voltage pass rate	$x_{12}^{21}$ Pass rate in city
				$x_{12}^{22}$ Pass rate in countryside
$x_2$ Clean and green	$x_{13}$ Information security	$x_{13}^3$ Frequency pass rate		
		$x_{13}^1$ The level of safe operation of information and communication systems		
		$x_{13}^2$ The number of information events		
	$x_{21}$ Capacity for environmental conservation of generation		$x_{21}^1$ The proportion of clean energy supply	
			$x_{21}^2$ The proportion of distributed power supply	
			$x_{21}^3$ Growth rate of coal-electricity efficiency	
	$x_{22}$ Capacity for environmental conservation of transmission and distribution network		$x_{22}^1$ Capability to reducing power loss	
			$x_{22}^2$ Completion rate of saving electricity	
			$x_{23}^1$ Substitution proportion of electric energy	
	$x_{31}$ Compatibility degree for power source		$x_{31}^1$ Growth rate of new energy proportion	
$x_{32}^1$ Processing speed of the accident				
$x_{32}^2$ Reduction rate of network congestion				
$x_3$ Friendly and interactive	$x_{33}$ User engagement	$x_{33}^1$ Interactive services index of smart consumption		
		$x_{33}^2$ The proportion of electric power customer service system		
		$x_{33}^3$ Demand-side management level		
$x_4$ Transparent and open market	$x_{41}$ Information openness of market	$x_{41}^1$ Completion rate of information disclosure		
		$x_{41}^2$ Promptness rate of information disclosure		
		$x_{41}^3$ Diversity of information channels		

**Continued**

		$x_{42}^1$ Growth rate of trade electricity for generation right	
	$x_{42}$ Open grid without discrimination	$x_{42}^2$ Growth rate of trade volume of direct purchasing electricity for large consumers	
		$x_{42}^3$ Growth rate of inter-provincial electricity trade volume	
		$x_{51}^1$ Generation efficiency of renewable energy	
	$x_{51}$ Operation efficiency of power grid	$x_{51}^2$ Operating efficiency of distribution automation	
		$x_{51}^3$ Operating efficiency of Information communication	
			$x_{52}^{11}$ Conventional power lines capacity utilization
			$x_{52}^{12}$ New energy lines capacity utilization
		$x_{52}^1$ Capacity utilization of 220kV and above lines	$x_{52}^{13}$ Load feeders capacity utilization
$x_5$ Economical and effective			$x_{52}^{14}$ Main network frame and contact lines capacity utilization
	$x_{52}$ Utilization efficiency of power grid	$x_{52}^2$ Capacity utilization of 220kV and above transformer	$x_{52}^{21}$ Main transformer capacity utilization
		$x_{52}^3$ Capacity utilization of 110kV and below lines	$x_{52}^{31}$ Municipal power supply lines capacity utilization
			$x_{52}^{32}$ County power supply lines capacity utilization
		$x_{52}^4$ Capacity utilization of 110kV and below transformer	$x_{52}^{41}$ Municipal main transformer capacity utilization
			$x_{52}^{42}$ County main transformer capacity utilization

construction effect of smart grid. Specific steps are as follows:

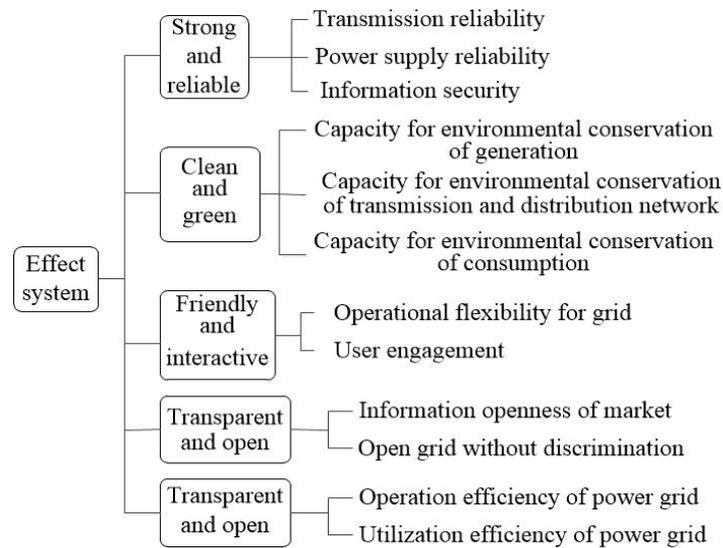
- 1) Build the hierarchic analysis structure. The **Figure 1** just shows typical AHP model structure.
- 2) Construct the judgment matrix. The judgment matrix compares the relative importance degree of evaluation indicators at the same level which are associated with the indicator in upper level.
- 3) Order the single hierarchical. Calculate relative importance of a factor in one hierarchy to a factor in the upper hierarchy, which is called single hierarchical ordering. It can come down to calculating the largest eigenvalues and eigenvectors of the judgment matrix.
- 4) Test the consistency of judgment matrix. The indicator weight assignment is successful if the result of single hierarchical ordering has an ideal consistency; otherwise, the values of element in judgment matrix need to be adjusted.

### 3.2. Classify the Indicator Evaluation Grade

Set four evaluation grades for effect indicators, give each grade the corresponding score interval, and then we can classify the effect level of smart grid construction, as shown in **Table 2**.

### 3.3. Calculate the Evaluation Result

Calculate each indicator score by using weighted means method, the evaluation results of five primary indicators



**Figure 1.** Hierarchical chart of multi-objective effect evaluation system.

**Table 2.** Evaluation grade and score interval of effect.

No.	Indicator Evaluation Grade	Score Interval
A	Unqualified	0 - 59
B	Qualified	60 - 69
C	Good	70 - 84
D	Excellent	85 - 100

in multi-objective effect evaluation indicator system can be obtained according to the score interval and evaluation grade in **Table 2**, as shown in **Table 3**.

Finally, we can judge the overall level of smart grid construction effect by using the weighted means method to obtain total score according to the scores of five primary indicators.

## 4. Example Analysis

Use the method in this paper to evaluate construction effect of smart grid in one region.

### 4.1. Determine the Indicator Weight and Score

Determine the indicator weight by using AHP, and let experts give the corresponding scores on the basis of actual data of smart grid. The indicator weight and score are as shown in **Figure 2**.

### 4.2. Calculate the Evaluation Result

Use weighted means method to calculate scores of the five primary indicators including “strong and reliable”, “clean and green”, “friendly and interactive”, “transparent and open”, “economical and effective”, and finally obtain the total score of effect. Then judge the grade of construction effect according to **Table 2**, as shown in **Table 4**.

Finally, we can judge the overall level of smart grid construction effect by using the weighted means method to obtain total score according to the scores of five primary indicators.

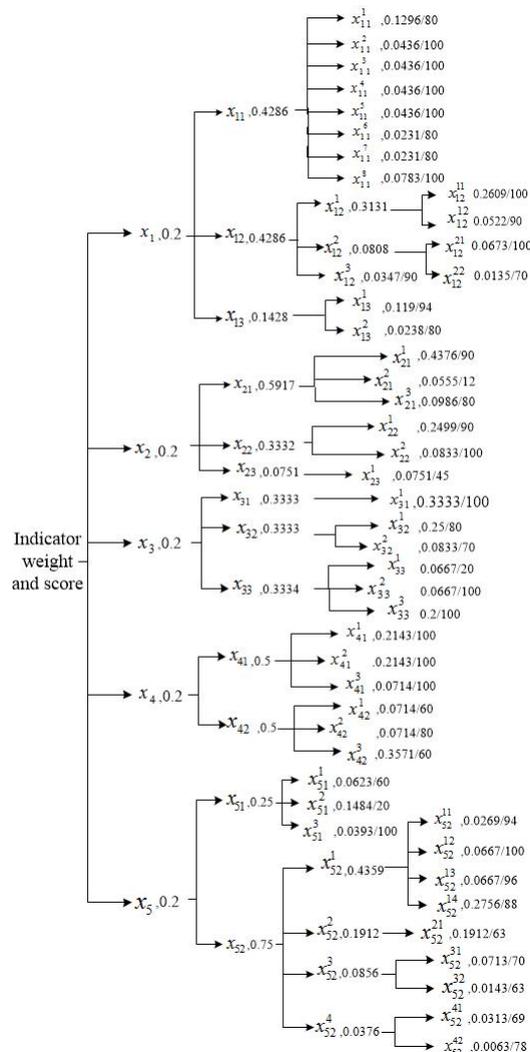
We can see from **Table 4** that the overall effect of the smart grid construction shows a good level. “Strong and reliable” and “friendly and interactive” are excellent, while “clean and green”, “transparent and open” and “economical and effective” are good. Weak part of smart grid construction in one region can be found out through in-depth analysis of basic indicators. For example, the quality of power supply in rural areas is relatively

**Table 3.** Evaluation result of smart grid construction effect example.

Indicator	Score	Evaluation Grade
Strong and reliable	90	Excellent
Clean and green	84	Good
Friendly and interactive	92	Excellent
Transparent and open	81	Good
Economical and effective	72	Good

**Table 4.** Evaluation result of smart grid construction effect.

Indicator	Score	Evaluation Grade
Strong and reliable	94.02	Excellent
Clean and green	82.14	Good
Friendly and interactive	87.17	Excellent
Transparent and open	81.43	Good
Economical and effective	71.05	Good
Total effect	83.16	Good



**Figure 2.** Indicator weight and score. Note: “x, Digital 1/Digital 2” represent “indicator, weight/value”.

poor, distributed power develops slowly, the level of environmental protection on power demand side is low, various electricity trades increase slow, utilization efficiency of grid a little low, etc. Therefore, appropriate measures should be taken to continuously strengthen and improve the construction work of smart grid, such as reinforcing the construction and renovation of rural power grid, rational development of distributed power, actively promoting the strategy of electric energy substitution, further improving the trading rules and trading system functions of power market, further optimizing the grid structure.

## 5. Conclusions

This paper constructs multi-objective effect evaluation indicator system around five connotations including strong and reliable, clean and green, friendly and interactive, transparent and open, economical and effective; and builds the evaluation model based on AHP. Through the evaluation and analysis of smart grid construction in one region of China, it is found that “clean and green”, “transparent and open” and “economical and effective” get low scores. There is a need to improve the construction work of smart grid for its weak part, thereby guaranteeing the scientifically and orderly development of the construction work.

The case in this paper shows that the evaluation system can objectively evaluate the construction effect of smart grid in the round, quickly and accurately identify the deficiencies in smart grid construction, so that it can help to grasp the development direction of smart grid construction and provide reference for the planning and construction of smart grid.

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