

# CC and NC Pion Production

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Received October 2, 2013; revised November 1, 2013; accepted November 28, 2013

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## ABSTRACT

The disappearance searching experiments  $\nu_\mu \rightarrow \nu_x$  use charged current quasielastic (CCQE) reaction to detect an arriving neutrino and reconstruct its energy, while the  $\text{CC}1\pi^+$  production can mimic the CCQE signal process. In  $\nu_\mu \rightarrow \nu_e$  appearance experiments, the  $\text{NC}1\pi^0$  production process can lead to a fake  $e^-$  event by the impossibility for the detector of distinguish an arriving electron or a photon. Here we present a consistent model, from the point of view of the construction of the elemental amplitude, for the mentioned pion production background processes including bounding, smearing and final state interaction (FSI) effects in a single fashion. Results are comparable with more evolved approaches based on Monte Carlo simulations.

**Keywords:** Neutrino Scattering; Pion Production; Neutrino Oscillation

## 1. Introduction

Neutrino oscillation experiments search a distortion in the neutrino flux at a detector positioned far away (L) from the source. By comparing near and far neutrino energy spectra, one gains information about the oscillation probability

$$P(\nu_i \rightarrow \nu_j) = \frac{\sin^2 2\theta_{ij} \sin^2 \Delta m_{i,j}^2 L}{2E_\nu}$$

and then about the  $\theta_{ij}$  mixing angles and  $\Delta m_{i,j}^2$  mass squared differences. New high quality data are becoming from MiniBoone, MINOS, NOMAD, MinervA and SciBoone full dedicated to measure cross sections.

Disappearance searching experiments  $\nu_\mu \rightarrow \nu_x$  use  $\nu_\mu n \rightarrow \mu^- p$  CCQE reaction to detect an arriving neutrino and reconstruct its energy.  $E_\nu$  determination could be wrong for a fraction of  $\text{CC}1\pi^+$  background events (20%)  $\nu_\mu p \rightarrow \mu^- p \pi^+$ , that can mimic a CCQE one if the pion is absorbed in the target and/or not detected. In  $\nu_\mu \rightarrow \nu_e$  appearance experiment, one detects  $\nu_e$  in an (almost)  $\nu_\mu$  beam. Here the signal event  $\nu_e n \rightarrow e^- p$  is dominated by a  $\text{NC}1\pi^0$   $\nu_\mu N \rightarrow \nu_\mu N \pi^0$

background, and the detector can not distinguish between  $e^-$  and  $\pi^0$  if one of both photons from the  $\pi^0 \rightarrow \gamma\gamma$  decay escapes. Then a precise knowledge of cross sections is a prerequisite in order to make simulations in event generators to subtract fake  $1\pi$  events in QE countings.

Several models have been developed over the last thirty years to evaluate these corresponding background elementary cross sections [1-4]. The scattering amplitude in all these models always contains a resonant term (R) in the  $\pi N$  system, described by the  $\Delta(1232)$ -pole contribution and (in some cases) by higher mass intermediate resonances, plus a nonresonant (B) term describing other processes (where the cross- $\Delta$  contribution can also be included) leading to  $\pi N$  final states. The differences between all these models stem mainly from the treatment of the vertexes and the propagator used to describe the  $\Delta$  resonance and from the consideration (or not) of the nonresonant terms and its interference with the resonant contribution. Nuclear effects and FSI have been introduced by several works, where different nuclear models and event generators or simulations codes have been implemented in [5] (GiBUU) and [6,7].

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In this paper we reanalyze the elementary amplitude, bounding+ ground state correlations (GSC) effects, and FSI on the emerging nucleon (N) and pion ( $\pi$ ), all what will be developed in the following sections.

## 2. Elementary Amplitude

For the  $\nu N \rightarrow lN'\pi$  process the total cross section reads

$$\sigma(E\nu^{CM}) = \frac{F^{CC/NC}}{(2\pi)^4 E_\nu^{CM} \sqrt{s}} \int_{E_l^-}^{E_l^+} dE_l^{CM} \int_{E_\pi^-}^{E_\pi^+} dE_\pi^{CM} \cdot \int_{-1}^{+1} d\cos\theta \int_0^{2\pi} d\eta \frac{1}{16} \sum_{spin} |M|^2, \quad (1)$$

being  $E_\nu^{CM} = \frac{m_N E_\nu^{Lab}}{\sqrt{2E_\nu^{Lab} m_N + m_N^2}}$  is the CM-Lab  $\nu$ -en-

ergy relation,  $l$  the merging lepton,  $F^{CC/NC}$  phase-space factors, and

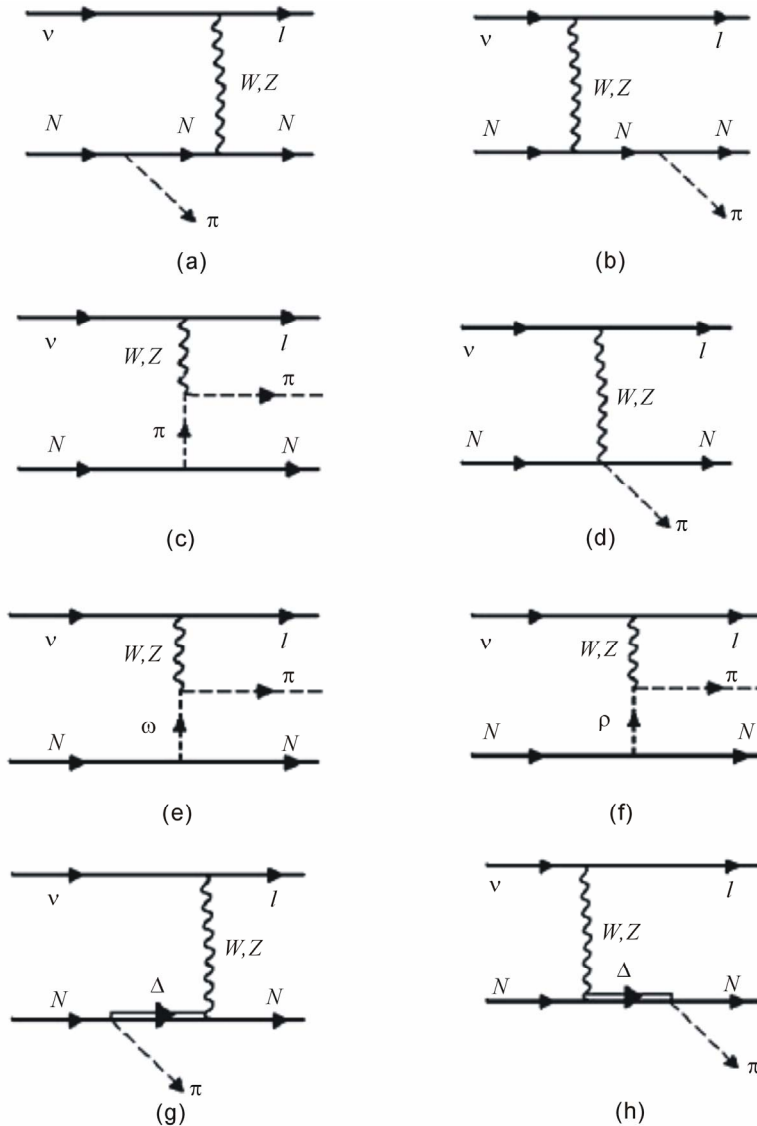
$$\mathcal{M} = \mathcal{M}_B + \mathcal{M}_R, \quad R \equiv \Delta. \quad (2)$$

the amplitude where the contributions for the  $B$  amplitude are shown in the **Figures 1(a)-(g)**, while for the  $R$  contribution is shown in the **Figure 1(h)**.

The requirements on the hadronic part of the amplitude

$$\mathcal{M}_i = -\frac{G_F}{\sqrt{2}} \bar{u}(p') (-i)\gamma_\lambda (1-\gamma_5) u(p_\nu) \times \bar{u}(p') (\mathcal{O}_{V_i}^\lambda - \mathcal{O}_{A_i}^\lambda) u(p), \quad i = B, R \quad (3)$$

where  $u$  indicate the lepton and nucleon spinors, are: 1) Unitarity, violated with real  $B$  terms. It is possible an unitarization by introduction of experimental phase shifts



**Figure 1. Different contributions to the amplitude.**

and rescattering of the final  $\pi N$  pair, but the effects are not so important as in photoproduction.

2) Vector amplitude should fulfill electromagnetic gauge invariance (GI)  $\rightarrow \bar{u} \mathcal{O}_{3i}^{\lambda} q_{\lambda} u = 0$ . In the  $B$  amplitude we must to have same vector FF ( $\rho$  contribution is axial and the  $\omega$  one is self-GI), while for  $R$  contributions GI must be fulfilled in presence of finite width effects.

3)  $\mathcal{M}_R (S=3/2)$  should be invariant under contact transformations (CT), which fixes the Feynman rules to build the amplitude [8], being the corresponding propagator (in the momentum space)

$$G\left(p, -\frac{1}{3}\right)_{\mu\nu} = -\left[ \frac{\not{p} + m}{p^2 - m^2} \hat{P}_{\mu\nu}^{3/2} + \frac{2}{m^2} (\not{p} + m) \times \left( \hat{P}_{11}^{1/2} \right)_{\mu\nu} + \frac{\sqrt{3}}{m} \left( \hat{P}_{12}^{1/2} + \hat{P}_{21}^{1/2} \right)_{\mu\nu} \right],$$

and a general vertex interaction

$$\Gamma = g \bar{\psi}_{\mu} F^{\mu} (\psi, \Psi, \phi, \dots) + h.c., \quad (4)$$

depending on the fields (nucleon, pion, photon, W-Z bosons, etc.) interacting with the  $\Delta$  field  $\bar{\psi}_{\mu}$ . We have introduced  $P_{ij}^k$  (defined in Ref. [9]) which projects on the  $k=3/2, 1/2$  space. Unstablens of  $\Delta$  is included in the  $G_{\mu\nu}(p)$  through a self-energy  $\Sigma_{\mu\nu}(p)$  (one loop-corrections), which accounts an energy dependent width and vertex corrections to get GI. Alternatively, we make  $G^{\text{dressed}} \approx G(m_{\Delta} \rightarrow m_{\Delta} - i\Gamma_{\Delta}/2)$ , referred as complex mass scheme that results to be appropriated along the resonance region [10].

### 3. Bounding + GSC + FSI

The bounding effects in the nucleus are introduced within the relativistic Hartree approximation (RHA) of QHD I where the exchange of  $\sigma, \omega$  mesons is considered. The meson fields are approximated by their vacuum spectation (MFT), *i.e.* constant values, and within the RHA [11] the vacuum fluctuation corrections are added. The nucleon field is expanded as

$$\psi_N(x) = \int d^3p \sum_{m_s m_t} \sqrt{m_N^* (2\pi)^3} E^*(\mathbf{p}) \left[ u(\mathbf{p} m_s m_t) a_{\mathbf{p} m_s m_t} \times e^{ip \cdot x} + b_{\mathbf{p} m_s m_t}^{\dagger} v(\mathbf{p} m_s m_t) e^{-ip \cdot x} \right], \quad (5)$$

being the single particle spectrum

$$p_0 = C_V^2 \rho_B m_N^2 + E^*(\mathbf{p}) \equiv \Sigma_0^V(C_V) + E^*(\mathbf{p}), \quad (6)$$

where

$$E^*(\mathbf{p}) = \sqrt{\mathbf{p}^2 + m_N^{*2}}, \quad m_N^* \equiv m_N + \Sigma^S(C_S, m_N^*). \quad (7)$$

$m_N^* < m_N$  is the effective mass acquired by the nucleon [11] through the scalar self-energy  $\Sigma \equiv \Sigma^S$ .  $\Sigma_{MFT}^S$  in-

cludes the tadpole diagram from **Figure 2**, retaining in its evaluation only the contribution from nucleons in the filled Fermi sea in the nucleon propagator (tick full lines).  $\Sigma_{RHA}^S$  includes the same diagram but the full nucleon propagator (which encloses the contribution of the occupied negative-energy states) is used in the evaluation of the self-energy.  $C_V$  and  $C_S$  are fixed to reproduce the experimental binding energy per nucleon of  $-16$  MeV at the Fermi momentum  $p_F = 1.42 \text{ fm}^{-1}$  for the normal nuclear matter. For the  $\Delta$  we assume the same scalar and vector self energies that for nucleon, approximation known as ‘‘universal couplings’’. In the structure of the ground state,  $2p2h + 4p4h$  states ( $p, h \equiv$  particle, hole) are included through perturbation theory in nuclear matter, from which a momentum distribution can be built as

$$n_A(\mathbf{k}) = \langle \tilde{0} | a_{\mathbf{k}m}^{\dagger} a_{\mathbf{k}m} | \tilde{0} \rangle, \quad \int d^3k n_A(\mathbf{k}) = \frac{A}{4}$$

$$|\tilde{0}\rangle = \mathcal{N} \left[ |0\rangle + \frac{1}{(2!)^2} \sum_{p's, h's} c_{p_1 p_2 h_1 h_2} |p_1 p_2 h_1 h_2\rangle + \frac{1}{(4!)^2} \sum_{p's, h's} c_{p_1 p_2 p_3 p_4 h_1 h_2 h_3 h_4} |p_1 p_2 p_3 p_4 h_1 h_2 h_3 h_4\rangle \right],$$

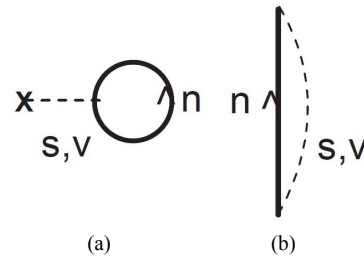
where

$$c_{p_1 p_2 h_1 h_2} = -\frac{\langle p_1 p_2 h_1 h_2 | \hat{V} | 0 \rangle}{E_{p_1 p_2 h_1 h_2}},$$

$$c_{p_1 p_2 p_3 p_4 h_1 h_2 h_3 h_4} = \frac{\langle 0 | \hat{V} | p_1 p_2 h_1 h_2 \rangle \langle p_1 p_2 h_1 h_2 | \hat{V} | p_1 p_2 p_3 p_4 h_1 h_2 h_3 h_4 \rangle}{E_{p_1 p_2 h_1 h_2} E_{p_1 p_2 p_3 p_4 h_1 h_2 h_3 h_4}}.$$

FSI on nucleons are taken (Toy model!) through the used effective fields within the RHA also for final N. While for pions we use the Eikonal approach in its simplest version [12], that is  $\phi_{\pi} \rightarrow \phi_{\pi}^*$ , where

$$\phi_{\pi}^*(\mathbf{r}) \sim e^{-i\mathbf{p}_{\pi} \cdot \mathbf{r}} e^{-i/\nu_{\pi} \int_{z_{\pi}}^{z_{\pi}^*} V_{opt}(\mathbf{b}, \mathbf{z}') dz'}, \quad \mathbf{r} = (\mathbf{b}, \mathbf{z}'). \quad (8)$$



**Figure 2.** (a) Tadpole diagram included in the MFT and RHA self-energies. (b) Tadpole exchange diagram that is added in order to get the relativistic Hartree Fock self-energy. The dashed lines indicate the propagator of the scalar (S) or vector meson (V) that interacts with a nucleon n (full lines).

Assuming a mean distance of trip for  $\pi$  in nucleus ( $\langle d \rangle$ ), constant nucleon density and the  $\Delta$ -h model for the  $\pi$ -optical potential, we get

$$\phi_{\pi}^*(\mathbf{r}) \sim e^{-i\mathbf{p}_{\pi} \cdot \mathbf{r}} e^{-i\lambda(s)|\mathbf{p}_{\pi}| \langle d \rangle},$$

$$\lambda(s) = \frac{2}{9} (f_{\pi N \Delta} m_{\pi})^2 m_N^2 \rho_0 T s (\sqrt{s} - m_{\Delta}^* + 1/2 \Gamma_{\Delta}^*),$$

$$\langle d \rangle = \sqrt{R^2 - 2/3 \langle r \rangle^2}, \quad R = r_0 A^{1/3}, \quad \langle r \rangle = c A^{1/3},$$

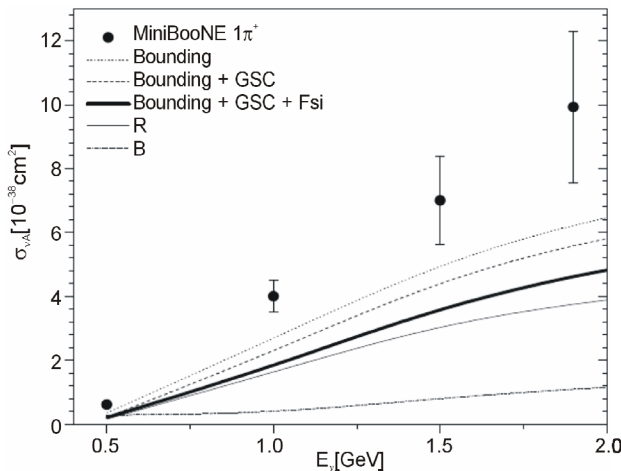
where  $A, R$  and  $T = N, Z/A$  are the mass number, radii and isospin factor of the nucleus respectively.

#### 4. Results and Conclusions

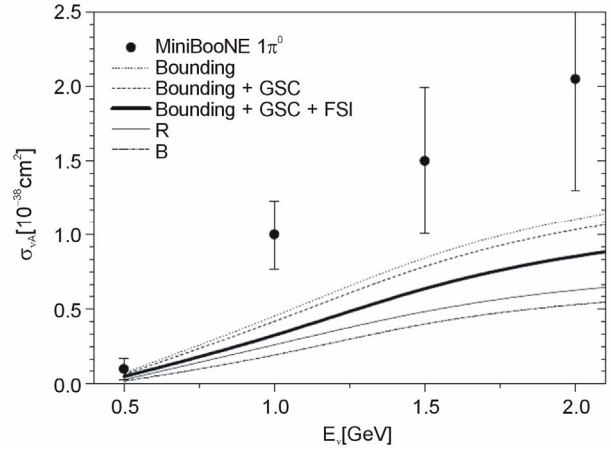
The different coupling constants presented in the B and R terms have been fixed by the fitting to the elastic  $\pi^+ p \rightarrow \pi^+ p$  cross section data [13], to the  $\gamma p \rightarrow \pi^0 p$  and  $\gamma p \rightarrow \pi^+ n$  processes [14], and using the CVC hypothesis and a fitting to the differential cross section  $\frac{d\langle \sigma \rangle}{dQ^2}$  for the  $\nu p \rightarrow \mu^- p \pi^+$  (ANL) data [15]. The

results obtained for the background processes mentioned at the introduction are shown in **Figures 3-5**, where the different effects, bounding, smearing (GSC) and FSI are added gradually. In **Figure 3** we show the total cross section for the  $\nu_{\mu} A \rightarrow \mu^- (A-1) p \pi^+$  process where  $A = {}^{12}\text{C} + 2\text{H}$  (mineral oil detector) and compare with the corresponding data of the MiniBooNE [16,17] experiment. Results corresponding to the  $\nu_{\mu} A \rightarrow \mu^- (A-1) N \pi^0$  reaction are shown in **Figure 4**, and those for NC  $\pi^0$  per nucleon in **Figure 5**.

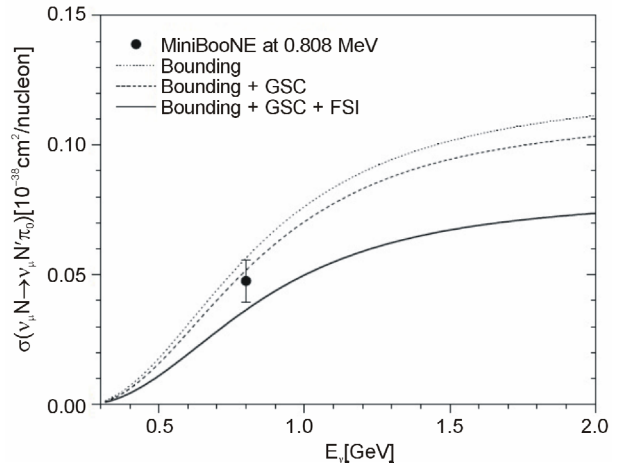
Calculations are  $\sim 50\%$  below MiniBooNE for CC  $1\pi$  (comparable to the GiBUU Monte Carlo results) and  $\sim 30\%$  for NC  $\pi^0$  production. The FSI inclusion is very primitive, and perhaps an overvaluation of them is



**Figure 3.** CC  $1\pi^+$  calculation compared with MiniBooNE data [16].



**Figure 4.** Idem for CC  $1\pi^0$ .



**Figure 5.** Idem for NC  $1\pi^0$ . Data from [17].

presented and should be improved. Nevertheless, it is noted that for example at  $E_{\nu} = 1.5$  GeV for MiniBooNE and ANL or BNL (without cuts), data

$$\sigma_{ACC1\pi^+}^{exp} / A \sigma_{NCC1\pi^+}^{exp} \sim 95\%,$$

$$\sigma_{ACC1\pi^0}^{exp} / A \sigma_{NCC1\pi^0}^{exp} \sim 83\%, \quad \sigma_{ANC1\pi^0}^{exp} / A \sigma_{NNC1\pi^0}^{exp} \sim 92\%.$$

This seems to indicate that nuclear effects should be of much minor importance, or that another mechanism coming from nuclear effects should be considered, as  $2p2h + 1\pi$  configurations generated by FSI added to the  $1p1h + 1\pi$  considered here, and meson exchange currents contributions that are also capable of generating  $2p2h + 1\pi$  acting on the nuclear ground state.

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