

Towards Urban Resource Flow Estimates in Data Scarce Environments: The Case of African Cities

Paul Currie^{1*}, Ethan Lay-Sleeper², John E. Fernández², Jenny Kim²,
Josephine Kaviti Musango³

¹School of Public Leadership, Stellenbosch University, Stellenbosch, South Africa

²Department of Architecture, Massachusetts Institute of Technology, Cambridge, USA

³School of Public Leadership, and the Centre for Renewable and Sustainable Energy Studies (CRSES), Stellenbosch, South Africa

Email: *paulcurrie@gmail.com

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Abstract

Data sourcing challenges in African nations have led many African urban infrastructure developments to be implemented with minimal scientific backing to support their success. In some cases this may directly impact a city's ability to reach service delivery, economic growth and human development goals, let alone the city's ability to protect ecosystem services upon which it relies. As an attempt to fill this gap, this paper describes an exploratory process used to determine city-level demographic, economic and resource flow data for African nations. The approach makes use of scaling and clustering techniques to form acceptable and utilizable representations of selected African cities. Variables that may serve as the strongest predictors for resource consumption intensity in African nations and cities were explored, in particular, the aspects of the Koppen Climate Zones, estimates of average urban income and GDP, and the influence of urban primacy. It is expected that the approach examined will provide a step towards estimating and understanding African cities and their resource profiles.

Keywords

Urban Metabolism, Resource Flows, African Cities, Data Scaling, City Clustering

1. Introduction

Humans have become an urban species. As of 2008, more than half of the human population occupies urban

*Corresponding author.

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hives of activity [1] [2]. This has happened through two major urbanization waves. The first occurred between 1750 and 1950, in which the urban population increased from 15 million to 423 million [3]. The continents affected by the first wave were mainly Europe and North America. The second urbanization wave is occurring now and expects that an additional 2.4 billion people will be living in African and Asian cities by 2050 [4].

Cities are concentrators of people and economic production, and therefore require large inputs of resources to fuel their development and growth. Common consensus suggests that cities currently produce roughly 80% of the global GDP and consume approximately 75% of global energy and materials. The concentration of resources forms large quantities of pollutants and wastes which, despite having originated in other global regions are typically exported into the local environment, threatening natural systems as well as the ecosystem services upon which the city relies [5]. Satterthwaite [6] argues that while cities may be blamed for about 80% of global carbon emissions, only about 35% are emitted within city boundaries. Rising consumption levels, not population growth, are identified as the real driver of climate change, and with that, understanding the differing consumption levels within cities is the key to understanding urbanization's role in climate change. Satterthwaite [6] remarks that cities may offer great opportunities to decouple greenhouse gas emissions from high-quality lifestyles, particularly in low- or middle-income countries. Ozbekhan's [7] *problematique* and Morin and Kern's [8] *polycrisis* are apt terms to describe the emergent issues of overpopulation, pollution, ecosystem degradation, biodiversity loss, scarcity of materials, social inequality, climate change and a loss of human connection to the natural world. As concentrators of the global polycrisis, cities are where the desire for a sustainable existence should also be fostered.

The polycrisis is directly linked to resource flows: the sourcing, conversion, use and discharge of resources in various forms. These flows of resources are intimately related to the social makeup and processes of cities [9], the totality of which has been referred to as the *urbanmetabolism*. One definition of urban metabolism is the "sum total of the technical and socioeconomic processes that occur in cities, resulting in growth, production of energy, and elimination of waste" [10]. The concept of urban metabolism is recognized as useful for developing sustainable cities and urban areas [11] [12]. Indeed, analysis of the resource flow mechanisms in cities is necessary for understanding how cities may develop in the future and how they can support a growing population.

Practical application of the concept of urban metabolism within urban systems requires quantification of the input flows and output flows in cities. These measures are sought to understand how urban systems function, the implication of these flows on the city's hinterland and the biosphere, and their interactions with the operations of the social sphere [13]. In addition, studies of urban metabolism fall within the scope of sustainable development, and may involve constructing indicators, identifying special targets for sustainability, and developing decision support tools towards dematerialization or decarbonization [14]. To achieve an understanding and assessment of the nature and intensity of urban metabolism, there are a number of methods that have been utilized at city-level, including among others, 1) material flow analysis [15] [16]; 2) ecological footprint analysis [17] [18]; and 3) system dynamics modeling [19].

The primary methodological approach for establishing the urban metabolism of a city is material flow analysis (MFA) which makes use of a standardized framework for data collection, processing, and resource flow calculation developed by Eurostat [20]. However, the framework was formulated on the basis of national data availability and requirements of European member states. Recent studies of urban metabolism acknowledge the need for developing a comprehensive and standardized framework for metabolic flow analysis in the urban context, which not only includes increased data collection but also some degree of consensus on parameters that should be part of basic level reporting [21]. While this argument is put forward, major data challenges and limitations exist in most regions of the world and in relation to most cities. The situation is the same for cities on the African continent which include: 1) unavailability of data at city-level; 2) inconsistent formats of available data, reducing the ability for automatic use of conventional methods; 3) data confidentiality, making it difficult to access relevant data; 4) quality of data, particularly when information is not from credible sources; and 5) informality, in which significant proportions of resource flows occupy an undocumented informal sector.

Some studies are developing approaches to deal with data challenges elsewhere. For instance Baynes and Bai [22] utilized a downscaling approach to reconstruct energy data for Melbourne city. Niza *et al.* [16] devised a method that could be utilized to extrapolate data from a country or region based on number of inhabitants, commuters, workers, sales, or produced waste. While these are useful city-level contributions to the field of urban metabolism, the limitation is that detailed data and information are required to undertake these analyses. The implication is that, only cities with the most available data can be investigated. It would thus be challenging to

apply these methodologies to the large number of cities in which data are limited, including most African cities.

Questions have also been voiced about the most appropriate way to compare cities, particularly around the limitations of using a per-capita baseline. Differences in population distribution, social make-up, or city function are not fully recognized by a per capita measure, allowing quite different cities to show similar traits. Other mechanisms for comparing cities, such as with a per-unit-GDP baseline, may be required.

Given the above data related challenges, there has been a lack of data-supported decision making in planning and managing cities. As such, many of the African urban infrastructure programmes are implemented with minimal scientific backing to support the success of these interventions. This may directly impact a city's ability to reach service delivery, economic growth, and human development goals, as well as its ability to protect ecosystem services which support the city. This is aggravated by the fact that governments in African cities are (necessarily) more focused on delivery of basic services than on environmental protection or resource efficiency agendas [23] and have yet to prepare for the expected increase in population of the second wave of urbanization. This preparation is further undermined by a threefold denial of urbanization, present in many African governments, namely: 1) denial that urbanization is happening; 2) denial that natural-births are the primary source of urban growth, resulting in an anti-migratory policy focus instead of a city-betterment one; or 3) a denial as to the benefits of urbanization, propagated by multiple popular and scientific writings, which often refute each other's results, particularly around the question of "inevitable economic growth with urbanization" [24].

This paper thus provides the exploratory approach that was used as an initial step towards estimating resource flows in data scarce environments such as African cities. The approach has its basis from Saldivar-Sali [25] and Fernandez *et al.* [26] who developed a Global Typology of Cities, providing a first categorization of global cities by resource consumption profile. The primary argument regarding the typology of cities is: 1) that it is possible to track some of the important resources that urban dwellers consume using a limited number of attributes, and 2) that cities can be classified into different types based on the similarity of their metabolic profiles [25]. Further, a typology demands less research time and funding than individual city analysis and allows the examination of cities' relative consumption. The typology acknowledges that while all cities demonstrate unique consumptive behaviors, cities do share many behaviors [26]. To this end, grouping "like cities" enables recommendation of multiple scenarios for achieving urban sustainability. The formation of an African city typology aims to provide insights into the more subtle differences between cities on this continent, which are overshadowed in comparisons with cities of developed countries. It can also assist decision-makers in African cities in making informed decisions about future infrastructure development and configurations, in order to improve resource access and flows.

2. The Form of African Cities

The second wave of urbanism is expected to increase the vast expanses of slums surrounding most cities in Africa, spaces in which people must tap into informal flows of resources for their survival [3]. This represents the central challenge of planning African cities: providing adequate services to their populations and encouraging economic growth, while contending with the pressures of climate change, resource scarcity and social inequality. To this end, finding a way to represent the disparities of resource access throughout nations and cities may prove useful to addressing it.

At the national level, African countries tend to demonstrate "urban primacy", a legacy of colonial times in which one city became and continues to be the administrative and economic driver of the country [24]. As a result, population, resources and productivity are focused in these single complex entities. Rosen and Resnick [27] remark that urban primacy is a useful indicator of the form of government, with primacy relating to authoritarian systems and distributed populations demonstrating more democratic governance. To better handle the rapidly increasing population, UN-Habitat [28] recommends that national governments encourage the development of satellite cities that can share the burden. Attempts at decentralization have already been observed in Nigeria shifting its capital from Lagos to Abuja, Cote d'Ivoire's shift from Abidjan to Yamoussoukro, or Tanzania's shift from Dar es Salaam to Dodoma. These shifts have had variable practical success.

In order to discover if a relationship between urban primacy and resource consumption exists in African cities, an indicator of urban primacy was created¹. UN-Habitat and UNEP [29] build their indicator as the population of the largest city divided by the population of the second largest. While this is a good first step to demonstrating

¹Dataset available in Supplemental Material (Table S1).

urban primacy, this method ignores countries that have two or three apex cities, and overlooks the proportion of the country’s population inhabiting these prime centers. To form a more inclusive indicator, this paper calculates urban primacy as the average population of the second and third largest cities divided by the population of the largest city, further divided by the percent of the urban population residing in the largest city.

African countries with the largest cases of urban primacy include Liberia, Djibouti, Mauritania, Guinea-Bissau and Burundi. Those with low urban primacy include Mozambique, Ghana, Mauritius, Tunisia, Cameroon and Algeria. In examining whether primacy has any impact on economic performance of African nations, there was no correlation found between primacy and per capita GDP. While a correlation between primacy and aggregate GDP exists, this may primarily be due to the population of each nation as smaller countries are more likely to exhibit urban primacy. No correlation was found between primacy and resource consumption. However, if primacy remains an important factor for considerations of good governance, it may be more indicative of resource access, than of consumption.

3. Exploratory Method for Estimating Resource Consumption Profiles for African Cities

For situations in which data are available, the process for analysing the physical requirements of cities and producing resource profiles is as follows: data collection, data analysis and finally, discussion (see **Figure 1**).

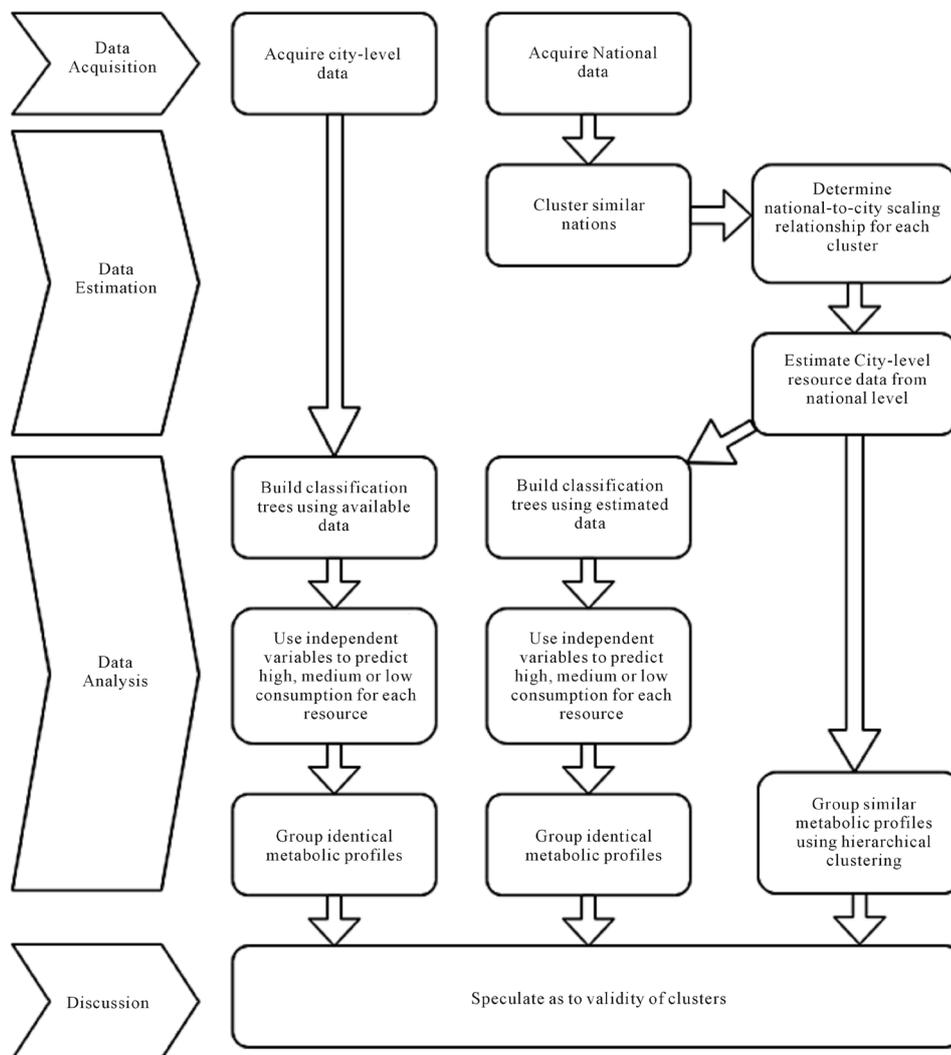


Figure 1. Exploratory pathways for developing urban resource consumption profiles.

However, in data scarce environments, innovative ways to estimate data at city-level based on national data becomes essential. The following sub-sections discuss the processes that were utilised to estimate African city-level data, in particular, data that was essential to begin investigating resource consumption profiles of African cities.

3.1. Acquiring National Data

The first step involved collecting socio-economic and resource profiles data for the 54 countries in Africa. The data were categorised according to type: geographic, demographic, socio-economic, energy, materials, wastes, and renewables and reserves. The respective specific data indicators for each category are detailed in **Table 1**. The data collected were for 2011, as this was the most recent year in which complete data for most indicators were available.

Table 1. List of the data categories collected for African nations.

Data category	Indicator	Source	Units
Geography	Climate zone	Koppen Climate Map	none
	Area	World Bank	sqkm
Demographic	Population		Person
	Population density		Person/sqkm
	Urban population	World Bank	%
	Rural population		%
	Annual population growth		%
	Degree of urban primacy	Own calculation	%
Socio-economic	Gross domestic product (GDP)		Billion USD
	GDP per capita		USD/person
	Gross National Income (GNI)		Billion USD
	GNI per capita	World Bank	USD/person
	Urban poverty		%
	Rural poverty		%
	Inequality (Gini)		Dimensionless (0 - 100)
	Average urban GNI per capita	Own calculation	USD/person
	Average urban GDP per capita		USD/person
	Human Development Index	United Nations	Dimensionless (0 - 1)
Energy	Total primary energy consumption		ktoe
	Total net electricity consumption		Billion kWh
	Total petroleum consumption	Energy Information Administration	ktoe
	Dry natural gas consumption		ktoe
	Total coal consumption		kt
	Electricity access	World Bank	%
Materials	Direct material consumption		kt
	Biomass (used extraction)	Material flows/SERI	kt
	Industrial and construction minerals (used extraction)		kt
	Ores (used extraction)		kt
	Imports		USD
	Exports	World Bank	USD
	Water consumption		Billion cubic meters
Wastes	Total CO ₂ emission from consumption of energy	World Bank	Million metric tons
	Total methane emissions in CO ₂ equivalency		kt
Renewables and reserves	Total renewable electricity net generation		Billion kWh
	Crude oil proved reserves	Energy Information Administration	Billion barrels
	Natural gas proved reserves		Trillion cubic feet
	Coal proven reserves		Million short tons

Relatively consistent data for demographics, socio-economic and resource production or consumption are available at the national-level for all African nations. Demographic, geographic and economic data were sourced from the World Bank [30]. Energy related data were sourced from US Energy Information Administration [31] and material consumption data, based on trade information, was sourced from a new online portal, material-flows.net, administered by the Sustainable Europe Research Institute (SERI) and Vienna University of Economics and Business (WU) [32].

Climate data were approximated from the Koppen climate zone classification [33]. Most countries contain multiple climate types, so the climate associated with the largest swath of land area one was utilized. Climate determinations are more precise at the city-level. Kottek *et al.* [33] have extended Wladimir Koppen's original 1900 classification into a three-part designation of climate zone, temperature and precipitation. In order to allow numerical comparison of these designations, the 14 zones present in Africa were organized on a medium from equatorial, hot and wet to desert, cold and dry, and ranked from 0 to 100. Thus Uganda (equatorial, hot and humid) is more similar to Ethiopia (temperate, warm summer and humid) than to Chad (steppe, hot and arid). At city-level, climate data were collected from degreedays.net in the form of heating and cooling degree days. These variables measure the number of degrees above or below a baseline temperature over a period of time. This is useful for estimating the energy required for thermal regulation, typically the largest proportion of energy consumption in cities [34]. However, the extent and intensity of thermal regulation will vary greatly between African cities as it is a function of affluence as much as climate.

In order to predict urban resource consumption, a distinction between urban and rural consumption is necessary. Where differential resource data are limited, income of GDP can be used as a proxy. However, city-specific GDP and income data were also particularly difficult to find for African cities. Hence, attempts were made to estimate the average urban income from available national data. The first method used data for national income, ratio of urban to rural population, and the percentages of urban and rural poverty, with the World Bank prescribed poverty line of \$1.25 per day. It was stated that each person in poverty made \$1.25 per day, or \$456.25 per year. This offered usable numbers for income of the urban and rural poor, allowing the remaining amount of national income to be divided by urban and rural "affluent" populations. Finally, the urban affluent income and poor income were summed to provide national urban income. Below is a list of key equations used to estimate urban income for African countries:

$$AIUP = UP * UPV * USD456.25 \quad (1)$$

$$AIRP = RP * RPV * USD456.25 \quad (2)$$

$$AIUA = \%UP * (GNI - (AIUP + AIRP)) \quad (3)$$

$$TUI = \frac{AIUP + AIUA}{UP} \quad (4)$$

where AIUP is the annual income of the urban poor, AIRP is the annual income of the rural poor, AIUA is the annual income of the urban affluent, and TUI is the total urban income. UP is the urban population, %UP is the urban population as a proportion of nation population, RP is the rural population, UPV is the percentage of urban population living in poverty, RPV is the percentage of rural poverty.

As expected, the urban income estimates were predominantly larger than the nation's average income². However, the flaw with this method involves determining an appropriate poverty threshold. Many nations, such as Liberia or Burundi, showed average income far below \$456.25 per year, suggesting that most of the nations' population were below the \$1.25 a day threshold. This is judged by a global comparison and does not reflect relative poverty within a nation. This method for estimating income seems robust, but would require identification or a more appropriate monetary poverty threshold in each country, given differences in lifestyle and cost of living. This is not to say that poverty is limited to monetary considerations, but it may be a useful metric for this estimation method.

The second method to ascertain urban income made use of an old USAID [35] approach. They suggest that urban income may be determined from the proportion of non-agricultural domestic production. As many African nations' economies include a large proportion of mineral extraction, this activity was important to include. For their urban income estimation method, the Indian Ministry of Statistics and Programme Implementation

²Dataset available in Supplemental Material (Table S2).

(MOSPI) [36] suggests that above ground mining predominantly takes place in urban areas, while underground mining takes place away from large settlements. For this method, unclear as to the form of mining in many countries, it was stated to be rural. MOSPI further suggests that the oil industry is a predominantly urban one. With a stated assertion that all non-extractive economic production takes place in the city, the percent of GDP derived from urban activities is stated as the total GDP minus the proportion of GDP from agriculture and mining activities, excluding those related to oil production. This assertion produces the following equations:

$$\text{Average urban income} = \frac{\text{GNI} * \text{UA}}{\text{UP}} \tag{5}$$

$$\text{Average urban GDP} = \frac{\text{National GDP} * \text{UA}}{\text{UP}} \tag{6}$$

where UA is the proportion of GDP derived from urban activities and UP is the urban population of a nation.

This process produced higher urban incomes for all countries with available data, almost doubling the per capita national income, on average. Larger changes are visible in those with lower percentages of extractive industry contributions to GDP as well as for those with lower urban populations. The results of this method were utilized for further analysis of cities.

In order to ensure different per capita values for cities of the same nation, both the urban gross domestic product and urban income were distributed using the city scaling methods described below. Urban GDP and urban income used scaling exponents of 1.15 and 1.12 respectively, which were observed by Bettencourt *et al.* [37].

3.2. National Clustering

Taking advantage of relatively abundant national data, clusters of African nations were formed. The data were prepared for comparison by normalizing the data first by population and then into a 0 - 100 index. It is acknowledged that comparing nations or cities using a per-capita measure may oversimplify what is happening in each space. A per-capita measure essentially shows the resource intensity of a nation’s population. If the analysis were to use a per-unit-GDP measure, it would be examining the resource intensity of the nation’s economy. Results of both methods require further speculation as to their meaning, as low intensity could indicate either resource poor nations or resource efficient nations. These national clusters give us our first indication for how their respective cities might also cluster.

The resulting list of data that was used in clustering included national: 1) Climate zone; 2) area; 3) population; 4) population density; 5) proportion of urban population; 6) degree of urban primacy; 7) GDP; 8) GNI; 9) urban poverty; 10) rural poverty; 11) proportion of slum urbanites; 12) carbon emissions (CO₂); and 13) consumption of: biomass (Bio), fossil fuels (FF), total energy (TE), electricity (EL), industrial and construction materials (IndCon), total materials (TM) and water (H₂O).

The values for each variable were initially normalized on a 0 to 100 index as a percentage of the largest value. Such a scaling demonstrated the comparative magnitude of each country for that variable. However, this resulted in skewed results: For example, South Africa’s net electricity consumption was 218 Billion kWh, far exceeding both the average of 10 Billion kWh, and the median value of 1.3 Billion kWh. The electricity consumption of other African countries’ was therefore insignificant when compared to South Africa’s. As the point of clustering is to determine how similar (or dissimilar) each nation is to each other, the data were scaled by shifting the median value to 50. This provided a more even distribution of values for each variable, such as with a log distribution, yet while remaining on a 1 to 100 index. This method does not, however, display relative magnitude. The key steps to enable median normalization of the values are:

$$X \text{ becomes } \frac{X}{Y} * 50 \tag{7}$$

$$Z \text{ becomes } \left(\frac{Z}{W} * 50 \right) + 50 \tag{8}$$

where X is less than the median value (Y), Z is larger than Y, and W is the largest value in the indicator’s dataset. This method is still imperfect as values higher than the median may still be skewed by a large outlier.

National clustering was analyzed in R software, and clusters were formed using the ward. D method of hclust and euclidian method of dist. Scree analysis suggested an optimum of 15 separate clusters. However, some of these were outliers of single countries and between 9 and 12 groups proved effective, depending on which data were processed. The first run of clustering used all available variables to group the most similar countries into nine clusters. However, five countries were left as outliers, too dissimilar to properly cluster. A second run, comparing only socio-economic and geographic data, provided 10 groups and three single-country outliers. The final run, comparing only resource consumption or emission indicators, produced 11 groups with one outlier. **Table 2** shows the three sets of groupings completed using the median-normalized data. Radar charts were created for each group to visualize and explain the group members' similarity. Further examination of the differences between variables will be described in a forthcoming publication.

Some uncertainty existed as to which variables were the most important determinants of resource consumption. Krausmann *et al.* [38] and Fernandez *et al.* [26] identify population, population density, affluence and climate as the most important indicators of industrialization, and the resource consumption intensity correlated to it. With this in mind, values for resource indicators were designated high, medium or low levels of intensity. Classification trees were then used to determine the relative importance of four variables in predicting this level of resource intensity. **Table 3** shows that per capita GDP is the most important predictor of energy consumption and related carbon emissions, while density is an important predictor of water and total material consumption, potentially referring to ease of access to resources. Population is the strongest predictor of biomass consumption, suggesting that biomass is a consistent human need across countries.

3.3. Determining National to City Scaling Relationships

A number of approaches were explored to determine plausible scaling relationships that could be utilised to estimate city data from the available national data. Zipf's law or more specifically, Gibrat's law for cities suggests that a certain homogeneity of city processes exists, demonstrating that the size distribution of cities fits a power law [37] [39]. In this way, cities can be conceptualized as scaled versions of each other. The rank-size relationship demonstrated with Gibrat's law demonstrates that the number of cities with a population greater than S is

Table 2. Groups of African nations by all available variables, socio-economic variables, and resource variables.

Group	By all variables	By socio-economic variables	By resource consumption variables
1	OUTLIERS: Equatorial Guinea, Libya, South Africa, Seychelles, Mauritius	OUTLIERS: Mauritius, Seychelles	OUTLIER: Seychelles
2	Algeria, Egypt, Morocco, Tunisia	Algeria, South Africa, Zimbabwe	Algeria, Egypt, Morocco, Tunisia
3	Botswana, Gabon, Namibia	Angola, Chad, Mali, Mauritania, Niger, Somalia, Sudan (and south Sudan), Zambia	Angola, Cape Verde, Djibouti, Equatorial Guinea, Sao Tome & Principe
4	Central African Republic, Guinea, Madagascar, Mauritania	Benin, Burundi, Comoros, Gambia, Guinea-Bissau, Liberia, Rwanda, Sierra Leone, Togo	Benin, Cameroon, Ghana, Kenya, Lesotho, Nigeria, Senegal, Sudan (& South Sudan)
5	Burkina Faso, Chad, Mali, Niger, Somalia	Botswana, Libya, Namibia	Botswana, Gabon, Mauritania, Mauritius, Namibia, Swaziland, Zimbabwe
6	Benin, Comoros, Eritrea, Gambia, Guinea Bissau, Liberia, Sierra Leone, Togo	Burkina Faso, Eritrea, Ethiopia, Malawi, Senegal	Burkina Faso, Ethiopia, Guinea, Guinea-Bissau, Niger, Sierra Leone
7	Burundi, Ethiopia, Malawi, Rwanda, Tanzania, Uganda	Cameroon, Cote D'Ivoire, Ghana, Kenya, Nigeria, Tanzania, Uganda	Burundi, Democratic Rep of Congo, Eritrea, Malawi, Rwanda
8	Angola, Cameroon, Republic of Congo, Ghana, Nigeria	Cape Verde, Lesotho, Swaziland	Central African Rep, Chad, Uganda
9	DRC, Mozambique, Sudan (and South Sudan), Zambia	Central African Republic, DRC, Guinea, Madagascar, Mozambique	Comoros, Gambia, Liberia, Togo
10	Cape Verde, Djibouti, Lesotho, Sao Tome & Principe	Republic of Congo, Equatorial Guinea, Gabon	Republic of Congo, Cote D'Ivoire, Mozambique, Zambia
11	Cote D'Ivoire, Kenya, Senegal, Zimbabwe	Djibouti, Sao Tome & Principe	Libya, South Africa
12		Egypt, Morocco, Tunisia	Madagascar, Mali, Somalia

Table 3. Relative importance of indicators in predicting resource consumption or emission.

Resource consumption	Indicator				
	Population	Density	Climate	GDP per capita	Total
Total energy (TE)	9		17	74	100
Electricity (EL)	12	13	19	56	100
Fossil fuel (FF)	13		21	66	100
Carbon emissions (CO ₂)			25	75	100
Biomass (Bio)	32	29	29	10	100
Industrial materials (IndCon)	10	13	21	56	100
Total materials (TM)	17	30	27	26	100
Water (H ₂ O)	11	49	27	14	101
Average	14.86	22.33	23.25	47.13	

proportional to 1/S [39]. This has been empirically demonstrated for cities of the US, India and China, and holds true for many African nations.

Determination of average scaling coefficients and exponents for a country requires either a comparison of city size and attribute between cities of one nation at the same point in time, or a comparison between different points of time for one city, essentially tracking its growth over time.

Figure 2 shows the rank-size relationship for cities in a number of African countries. The slope of the distribution is expected to be close to -1. Typically, the upper parts of the distribution show this slope, while the lower part, formed of larger cities, tends to deviate. This is visible in Figure 2 and is primarily due to the deviation of the large or prime cities from this linear pattern. Nigeria is an interesting exception as, despite the size of Lagos, the urban population seems well distributed through other cities. This does not hold true for Kinshasa, Cairo and Nairobi, which remain quite prime cities in their respective countries. Angola’s overall slope may indicate a poor accuracy of available population data which hasn’t been updated recently. Rosen and Resnick [27] explain that Zipf’s law is more accurately portrayed when using population data for urban agglomeration instead of city proper.

Bettencourt *et al.* (2007) take Zipf’s scaling relationships further and represent it in the following equation:

$$Y_t = Y_0 N_t^\beta \tag{9}$$

where: Y is the indicator to be understood (anything from resource consumption to social attributes) represented as a function of N , city size, at time t . Y_0 is the normalizing coefficient (or the Y when t is 1 and N is at its smallest or initial value) and β is the scaling exponent which describes the relationship between Y and N .

Bettencourt *et al.* [37] processed a large amount of data to determine the way in which multiple attributes change as cities do. They explain three types of relationships and the city attributes which fall in each category. First is a sublinear relationship ($\beta < 1$), which demonstrates the benefits of an agglomeration economy, an economy of scale: as it grows, a city may need less material infrastructure to support a larger population. Second is a linear relationship ($\beta = 1$), which includes attributes related to individual human needs, such as housing, household water or electricity consumption. Finally, superlinear relationships ($\beta > 1$) are categorized by attributes which demonstrate the outcomes of urban agglomeration, such as increases of knowledge production, total energy consumption, cases of disease, and affluence.

With abundant population and electricity consumption data for urban settlements of South Africa, the scaling relationship of electricity consumption for the years 2001 and 2005 is demonstrated in Figure 3. Bettencourt *et al.*’s offer a scaling exponent of 1.07 to describe electricity consumption and the exponents for these graphs as smaller. It was noted that the variance becomes more visible as the smaller cities are added to the graph. This is a clear indication of how larger cities have deviated from the average power law. The outliers may also potentially be a product of using city-proper data instead of agglomeration data, or an indication of their function. For instance, Durban and Johannesburg have higher than average electricity consumption, which is perhaps indicative of larger industrial or business sectors. Durban is South Africa’s largest port, which may demand more electricity to function. For a more indicative scaling exponent, more cities must be included in analysis.

In theory, this scaling relationship could be determined through the growth of one city over time. However, the resulting scaling exponent from a sample of one city may not be as empirically robust or transferable as one

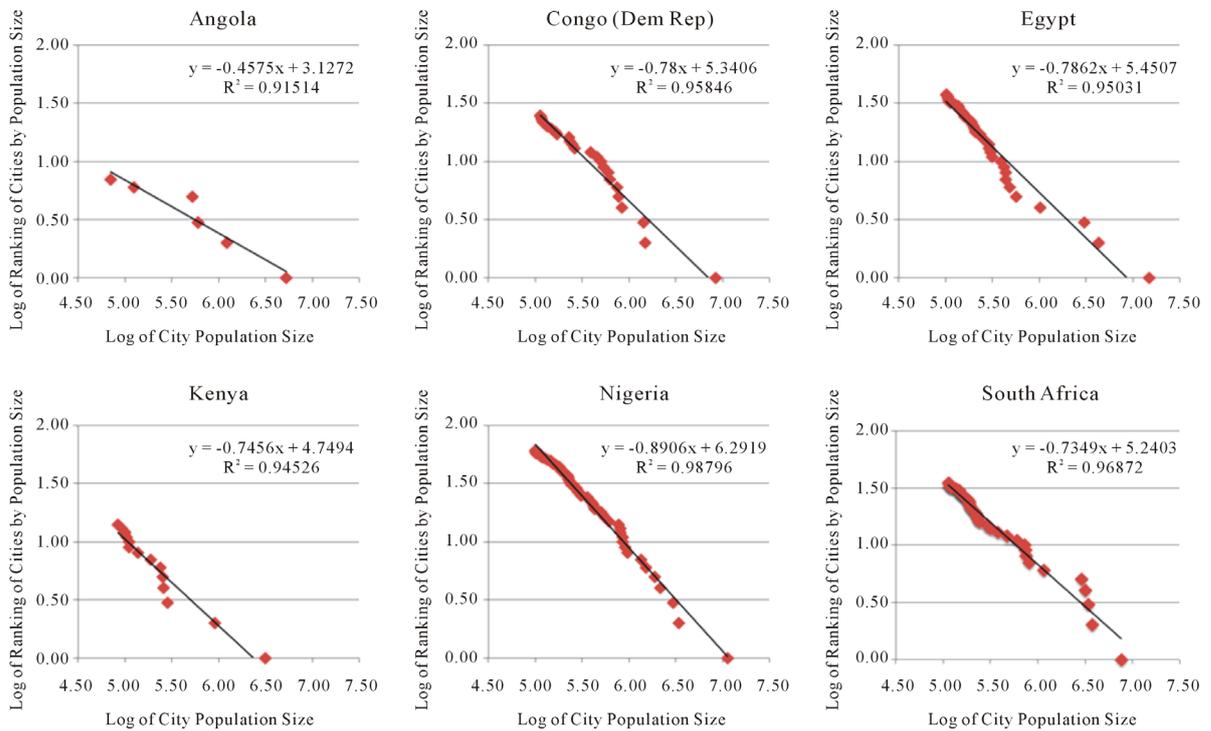


Figure 2. Rank-size relationships for selected African countries.

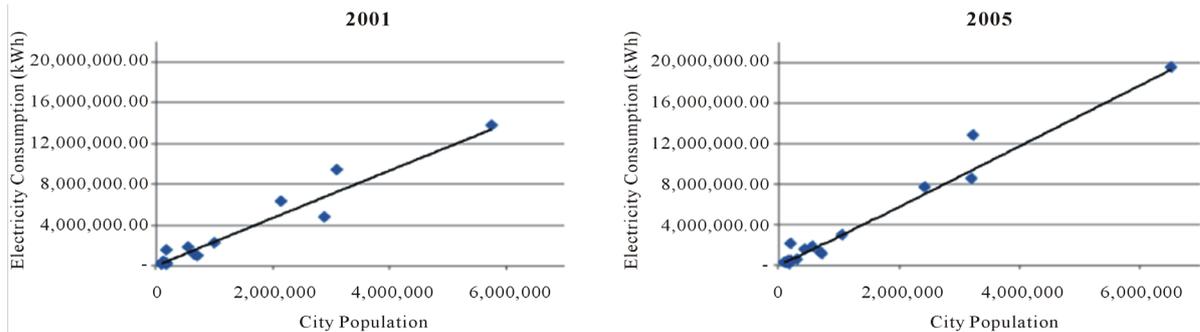


Figure 3. Relationship of city population to electricity consumption in the nine largest South African cities for 2001 and 2005.

observed from multiple cities. This is particularly true of larger cities which deviate from the average. The growth of population and electricity consumption for Bloemfontein and Cape Town was tracked from 1996 to 2007. The smaller city shows a scaling exponent closer to those demonstrated by multiple cities, yet both exponents are much larger than expected. An ideal situation would be if there were data spanning a larger space of time; this would smooth out any natural fluctuations of electricity consumption, expected due to climate or social changes. Further exploration will entail a comparison of city-proper, agglomeration and municipal data, as well as finding scaling exponents for different groups of cities, perhaps by size or primary function. A more detailed analysis of South African city scaling will be forthcoming elsewhere, in which the relationships between population, GDP and electricity between 1996 and 2011 are explored.

3.4. Estimating City Resource Data from National Data

The discussion in the previous section shows how to scale when reasonable city-level data are available. In the context of data scarcity at city-level, the question that arises is how to go about scaling with the least available data. This is where the use of national data can become useful in providing initial city resource consumption es-

timates. Using electricity scaling as an illustration, Bettencourt *et al.* [37] suggest that most cities will follow a β of 1.07 yet South Africa demonstrates lower β s of 1.038 to 1.027 in 2001 and 2005 respectively. A faulty assumption in scaling is that all cities or all attributes scale in the same way. Bettencourt *et al.*'s [37] equation only provides an average relationship between size and the desired attribute and that relationship is not necessarily transferrable between cities. So how can a more indicative average for each city be determined?

It could be assumed that countries of similar demographic, economic and climate parameters might use resources similarly, and demonstrate similar patterns of scaling. With this in mind, groups of nations can be generated, as above, hence enabling the use of proxy scaling relationships for all members of the group. What is required for each cluster is to determine the scaling coefficients and exponents for a minimum of two relationships: sublinear, indicative of material consumption, and superlinear, indicative of energy consumption. This may be done in the same way as demonstrated by the South African cities example above: that is, analyzing multiple cities at one point in time or a single city over an extensive time. With this minimal additional data, a much more plausible representation of cities using only a few scaling relationships can be generated.

While awaiting more precise scaling relationship data, the following procedure was followed to estimate African cities' levels of consumption or emission for each resource indicator based on national data:

1) The first step was to establish the nation's urban average consumption or emission for each indicator. Consumption of total energy, fossil fuels, electricity, total materials, and construction materials, as well as carbon emissions showed positive linear relationships to GDP, so the proportion of urban consumption was determined similarly to urban income and urban product:

$$UR = \frac{NR * UA}{UP} \quad (10)$$

where UR is the average urban resource consumption or emission, NR is the national resource consumption or emission, UA is the proportion of GDP derived from urban activities and UP is the urban population.

For fossil fuels, coal was excluded from urban consumption, as it would primarily be used for thermal electricity generation away from cities. Industrial materials and ores were stated to be wholly consumed in cities, so the urban average was determined as the total national consumption of industrial minerals and ores divided by urban population. For biomass and water consumption, which showed no clear relationship to GDP or other indicators, such as biomass and water, the national average was utilized as the urban average.

2) Distributing this average between the cities of each nation utilized Equation (9). Until more specific scaling relationships could emerge, a scaling exponent (β) of 1.07 was used for each resource. Using the population of the largest city in each nation, the normalization constant (Y_0) for each nation was determined with this equation:

$$Y_0 = \frac{UR * CP_i}{CP_i^\beta} * \beta \quad (11)$$

where CP_i is the largest city's population. The additional multiplication by the scaling exponent was used to increase or decrease the Y_0 by a factor, as the consumption in the largest city would tend to be higher or lower than the urban average. Without this extra multiplication, the total resource consumption would not be fully distributed to cities, and the total urban consumption would come up short. This is an imperfect way to solve the problem, but it returns more complete values for total urban consumption.

3) Deriving the value for resource consumption for each city then becomes a matter of returning to the original equation:

$$CR_j = Y_0 * CP_j^\beta \quad (12)$$

where CP_j is the city population and CR_j is the city's consumption or emission.

With the derived city resource consumption data, it was possible to form resource consumption profiles and cluster the African cities by similarity of profile. This formed the first iteration of an African city typology, with the second iteration awaiting more accurate scaling relationships.

4. Clustering of Selected African Cities

While it has been demonstrated that scaling methods have been used to determine the resource impact of cities, socioeconomic and geographic variables can also be utilized to predict the resource consumption intensity of cities. From a datasheet of 687 African cities collected since March 2014, a smaller list of cities was distilled. To

remain representative, it included three cities per country, where applicable. The criteria used in selecting the three cities per country were: 1) country capital, 2) largest remaining city and 3) remaining city with the most available data. Certain countries, such as the Cape Verde, Djibouti and Seychelles did not lend the full three cities to the list, as there were few choices present in these countries. Any remaining cities of over one million were also included to produce a list of 160 cities. This was further reduced to a list of 120 cities which had complete data for four predictor variables to be used, namely population, population density, income and climate. A preliminary clustering of these cities produced an optimum of 10 groups which are shown in **Table 4**. Though population growth wasn't used in the clustering process, it is included in the description of clusters. Similarities can be seen between many equatorial cities as well as island cities. Some affinity exists between northern and

Table 4. 120 cities clustered according to similarity of population, density, climate and per capita income.

Group	Members	Countries represented	Description
Group 1	Francistown, Gaborone, Gweru, Manzini, Maseru, Mbabane, Sousse, Stellenbosch, Walvis Bay	Botswana, Lesotho, Swaziland, Tunisia, Zimbabwe	This group contains very small- to small-sized cities of low density, with low population growth. The cities are in quite variable temperatures and show medium to very high incomes.
Group 2	Malabo, Moroni, Moundou, Port Louis, Port-Gentil, Praia, Sao Tome, Victoria	Chad, Comoros, Cape Verde, Equatorial Guinea, Gabon, Mauritius, Sao Tome, Seychelles	This group contains very small to small cities of low density, with low population growth. The cities are in constantly hot temperatures and show medium to very high incomes.
Group 3	Bandundu, Bulawayo, Dodoma, Gao, Gitega, Kisumu, Mahajanga, Nouadhibou, Sokode, Thies	Burundi, Democratic Republic of Congo, Madagascar, Mali, Mauritania, Senegal, Togo, Tanzania, Zimbabwe	This group contains small cities of low density, with low population growth. The cities are in somewhat variable temperatures and show low incomes.
Group 4	Banjui, Bissau, Blantyre, Bobo Dioulasso, Harare, Juba, Khartoum, Lilongwe, Maputo, Ouagadougou	Burkina Faso, Gambia, Guinea-Bissau, Malawi, Mozambique, South Sudan, Sudan, Zimbabwe	This group contains medium cities of low density, with medium population growth. The cities are in somewhat variable temperatures and show low incomes.
Group 5	Addis Ababa, Alexandria, Algiers, Antananarivo, Asmara, Assyut, Cairo, Casablanca, Constantine, Djibouti, Fayyum, Fes, Lubumbashi, Lusaka, Marrakesh, Mek'ele, Nairobi, Nyala, Oran, Port Said, Tangier	Algeria, Djibouti, Democratic Republic of Congo, Egypt, Eritrea, Ethiopia, Kenya, Madagascar, Morocco, Zambia	This group contains mostly medium to large cities of medium to high density, with medium population growth. The cities are in quite variable temperatures and show low to medium incomes.
Group 6	Bangui, Benin City, Brazzaville, Ibadan, Ilorin, Kaduna, Kigali, Luanda, N'Djamena, Nampula, Niamey, Nouakchott, Ogbomosho, Pointe-Noire, Tripoli, Zanzibar	Angola, Central African Republic, Chad, Libya, Mauritania, Mozambique, Niger, Nigeria, Republic of Congo, Rwanda, Tanzania	This group contains mostly medium or large cities of medium density, with medium population growth. The cities are in somewhat variable temperatures and show low incomes.
Group 7	Abuja, Bamako, Bujumbura, Dar es Salaam, Huambo, Mwanza	Angola, Burundi, Cameroon, Mali, Nigeria, Tanzania	This group contains medium to large cities of medium density, with high population growth. The cities are in somewhat variable temperatures and show low incomes.
Group 8	Accra, Cotonou, Kampala, Kumasi, Libreville, Lome, Monrovia, Sekondi-Takoradi	Benin, Gabon, Ghana, Liberia, Togo, Uganda	This group contains medium to large cities of low density, with medium to high population growth. The cities are in constantly hot temperatures and show low to medium incomes.
Group 9	Benghazi, Cape Town, eThekweni, Johannesburg, Kenitra, Kitwe, Mangaung, Ndola, Nelson Mandela Bay, Rabat, Sfax, Tshwane, Tunis, Windhoek	Libya, Morocco, Namibia, South Africa, Tunisia, Zambia	This group contains medium to large cities of low density, with medium population growth. The cities are in quite variable temperatures and show medium to very high incomes.
Group 10	Abidjan, Conakry, Dakar, Douala, Freetown, Gisenyi, Hargeisa, Kano, Kinshasa, Lagos, Maradi, Mogadishu, Mombasa, Port Harcourt, Porto Novo, amoussoukro, Zaria	Benin, Cameroon, Cote d'Ivoire, Democratic Republic of Congo, Guinea, Kenya, Niger, Nigeria, Rwanda, Dakar, Sierra Leone, Somalia	This group contains medium to very large cities of high density, with medium population growth. The cities are in somewhat variable temperatures and show low incomes.

southern cities (with higher incomes and HDI, and low prevalence of slums), as well as some groupings of industrial cities, and of port cities.

As with the global cities typology [25] [26], predictor variables can be used to loosely estimate resource consumption intensity (high, medium and low) for each city. Future work includes forming decision trees to sort cities by level of resource intensity, as suggested by the predictors. This is a more qualitative form of clustering and will still require use of some scaled data to form training classification trees.

5. Conclusions

This paper presents an exploratory approach utilized as first step towards estimating resource consumption profiles in data scarce urban environments such as African cities. Specific city-level data on resource consumption and material flow analysis are difficult to establish because such data are non-existent in Africa cities. This makes it more challenging to directly apply standard urban metabolism approaches.

What has emerged from the analysis is that it is possible to make use of scaling and national clustering to group like cities, which in turn can support recommendations for multiple situations towards achieving urban sustainability. With 11 reasonable clusters of countries already generated, it is possible to utilize scaling relationships discovered in one country for other countries of the same cluster, reducing the number of scaling relationships to determine. This brings us a step closer to forming more accurate scaling relationships to estimate resource consumption behaviors, based on similar socio-economic makeup of countries. Within each cluster, the search for data can be simplified to either: 1) energy and material consumption in multiple cities of one country at the same point in time; or 2) energy and material consumption of one city over an extended period of time.

A first iteration of an African City Typology has been produced and the second iteration is underway, with the results expected to be presented in future publications. Future work will involve 1) an exploration as to the benefits of using a “per unit GDP” measure as baseline with which to compare cities and 2) a qualitative estimation of city resource consumption using classification trees. This method is expected to offer some initial representations of material and energy consumption, and will be corroborated with more precise quantitative estimations from scaled national data.

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Supplemental Material

Table S1. Urban primacy and its determinants, from most prime at top, to least prime at bottom.

Country	Largest city as a percentage of urban population	Largest city population	# of cities over 100,000	UN method	Urban primacy	Median normalised
Liberia	51.4	1,010,970	1	24.6	13.80	100.0
Djibouti	54.2	353,801	1	15.6	9.14	83.1
Mauritania	55.1	846,871	2	11.7	7.70	77.9
Guinea-Bissau	45.5	203,000	1	11.3	6.71	74.3
Burundi	47.7	497,166	1	11.9	5.79	71.0
Mali	38.3	1,930,000	9	12.5	5.28	69.2
Eritrea	57.2	435,383	1	7.8	4.99	68.1
Rwanda	53.3	1,135,428	3	7.6	4.82	67.5
Guinea	41.7	1,650,000	3	6.9	3.95	64.3
Sao Tome & Principe	45.1	51,728	0	7.8	3.63	63.1
Senegal	51.6	2,930,000	9	4.7	3.37	62.2
Seychelles	56.4	26,450	0	5.6	3.17	61.5
Uganda	30.3	1,659,600	5	9.2	3.01	60.9
Togo	34.0	837,437	1	8.8	3.00	60.9
Chad	36.1	951,418	3	6.9	2.93	60.6
Cote d'Ivoire	47.9	4,765,000	>10	5.4	2.74	59.9
Madagascar	30.2	2,128,900	8	8.2	2.64	59.6
Angola	44.2	5,278,800	7	4.3	2.56	59.3
Tanzania	35.2	4,364,541	>10	6.2	2.54	59.2
Egypt	51.8	17,921,542	>10	4.1	2.52	59.1
Gabon	40.1	550,000	2	5.3	2.40	58.7
Niger	44.2	1,302,910	5	4.7	2.39	58.7
Gambia	38.5	382,096	2	4.0	2.33	58.4
Ethiopia	20.4	3,103,700	>10	10.8	2.28	58.3
Central African Republic	35.9	622,771	2	5.0	2.22	58.1
Dem. Rep. of Congo	38.4	8,415,000	>10	5.6	2.21	58.0
Republic of Congo	58.0	1,560,000	2	1.9	2.02	57.3
Namibia	38.3	325,858	1	5.1	1.99	49.5
Sierra Leone	41.1	945,423	4	4.1	1.82	45.3
Burkina Faso	34.8	1,475,223	2	3.0	1.78	44.3
Kenya	31.1	3,133,518	>10	3.4	1.62	40.4
Somalia	41.5	1,554,000	>7	2.3	1.39	34.6
Cape Verde	43.6	133,863	1	1.9	1.33	33.2
Sudan	38.3	4,632,000	>10	2.5	1.24	30.9
Zambia	32.7	1,747,152	9	3.5	1.20	29.9
South Sudan	26.7	500,000	5	4.2	1.15	28.6
Lesotho	31.9	178,345	1	2.9	1.04	25.8
Swaziland	38.3	98,874	0	1.3	0.89	22.1
Comoros	30.5	60,000	0	2.9	0.88	21.9
Zimbabwe	28.8	1,485,231	7	2.3	0.85	21.1
Malawi	40.3	978,800	5	1.2	0.75	18.6
Benin	19.6	862,445	5	3.0	0.70	17.4
Equatorial Guinea	35.3	100,000	1	1.7	0.59	14.7
Libya	23.4	1,110,000	>10	1.9	0.57	14.3
South Africa	23.8	7,613,297	>10	2.0	0.50	12.6
Botswana	18.6	227,333	2	2.3	0.50	12.6
Nigeria	13.8	11,223,000	>10	3.3	0.49	12.2
Morocco	16.9	3,083,000	>10	2.4	0.41	10.3
Algeria	10.4	2,851,000	>10	3.7	0.39	9.8
Cameroon	21.3	2,350,000	>10	1.0	0.39	9.6
Tunisia	10.3	728,453	7	2.7	0.34	8.5
Mauritius	25.6	137,608	3	1.3	0.34	8.4
Ghana	16.1	2,070,463	>10	1.0	0.28	6.9
Mozambique	16.0	1,225,900	>10	1.4	0.26	6.5

Table S2. Estimations of average urban income and their determinants.

Country	% urban population	% rural population	% urban poverty	% rural poverty	% GDP from urban activities	Annual per capita national income (USD)	Annual per capita urban income by % poverty (USD)	Annual per capita urban income by urban economic activity (USD)
Algeria	72.87	27.14	14.83	44.91	90.2	4460	4884	5521
Angola	59.14	40.86	18.70	58.30	88.8	4070	4968	6111
Benin	44.91	55.09	31.40	39.70	63.9	720	739	1024
Botswana	61.61	38.39	19.40	44.80	75.2	6940	7832	8470
Burkina Faso	26.51	73.49	25.20	52.80	52.6	620	681	1230
Burundi	10.93	89.07	34.00	68.90	60.0	220	10	1208
Cameroon	52.09	47.92	12.20	55.00	77.4	1150	1361	1709
Cape Verde	62.58	37.42	13.20	44.30	90.4	3570	4052	5157
Central African Republic	39.10	60.90	49.60	69.40	45.0	490	501	564
Chad	21.83	78.17	20.90	52.50	80.2	900	1102	3307
Comoros	28.07	71.93	34.50	48.70	64.1	830	899	1895
Congo (Republic)	63.65	36.35	25.70	83.00	74.8	2200	2879	3301
Cote d'Ivoire	51.28	48.72	29.40	54.20	95.5	1140	1281	1471
Dem. Republic of Congo	34.28	65.72	61.50	75.70	66.2	330	290	720
Djibouti	77.08	22.92	25.79	96.50	95.9	999	1151	1243
Egypt	43.54	56.46	15.30	32.30	85.1	2720	3009	5316
Equatorial Guinea	39.51	60.49	31.50	79.90	89.3	13,440	23,131	30,375
Eritrea	21.36	78.64	62.00	70.19	83.1	390	376	1517
Ethiopia	17.02	82.98	25.70	30.40	52.9	390	386	1212
Gabon	86.15	13.85	29.80	44.60	94.2	8850	9103	9677
Gambia	57.21	42.79	32.70	73.90	72.0	510	529	642
Ghana	51.87	48.13	10.80	39.20	76.9	1420	1594	2105
Guinea	35.46	64.54	35.40	64.70	57.2	410	391	661
Guinea-Bissau	43.90	56.10	51.00	75.60	50.9	570	615	661
Kenya	23.98	76.02	33.70	49.10	69.3	820	898	2369
Lesotho	27.57	72.43	41.50	60.50	84.3	1230	1468	3760
Liberia	48.18	51.82	55.10	67.70	24.3	330	309	166
Libya	77.74	22.27			33.6	10,156	Missing data	Missing data
Madagascar	32.57	67.43	51.10	81.50	73.3	420	394	945
Malawi	15.70	84.30	17.30	56.60	64.0	340	262	1386
Mali	34.93	65.07	18.90	50.60	50.0	670	743	959
Mauritania	41.51	58.49	20.80	59.40	56.1	970	1175	1311
Mauritius	41.80	58.20	12.40	8.00	96.3	8100	7883	18,662
Morocco	57.04	42.96	4.80	14.40	80.3	2940	3052	4139
Mozambique	31.22	68.78	49.60	56.90	65.0	450	449	937
Namibia	38.39	61.61	14.60	37.40	78.1	4980	5871	10,131
Niger	17.87	82.13	36.70	63.90	47.7	370	323	988
Nigeria	49.62	50.38	34.10	52.80	77.9	1710	1919	2685

Continued

Rwanda	19.12	80.88	22.10	48.70	62.1	550	586	1786
Sao Tome & Principe	62.65	37.35	63.80	59.40	76.8	1240	1206	1520
Senegal	42.56	57.44	33.10	57.10	81.1	1030	1179	1963
Seychelles	53.62	46.38			97.7	11,080	Missing data	20,187
Sierra Leone	39.26	60.74	31.20	66.10	35.7	500	519	455
Somalia	37.76	62.24	26.53	53.00	No data	107	6	Missing data
South Africa	61.99	38.01	52.90	60.80	87.9	6850	7285	9714
South Sudan	18.05	81.95	24.40	55.40	No data	1360	1817	Missing data
Sudan	33.24	66.76	26.50	57.60	63.5	1340	1688	2560
Swaziland	21.28	78.72	31.10	73.10	92.5	2890	5135	12,562
Tanzania	26.74	73.26	15.50	33.30	67.5	540	555	1363
Togo	38.02	61.98	34.60	73.40	48.2	470	478	596
Tunisia	66.31	33.69	11.30	4.10	90.0	4050	3954	5497
Uganda	15.58	84.42	9.10	27.20	75.5	460	461	2229
Zambia	39.17	60.83	27.50	77.90	80.1	1180	1710	2413
Zimbabwe	38.62	61.38	46.50	84.30	76.8	730	940	1452