

# Recent Developments and Current Issues in the Treatment of Pancreatic Cancer

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## ABSTRACT

Presently, many questions exist about what the optimal regimen comprises for all stages and treatment settings for pancreatic cancer. Since the CONKO-001 trial, adjuvant therapy following resection has become standard of care; however, outcomes are poor, with most patients experiencing disease recurrence, and new therapies have yet to be validated. Furthermore, the value of adjuvant radiotherapy has still not been clearly defined. Targeted treatment in combination with chemotherapy has been mostly disappointing so far in the adjuvant setting but immunotherapy holds potential for improving survival outcomes. Neoadjuvant treatment does not appear to provide much benefit in resectable patients but in the small subgroup of patients with borderline resectable/unresectable locally advanced disease it may increase the possibility of an R0 resection and, consequently, a substantial increase in survival duration. Use of capecitabine-based radiotherapy in patients with unresectable locally advanced disease appears to be more efficacious and better tolerated than gemcitabine-based chemoradiotherapy, with respect to survival outcomes. However, as with adjuvant treatment, the benefit of adding radiotherapy has not yet been definitively demonstrated. In patients with metastatic pancreatic cancer, targeting the stroma with nab-paclitaxel has shown promising results in a phase III trial setting when administered in combination with gemcitabine and, furthermore, this regimen is suitable for a broad range of patients due to its generally good tolerability profile. Because of its high toxicity, FOLFIRINOX is more suitable for younger patients with an excellent performance status who can withstand aggressive treatment and in patients with a worse performance status, gemcitabine monotherapy is considered to be a more appropriate treatment. Alternatively, gemcitabine in combination with erlotinib, the only targeted compound that has resulted in significant albeit small improvements in survival in patients with advanced disease, could be selected. However, the benefit-risk profile of this regimen is only favorable in a strictly defined, small patient subgroup who develop a treatment-related rash. Finally, with the elucidation of prognostic and predictive markers, treatment is expected to become ever more individualized, leading to improved efficacy outcomes and less unnecessary toxicity.

**Keywords:** Pancreatic Cancer; Chemotherapy; Adjuvant Therapy; Neoadjuvant Therapy

## 1. Introduction

With a median survival duration of approximately 6 months following diagnosis and a 5-year survival rate of less than 5%, pancreatic cancer is considered to have the poorest prognosis of any solid tumor [1]. Recent statistics show that pancreatic cancer is the fourth most common cause of death in the US and Europe and yet it accounts for only 3% of total cancer cases [2]. As well as displaying a highly drug- and radio-therapy resistant phenotype, pancreatic cancer has high potential for local invasion and metastasis to distant sites compared with other solid

tumors [3]. Indeed, for the few patients who have seemingly resectable disease at diagnosis, distant micrometastases have usually already been established [2]. Moreover, due to its initially highly asymptomatic nature, more than 80% of patients only present once disease is advanced [4]. However, although progress in the treatment of pancreatic cancer in the past few decades has been incremental, it is nevertheless steadily increasing.

## 2. Risk Factors

Recently, a number of factors have been identified as

having a possible causative role in the development of pancreatic cancer, including non-O blood group, diabetes mellitus, low vegetable intake and a high-fat diet; although most of these have yet to be validated as definitive risk factors [5]. However, a causative role for tobacco was determined several years ago, with smokers being found to have an elevated pancreatic cancer risk of 2.5 to 3.6 times that of a non-smoker [6]. Another well established risk factor is familial mutations, with approximately 5% - 10% of patients having a family history of pancreatic cancer [7]. An individual from a family with at least four affected members is approximately 57 times more likely to develop pancreatic cancer when compared with an individual from a family containing no affected members [4].

### 3. Genetics

Our understanding of pancreatic cancer genetics has increased in the last decade with a number of germline and acquired somatic mutations being identified and mapped. The vast majority of patients with pancreatic cancer from any cause carry at least one of four known mutations, with 90% of tumors carrying a mutation in the KRAS oncogene and 95% of tumors with inactivation of the CDKN2A gene which codes for p16, a regulator of the G1-S transition of the cell cycle [8]. In approximately 50% of tumors, the tumor suppressor gene DPC4 is lost and in 50% - 75% of tumors, TP53, another tumor suppressor gene, is abnormal. Recently, whole genome sequencing of 24 pancreatic cancers revealed on average 63 mutations per cancer, implying that pancreatic cancer is a very complex and heterogeneous disease and consequently that it might respond best to a multi-pronged and/or individualized treatment approach [9].

### 4. Tumor Microenvironment

The importance of the microenvironment in tumor propagation has begun to be realized in recent years and is an area of great interest in the study of pancreatic cancer. A prominent feature of the tumour microenvironment in pancreatic cancer is the formation of a dense complex stroma, known as a desmoplastic reaction, around the tumour which can comprise up to 90% of the tumor volume [10]. This compact fibrous yet dynamic tissue functions as more than just a mechanical barrier, playing host to a complex interplay between normal host epithelial cells, tumor cells, stromal fibroblasts, pancreatic stellate cells, endothelial cells and adipocytes, immune and inflammatory cells as well as growth factors which activate oncogenic signaling pathways [11]. There is now significant evidence that pancreatic stellate cells play a key role in stromal formation and turnover. Following activation by growth factors, pancreatic stellate cells se-

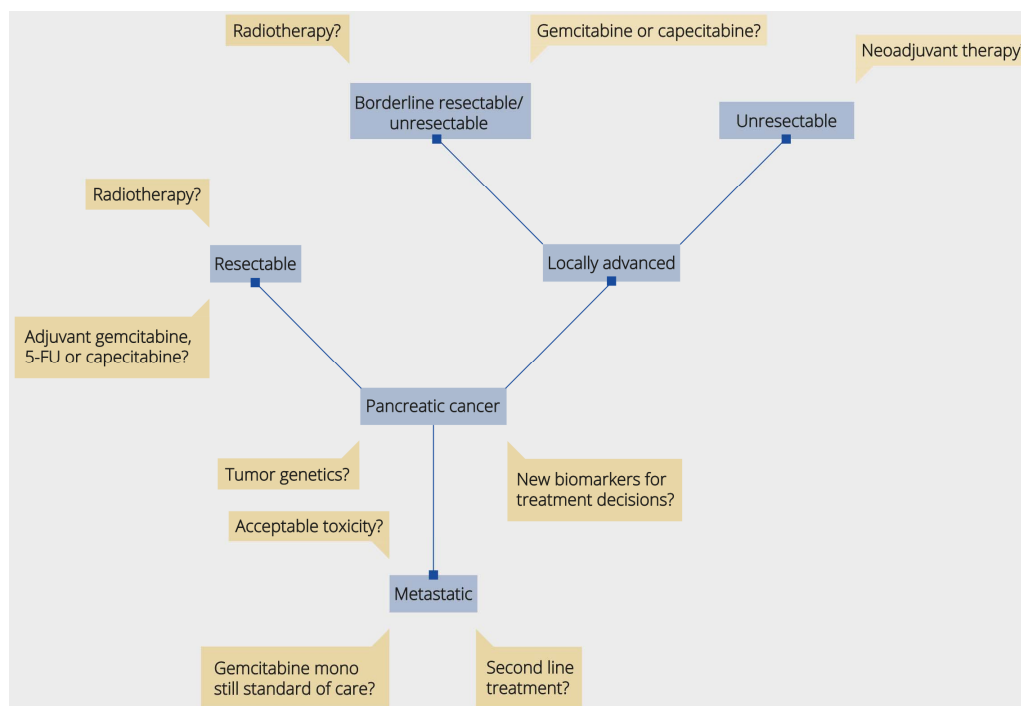
crete collagen as well as other extracellular matrix (ECM) proteins [12,13]. Furthermore, pancreatic stellate cells contribute to the hypoxia/fibrosis cycle in the peritumoural stroma via abnormal ECM protein secretion and amplification of endostatin production by tumor cells [14]. They also regulate stromal turnover and reabsorption, mostly through generation of matrix metalloproteinases. Proteins produced by stromal cells and associated with poor prognosis to treatment include stromal cell-derived factor, chemokines, cyclooxygenase-2, PDGF receptor, hedgehog pathway elements, vascular endothelial growth factor, integrins, and SPARC (Secreted Protein Acidic And Rich in Cysteine) [4]. As a consequence of our increased understanding of the tumour microenvironment, new therapeutic opportunities have arisen.

For example, the nanoparticle albumin-bound (nab)-paclitaxel is able to bind with SPARC, which is found in abundant levels in the stroma, resulting in increased delivery of paclitaxel to tumor cells [15]. Another emerging area of research is of the small subset of cells within a tumor termed stem cells. Pancreatic stem cells make up approximately 0.5% - 1% of all tumour cells and express the protein markers CD44, CD24 and epithelial-specific antigen [16]. Responsible for tumor initiation and propagation, they are also hypothesized to contribute to metastasis although, thus far, solid evidence is lacking in this area. However, stronger evidence has come to light for a role of stem cells in the typically high resistance displayed by pancreatic cancer towards chemotherapy and radiotherapy. In an *in-vitro* study [17], exposure to gemcitabine resulted in an enriched population of CD133+ cells in the 13.6 p pancreatic cancer cell line and in another *in-vitro* study [16], exposure to gemcitabine and ionising radiation resulted in an enriched population of CD44, CD24, and ESA in xenografts of human pancreatic cancer. However, although our understanding of the molecular processes that underpin the development and propagation of pancreatic cancer has deepened in recent years, translation of this knowledge into effective therapies has yet to be realized.

## 5. Adjuvant Therapy

### 5.1. Chemotherapy/Chemoradiotherapy

Currently, surgical resection is the only curative treatment for stage I/II pancreatic cancer (**Figure 1**). Nevertheless, following surgery, rates of locoregional and distant recurrence are high, occurring in 50% - 80% and more than 70% of patients, respectively. As a result, 5-year survival rates following surgery with a curative intent are low, ranging from 10% - 15% [18] and, consequently, adjuvant therapy is considered an important facet of treatment for early-stage pancreatic cancer. Although accepted now as standard of care, for a long time



**Figure 1. Current issues in pancreatic cancer treatment.**

the benefits of administering adjuvant chemotherapy with or without radiotherapy following resection were unclear. In trials conducted in the late 1960s and early 1970s, patients with advanced unresectable pancreatic cancer received external beam radiotherapy with or without systemic chemotherapy [19,20]. As a result of the antitumour activity observed in these studies, the first randomized trial of adjuvant therapy was initiated by the Gastrointestinal Tumour Study Group (GITSG) in which patients undergoing resection with curative intent received 5-FU + folinic acid administered with radiotherapy or underwent observation alone [21]. Significantly ( $p = 0.03$ ) longer median survival durations were observed in patients who had received adjuvant therapy, compared with those who had not (20 vs. 11 months) [21]. Unfortunately, this trial was found to have multiple shortcomings and reliable conclusions could not be drawn from it [2]. In response to these criticisms, the GITSG conducted a single-arm study ( $n = 30$ ) comprising the same adjuvant treatment protocol which demonstrated similar results [22]. Adjuvant therapy therefore appeared to be beneficial although it still remained unclear as to whether chemotherapy alone or chemoradiotherapy was responsible for these improvements in survival. Following the GITSG trial, two pivotal trials, (EORTC-40891 and ESPAC-1) were conducted which showed contradictory outcomes. In the EORTC-40891 trial, 5-FU + folinic acid-based chemoradiotherapy did not significantly improve overall survival at 5 years when compared with observation alone in the overall patient population which included

patients with periampullary tumors (median of 24.5 vs. 19.0 months) yet a trend ( $p = 0.099$ ) towards a survival benefit was observed in patients with pancreatic head cancer (median survival duration of 17.1 vs. 12.6 months) [23]. Moreover, a long-term survival analysis also showed no significant benefit of adjuvant treatment in the overall patient population, with median survival durations of 1.8 and 1.6 years in the chemoradiotherapy and observation alone arms, respectively [24]. However, due to inadequate statistical power, one of the numerous shortcomings of the EORTC-40891 trial, a possible survival advantage of adjuvant chemoradiation could not be definitively ruled out. In the ESPAC-1 trial, which utilized a  $2 \times 2$  factorial design, 5-FU + folinic acid-based chemoradiotherapy actually had a deleterious effect on survival, resulting in a significantly ( $p = 0.05$ ) lower survival rate at 5 years, compared with no chemoradiotherapy (10% vs. 20% of patients). However, adjuvant 5FU + folinic acid was found to be significantly ( $p = 0.009$ ) more efficacious than no adjuvant 5-FU + folinic acid, with respective survival rates at 5 years of 21% and 8% [25].

Due to these conflicting outcomes, the benefit of adjuvant therapy remained debatable, especially with respect to addition of radiotherapy to chemotherapy. However, subsequent publication of several larger and more well-designed landmark clinical trials has shed more light on this issue.

Due to its proven efficacy in the palliative setting, gemcitabine was investigated as a possible adjuvant ther-

apy in several phase III studies. In the CONKO-001 study, we randomly assigned patients to receive adjuvant gemcitabine or to undergo observation alone [26]. A significant ( $p < 0.001$ ) and clinically relevant benefit was seen in the patients receiving adjuvant therapy, with a median disease-free survival interval of 13.4 months being achieved, compared with 6.9 months in the treatment group undergoing observation alone. Upon subgroup analysis, significant benefit was seen across all subgroups, including in patients with an R1 resection (15.4 vs. 5.5 months;  $p < 0.001$ ), who were node-negative (22.4 vs. 10.4 months;  $p = 0.006$ ) or with tumor stage T1-2 (27.5 vs. 10.0 months;  $p < 0.05$ ). Interestingly, gemcitabine recipients with an R1 resection survived for longer disease-free than gemcitabine recipients who had achieved an R0 resection (median disease-free survival interval of 15.4 vs. 13.1 months). As well as prolonging disease-free survival, adjuvant gemcitabine also significantly ( $p < 0.01$ ), albeit to a lesser degree, improved the hard endpoint of overall survival, with final results showing gemcitabine recipients surviving for a median of 22.8 months, compared with 20.2 months in the observation alone arm [26]. Again, significant benefit was observed in gemcitabine recipients who had undergone an R1 resection, with overall survival in this group being almost as long as gemcitabine recipients who had undergone an R0 resection (median of 22.1 vs. 22.8 months). This reduction in the magnitude of benefit from gemcitabine treatment in the overall patient population may have been due to the majority of patients under observation alone subsequently crossing over to the gemcitabine arm upon progression. With respect to the resection margin, significant ( $p < 0.05$ ) benefit in overall survival remained in patients who had undergone an R0 resection (median of 22.8 vs. 20.3 months) whereas only a trend towards improved overall survival was observed in patients who had undergone an R1 resection (median of 22.1 vs. 14.1 months) [26].

The large ESPAC-3 version 2 trial ( $n = 1088$ ) [27] was subsequently designed to compare the efficacy of adjuvant 5-FU + folinic acid, already established as standard of care for advanced pancreatic cancer, with gemcitabine which had earlier been validated as adjuvant therapy in the CONKO-001 trial. There had initially been an arm undergoing observation alone but this was discontinued prematurely due to the final results of ESPAC-1 demonstrating a benefit for adjuvant therapy. The main aim was to determine whether gemcitabine would result in an improvement in overall survival. However, no significant difference between the gemcitabine and 5-FU + folinic acid arms was observed in median overall survival duration, median progression-free survival duration or quality of life. Gemcitabine appeared to be better tolerated than 5-FU + folinic acid which had resulted in significantly ( $p$

$< 0.001$ ) increased rates of grade 3 or 4 stomatitis, grade 3 and 4 diarrhea and serious adverse events [27].

These results were in contrast to an earlier smaller study [28] in which gemcitabine resulted in significantly ( $p < 0.01$ ) greater antitumour activity as well as a significantly ( $p < 0.01$ ) higher overall survival rate at 2 years when compared with fluorouracil. However, the dose of 5-FU used in this earlier study was lower than the fluorouracil dose used in ESPAC-3 version 2.

The benefit of using gemcitabine as part of adjuvant chemoradiotherapy was again left unanswered in a trial conducted by the Radiation Therapy Oncology Group (RTOG-9704) [29]. In this trial, patients were randomly assigned to receive either adjuvant gemcitabine or 5-FU (control), both administered before and after 5-FU-based chemoradiotherapy. No significant difference in the primary endpoint of overall survival was reported between the treatment arms. However, in patients with cancer of the pancreas head, gemcitabine resulted in a trend ( $p = 0.09$ ) towards a longer overall survival duration (median of 20.5 vs. 16.9 months), upon multivariate analysis.

Use of S-1 (gimeracil/oteracil/tegafur) as adjuvant therapy was explored by the Japan Adjuvant Study Group of Pancreatic Cancer in the JASPAC 01 trial [30]. In this noninferiority study, Japanese patients were randomised to S-1 or gemcitabine with the aim of determining whether S-1 would be any less efficacious than gemcitabine as adjuvant therapy. S-1 was found to be not only significantly ( $p < 0.001$ ) noninferior to gemcitabine, but was also found to be significantly ( $p < 0.001$ ) superior, with respect to overall survival at 2 years (70% vs. 53% in the full analysis set; HR 0.56; 95% CI 0.36 - 0.87).

Due to demonstrating efficacy in patients with advanced pancreatic cancer, capecitabine is being explored as a possible option in adjuvant therapy. In the currently recruiting ESPAC-4 trial (EudraCT2007-004299-38), patients with resectable pancreatic cancer or periampullary cancer are being randomised to gemcitabine + capecitabine or gemcitabine monotherapy. Completion is scheduled for November 2014.

Addition of radiotherapy to adjuvant chemotherapy remains controversial, with some studies reporting a clinical benefit but others, such as the ESPAC-1 trial, showing a deleterious effect [25]. Results from RTOG-9704 and CONKO-001 seem to suggest that adjuvant chemoradiotherapy is no more efficacious than adjuvant chemotherapy [26,29]. However, this should be interpreted cautiously as the patient populations in these trials differed, with CONKO-001 having fewer patients with margin- or node-positive disease as well as an inclusion criterion of patients with CA19-9 concentrations of 90 U/mL or less. In an exploratory subgroup analysis from a meta-analysis conducted by Stocken *et al.*, chemoradio-

therapy was found to be significantly more effective than chemotherapy in patients with positive (R1) resection margins [31]. For most oncology practices in Europe adjuvant chemotherapy alone is considered standard of care and adjuvant chemoradiotherapy is generally not administered whereas in the US adjuvant chemoradiotherapy and subsequent chemotherapy is the standard of care [32].

## 5.2. Targeted Therapies

After showing a small but significant benefit in overall survival in the phase III PA.3 trial [33] in advanced pancreatic cancer patients, erlotinib is being assessed as part of gemcitabine-based adjuvant therapy in the CONKO-005 trial (EudraCT2007-003813-15) in patients who have undergone an R0 resection. In another study currently being conducted by the Charité Oncology Group (CONKO-006; EudraCT2007-000718-35), the multi-kinase inhibitor sorafenib is being explored as part of combination adjuvant therapy with gemcitabine, compared with gemcitabine alone, in patients with an R1 resection of pancreatic cancer. The benefit of adjuvant gemcitabine in patients with an R1 resection had already been demonstrated in subgroup analysis of CONKO-001, in which gemcitabine recipients with an R1 resection survived for almost 10 months longer than patients with an R1 resection who were under observation alone. Therefore, the aim of this study is to determine whether addition of sorafenib could increase disease-free survival (primary endpoint) and subsequently overall survival (secondary endpoint) in this patient subgroup. Duration of therapy will also be assessed, with patients being treated for one year in the CONKO-006 study, compared with only six months in the CONKO-001 and CONKO-005 studies.

## 5.3. Immunotherapy

Immunotherapy is a therapeutic field that may hold great potential in the adjuvant setting, with the main aims being to inhibit regulatory T-cells which suppress the immune response to pancreatic cancer or to prime the immune system to recognize cancer cells via immunostimulatory pancreatic cancer antigens or use of genetically-modified irradiated pancreatic cancer cells [34]. Algenpantucel-L, which is the most clinically advanced pancreatic cancer vaccine currently in development, comprises an irradiated live combination of two human pancreatic cancer cell lines which present a non-human surface epitope (alpha-galactosyl), thus stimulating an immune response. A multi-institutional single-arm phase II trial assessed the efficacy of algenpantucel-L, when added to standard of care (adjuvant chemotherapy or chemoradiotherapy with gemcitabine or 5-FU) [35]. In

this trial, the one-year survival rate was higher than that observed in the RTOG-9704 trial (86% vs. 69% of patients), even though lymph node involvement was higher at baseline (81% vs. 68% positive nodes). Furthermore, algenpantucel-L was well tolerated [35]. Based on these encouraging data, the randomised IMPRESS trial by Fisher *et al.* (NCT01072981) was initiated in April 2010 and is scheduled to be finished by January 2014. In this study, 922 patients will receive standard of care with or without algenpantucel-L [34]. In a phase II single-centre trial, a granulocyte-macrophage colony stimulating factor-transduced allogeneic whole cell vaccine was administered following adjuvant fluorouracil-based chemoradiotherapy. Disease-free survival and overall survival rates at one year were 67% and 85%, respectively. Tolerability was good, with the most frequently reported adverse events being transient injection-site reactions [36]. Other vaccines containing ras-peptide, telomerase peptide, mucin + carcinoembryonic antigen and survivin are also in early-phase development as are vaccines comprising antigen-pulsed dendritic cells presenting either mucin 1 or carcinoembryonic antigen [34].

## 6. Neoadjuvant Therapy

As a result of waiting list times for surgery and the subsequent time needed for postoperative recovery, the time between diagnosis and receipt of chemotherapy can be 2 months or more. Due to micro-metastases in lymph nodes, lung, peritoneum and liver being present at diagnosis in the majority of patients, a rationale exists for the use of neoadjuvant therapy. Furthermore, as patients are at an earlier disease stage and therefore have an improved performance status, it is more likely that they will be eligible for treatment, compared with patients waiting to undergo adjuvant therapy. Neoadjuvant treatment may also reduce the risk of peritoneal tumor cell implantation during surgery due to decreasing intraoperative tumor spillage and may also result in more definitive surgical resections [37].

Unfortunately, clinical outcomes for neoadjuvant therapy have mostly been disappointing although positive results were shown in a small phase II study [38] in which 28 patients with resectable adenocarcinoma of the pancreatic head received 4 courses of neoadjuvant gemcitabine + cisplatin. Resection rate was 93%, above that of the predetermined primary endpoint of at least 70% and improvements in nutritional status and quality of life were observed. Another phase II study [39] compared gemcitabine monotherapy with gemcitabine + cisplatin. Resection rates were 38% and 70%, respectively, but survival outcomes were poor, with patients only surviving a median of 9.9 and 15.6 months, respectively. Administration of subsequent chemoradiotherapy following

initial neoadjuvant chemotherapy has also been assessed in the phase II setting. One phase II trial [40] assessed gemcitabine then gemcitabine-based radiotherapy in 20 patients and another phase II trial [41] assessed cisplatin + gemcitabine then gemcitabine + radiotherapy in 90 patients. Disappointingly, survival outcomes were generally no better than those observed with surgery alone. Furthermore, in a meta-analysis [42] comprising 4394 patients, resection rates in patients with initially resectable tumours were found to be the same, regardless of whether or not patients received neoadjuvant treatment. Therefore, although there is a hypothetical rationale for use of neoadjuvant therapy in patients with resectable pancreatic cancer, stronger clinical data are needed to support its use in standard practice.

Currently, a neoadjuvant regimen comprising nab-paclitaxel and gemcitabine is being explored in a phase II pilot trial [43]. Preliminary results were presented at ASCO 2013 in which this regimen was determined as being feasible and warranting study in a larger trial.

## 7. Borderline Resectable/Unresectable Pancreatic Cancer—A New Indication?

Patients with borderline resectable/unresectable locally advanced pancreatic cancer constitute a unique patient population. In patients who are borderline resectable, neoadjuvant treatment offers an increased chance of an R0 resection and in those patients who are borderline unresectable, neoadjuvant treatment offers an increased chance of resection, including the possibility of an R0 resection and, consequently, for both patient populations, a much extended survival duration. In the previously mentioned meta-analysis by Gillen *et al.* [42], although benefit from neoadjuvant therapy was not demonstrated in patients with resectable tumours, approximately one third of patients who had initially unresectable tumours at baseline were able to undergo resection following neoadjuvant therapy, especially if combination chemotherapy was administered. Survival in this group was similar to that observed in patients with initially resectable tumours. A large retrospective series at a single institution subsequently confirmed these data [44]. Patients with locally-advanced unresectable pancreatic cancer received neoadjuvant gemcitabine-based chemoradiotherapy. Of the 215 patients studied, 26% were able to undergo secondary resection, with the median overall survival duration being substantially longer in the 36% of resected patients who underwent an R0 resection, compared with those patients who did not undergo any resection at all (22.1 vs. 11.9 months). Moreover, in an analysis of patients undergoing curative pancreatoduodenectomy or total pancreatectomy [45], patients requiring a portal/superior mesenteric vein resection had a signifi-

cantly ( $p < 0.05$ ) shorter mean survival time, compared with patients who did not require this resection. However, adjuvant gemcitabine improved the prognosis of these patients such that the mean survival time was similar between these 2 groups of patients.

## 8. Unresectable Locally Advanced Pancreatic Cancer

### 8.1. Chemoradiotherapy

For patients with locally advanced unresectable disease, treatment options, including whether or not to administer radiotherapy, are not yet clearly defined. In a phase III study [46], chemoradiotherapy comprising fluorouracil + cisplatin and a total radiotherapy dose of 60Gy resulted in a significantly ( $p = 0.03$ ) shorter median overall survival duration, compared with gemcitabine alone (median of 8.6 vs. 13.0 months), as well as increased moderate-to-severe toxicity. Yet in a subsequent phase III trial conducted by the Eastern Cooperative Oncology Group [47], chemoradiotherapy comprising gemcitabine (600 mg/m<sup>2</sup>/week) and radiotherapy administered to a total dose of 50.4 Gy was found to significantly ( $p = 0.017$ ) improve overall survival over gemcitabine alone (median of 11.1 vs. 9.2 months). Unfortunately, due to limited statistical power resulting from poor patient accrual, this study was terminated prematurely and was not able to provide definitive evidence that could impact standard of care.

The SCALOP trial [48] was the first randomized multicenter trial to compare capecitabine-based chemoradiotherapy with gemcitabine-based chemoradiotherapy, both administered following induction treatment with gemcitabine + capecitabine, in patients with locally advanced disease. Radiotherapy was 3D conformal or intensity-modulated and was administered as 5.5 fractions per week to a total dose of 50.4Gy, although only 68% - 69% of patients received the full protocol dose. Progression-free survival rates at 9 months (primary endpoint) were 63% and 51%, respectively, which met prespecified criteria according to a Fleming's design. However, capecitabine resulted in a non-significantly ( $p = 0.111$ ) longer median progression-free survival interval, compared with gemcitabine (12.0 vs. 10.4 months; HR 0.60; 95% CI 0.32 - 1.12), including a longer median local progression-free survival interval (14.6 vs. 12.0 months) as well as a longer distant progression-free survival interval (14.3 vs. 11.9 months). More importantly, capecitabine was found to significantly ( $p = 0.012$ ) extend overall survival, compared with gemcitabine (median of 15.2 vs. 13.4 months; HR 0.39; 95% CI 0.18 - 0.81). With respect to secondary endpoints, 2 patients (6%) in the capecitabine arm alone experienced a complete response but, in general, response rates were similar between treatment

arms. Of the 58% of patients with progression in each treatment arm, 33% and 32%, respectively, experienced local relapse, 52% and 46%, respectively, experienced metastatic relapse and 14% and 23%, respectively, experienced both types of relapse. Following radiotherapy, similar numbers of patients were eligible for resection (6% vs. 8% of patients, respectively). Furthermore, capecitabine resulted in fewer grade 3 and 4 adverse events, compared with gemcitabine (11% vs. 37% of patients), including significantly ( $p = 0.007$ ) fewer grade 3 and 4 hematological adverse events (0% vs. 18% of patients) and a trend ( $p = 0.095$ ) towards fewer grade 3 and 4 non-hematological adverse events (11% vs. 26% of patients) [48].

The  $2 \times 2$  factorial LAP07 study [49] aimed to compare switching to capecitabine-based chemoradiotherapy with continuing either erlotinib + gemcitabine or gemcitabine monotherapy. Patients who had received erlotinib during the initial induction treatment could continue to receive erlotinib as maintenance therapy, regardless of whether or not they were randomized to continue treatment. Disappointingly, no significant difference in the primary endpoint of overall survival was observed between the chemoradiotherapy and the chemotherapy arms (15.3 vs. 16.5 months; HR 1.03; 95% CI 0.79 - 1.03) and nor was there any significant difference in progression-free survival (HR 0.9; 95% CI 0.7 - 1.1).

Comparison of chemoradiotherapy versus chemotherapy alone following induction with either gemcitabine, gemcitabine + nab-paclitaxel or 5-FU + folinic acid + irinotecan + oxaliplatin (FOLFIRINOX) is being investigated in the CONKO-007 trial by Fietkau and Oettle (NCT01827553). Following completion of radiotherapy, patients will continue to receive the same chemotherapy regimen as they received for induction therapy until disease progression. Overall survival is the primary endpoint and resectability status is being assessed as a secondary endpoint.

## 8.2. Investigational Agents in Locally Advanced Pancreatic Cancer

Gene therapy was recently assessed in a phase III trial in which golneminogene pradenovec, a genetically-modified adenovirus 5 vector encoding tumour necrosis factor- $\alpha$ , was administered with 5-FU + radiotherapy and compared with 5-FU + radiotherapy alone. Nonsignificant improvement in the primary endpoint of overall survival was observed in the investigational treatment arm [50].

## 9. Metastatic Pancreatic Cancer

### 9.1. First-line Therapy

Gemcitabine has comprised the first-line standard of care

for metastatic pancreatic cancer since 1997 when a pivotal study by Burris *et al.* demonstrated superiority of gemcitabine over 5-FU [28]. Subsequently many different combinations using gemcitabine as a backbone have been evaluated including 5-FU [51], capecitabine [52], oxaliplatin [53], cisplatin [54], irinotecan [55] and pemetrexed [56]. Overall, these combination regimes failed to demonstrate significant prolonged survival as compared to gemcitabine alone although a significant but modest benefit in survival of gemcitabine-based combination regimens in patients with good performance status was demonstrated in 2 meta-analyses [57,58].

### 9.2. Targeted Therapies

Although our understanding of the molecular and genetic changes associated with the development and propagation of pancreatic cancer has increased over recent years, there is still a lack of suitably targeted drugs for this disease. Despite demonstrating efficacy in other solid tumours, targeted therapies have so far resulted in disappointing outcomes in advanced pancreatic cancer. The only targeted compound which has demonstrated prolonged survival in a phase III clinical trial setting was erlotinib when administered in combination with gemcitabine [33]. In the phase III PA.3 trial, erlotinib in combination with gemcitabine resulted in a small but significantly ( $p < 0.05$ ) greater extension in overall survival, compared with gemcitabine alone (HR 0.82; median of 6.24 vs. 5.91 months). However, as this increase was not considered clinically relevant by most oncologists erlotinib has not been broadly adopted as part of standard of care. Other targeted therapies in combination with gemcitabine that have been investigated, including monoclonal antibodies such as bevacizumab and cetuximab or antiangiogenic multikinase inhibitors such as axitinib and sorafenib, have failed to show survival benefit in advanced pancreatic cancer [59-63]. Development of the once-promising hedgehog signaling pathway inhibitor vismodegib (GDC 0449) for the treatment of advanced pancreatic cancer was discontinued recently due to unfavorable results.

Many other targeted therapies are currently being investigated in early-phase clinical trials, including the hypoxia-targeted drug TH-302 [NCT01746979], the anti-IGF-R1 antibody MK 0646 [NCT00769483], and the PARP inhibitor ABT-888 [NCT01489865], although phase III confirmatory studies will be needed to definitively prove the clinical benefit of these and other agents.

### 9.3. Polychemotherapy with FOLFIRINOX

Substantial progress in the treatment of advanced pancreatic cancer has been made by the introduction of the FOLFIRINOX regimen (oxaliplatin, irinotecan fluorouracil, and leucovorin) [64]. In this phase III clinical trial, which



compared FOLFIRINOX with the current treatment standard gemcitabine, patients receiving FOLFIRINOX benefited significantly in median progression-free survival (6.4 months vs. 3.3 months,  $p < 0.001$ ) and also in the primary endpoint of overall survival (11.1 months vs. 6.8 months,  $p < 0.001$ ). However, it should be noted that FOLFIRINOX resulted in higher toxicity as compared to gemcitabine. Specifically, patients presented with more grade 3 or 4 neutropenia (45.7% vs. 21.0%,  $p < 0.001$ ) and febrile neutropenia (5.4% vs. 1.2%), which required use of granulocyte-colony stimulating factor. The tolerability profile of FOLFIRINOX was also less favorable in terms of grade 3 or 4 thrombocytopenia (9.1% vs. 3.6%,  $p = 0.04$ ), grade 3 or 4 diarrhea (12.7% vs. 1.8%,  $p < 0.001$ ) and grade 3 or 4 sensory neuropathy (9.0% vs. 0%,  $p < 0.001$ ). Interestingly, despite the increased toxicity of FOLFIRINOX regimen, time to deterioration in quality of life was similar to that observed with gemcitabine. However, since inclusion criteria were strict (patients had to be younger than 76 years with a high performance status [ECOG 0 or 1] with no cardiac ischemia and good hepatobiliary function) this does not represent a real life setting and subsequently the clinical impact of this study is thought to be modest. Moreover, FOLFIRINOX has not been readily adopted by oncologists practice due to general safety concerns about the risk of cholangitis in stented patients [65]. Currently, a modified FOLFIRINOX regimen with expected reduced toxicity due to omission of the 5-FU bolus (FOLFOXIRI) is being investigated [66].

#### 9.4. Targeting the Stroma with Nab-Paclitaxel

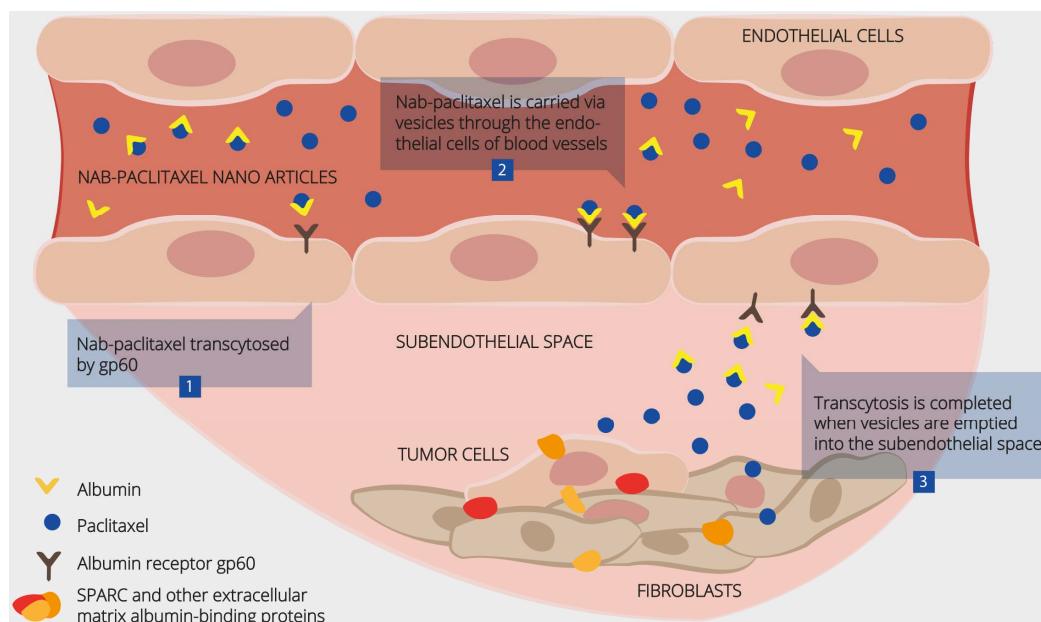
A unique feature of pancreatic cancer is an abundant stroma which impairs drug delivery by reducing drug diffusion into the primary tumour. This is thought to be a major factor in the notable resistance of pancreatic cancer to chemotherapy [67] and, consequently, many researchers have tried to improve drug delivery by targeting the stroma of pancreatic cancer cells. A recent breakthrough in this area is nanoparticle albumin-bound (nab)-paclitaxel that was initially developed to reduce treatment toxicity by allowing omission of the solvent needed to dissolve oily drug formulations of paclitaxel. Pancreatic peritumoral fibroblasts overexpress SPARC [68], which serves, via mediation of the albumin-binding protein gp60, as a strong binding protein for nab-paclitaxel [69] (Figure 2). Von Hoff *et al.* demonstrated convincing evidence of this principle in a phase I/II trial, with a response rate to nab-paclitaxel of 48%, a median overall survival (OS) of 12.2 months and 1-year survival rate of 48% [70]. They also reported interesting preclinical data; most importantly stroma depletion resulted in a 2.8-fold higher intratumoral gemcitabine concentration in mice receiving nab-paclitaxel plus gemcitabine when

compared to gemcitabine alone. At this year's ASCO gastrointestinal cancers symposium, Von Hoff *et al.* presented the results of a phase III trial in 861 patients (MPACT), which confirmed the phase II data [71]. Nab-paclitaxel plus gemcitabine was superior to gemcitabine for all efficacy endpoints with a median overall survival of 8.5 vs. 6.7 months (HR 0.72;  $p = 0.000015$ ), a median progression free survival of 5.5 vs. 3.7 months (HR 0.69;  $p = 0.000024$ ), an investigator-assessed overall response rate of 29% vs. 8% ( $p = 3.3 \times 10^{-16}$ ), and an improved one-year overall survival of 35% vs. 22% ( $p = 0.0002$ ). These improvements in efficacy for nab-paclitaxel plus gemcitabine were accompanied by good tolerability data. With comparable dose intensities being administered in both treatment arms, grade 3 or higher adverse events with nab-paclitaxel comprised neutropenia (38% vs. 27%), febrile neutropenia (3% vs. 1%), thrombocytopenia (13% vs. 9%), anemia (13% vs. 12%), fatigue (17% vs. 7%), and diarrhea (6% vs. 1%). Grade 3 or higher peripheral neuropathy occurred more often with nab-paclitaxel plus gemcitabine (17% vs. 1%) but improved to no more than grade 1 over a median period of 29 days [71].

#### 9.5. Is Nab-Paclitaxel a New Treatment Standard?

Despite the inherent limitations of inter-study comparison, the tabular overview (Tables 1-3) shows that in comparison to FOLFIRINOX, the nab-paclitaxel regime provides similar efficacy to FOLFIRINOX but with better tolerability. In contrast to FOLFIRINOX, nab-paclitaxel + gemcitabine was better tolerated even though the patient population included less fit patients. Notably, nab-paclitaxel + gemcitabine resulted in a lower rate of grade 3 and grade 4 neutropenia, compared with FOLFIRINOX (45.7% vs. 38%). Grade 3 and 4 neuropathy was found to be higher with nab-paclitaxel (17% vs. 9%), but improved quickly within 29 days to no more than grade 1 [64,71]. Looking at different subgroups patients of the MPACT trial upon multivariate analyses it was revealed that patients with a Karnofsky performance status of 70 - 80 (HR 0.61), one metastatic site (HR 0.41), more than 3 metastatic sites (HR 0.5) and increased ( $\geq 59 \times \text{ULN}$ ) CA-19-9 (HR 0.61) particularly benefited from nab-paclitaxel + gemcitabine [72]. In comparison with gemcitabine alone [28] and gemcitabine + erlotinib [33], nab-paclitaxel + gemcitabine resulted in a substantial benefit in overall survival (8.5 month vs. 6.24 month vs. 5.65 month), mitigating the increased toxicity of nab-paclitaxel. In conclusion, nab-paclitaxel + gemcitabine has a favorable toxicity profile and is a potentially new standard therapy for the treatment of metastatic pancreatic cancer in a broad range of patients. FOLFIRINOX should be restricted to younger patients who have an excellent performance status and are willing to undergo





**Figure 2. Mechanism of nab-paclitaxel drug-delivery.**

**Table 1. Patient demographics in selected pivotal phase III trials in patients with advanced disease.**

Study	GEM [28]	GEM/ERL [33]	FOLFIRINOX [64]	NABPAC [71]
Age (range)	62 (37 - 79)	63.7 (37 - 84)	61 (25 - 76)	62 (27 - 88)
Performance status	30% KPS 80 - 90 70% KPS 50 - 70	29.8% ECOG 0 50.9% ECOG 1 18.9% ECOG 2	37.4% ECOG 0 61.9% ECOG 1 0.6% ECOG 2	58% KPS 90 - 100 42% KPS 70 - 80
Liver metastases	NR	NR	87.6 %	85%
Head of pancreas	NR	NR	39.2 %	44%
CA19.9 $\geq$ 59 ULN	NR	NR	41.5 %	46%

GEM, gemcitabine; ERL, erlotinib; NABPAC, nab-paclitaxel; KPS, Karnofsky performance status; ECOG, Eastern Cooperative Oncology Group performance status; ULN, upper limit of normal; NR, not reported.

more aggressive treatment. In patients with a Karnofsky performance status of  $<70\%$ , gemcitabine monotherapy can be administered, if applicable with erlotinib being added but then subsequently discontinued if no rash has developed within the first 10 - 15 days.

## 9.6. Second-Line Therapy

There is no generally accepted consensus with regard to second-line therapy in pancreatic cancer. Nevertheless, a growing number of studies suggest that patients can benefit from second-line therapy. In the CONKO-003 trial [73,74], we randomly assigned patients to treatment with oxaliplatin, folinic acid and 5-FU (OFF) or best supportive care. Median survival in patients receiving second-line treatment with OFF was 4.82 months versus 2.30 months with best supportive care alone (HR 0.45,  $p = 0.008$ ).

Meanwhile, subsequent phase II trials have further

validated the value of fluoropyrimidine-based regimes in second-line treatment. Oxaliplatin plus capecitabine (XELOX) showed comparable efficacy in a phase II trial and offers the possibility of oral fluoropyrimidine treatment [75]. A recently presented randomized phase II trial [76] compared the most readily available fluoropyrimidine (5-FU, UFT or S-1) with continuation of gemcitabine. Fluoropyrimidine-treated patients benefited from a non-significantly prolonged progression-free survival of 113 days vs. 50 days ( $p = 0.1050$ ) and a significantly improved overall survival of 226 days vs. 161 days ( $p = 0.0384$ ). The results of another randomized phase II trial suggests that the combination of a fluoropyrimidine with irinotecan could also be of value as second-line treatment [77]. Summing up the currently available evidence, patients with a good performance status who have progressed on gemcitabine-based therapy are recommended to receive second-line therapy consisting of oxaliplatin and an (oral) fluoropyrimidine.

**Table 2. Efficacy data in selected pivotal phase III Trials in patients with advanced disease.**

Study	GEM [28]	GEM/ERL [33]	FOLFIRINOX [64]	NABPAC [71]
ORR	5.4%	8.6%	31.6%	29%
OS	5.65 months	6.24 months	11.1 months	8.5 months
1-year OS	18%	23%	48.4%	35%
18-month OS	NR	NR	18.6%	16%

ORR, overall response rate; OS, overall survival; GEM, gemcitabine; ERL, erlotinib; NABPAC, nab-paclitaxel.

**Table 3. Grade  $\geq 3$  adverse events in selected pivotal phase III trials in patients with advanced disease.**

Study	GEM [28]	GEM/ERL [33]	FOLFIRINOX [64]	NABPAC [71]
Neutropenia	25.9%	24%	45.7%	38%
Febrile neutropenia	NR	NR	5.4%	3%
Thrombocytopenia	9.7%	10%	9.1%	13%
Fatigue	NR	15%	23.6%	17%
Diarrhea	1.6%	6%	12.7%	6%
Peripheral neuropathy	NR	NR	9.0%	17%

ORR, overall response rate; OS, overall survival; GEM, gemcitabine; ERL, erlotinib; NABPAC, nab-paclitaxel.

## 10. Prognostic and Predictive Factors in Pancreatic Cancer

Currently, a lot of research is being conducted to identify and validate prognostic and predictive biomarkers in pancreatic cancer to help guide therapy decisions. Prognostic features predict prognosis independently from treatment and are based on the clinical features of the patient or the biological characteristics of pancreatic cancer such as tumor pathology whereas predictive factors predict tumor response to therapy [78]. An elevated CA 19-9 level has been identified as an independent negative prognostic biomarker in many trials. A recent comparison of the RTOG-9704 trial with the CONKO-001 trial showed that CA 19-9 values  $\geq 90$  U/mL after surgery were associated with a significantly shortened overall survival [79]. In the previously mentioned trial by Von Hoff *et al.* [71], CA19-9 decrease at 8 weeks predicted overall survival [80].

Another predictive factor in erlotinib-containing treatment regimens is the occurrence of a rash. In several phase III trials comparing erlotinib in combination with gemcitabine with gemcitabine alone in patients with unresectable advanced disease (AVITA, PA.3, AIO PK and PANTAR), occurrence of a treatment-related rash was indicative of response to erlotinib and resulted in significantly ( $p < 0.001$  for AVITA, PA.3 and AIO PK;  $p = 0.001$  for PANTAR) improved survival, compared with those patients who did not develop a rash [81-84].

hENT1, (human equilibrative nucleoside transporter-1) has been identified as a potential predictor of overall survival in patients receiving gemcitabine [85]. Multivariate

analysis of the adjuvant ESPAC-1 and -3 randomized trials confirmed increased intratumoural hENT1 expression as a predictive marker for response to gemcitabine (Wald  $\chi^2 = 7.10$ ,  $p = 0.008$ ) but not fluorouracil (Wald  $\chi^2 = 0.34$ ,  $p = 0.560$ ) [86]. Similar results were seen in the RTOG-9704 trial, in which higher hENT1 levels, upon multivariate analysis, were also correlated with improved overall survival in gemcitabine recipients (median of 24.2 vs. 14.8 months;  $p = 0.018$ ), but not in 5-FU recipients [87].

In a trial currently being conducted by Evans *et al.* (NCT01726582), feasibility of determining the most appropriate neoadjuvant treatment is being assessed in patients with borderline resectable disease. Six biomarkers have been selected based on their relevance to accepted pancreatic cancer chemotherapy regimens: high expression of SPARC (nab-paclitaxel), low expression of RRM1 (gemcitabine), low expression of ERCC1 (platinum analogs), high expression of TOPO1 (irinotecan), high expression of hENT1 (gemcitabine) and low expression of TYMS (fluorouracil). The primary endpoint is the resection rate and completion is scheduled for August 2014.

## 11. Conclusion

Currently, resection offers the best chance of cure in early-stage pancreatic cancer. Recent advances in adjuvant treatment have resulted in meaningful improvements in disease-free and overall survival, including in patients with an R1 resection or with previously unresectable disease, yet there is still no consensus on the optimal line of treatment. With several large phase III

trials scheduled to be completed in the next years, including at least one investigating the emerging area of immunotherapy, hopefully the way forward will become better elucidated. Although progress has been made in the treatment of metastatic pancreatic cancer in the last few decades, it is clear that new strategies are needed if patients' lives are to be substantially extended and the realization is starting to emerge that targeting the primary tumor alone is not enough in the most resilient malignancies. The relatively recent recognition of the tumor microenvironment as a key player in tumor development and immune evasion and, moreover, that the stroma is a major factor in the notable drug resistance of pancreatic cancer, have marked the beginning of somewhat of a paradigm shift in the way pancreatic cancer and its treatments are viewed. New therapies, in which a multi-pronged approach is employed, targeting not only the primary tumor, but also the surrounding structures such as the tumor stroma, are starting to be explored with the hope of increasing response rates and subsequently improving survival outcomes. Nab-paclitaxel heralds the beginning of this new era, demonstrating significantly greater efficacy in advanced disease when administered with gemcitabine in the phase III setting than the current standard of care, gemcitabine monotherapy, and with less toxicity than FOLFIRINOX. It will be interesting to see if this proven efficacy in the palliative setting can be extrapolated to the adjuvant and neoadjuvant setting as well as in patients with locally advanced unresectable disease. Nab-paclitaxel should be considered as an eminent therapeutic option in combination with gemcitabine in the treatment of patients with pancreatic cancer.

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