

# Experimental Validation of Subject-Specific Dynamics Model for Predicting Impact Force in Sideways Fall

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## Abstract

Sideways fall has been identified as the most critical situation for the elderly to develop hip fractures. The impact force onto the greater trochanter is the key factor for predicting fracture risk. For the elderly, the impact force can only be determined by dynamics simulations, and the dynamics model must be first validated by experiments before it can be applied in clinic. In this study, subject-specific whole-body dynamics models constructed from dual energy X-ray absorptiometry (DXA) images of the subjects were validated by controlled and protected fall tests using young volunteers. The validation results suggested that subject-specific dynamics model is much more accurate in predicting impact force induced in sideways fall than conventional non-subject-specific dynamics model. Therefore, subject-specific dynamics model can be applied in clinic to improve the accuracy of assessing hip fracture risk.

## Keywords

Hip Fracture, Impact Force, Sideways Fall, Dynamics Model, Whole Body DXA Image

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## 1. Introduction

Statistical studies show that more than 90% of hip fractures are caused by falls [1] [2]. Hip fracture is a common health problem among the elderly [3] [4] and it is a major source of morbidity and mortality worldwide. It is estimated that the number of hip fractures over the world will rise from 1.3 million in 1990 to between 4.5 and 21.3 million by 2050 based on statistical trends in population aging and in hip fracture incidence [5]-[7]. Hip

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fracture has been a main contributor to medical-care costs [8]. In the United States alone, 310,000 hip fractures occurred in 2003 and the total medical-care cost was approximately \$10.3 to \$15.2 billion, including acute medical care and nursing home services. Future costs will be even greater with the rapidly aged population and the continuously increasing hip fracture incidence over the world. It is necessary to study fall dynamics and hip fracture risk to effectively prevent hip fractures. Van den Kroonenberg proposed a three-link dynamic model for studying sideways fall from standing height [9]. Laing and his colleagues attempted to identify hip stiffness by studying experimentally obtained force-deflection relation of the pelvis affected by a lateral impact to the hip [10]. A two-degree-of-freedom dynamics model was constructed by Kim using parameters obtained by system identification techniques [11]. The model was validated by experimental data and then used to study dynamic interactions of various biomechanical parameters in forward fall arrests by hands. Groen conducted experiments to investigate the relationship between fall velocity and impact force [12]. Sabic and his group studied the impact force experienced at the hip and the shoulder in sideways falls [13]. Groen also studied the effects of hand arrest, impact velocity and trunk orientation on hip impact force [14]. Zijden and his co-workers investigated the effectiveness of fall techniques in reducing impact force induced in sideways fall [15].

Reported studies on fall dynamics are mostly based on fall experiments using young volunteers. Although fall experiment is necessary and valuable for studying fall dynamics [11] [13] [15], it is not practical for the elderly due to ethical and safety reasons. On the other hand, existing dynamics models are non-subject-specific, as the anthropometric and dynamics parameters used in the models are not from the subject in concern. Therefore, they have very limited accuracy in predicting fall-induced impact force for a specific individual. In this study, a previously developed dynamics model [9] is improved to make it subject-specific. The improved model is then validated by controlled and protected fall tests using young volunteers. The objective of the study is to develop a reliable dynamics model so that it can be applied to predict subject-dependent impact force in sideways fall of the elderly. Dual energy X-ray absorptiometry (DXA) imaging modality has been widely used in clinic for screening and monitoring osteoporosis due to its low radiation. DXA images have been used to quantify tissue masses in living human body [16]-[18], based on the fact that the attenuation coefficients of X-ray are proportional to mineral contents in the tissues. Therefore, DXA image was adopted for constructing subject-specific dynamics models in this study.

## 2. Methods

Anthropometric and dynamics parameters of body segments are extracted from a whole body DXA image of the subject and then used in constructing a subject-specific whole-body dynamics model.

### 2.1. Determination of Anthropometric Parameters Using Whole-Body DXA Image

There exists a linear correlation between pixel value in medical image and tissue mass density. Although DXA image is two dimensional, it can be used to calculate the anthropometric parameters required in constructing a dynamics model [19]. The mass ( $\Delta m$ ) of each pixel element can be obtained by the multiplying the mass density represented by the pixel value and the area of the pixel element. The masses of body segments can be obtained by summing up masses of all the pixel elements in the body segments. The center of mass and mass moment of inertia for a body segment are calculated using their definitions

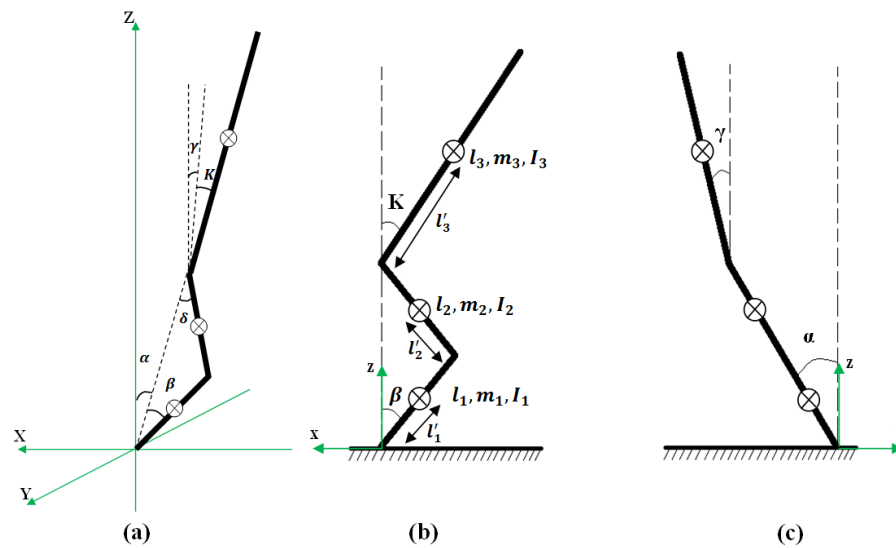
$$r_c = \frac{\sum r_i \cdot \Delta m_i}{\sum \Delta m_i} \quad (1)$$

$$I = \sum r_i^2 \cdot \Delta m_i \quad (2)$$

where  $r_i$  is the distance between the pixel element and a selected reference line.

### 2.2. Subject-Specific Dynamics Model

Although complicated models are available for studying fall dynamics, a three-link model is adopted in this study for its simplicity and easiness to be adopted into clinic. The model is shown in **Figure 1** and consists of three links, representing respectively the shank (Link 1), the thigh (Link 2) and the trunk (Link 3). Their mass, length, mass center from the distal end and mass moment of inertia are denoted as  $m_i$ ,  $l_i$ ,  $l'_i$  and  $I_i$  ( $i = 1, 2, 3$ )



**Figure 1.** Three-link human body dynamics model: (a) three-dimensional view; (b) projection on sagittal plane; (c) projection on coronal plane.

The above parameters can be determined from a whole body DXA image of the subject in concern using the method described in the previous section. The motion configuration of the model is described by three angles,  $\alpha$ ,  $\beta$  and  $\gamma$ . Where  $\alpha$  is the angle between the vertical and the projection of the shank on  $y$ - $z$  plane;  $\beta$  is the angle between the shank and its projection on  $x$ - $z$  plane;  $\gamma$  is the angle between the vertical and the projection of the trunk on  $y$ - $z$  plane. The angle between the trunk and its projection on  $x$ - $z$  plane,  $\kappa$ , is considered as a constant in a fall [9]. However, it may have different value in different fall depending on the initial conditions.

The equations of motion were derived from the Lagrange's method,

$$\frac{\partial}{\partial t} \left( \frac{\partial T}{\partial \dot{s}_i} \right) - \frac{\partial T}{\partial s_i} + \frac{\partial V}{\partial s_i} = Q_i, (i = 1, 2, 3) \quad (3)$$

where  $Q_i$  ( $i = 1, 2, 3$ ) are joint moments generated by muscles, ligaments and tendons across the joints;  $s_i$  denote the generalized coordinates ( $s_1 = \alpha, s_2 = \beta, s_3 = \gamma$ ). The expressions of kinetic energy ( $T$ ) and potential energy ( $V$ ) are provided in [Appendix A](#). Joint moments  $Q_\beta$  and  $Q_\gamma$  were calculated from fourth-order Lagrange polynomials of  $\alpha, \beta$  and  $\gamma$  that were constructed from experiment data, the obtained expressions are given in [Appendix B](#). The polynomials were then plugged into the left-hand side of the equations in Equation (3) to obtain joint moments  $Q_\beta$  and  $Q_\gamma$ . Due to complexities in the expressions, Maple codes were written to derive the equations.

### 2.3. Impact Model

Interaction between the body and the ground is described by the impact model shown in [Figure 2](#), which consists of a mass ( $M$ ), a spring ( $K$ ) and a damper ( $C$ ). In the impact, the motion of the mass ( $M$ ) is governed by the damped free vibration that has been described in most Mechanical Vibrations textbooks. The initial velocity is from the end of the fall. The mass ( $M$ ) is the so-called effective mass, representing only the part of the body that has contribution to the impact force. The method for calculating the effective mass is described in [9] and the main formulas are summarized in [Appendix C](#). The effective mass is related to the kinematic configuration of the body before the impact. Therefore, it is not a constant even for the same subject. The spring and the damper represent the effects of the soft tissue overlying the hip. The spring constant ( $K$ ) and the damping coefficient ( $C$ ) can be determined by the logarithm decrement method from a deflection-time curve of the hip measured from fall tests that will be described later. After solving the undamped free vibration equation, the impact force is determined as

$$F = Kz + C\dot{z} \quad (4)$$

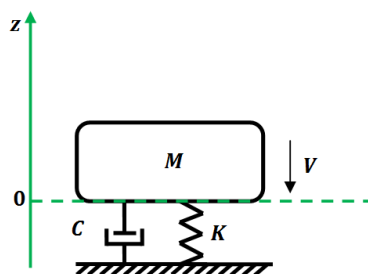


Figure 2. Impact model.

## 2.4. Protected Fall Tests

Fall tests were conducted to validate the subject-specific dynamics and impact model described in Sections 2.2 and 2.3. Three young volunteers were recruited for the study under a human research ethics approval. Before the tests, all the subjects were scanned using a clinic DXA scanner and their whole body DXA images were obtained. The subjects' anthropometric parameters that were calculated from the DXA images are listed in [Table 1](#). For each subject, a dynamics model was constructed using the obtained anthropometric parameters. As the parameters used in constructing the dynamics models are obtained from the corresponding subjects, the dynamics models are thus subject specific. Then, the subjects participated in controlled and protected fall tests.

To prevent potential injury, the tests were conducted using a specially designed test system as shown in [Figure 3](#). The system mainly consists of an electromagnetic release, slings and harness for positioning the subject, a foam protection pad with an appropriate thickness and a force plate sensor embedded in the floor and under the protection pad. The force plate is connected to a data collection system. The subject was positioned so that the hip would hit the center of the force plate in the fall. Reflective markers were attached at the key locations of the body as shown in [Figure 3](#). The motion time histories of the reflective markers were automatically recorded by a motion capture system that consists of six high-speed cameras and a control computer. A sampling rate of 200 Hz was used in the tests. The time history data of the impact force were recorded via the force plate.

The motion of the three-link dynamics model shown in [Figure 1](#) and described by the three generalized coordinates was extracted from the obtained experiment data in two steps. First, the spatial coordinates of the left and the right markers were averaged to obtain the motion of the body central line; the spatial coordinates of the body central line at the head, the hip, the knee and the ankle were used to calculate the three angles,  $\alpha$ ,  $\beta$  and  $\gamma$ . Angular velocities ( $\dot{\alpha}$ ,  $\dot{\beta}$  and  $\dot{\gamma}$ ) and angular accelerations ( $\ddot{\alpha}$ ,  $\ddot{\beta}$  and  $\ddot{\gamma}$ ) were calculated from the angles by applying the finite difference method in the time domain. These results are used to validate the predicted motions by the three-link dynamics model in the following section. To make the predicted and the experiment results comparable, initial conditions used in the dynamics simulations were retrieved from the experiment data and applied in the dynamics simulations.

## 3. Results

Using the methods presented in the previous section, the initial conditions, effective mass, hip stiffness and hip damping ratio for the three subjects were obtained and they are listed in [Table 2](#).

As the motion of the hip has direct effect on the impact force, the kinematics of the hip joint is used as a baseline for the validation. The predicted and experimental time histories of the hip vertical position, velocity and acceleration for Subject 1 are displayed in [Figure 4](#). The predicted and experimentally measured impact force time histories for Subject 1 are plotted in [Figure 5](#). The results for the other two subjects are provided in [Appendix D](#).

Relative errors in the predicted maximum hip vertical velocities and peak impact forces with respect to the corresponding experiment results are provided in [Table 3](#). Comparison between subject-specific model and non-subject-specific model with respect to their accuracy in predicting hip velocity and impact force is given in [Table 4](#).

## 4. Discussions and Conclusions

Compared with those results produced by the non-subject-specific dynamics model [9], the accuracy in the hip

**Table 1.** Subject anthropometric parameters identified from whole body DXA images.

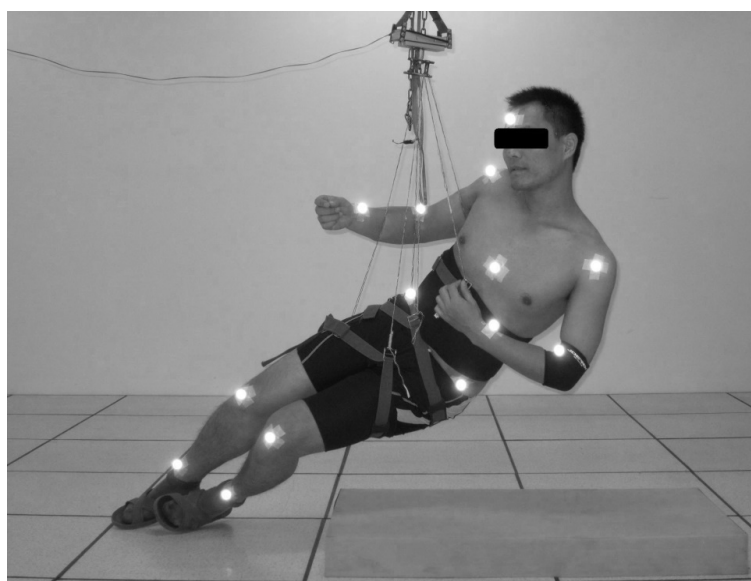
Subject		1	2	3
Body Mass (kg)		77	72	64
Body Height (m)		1.73	1.72	1.74
Segment Length (m)	Shank	0.4358	0.4236	0.4232
	Thigh	0.4490	0.4107	0.4367
	Trunk	0.8452	0.8857	0.8801
Segment Mass (kg)	Shank	7.3347	6.8651	6.8419
	Thigh	21.1000	18.3649	17.9949
	Trunk	47.1469	45.1429	39.7879
Segment Mass Center $l'$ (m)	Shank	0.2502	0.2265	0.2165
	Thigh	0.2556	0.2446	0.2673
	Trunk	0.3703	0.3831	0.3823
Segment Mass Moment of Inertia (kg·m <sup>2</sup> )	Shank	0.1386	0.1388	0.1515
	Thigh	0.3116	0.2300	0.2798
	Trunk	2.6278	2.3261	1.7789

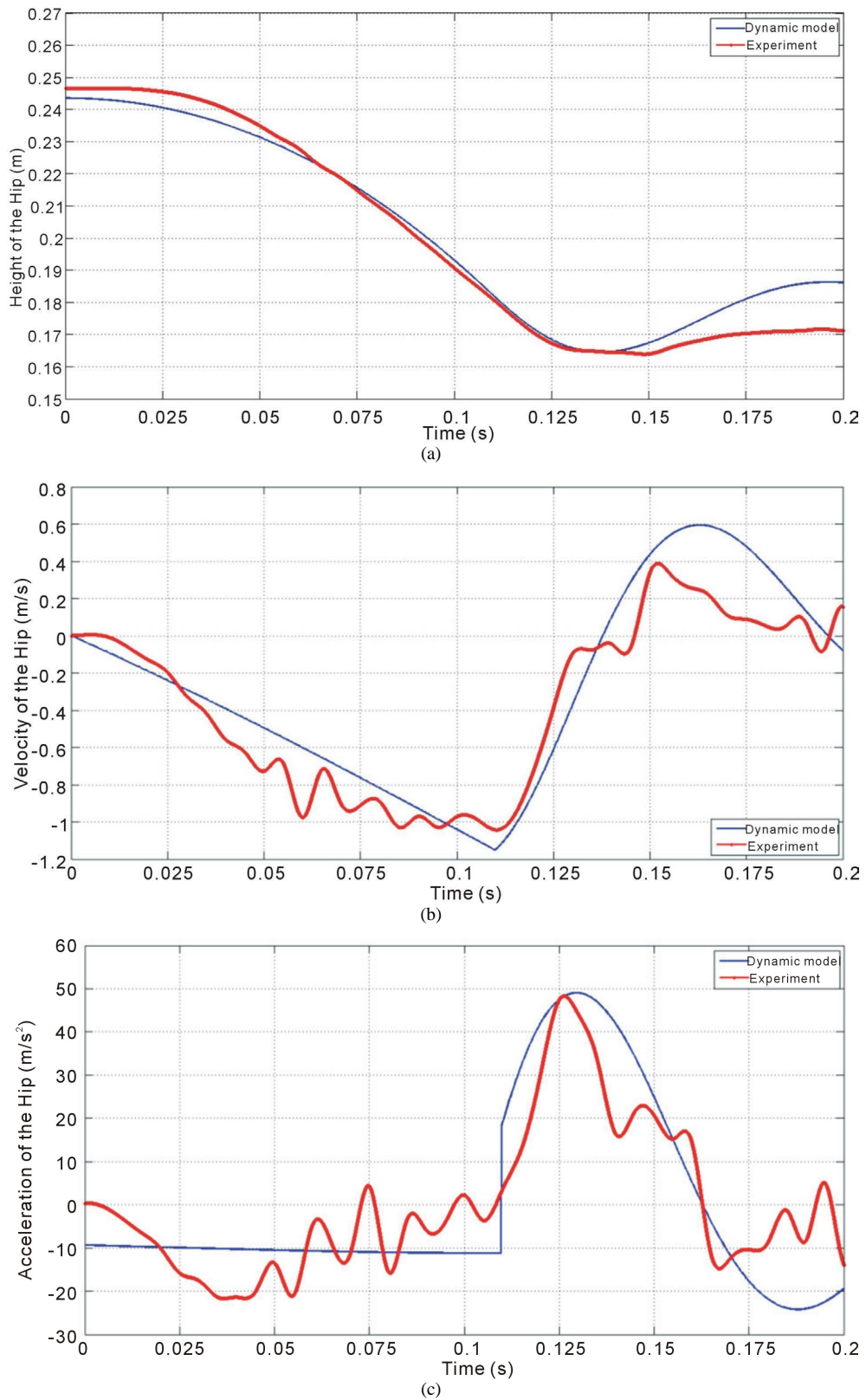
**Table 2.** Initial conditions and dynamics parameters retrieved from experiment data.

Subject	$\alpha^\circ$	$\beta^\circ$	$\gamma^\circ$	$\kappa^\circ$	Effective Mass (kg)	Hip Stiffness (kN/m)	Hip Damping Ratio
1	64	52	38	10	35.56	108.328	0.2189
2	63	48	40	12	29.75	81.088	0.2065
3	40	30	48	25	24.62	72.716	0.2682

**Table 3.** Relative errors in predicted maximum hip vertical velocity and peak impact force compared with experiment data.

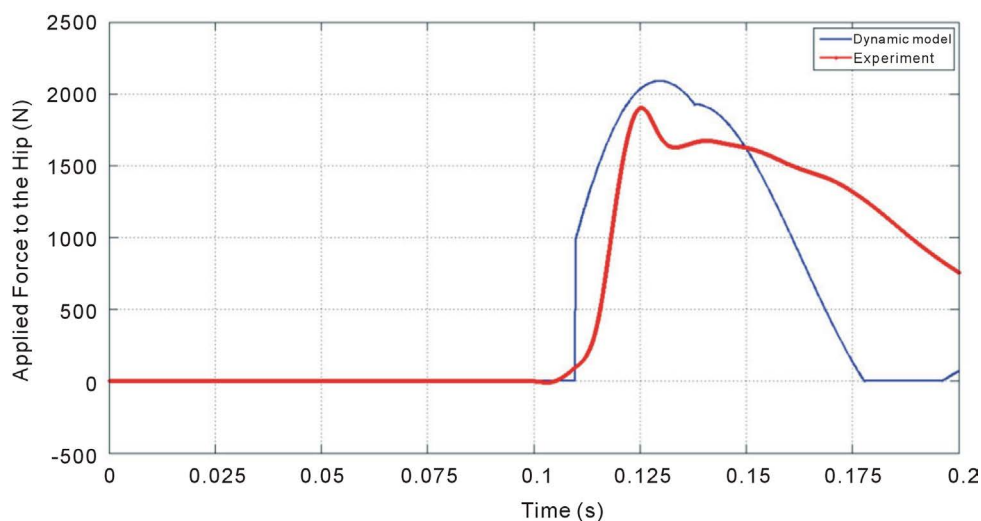
Subject	Max. Hip Vertical Velocity (m/s)			Peak Impact Force (N)		
	Dynamics Model	Experiment	Relative Error (%)	Dynamics Model	Experiment	Relative Error (%)
1	-1.1531	-1.063	8.4	2090.1	1900.8	9.96
2	-1.3287	-1.2364	7.4	1899.6	1714.4	10.80
3	-2.4348	-2.4931	2.3	2777.4	2961.8	6.22

**Figure 3.** Controlled and protected fall test with a motion capture system.



**Figure 4.** Kinematics time histories obtained by dynamics simulations and fall tests for Subject 1. (a) hip vertical position; (b) hip vertical velocity; (c) hip vertical acceleration.





**Figure 5.** Predicted and experiment time histories of the impact force acting on the hip of Subject 1.

**Table 4.** Comparison between subject-specific and non-subject-specific models.

Model		Relative Error (%)	
		Max. Hip Vertical Velocity	Peak Impact Force
Non-subject-specific model [20]	5% percentile	45.7	40.0
	95% percentile	34.5	30.8
Subject-specific model		6.0 (average)	9.0 (average)

velocity and impact force predicted by the subject-specific model has been greatly improved. The relative errors with respect to experiment data have been reduced in average from 40.1% and 35.4% to 6.0% and 9.0%, respectively. By examining the governing equations in Equation (1) and the energy expressions in [Appendix A](#), it can be known that there are basically two groups of variables involved in the dynamics of sideways fall. One group consists of kinematic variables such as link angles and angular velocities, which are determined by solutions of the governing equations. The other group includes anthropometric parameters, for example, the segment lengths, segment masses, mass centers and mass moments of inertia. These segmental anthropometric parameters are not only affected by the subject's overall physiological attributes such as body weight and height, but also by the distribution of the body mass. Two subjects having the same weight and height but different shape, for example, longer trunk vs. longer lower extremities, pear-shaped vs. upside-down pear-shaped, may have very different segmental anthropometric parameters. The differences will affect the resulting kinematics in fall, e.g. hip velocity, via the governing equations, and thus also the impact force. The impact force is determined by both the anthropometric parameters and the kinematic variables of the body just before the impact. From the impact model shown in [Figure 2](#) and the expression in Equation (4), the impact force is explicitly related to the hip vertical velocity and the effective mass. The effective mass is in turn related to other kinematic variables and anthropometric parameters via the expressions in [Appendix C](#). In the expressions the variables  $\delta$  and  $\beta$  represent the body configuration before the impact. Therefore, in different fall, the same subject may suffer different impact force if the subject has different body configuration before the impact.

The excellent agreement between the predicted results and the experiment data was achieved from two aspects. The use of subject-specific anthropometric parameters improved the accuracy of the predicted kinematic variables and the impact forces; the experiment was also subject-specific, that is, the same subject whose anthropometric parameters were used in constructing the dynamics model was also involved in the corresponding fall test. From the obtained results, it can be concluded that subject-specific dynamics models are more accurate in predicting the impact force than conventional non-subject-specific model. Although only three subjects were used in the study, it is adequate for validating the proposed procedure of constructing subject-specific dynamics models from DXA images. Indeed, there are still a number of factors affecting the accuracy of subject-specific dynamics model, as indicated by the relative errors (6% and 9% in [Table 4](#)), which are still quite large and need

to be improved. The hip stiffness and damping factor may have nonlinear relations with the soft tissue and muscle strength over the subject's hip. The relations between the joint moments and the link angles may be more complicated than those fourth-order polynomials used in this study. Even the number of links in the dynamics model may need to be increased to consider the complicated body motions in sideways falls. More experiments need to be done to know their effects on the predicted impact force. A much larger number of subjects, considering combinations of different age, gender, weight, height, etc., will be required to investigate the effects of the mentioned factors.

As whole body DXA images are readily available from clinic center for screening and monitoring osteoporosis, the proposed subject-specific dynamics model may become helpful to more accurately assess hip fracture risk for the elderly.

## Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this article.

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## Appendix A

For the three-link dynamics model shown in **Figure 1**, the total kinetic energy in the system has the following expression

$$\begin{aligned}
 T = & \frac{1}{2}m_1(Pl_1)^2(\dot{\beta}^2 + \dot{\alpha}^2(\cos\beta)^2) + \frac{1}{2}I_1(\dot{\beta}^2 + \dot{\alpha}^2(\cos\beta)^2) + \frac{1}{2}m_2\left\{\left[(Q-1)l_1\dot{\beta}\cos\beta\right]^2\right. \\
 & + \left[-\dot{\alpha}\cos\alpha(l_1\cos\beta + Ql_2\cos\delta) + \sin\alpha(l_1\dot{\beta}\sin\beta + Ql_2\dot{\delta}\sin\delta)\right]^2 \\
 & + \left[-\dot{\alpha}\sin\alpha(l_1\cos\beta + Ql_2\cos\delta) + \cos\alpha(-l_1\dot{\beta}\sin\beta - Ql_2\dot{\delta}\sin\delta)\right]^2\left.\right\} + \frac{1}{2}I_2(\dot{\delta}^2 + \dot{\alpha}^2(\cos\delta)^2) \\
 & + \frac{1}{2}m_3\left\{\left[-\dot{\alpha}\cos\alpha(l_1\cos\beta + l_2\cos\delta) + \sin\alpha(l_1\dot{\beta}\sin\beta + l_2\dot{\delta}\sin\delta) - Rl_3\dot{\gamma}\cos K\cos\gamma\right]^2\right. \\
 & + \left[-\dot{\alpha}\sin\alpha(l_1\cos\beta + l_2\cos\delta) + \cos\alpha(-l_1\dot{\beta}\sin\beta - l_2\dot{\delta}\sin\delta) - Rl_3\dot{\gamma}\cos K\sin\gamma\right]^2\left.\right\} + \frac{1}{2}I_3(\dot{\gamma}^2(\cos K)^2)
 \end{aligned}$$

The total potential energy in the system is

$$\begin{aligned}
 V = & m_1g(Pl_1)\cos\beta\cos\alpha + m_2g(l_1\cos\alpha\cos\beta + Ql_2\cos\alpha\cos\delta) \\
 & + m_3g(l_1\cos\alpha\cos\beta + l_2\cos\alpha\cos\delta + Rl_3\cos K\cos\gamma)
 \end{aligned}$$

In the above expressions,  $m_1$ ,  $m_2$  and  $m_3$  are the link masses;  $l_1$ ,  $l_2$  and  $l_3$  are the link lengths;  $I_1$ ,  $I_2$  and  $I_3$  are the link mass moments of inertia;  $l'_1$ ,  $l'_2$  and  $l'_3$  are distances of mass center from the distal end; and

$$\begin{aligned}
 P = \frac{l'_1}{l_1}, \quad Q = \frac{l'_2}{l_2}, \quad R = \frac{l'_3}{l_3} \\
 \delta = \arcsin\left(\frac{l_1}{l_2}\sin\beta\right)
 \end{aligned}$$

## Appendix B

To find  $\alpha$ ,  $\beta$  and  $\gamma$  for each individual, information for each angle is obtained from release point to the impact moment. Then, a fourth order Lagrange polynomial is approximated to interpolate their functionality with respect to time. So, these functions and therefore,  $T_\beta$  and  $T_\gamma$  are defined subject-specifically.

For Subject 1:

$$\begin{aligned}
 \alpha_t &= -363.5t^4 + 144.3t^3 - 13.39t^2 + 0.3320t + 1.175 \\
 \beta_t &= -217.2t^4 + 111.1t^3 - 11.30t^2 + 0.2987t + 0.8931 \\
 \gamma_t &= 174.7t^4 - 70.43t^3 + 5.015t^2 - 0.0716t + 0.6375
 \end{aligned}$$

For Subject 2:

$$\begin{aligned}
 \alpha_t &= -349.1t^4 + 118.4t^3 - 9.291t^2 + 0.5201t + 1.096 \\
 \beta_t &= -613.2t^4 + 223.8t^3 - 20.66t^2 + 0.7108t + 0.8379 \\
 \gamma_t &= -108.9t^4 + 62.25t^3 - 5.207t^2 - 0.2187t + 0.6719
 \end{aligned}$$

And for Subject 3:

$$\begin{aligned}
 \alpha_t &= -103.4t^4 + 72.57t^3 - 11.66t^2 + 0.4491t + 0.6935 \\
 \beta_t &= -110.2t^4 + 68.78t^3 - 6.776t^2 + 0.1765t + 0.5192 \\
 \gamma_t &= 78.83t^4 - 24.77t^3 - 3.791t^2 + 0.3226t + 0.8588
 \end{aligned}$$

### Appendix C

The effective mass of the dynamics model in **Figure 1** is obtained by summing up the effective masses of the links [3]. The effective mass for the shank and the thigh links (**Figure C1**) is calculated by

$$M_{12} = \frac{I_{12}}{d^2 + \frac{I_{12}}{m_1 + m_2}}$$

where

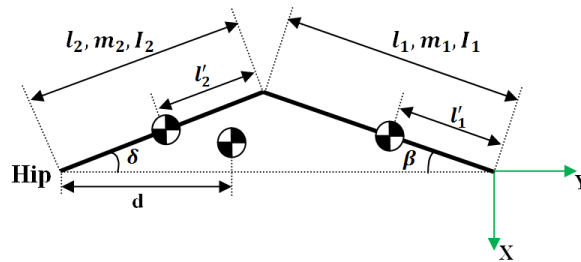
$$I_{12} = I_1 + I_2 \quad \text{and} \quad d = \frac{(1-Q)l_2m_2 \cos \delta + m_1((1-P)l_1 \cos \beta + l_2 \cos \delta)}{m_1 + m_2}.$$

$P$  and  $Q$  are already defined in **Appendix A**.

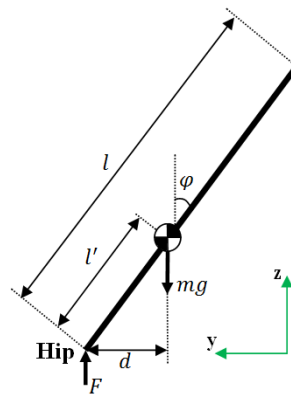
The effective mass for the trunk link as shown in **Figure C2** is

$$M_3 = \frac{Im_3}{I + l'dm_3 \sin \varphi}$$

The total effective mass for the whole dynamics model in **Figure 1** is given by  $M = M_{12} + M_3$ .

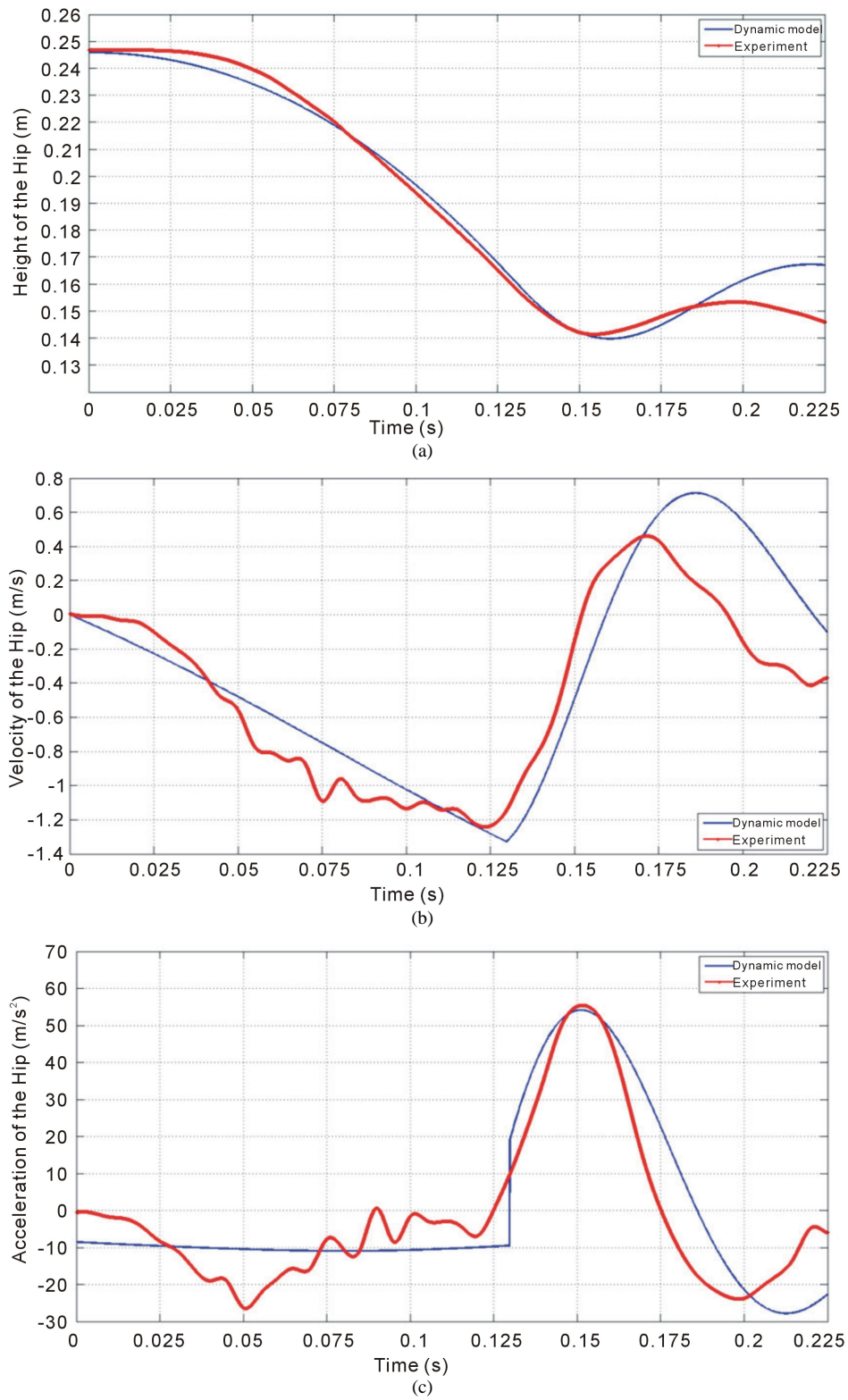


**Figure C1.** Free body diagram of shank and thigh links.

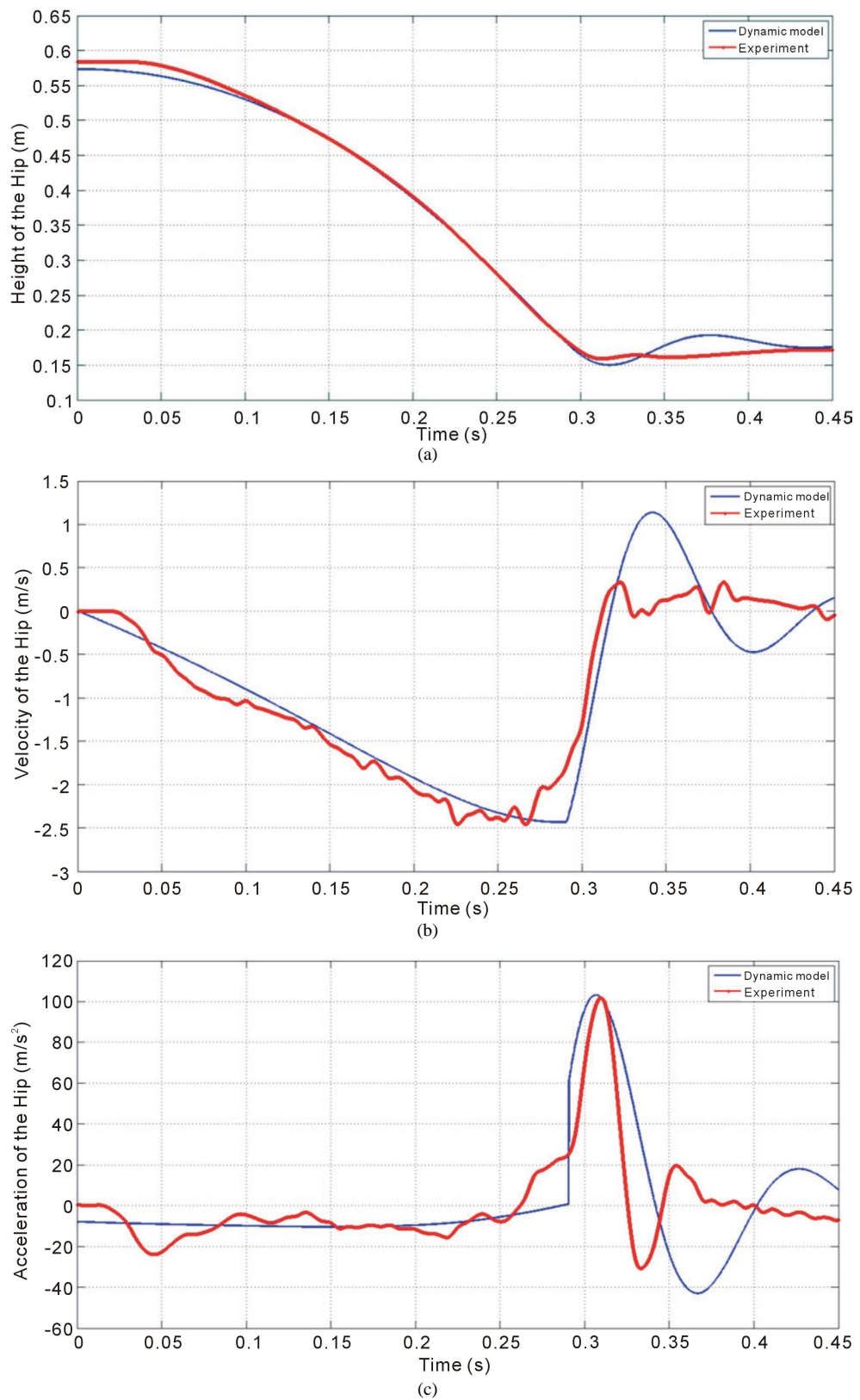


**Figure C2.** Free body diagram of the trunk link.

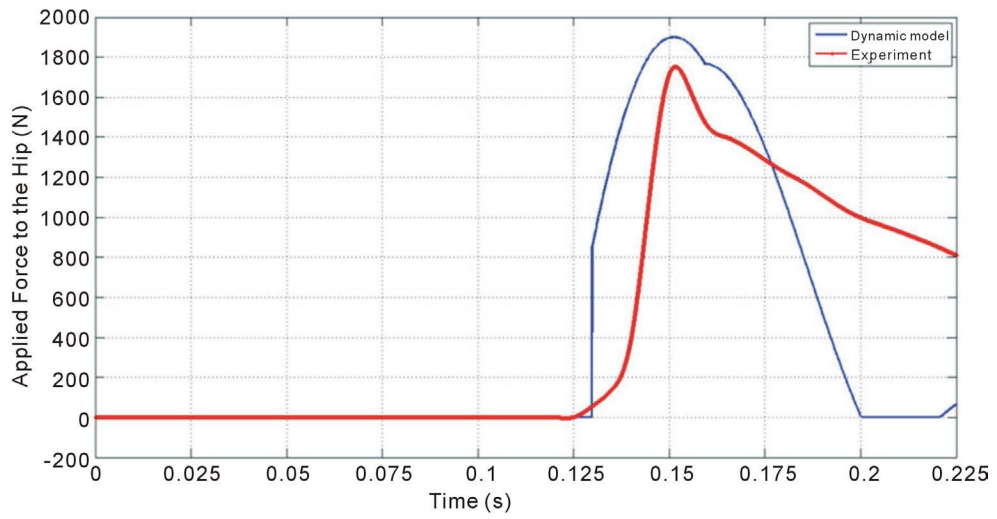
## Appendix D



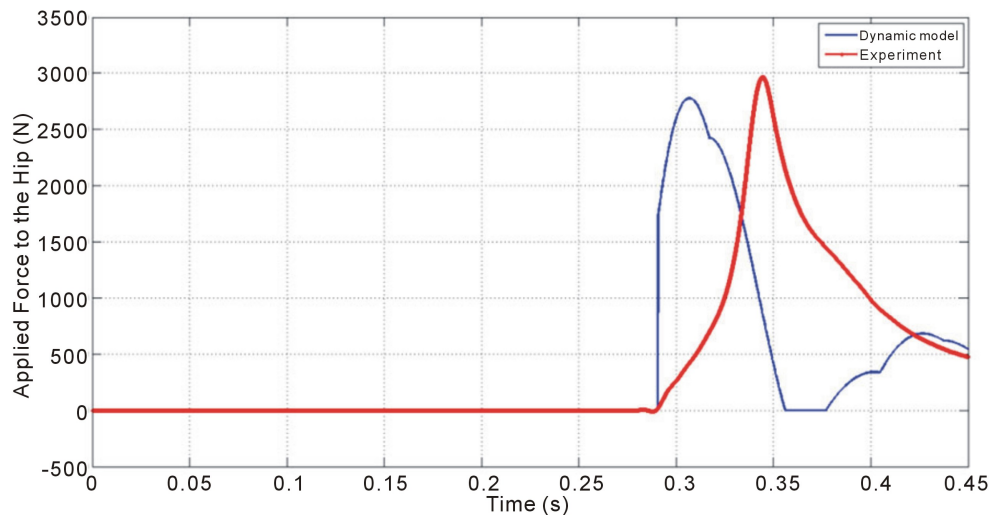
**Figure D1.** Kinematics time histories obtained by dynamics simulations and fall tests for Subject 2. (a) hip vertical position; (b) hip vertical velocity; (c) hip vertical acceleration.



**Figure D2.** Kinematics time histories obtained by dynamics simulations and fall tests for Subject 3. (a) hip vertical position; (b) hip vertical velocity; (c) hip vertical acceleration.



**Figure D3.** Predicted and experiment time histories of the impact force acting on the hip of Subject 2.



**Figure D4.** Predicted and experiment time histories of the impact force acting on the hip of Subject 3.