

# A Biomimetic Gellan-Based Hydrogel as a Physicochemical Biofilm Model

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## Abstract

Biofilm-forming microorganisms are ubiquitous, but continuous cultivation of these microorganisms with predictable biofilm growth and structural properties remains challenging. The development of a reliable simulated biofilm has been limited by a lack of information about the microorganism subpopulations and fluid-structure interactions involved in biofilm formation and detachment due to mechanical stress. This paper presents a gellan-based hydrogel as an alternative material for a simulated physicochemical biofilm. The mechanical properties of the hydrogel in terms of the storage ( $G'$ ) and loss ( $G''$ ) moduli can be tuned and adapted to imitate biofilms of different strengths by changing the concentration of gellan and mono- ( $\text{Na}^+$ ) or divalent ( $\text{Mg}^{2+}$ ) ions. The storage modulus of the hydrogel ranges from 2 to 20 kPa, and the loss modulus ranges from 0.1 to 2.0 kPa. The material constants of the hydrogels and biofilms of *Pseudomonas putida* KT2440 were experimentally determined by rheometric analysis. A simplified biofilm imitate based on highly hydrolyzed gellan hydrogels was established by using experimental design techniques that permitted independent analyses regardless of growth. This model system design was compared to real biofilms and was adapted to mimic the mechanical properties of biofilms by changing the hydrogel composition, resulting in biofilm-like viscoelastic behavior. The use of a gellan-based hydrogel enables the imitation of biofilm behavior in the absence of growth effects, thus simplifying the system. Biofilm characterization tools can be tested and verified before their application to the measurement of slow-growing, highly variable biofilms to estimate system errors, which are often smaller than the biological variations. In general, this method permits faster and more reliable testing of biofilm mechanical properties.

## Keywords

Biofilm Mechanics; Gellan Based Hydrogel; Viscoelasticity; Design of Experiments (DoE); Surface Response Methodology

## 1. Introduction

Biofilm-forming microorganisms are ubiquitous and are protected by a matrix of biopolymers, proteins, and other organic substances [1]. These characteristics complicate the removal of unwanted biofilms, thus resulting in reduced plant productivity or poor product quality. However, biofilms are also capable of degrading recalcitrant compounds and are thus essential in the microbial treatment of industrial wastewater. Catalytic biofilms are capable of synthesizing a wide variety of metabolites for potential use in the production of pharmaceuticals [2] [3] or biological toxic bulk chemicals, e.g., styrene oxide in biofilm-based biotransformations [4] [5]. Some of these metabolites are only produced in well-defined mixed biofilm biocoenoses, increasing interest in the controlled production of biofilms [6]. However, the continuous cultivation of biofilms with predictable growth and structural properties is difficult. Limited information about the microorganism subpopulations and the fluid-structure interactions involved in biofilm formation and detachment due to mechanical stress has complicated the development of reliable simulated biofilms. Recent studies have not had sufficient experimental data to validate models [7]-[9].

Typically, bacterial matrix polysaccharides include the following homo-polymers: cellulose, curdlan, dextran, and hetero-polymers such as alginate, emulsan, gellan, and xanthan [1]. These polysaccharides are the most suitable base for the hydrogel model. Rowley *et al.* [10] proposed alginate as a synthetic extracellular matrix. However, their study focused on mammalian cells and not on the identification of materials for a physicochemical biofilm model. Shoichet *et al.* [11] demonstrated that calcium alginate-based hydrogels are unstable with time and therefore do not provide reliable mechanical properties. Although gellan gum was discovered less than three decades ago, its gelation behavior in aqueous solutions has been studied intensively [12].

The aim of this paper was to simplify the biofilm system by using a physicochemical model based on highly hydrolyzed gellan hydrogels developed using experimental design techniques. The gellan-based hydrogel is an alternative material for synthetic physicochemical biofilms. The model system design was compared to real biofilms and adapted to mimic their mechanical properties. The storage ( $G'$ ) and loss ( $G''$ ) moduli of the hydrogels could be tuned and adapted to simulate biofilms of different strengths by changing the concentration of the gellan and mono- ( $\text{Na}^+$ ) or divalent ( $\text{Mg}^{2+}$ ) ions, resulting in biofilm-like viscoelastic behavior.

## 2. Experimental

### 2.1. Biofilms

The sample biofilms were based on *Pseudomonas putida* KT2440. *P. putida* is a Gram-negative, rod-shaped (0.5 to 1.0  $\mu\text{m} \times 1.5$  to 5.0  $\mu\text{m}$ ), saprotrophic soil bacterium that exhibits optimal planktonic growth at 25 to 30°C [13] [14] and also grows as a biofilm [15]-[17]. *P. putida* can metabolize aromatics such as toluene, phenol, and polystyrene and is therefore used in water treatment or soil recovery processes [18]-[20]. The bacteria were grown in a standard lysogeny broth (LB) containing 10  $\text{gL}^{-1}$  Bacto-Tryptone (Becton Dickinson, Franklin Lakes, NJ, USA), 5  $\text{gL}^{-1}$  yeast extract (AppliChem, Darmstadt, Germany), and 10  $\text{gL}^{-1}$  NaCl (Merck, Darmstadt, Germany). All solid media were dissolved in deionized water, and the pH was adjusted to 7. For agar plates, 15  $\text{gL}^{-1}$  agar was added to the broth prior to autoclaving. *P. putida* KT2440 was cultured in Erlenmeyer flasks with shaking for 24 h at 30°C. In accordance with Körstgens *et al.* [21], biofilms were generated by cultivating *P. putida* KT2440 overnight in LB at 30°C in a shaking incubator. The bacterial culture was then diluted to approximately  $10^6$  cells, was filtered through a recycled cellulose membrane with an average pore size of 0.2  $\mu\text{m}$  (Sartorius stedim Biotech, Göttingen, Germany). The filter membranes were placed on agar plates and cultured at 30°C. After 48 h, a mucoid bacterial biofilm lawn developed on the surface of the membrane filters, which were then placed on the stainless steel plate of the rheometer (Gemini II, Malvern Instruments, Worcestershire, UK) for viscoelastic characterization.

### 2.2. Sample Preparation

Gellan (Gelrite) was obtained from Carl Roth and was produced by Merck (Kelco Division, Rahway, NJ, USA). The gellan was already highly purified, and no further purification was required. Prior to sample preparation, stock solutions of gellan and the chloride and sulfate salts of  $\text{Na}^+$  and  $\text{Mg}^{2+}$  were prepared. The gellan stock solution contained 1.5% (w/v) gellan and deionized water and was heated to 90°C and maintained at this temperature for 60 min to ensure complete dissolution and hydration of the polysaccharides. The stock solution was

stored as a liquid at 60°C. The chloride and sulfate salts of Na<sup>+</sup> (Merck, Darmstadt, Germany) and Mg<sup>2+</sup> (Sigma-Aldrich, St. Louis, USA) were separately dissolved in deionized water to prepare 5 M and 2.5 M stock solutions, respectively. To prepare the hydrogel samples, deionized water was preheated to 70°C. Depending on the desired final concentrations of gellan, Na<sup>+</sup>, and Mg<sup>2+</sup>, appropriate amounts of the respective stock solutions were added to a beaker, and the preheated deionized water was added to achieve a final total volume of 30 mL. The solution was then stirred for 10 min at 70°C. The beaker was covered to prevent evaporation. A 2 mL volume of the liquid solution was poured into a cylindrical mold ( $\phi = 40$  mm, height = 2 mm), which was placed on aluminum foil; this process was repeated with additional molds to obtain multiple samples. After 10 min, the gelled hydrogels were placed into Petri dishes, which were sealed to prevent evaporation and stored at 5°C for 24 h.

### 2.3. Rheological Parameters

The rheological parameters storage modulus ( $G'$ ) and loss modulus ( $G''$ ) were measured with a plate-plate Bohlin Gemini II rheometer (Malvern Instruments, Worcestershire, UK) at 25°C. The frequency was set from 0.1 to 10 s<sup>-1</sup>. At frequencies lower than 0.1 s<sup>-1</sup>, the water stored in the hydrogel evaporates rapidly, resulting in false measurements. The tensile stress ( $\sigma$ ) was maintained within a range of 0.5 to 500 Pa and controlled by a constant strain of  $\gamma_0 = 0.005$ , limited by the linear range of the hydrogels. Data were obtained at 25 logarithmic scaled frequencies with a resolution of 11 bits and averaged over 2 s. The normal force was kept constant within a range of  $1 \pm 0.3$  N.

The applied sinusoidal shear strain ( $\gamma$ ) and the resulting stress ( $\sigma$ ) response are given in Equation (1) and Equation (2), respectively:

$$\gamma(t) = \gamma_0 \cdot \sin(\omega t), \quad (1)$$

$$\sigma(\omega, t) = \gamma_0 \cdot [G' \cdot \sin(\omega t) + G'' \cdot \cos(\omega t)], \quad (2)$$

where  $\omega$  is the circular frequency and  $\delta$  is the resulting phase angle. The storage ( $G'$ ), loss ( $G''$ ), and complex moduli ( $G^*$ ) and the phase angle ( $\delta$ ) are defined in Equations (3) to (6) [22]:

$$G' \equiv \frac{\sigma_0}{\gamma_0} \cdot \cos(\delta), \quad (3)$$

$$G'' \equiv \frac{\sigma_0}{\gamma_0} \cdot \sin(\delta), \quad (4)$$

$$G^* = G' + iG'' \quad \text{and} \quad (5)$$

$$\delta = \arctan \frac{G''}{G'} \quad (6)$$

## 3. Theory

Gellan, an extracellular polymeric substance (EPS), is a linear, anionic polymer that is secreted by several bacteria, including *Pseudomonas elodea* (reclassified as *Sphingomonas elodea*). Gellan has a distinct gelation temperature and produces transparent gels [23]–[25]. As advantage to alginate, gellan has a thermoreversible sol-gel transition [26], permitting wider application as a biofilm model material. The gelation process is generally explained as a two-step procedure in which the random coil is transformed to a double helix and then to a helical aggregate. Structure formation concludes within 24 h. The gelation temperature and gel strength are influenced by the pH and the presence of ions. Intermolecular interactions are enhanced by hydrogen bonding, resulting in gel formation and increased thermal stability. The effects of mono- and divalent cations on gelation are substantially different [27]–[29]. Sworn *et al.* [24] demonstrated that Na<sup>+</sup> has a greater influence on the transition temperature than Ca<sup>2+</sup>, while Ca<sup>2+</sup> increases the strength of the gel. Increasing the ion concentration increases the gel strength until the maximum gel strength is reached. Thus, the viscoelastic properties of a gellan-based hydrogel can be adjusted by adjusting the ion concentration. However, as summarized in **Table 1**, published data vary by several magnitudes for similar or even identical systems, e.g., Miyoshi *et al.* [27] [30] and Oliveira *et al.* [31].

**Table 1.** Values for the storage ( $G'$ ) and loss ( $G''$ ) moduli of gellan-based hydrogels containing different ion concentrations (20°C to 30°C and a frequency of  $1\text{ s}^{-1}$ ). The data were collected from figures and tables in the referenced literature.

| Gellan [% (w/v)] | Ion concentration       | $G'$ [Pa]            | $G''$ [Pa]           | References |
|------------------|-------------------------|----------------------|----------------------|------------|
| 0.02             | 1.2 mM $\text{Ca}^{2+}$ | $8.9 \times 10^{-2}$ | $4.1 \times 10^{-2}$ | [39]       |
| 0.02             | 2.0 mM $\text{Ca}^{2+}$ | $6.1 \times 10^0$    | $2.1 \times 10^{-1}$ | [39]       |
| 0.1              | 220 mM $\text{Na}^+$    | $1.1 \times 10^1$    | $1.0 \times 10^0$    | [40]       |
| 0.125            | 102 mM $\text{Na}^+$    | $6.6 \times 10^{-1}$ | $2.9 \times 10^{-1}$ | [24]       |
| 0.3              | 30 mM $\text{Na}^+$     | $1.7 \times 10^2$    | $1.2 \times 10^1$    | [29]       |
| 0.3              | 100 mM $\text{Na}^+$    | $1.5 \times 10^3$    | $4.1 \times 10^1$    | [29]       |
| 0.7              | 270 mM $\text{Ca}^{2+}$ | $3.9 \times 10^4$    | $3.2 \times 10^3$    | [31]       |
| 1.0              | -                       | $8.2 \times 10^{-2}$ | $1.0 \times 10^0$    | [30]       |
| 1.0              | -                       | $3.7 \times 10^{-2}$ | $7.9 \times 10^{-1}$ | [41]       |
| 1.0              | -                       | $7.5 \times 10^{-1}$ | $1.5 \times 10^{-1}$ | [27]       |
| 1.0              | 10 mM $\text{K}^+$      | $5.2 \times 10^0$    | $6.8 \times 10^0$    | [30]       |
| 1.0              | 30 mM $\text{K}^+$      | $1.7 \times 10^1$    | $8.7 \times 10^0$    | [30]       |
| 1.0              | 50 mM $\text{Na}^+$     | $1.5 \times 10^{-3}$ | $3.7 \times 10^{-1}$ | [41]       |
| 1.0              | -                       | $2.0 \times 10^2$    | $2.0 \times 10^1$    | [28]       |
| 1.0              | 100 mM $\text{K}^+$     | $1.0 \times 10^3$    | $3.5 \times 10^1$    | [28]       |
| 1.6              | -                       | $8.1 \times 10^{-1}$ | $7.0 \times 10^{-1}$ | [42]       |
| 1.6              | -                       | $3.5 \times 10^2$    | $1.2 \times 10^1$    | [43]       |
| 2.0              | -                       | $8.6 \times 10^0$    | $2.1 \times 10^1$    | [27]       |
| 2.0              | -                       | $1.9 \times 10^1$    | $2.5 \times 10^1$    | [30]       |
| 2.5              | -                       | $6.9 \times 10^1$    | $3.5 \times 10^1$    | [27]       |
| 3.0              | -                       | $5.9 \times 10^1$    | $3.2 \times 10^1$    | [30]       |

### 3.1. Gellan as a Biofilm Matrix

Bacterial biofilms can be described as polymeric porous gels that primarily consist of microorganisms, proteins, nucleic acids, polysaccharides, and water [32]-[34]. Typical components of the EPS-matrix are alginate, gellan, or xanthan. These components form a highly hydrated viscoelastic gel that provides the robust mechanical stability of the biofilm [35]. The EPS matrix also acts as a promoter for the initial attachment of the bacterial cells to solid surfaces, strengthens the biofilm structure, and enhances its resistance due to environmental stress and disinfectants [34] [36]. The biofilm matrix is a dynamic system that can adapt to changes in environmental shear stress and respond to environmental changes [37] [38]. Therefore, the robustness of biofilms can be attributed to the viscoelastic properties of the EPS matrix. As the elastic component absorbs stress energy through reversible deformation, the viscous flow overcomes internal stress by irreversible deformation [35]. The types of EPSs in biofilms grown *in vitro* can vary depending on the microorganisms present and the nutrient supply; biofilms can also exhibit evidence of aging and heterogeneity [33].

### 3.2. Central Composite Design

In order to study the influence of  $\text{Na}^+$  and  $\text{Mg}^{2+}$  on the viscoelastic properties of different gellan-based hydrogels within a frequency range of 0.1 to  $10\text{ s}^{-1}$ , a response surface methodology (RSM) was used. The RSM provides a smooth visualization of information for problem optimization when the mechanism that produced the data is either unknown or poorly understood. Thus, the mathematical form of the true response surface is unknown. An empirical linear or polynomial model is fitted to the data [44] [45]. In this case, the concentrations of the gellan and the ions give three independent factors, the frequency is an additional fourth factor, and the storage ( $G'$ ) and loss ( $G''$ ) moduli provide two responses. Because the complex modulus ( $G^*$ , compare Equation (5)) and the phase angle  $\delta$  (compare Equation (6)) can be computed from  $G'$  and  $G''$ , they are not independent and were not considered further. The goal was to describe the influence of the response variables as a function of the factors. Depending on the system, the RSM uses a mathematical model to predict the response, which can either be li-

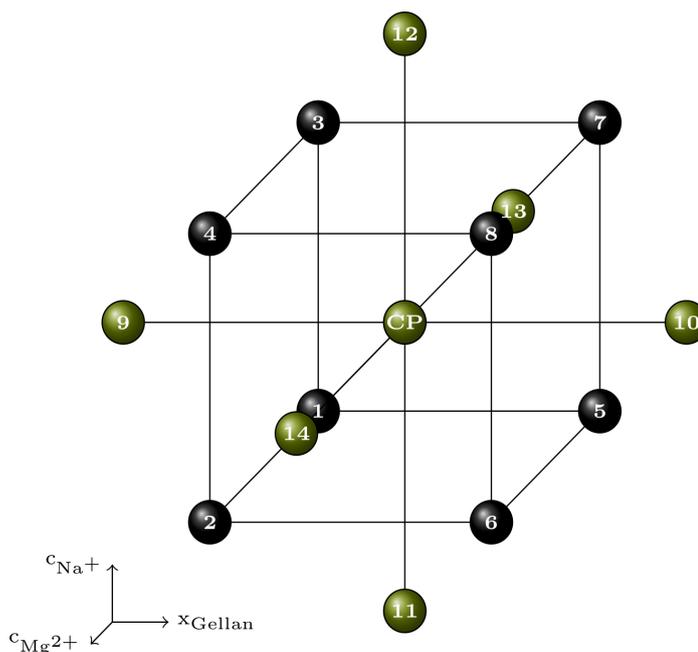
near, quadratic, or cubic [45]-[47]. Equation (7) shows the quadratic model for four factors: the content of gellan ( $x_{\text{Gellan}}$ ), the concentrations of  $\text{Mg}^{2+}$  ( $c_{\text{Mg}^{2+}}$ ) and  $\text{Na}^+$  ( $c_{\text{Na}^+}$ ), and the logarithmic frequency ( $f$ ):

$$R = a_0 + a_1 x_{\text{Gellan}} + a_2 c_{\text{Mg}^{2+}} + a_3 c_{\text{Na}^+} + a_4 f + a_5 x_{\text{Gellan}} c_{\text{Mg}^{2+}} + a_6 x_{\text{Gellan}} c_{\text{Na}^+} + a_7 x_{\text{Gellan}} f + a_8 c_{\text{Mg}^{2+}} c_{\text{Na}^+} + a_9 c_{\text{Mg}^{2+}} f + a_{10} c_{\text{Na}^+} f + a_{11} x_{\text{Gellan}}^2 + a_{12} c_{\text{Mg}^{2+}}^2 + a_{13} c_{\text{Na}^+}^2 + a_{14} f^2. \quad (7)$$

The response surface of choice is the central composite design (CCD) developed by Box and Wilson for chemical processes [44]. The CCD was set up with the previously mentioned four factors and five levels of variations. To simplify the visualization, the frequency was ignored, and thus the CCD was reduced to three dimensions (three factors). As shown in **Figure 1**, the CCD can thus be presented as a cube composed of points #1 to #8, a center point (CP), and a star composed of points #9 to #14. The cube, including the CP, includes the first three variations, while the star adds another two levels of variation [45]. The software used was Design-Expert (Stat-Ease, Version 7.1.6), MATLAB (MathWorks, Version 8.1.0.604) and OriginPro (OriginLab Corporation, Version 9.0.0 (64 bit) SR2).

### 3.3. Defining the Design Space

The gellan manual [48] states that a maximum gel hardness is reached in gels containing 1% (w/v) gellan and 6 to 8 mM  $\text{Mg}^{2+}$ . At concentrations higher than 8.4 mM,  $\text{Mg}^{2+}$  enables gelation at temperatures above 50°C. The sol-gel transition temperature is below 50°C when the  $\text{Na}^+$  or  $\text{K}^+$  concentration is less than 100 mM. Our own experiments (data not shown) have shown that gels containing less than 0.5% (w/v) gellan, 30 mM  $\text{Na}^+$ , and 5 mM  $\text{Mg}^{2+}$  are weak but highly. The CP concentration was defined as 0.75% (w/v) gellan, 40 mM  $\text{Na}^+$ , and 6.7 mM  $\text{Mg}^{2+}$ . Concentrations which are intermediate between those yield weak and maximally hard gels. The corners of the cube represent the minimum and maximum concentrations stated above and are comparable to the literature data summarized in **Table 1**. To obtain an adequate model, a fully factorial design including six replicates of the CP was used, resulting in a total of 20 experiments. **Table 2** summarizes the concentrations used in each experiment, which ranged from 0.33 to 1.17% (w/v) gellan, 3.9 to 9.5 mM  $\text{Mg}^{2+}$ , and 23 to 57 mM  $\text{Na}^+$ . The frequency was included and was varied from 0.1 to 10  $\text{s}^{-1}$  due to its influence on  $G'$  and  $G''$ .



**Figure 1.** Central composite design with three factors, varying over three levels of variation represented by the cube (#1 to #8, black). The RSM includes a fourth and fifth level of variation by introducing the star (#9 to #14, green). The center point (#15) is marked as CP.

**Table 2.** Concentrations used in the CCD with three factors (frequency is ignored). Runs #1 to #8 are the corners of the cube, #9 to #14 are the outer points of the star, and run #15 is the CP. The frequency range was set from 0.1 to 10 s<sup>-1</sup>, with 25 logarithmically scaled measurement points. All runs were performed in triplicate; the CP (#15) was repeated six times.

| Hydrogel # | Gellan [% (w/v)] | c <sub>Mg2+</sub> [mM] | c <sub>Na+</sub> [mM] |
|------------|------------------|------------------------|-----------------------|
| 1          | 0.50             | 5                      | 30                    |
| 2          | 0.50             | 8.4                    | 30                    |
| 3          | 0.50             | 5.0                    | 50                    |
| 4          | 0.50             | 8.4                    | 50                    |
| 5          | 1.00             | 5.0                    | 30                    |
| 6          | 1.00             | 8.4                    | 30                    |
| 7          | 1.00             | 5.0                    | 50                    |
| 8          | 1.00             | 8.4                    | 50                    |
| 9          | 0.33             | 6.7                    | 40                    |
| 10         | 1.17             | 6.7                    | 40                    |
| 11         | 0.75             | 6.7                    | 23                    |
| 12         | 0.75             | 6.7                    | 57                    |
| 13         | 0.75             | 3.9                    | 40                    |
| 14         | 0.75             | 9.5                    | 40                    |
| 15         | 0.75             | 6.7                    | 40                    |

## 4. Statistical Methods

In order to ensure the quality of the model and the data, statistical approaches were required to verify the results obtained. A Box-Cox analysis was used for power transformation and to improve the results by adjusting the model. This approach assumes that if the computed model is adequate to fit the data, the residuals should be normally distributed because they are caused by random error; thus, the residuals should have a mean close to zero. Data that are not normally distributed are transformed by the power of  $\lambda$ :

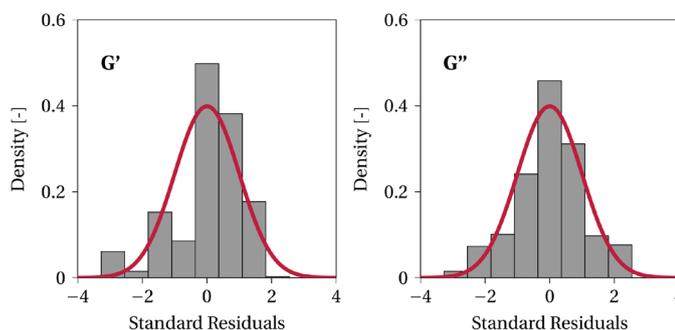
$$Y' = \begin{cases} Y^\lambda & \lambda \in \mathbb{R}/0 \\ \log(Y) & \lambda = 0. \end{cases} \quad (8)$$

The Box-Cox analysis computes the minimal residual sum of squares as a function of  $\lambda$  and provides a guideline for selecting the correct power law transformation. The optimal value of  $\lambda$  yields the minimal residual sum of squares. The transformed data can improve the quality of the model but might not always result in normally distributed data [45]. To ensure the normal distribution of data, a Gaussian distribution of standard residuals is used to visualize the density of the residuals (compare **Figure 2**).

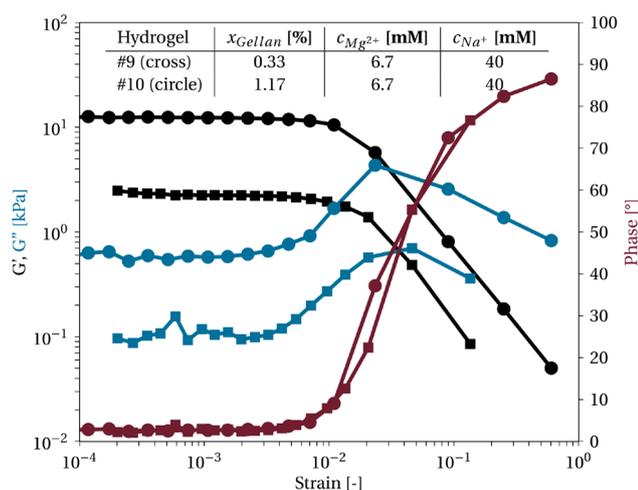
Some additional statistical values were provided by the Design of Experiments Software Design Expert. The quality of the fitted model is described by the F-value, R<sup>2</sup>, and Adequate Precision Ratio. The F-value compares the variance of the model with the variance of the residuals; the larger the F-value, the higher the significance of the model. R<sup>2</sup> is a measure of the amount of variation around the mean. Finally, the adequate precision is a ratio of the signal to noise. It compares the predicted values of the design points to the average prediction error. Ratios greater than four are considered adequate for model discrimination [44] [45] [49].

## 5. Results and Discussion

The first measurement was performed to define the region where the hydrogel behaves linear-viscoelastic. **Figure 3** shows the G' and G'' as well as the phase angle of two hydrogels (#9 and #10) versus the strain. The curve shapes for G' and G'' as well as for the phase angle of both gels are clearly visible. These represent the hydrogels with lowest and highest concentration of gellan, which contained 0.33% (w/v) and 1.17% (w/v) gellan, respectively. The applied strain was increased from 10<sup>-4</sup> to 1 at a constant frequency of 1 s<sup>-1</sup>. Between 10<sup>-4</sup> and 10<sup>-3</sup>, G' and G'' were almost constant. These results are comparable to those of García *et al.* [40] for a 0.1% (w/v) gellan gel with c<sub>Na+</sub> = 220 mM. Te Nijenhuis described the straight line at lower strains as plate, which can also



**Figure 2.** Gaussian distribution of standard residuals for the storage and loss moduli. The normal curve is shown in red, and the density of the models standard residuals are shown as gray bars.



**Figure 3.** Amplitude sweep of two different gellan-based hydrogels. The hydrogels differ only in the gellan content,  $x_{\text{Gellan},\#9} = 0.33\%$  (w/v) (circle) and  $x_{\text{Gellan},\#10} = 1.17\%$  (w/v) (square), with a constant ion concentration of  $c_{\text{Mg}^{2+}} = 6.7$  mM and  $c_{\text{Na}^+} = 40$  mM. The frequency was constant at  $1 \text{ s}^{-1}$ .

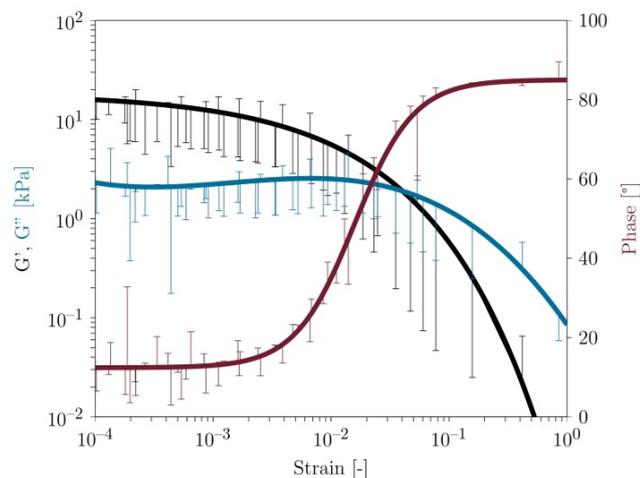
be observed in agarose or kappa carrageenan-based systems containing similar salts [41].

For strains ( $\gamma$ ) between  $10^{-4}$  and  $5 \cdot 10^{-3}$  the phase angle is small, pointing to a behavior similar to that exhibited by linear-elastic or Hookean material. For larger deformations, the phase angle increases to  $90^\circ$ , thus changing the behavior of the material from Hookean solid-like behavior ( $\delta = 0^\circ$ ) to Newtonian liquid-like behavior ( $\delta = 90^\circ$ ), compare Equation (2).

However, when leaving the constant region, the error increases, reducing the accuracy. The linear range does not change between the two hydrogels, and the tendency toward an increase in the phase angle and a transition from a Hookean solid to a Newtonian liquid is similar. Thus, the CCD experiments were performed with a constant strain of  $5 \cdot 10^{-3}$  to ensure reproducibility.

## 5.1. Viscoelasticity of Biofilms

For comparison with the synthetic hydrogels, biofilms of *P. putida* KT2440 were tested in an amplitude sweep, and the storage ( $G'$ ) and loss ( $G''$ ) moduli and the phase angle are plotted as a function of strain in **Figure 4**. The rheological behavior of the biofilms was similar to that of the hydrogels. For the biofilms,  $G'$  was larger than  $G''$ , which is typical of stable elastic gels [35]. In contrast to the hydrogel, the viscoelasticity of the biofilm was approximately linear up to a strain of  $5 \cdot 10^{-3}$ . Higher strains cause an irreversible deformation of the biofilm structure, with a tendency toward viscous-like behavior [35]. As a result, the frequency sweeps were performed with a



**Figure 4.** Amplitude sweep of a *P. putida* KT2440 biofilm cultivated for 48 h on a membrane filter on LB agar at 30°C. The frequency was constant at 1 s<sup>-1</sup>. Experiments were performed using triplicate *P. putida* KT2440 biofilms and approximated (solid lines) to visualize the trend.

constant strain of  $5 \cdot 10^{-3}$  to avoid irreversible deformation and ensure comparability with the hydrogel data.

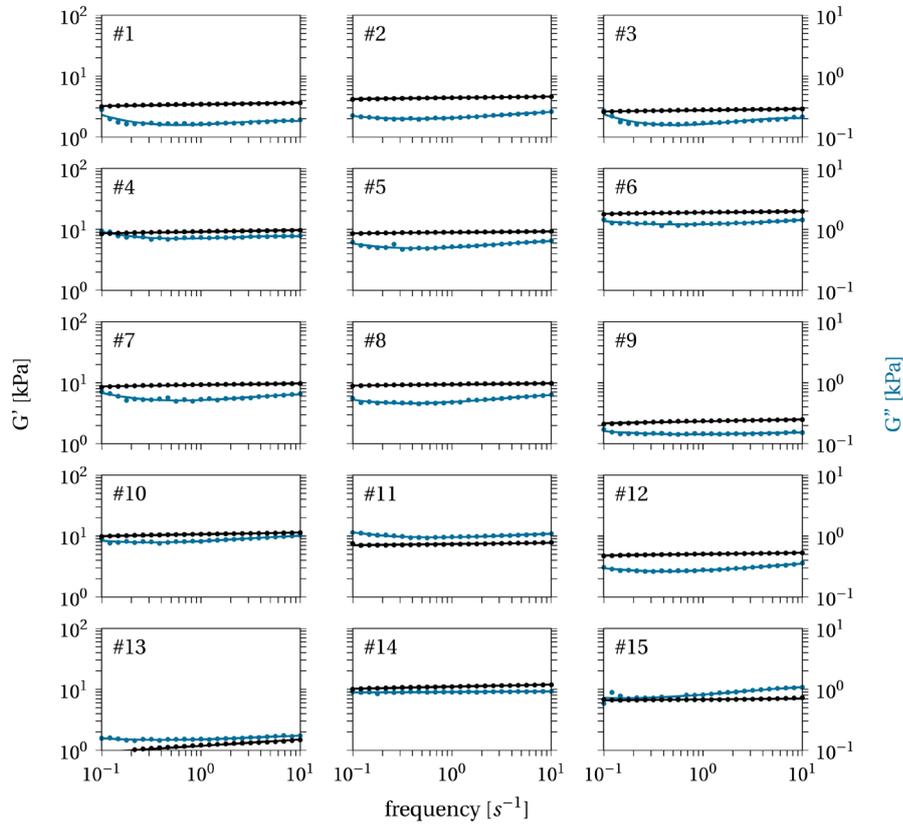
## 5.2. Relation to Frequency

The first modeling approaches ignored the influence of frequency on  $G'$  and  $G''$ , leading to an unsatisfactory model estimate. However, according to te Nijenhuis [41], a gel has a depicted maximum which is followed by a minimum in  $G''$ , while  $G'$  yields a straight line when plotted versus the frequency in a double logarithmic graph (compare Figure 5). Due to the general limitations of the measurement techniques, it is difficult to reach the maximum at very low frequencies [41].

Figure 5 shows  $G'$  and  $G''$  versus a frequency ranging from 0.1 to 10 s<sup>-1</sup>. A constant or slight increase in  $G'$  and a minimum for  $G''$  were observed.  $G''$  is always considerably smaller than  $G'$  due to the low bonding energies between the molecules [41].  $G'$  can be approximated by linear regression, but a quadratic or cubic polynomial is more appropriate for  $G''$ . Higher concentrations of salt or polysaccharides result in smaller changes in  $G'$  or  $G''$  with increasing frequency. Gels with a high  $x_{\text{Gellan}}$  (e.g., #10) had a smaller slope for  $G'$  and a less pronounced curvature in  $G''$  than gels with smaller amounts of  $x_{\text{Gellan}}$ . The slope of  $G'$  varied from 0.015 to 0.13 depending on the hydrogel and was smaller but within the range of the value of 0.20 established by te Nijenhuis [41]. This result is within the same dimension with data from previous studies of similar concentrated gellan systems or comparable gels in an identical frequency range [27]-[31] [39]. Confirming the results reported by te Nijenhuis [41], Figure 5 shows measurements of the gel-like region of the hydrogel, which is in between the liquid-like and the rubber-like region and is defined by a straight line in a double-logarithmic plot of  $G'$  versus frequency. However, the gellan-based hydrogels with high gellan content and added salts displayed only weak gel properties, consistent with the results of Cesàro *et al.* [50], te Nijenhuis [41], and Miyoshi *et al.* [27]. Despite the small variation, the frequency dependence is important as an additional logarithmic factor  $f = \log(\text{frequency})$  in the CCD and increases the quality of the estimated response surfaces.

## 5.3. Storage and Loss Modulus

The response surfaces presented are the result of fitting the data for 15 hydrogels of different compositions to Equation (7) with  $R$  being the response and used as the values of  $G'$  and  $G''$ . Each model term was tested to improve the overall model and was only selected if statically significant. The model residuals were checked for normal distribution; for data that were not normally distributed, the power transformation factor  $\lambda$  was computed and used to transform  $G'$  and  $G''$ . The new data were again fitted to Equation (7). When  $\lambda$  is close to one, the model is considered to include all effects. The solution is presented below.



**Figure 5.** Storage ( $G'$ , black) and loss ( $G''$ , blue) moduli versus the frequency of the CCD data. Experimental mean values are presented as circles, while the lines are the fitted polynomials. All measurements were performed in triplicate.  $G'$  shows a linear tendency, while  $G''$  can be described by a quadratic polynomial.

The responses were power transformed with  $\lambda = 0.5 \rightarrow Y' = Y^{0.5}$  for  $G'$  and  $\lambda = 0 \rightarrow Y' = \log(Y)$  for  $G''$ . This resulted in a suggested model for  $G'$  with  $F = 504.60$  and  $R^2 = 0.88$ . The probability that the F-value was that high due to noise is only 0.01%. The adequate precision ratio was 75.19, supporting the results above. This finally leads to the significant model terms for  $x_{\text{Gellan}}$ ,  $c_{\text{Mg}^{2+}}$ ,  $c_{\text{Na}^+}$ ,  $f$  and  $x_{\text{Gellan}}c_{\text{Na}^+}$ :

$$\sqrt{G'} = a_0 + a_1 x_{\text{Gellan}} + a_2 c_{\text{Mg}^{2+}} + a_3 c_{\text{Na}^+} + a_4 f + a_5 x_{\text{Gellan}} c_{\text{Na}^+}. \quad (9)$$

The recommended model function for  $G''$  was given by  $F = 305.44$  and  $R^2 = 0.83$ . Although these values are lower than those for  $G'$ , the probability of the F-value being caused solely by noise is again only 0.01%. The adequate precision ratio is 61.99, again yielding an adequate model. A probability above 0.95 proves that the model terms for  $x_{\text{Gellan}}$ ,  $c_{\text{Mg}^{2+}}$ ,  $c_{\text{Na}^+}$ ,  $f$ ,  $x_{\text{Gellan}}c_{\text{Na}^+}$ ,  $x_{\text{Gellan}}^2$ ,  $c_{\text{Mg}^{2+}}^2$  and  $f^2$  are significant:

$$\log(G'') = a_0 + a_1 x_{\text{Gellan}} + a_2 c_{\text{Mg}^{2+}} + a_3 c_{\text{Na}^+} + a_4 f + a_6 x_{\text{Gellan}} c_{\text{Na}^+} + a_{11} x_{\text{Gellan}}^2 + a_{12} c_{\text{Mg}^{2+}}^2 + a_{14} f^2. \quad (10)$$

Equation (9) and Equation (10) permit the prediction of  $G'$  and  $G''$  for any hydrogel within the design space. The equations also enable the calculation of the gellan content  $x_{\text{Gellan}}$  and the ion concentration  $c_{\text{Mg}^{2+}}$  and  $c_{\text{Na}^+}$  at a certain frequency to give a desired  $G'$ . The constants are computed as follows:

$$\sqrt{G'} = -161.103 + 250.869 x_{\text{Gellan}} + 9.136 c_{\text{Mg}^{2+}} + 3.215 c_{\text{Na}^+} + 2.911 f - 4.484 x_{\text{Gellan}} c_{\text{Na}^+} \quad (11)$$

and

$$\begin{aligned} \log(G'') = & -2.311 + 5.452 x_{\text{Gellan}} + 0.621 c_{\text{Mg}^{2+}} + 0.030 c_{\text{Na}^+} + 0.020 f \\ & - 0.047 x_{\text{Gellan}} c_{\text{Na}^+} - 2.008 x_{\text{Gellan}}^2 - 0.038 c_{\text{Mg}^{2+}}^2 + 0.045 f^2. \end{aligned} \quad (12)$$

Equations (11) and (12) show the resulting empirical equations for the response surface for  $G'$  and  $G''$ , respectively. While the influence of gellan and ions on  $G'$  appears to be primarily linear, their relationship with  $G''$  is more complex. Interestingly, the influence of frequency in the model corresponds to the observation above and results in a linear ( $G'$ ) or quadratic ( $G''$ ) relation. This is comparable to the data published by te Nijenhuis [41] and Liu *et al.* [43] with a frequency interval of 0.1 to 10  $s^{-1}$ .

**Figure 6** shows the results of  $G'$ , the averaged measurement points, and the model results with their 95% confidence intervals. The maximum  $G'$ , nearly 20 kPa, occurs at a maximum  $x_{\text{Gellan}}$  of 1.17% (w/v) and a high  $c_{\text{Mg}^{2+}}$  concentration. The minimum  $G'$ , 2 kPa, occurs at the lowest  $x_{\text{Gellan}}$  and a minimal  $c_{\text{Mg}^{2+}}$  at just 2 kPa. The maximum and minimum values shown in **Table 1** are similar to the values obtained for the CCD. However, the total ion concentration is different, and the  $x_{\text{Gellan}}$  is often higher, compared to the data from Noda *et al.* [28] and Pérez-Campos *et al.* [39], hampering comparisons. At constant  $c_{\text{Na}^+}$ , the addition of  $\text{Mg}^{2+}$  clearly increases  $G'$  (compare **Figure 6(a)**), while at constant  $c_{\text{Mg}^{2+}}$ , the availability of  $\text{Na}^+$  has a lowering or leveling effect. The higher the  $c_{\text{Na}^+}$  concentration, the smaller the influence of the total  $x_{\text{Gellan}}$  on  $G'$  (compare **Figure 6(b)**). The influence of  $x_{\text{Gellan}}$  is similar to that of  $c_{\text{Mg}^{2+}}$ . If  $c_{\text{Na}^+}$  is constant, an increase in  $x_{\text{Gellan}}$  results in an increase in  $G'$  (compare **Figure 6(c)**). However, at a constant  $c_{\text{Mg}^{2+}}$ , a high  $x_{\text{Gellan}}$ , and a low  $c_{\text{Na}^+}$ ,  $G'$  is high but decreases with a lower  $x_{\text{Gellan}}$  (compare **Figure 6(d)**). Furthermore, at low  $x_{\text{Gellan}}$ ,  $G'$  increases with increasing  $c_{\text{Na}^+}$  but decreases at a high  $x_{\text{Gellan}}$ . Finally, at the maximum added  $c_{\text{Na}^+}$ , a 0.5% (w/v) gellan-based hydrogel with high  $c_{\text{Na}^+}$  is stiffer than a 1.0% (w/v) gellan-based hydrogel with a similar  $c_{\text{Na}^+}$ . In summary, an increase in  $x_{\text{Gellan}}$  and  $c_{\text{Mg}^{2+}}$  will increase  $G'$ . A gain in  $c_{\text{Na}^+}$  tends to weaken high-concentration gellan-based hydrogels but stiffens hydrogels containing low concentrations of gellan.

**Figure 7** displays the results for  $G''$ , the averaged experimental data, and the model results with their 95% confidence intervals. The primary difference between the results for  $G'$  is a clear maximum in  $G''$  when plotted against the  $x_{\text{Gellan}}$  or  $c_{\text{Mg}^{2+}}$ . This maximum was reported previously by Sworn *et al.* [24]. Again, the effect of the monovalent ion  $\text{Na}^+$  on  $G''$  differs from that of a divalent ion and is comparable to the effect on  $G'$  shown in **Figure 6**. The maximum  $G''$  is nearly 2 kPa, while the minimum is approximately 0.1 kPa. These values are comparable to those reported in **Table 1** and within the range of the values described by Noda *et al.* [28] and Oliveira *et al.* [31]. In **Figure 7(a)**,  $G''$  is identical for different  $c_{\text{Mg}^{2+}}$  due to the quadratic relation. For a small  $x_{\text{Gellan}}$  at constant  $c_{\text{Mg}^{2+}}$ , the differences in  $G''$  are small but tend to increase at higher gellan concentrations (compare **Figure 7(b)**). The maximum  $G''$  is reached at a  $c_{\text{Mg}^{2+}}$  of 8.0 to 9.0 mM and  $x_{\text{Gellan}}$  between 0.75% to 1.0% (w/v), in agreement with the data provided by Carl Roth [48] (compare **Figure 7(c)**). Finally, the influence of  $c_{\text{Na}^+}$  on  $G''$  is in accordance with that on  $G'$ . At low  $c_{\text{Na}^+}$  and high  $x_{\text{Gellan}}$ ,  $G''$  reaches its maximum but decreases rapidly if  $c_{\text{Na}^+}$  is increased. However, at low  $x_{\text{Gellan}}$ , an increase in  $c_{\text{Na}^+}$  results in a small increase in  $G''$  (compare **Figure 7(d)**).

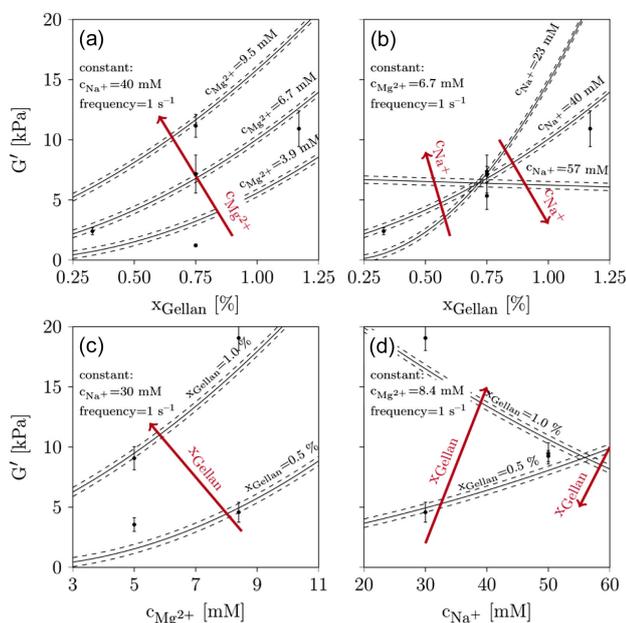
#### 5.4. Quality of Model

The overall quality of the model is shown in **Figure 8**, which shows how well the model fits the measured data. The  $x$ -values represent the number of measured or computed hydrogel (compare **Table 2**) with frequency intervals from 0.1 to 10  $s^{-1}$ . The black lines are the results of the experiments plotted with the standard error (gray), while the red line shows the predicted or calculated values for  $G'$  and  $G''$  based on the computed empirical models. In general, the given model yields a good fit. Except for the  $G'$  hydrogels numbered 1, 3, 7, and 13 and the  $G''$  hydrogels numbered 2 and 7, the results (red line) are within the error estimate (95% confidence interval) or close to the measured values. The given  $R^2 = 0.88$  and  $R^2 = 0.83$  are reasonable for this type of empirical physicochemical model.

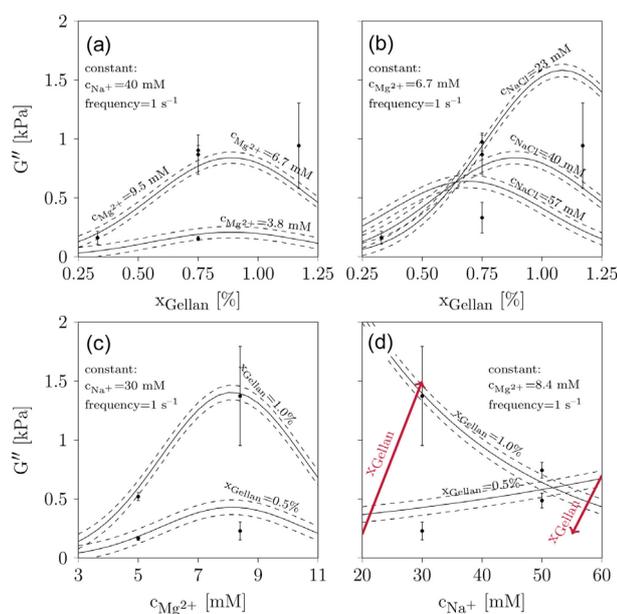
**Figure 2** shows the Gaussian distribution of the standard residuals (black) corresponding to the models. Based on the assumption that non-systematic errors are random and normally distributed, the deviation from the ideal curve (red) can be used to determine if a model represents the data and includes all effects. If the residuals are not normally distributed, an error in the model or a systematic error in the measurements is indicated. However, the residuals for the loss and storage moduli are approximately normally distributed.

#### 5.5. Proof of Concept

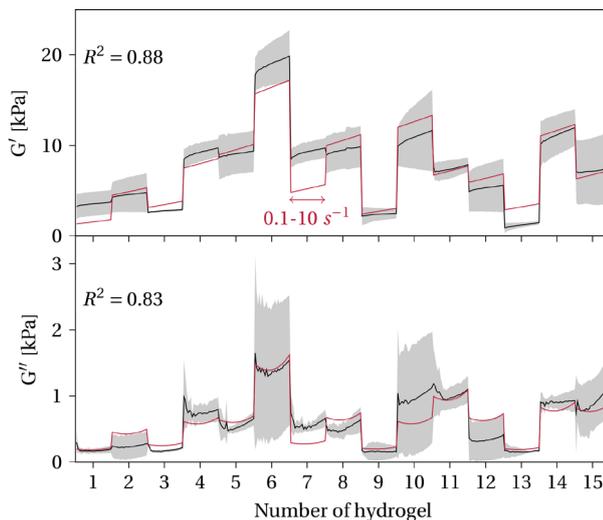
The viscoelastic behavior of the biofilm was determined by oscillation-frequency tests in comparison with the gellan hydrogels. **Figure 9** shows the dependency of  $G'$  and  $G''$  on the frequency at a strain of  $5 \cdot 10^{-3}$  in the linear



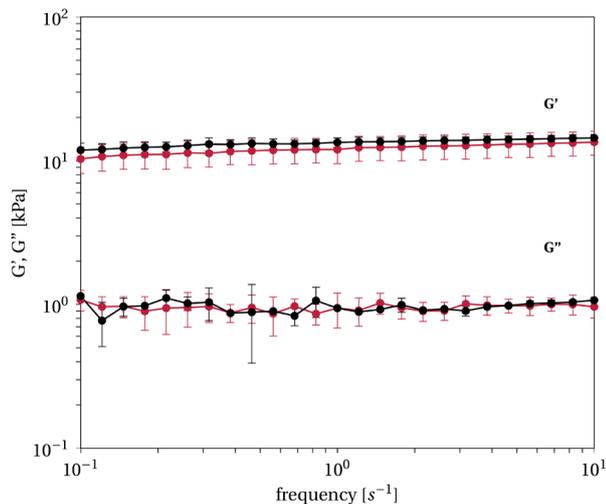
**Figure 6.** Results of fit for the storage modulus ( $G'$ ). Design points are marked black including the standard error. The modeled results are represented by the lines and their dashed 95% confidence intervals. (a):  $x_{\text{Gellan}}$  and  $c_{\text{Mg}^{2+}}$  are varied,  $c_{\text{Na}^+}$  (40 mM) and the frequency ( $1 \text{ s}^{-1}$ ) are kept constant. (b):  $x_{\text{Gellan}}$  and  $c_{\text{Na}^+}$  are varied,  $c_{\text{Mg}^{2+}}$  (6.7 mM) and the frequency ( $1 \text{ s}^{-1}$ ) are kept constant. (c):  $c_{\text{Mg}^{2+}}$  and  $x_{\text{Gellan}}$  are varied,  $c_{\text{Na}^+}$  (30 mM) and the frequency ( $1 \text{ s}^{-1}$ ) are kept constant. (d):  $c_{\text{Na}^+}$  and  $x_{\text{Gellan}}$  are varied and  $c_{\text{Mg}^{2+}}$  (8.4 mM) and the frequency ( $1 \text{ s}^{-1}$ ) are kept constant.



**Figure 7.** Results of the fit for the loss modulus ( $G''$ ). Design points are marked black, including the standard error. The modeled results are represented by the lines and their dashed 95% confidence intervals. (a):  $x_{\text{Gellan}}$  and  $c_{\text{Mg}^{2+}}$  are varied,  $c_{\text{Na}^+}$  (40 mM) and the frequency ( $1 \text{ s}^{-1}$ ) are kept constant. (b):  $x_{\text{Gellan}}$  and  $c_{\text{Na}^+}$  are varied,  $c_{\text{Mg}^{2+}}$  (6.7 mM) and the frequency ( $1 \text{ s}^{-1}$ ) are kept constant. (c):  $c_{\text{Mg}^{2+}}$  and  $x_{\text{Gellan}}$  are varied,  $c_{\text{Na}^+}$  (30 mM) and the frequency ( $1 \text{ s}^{-1}$ ) are kept constant. (d):  $c_{\text{Na}^+}$  and  $x_{\text{Gellan}}$  are varied,  $c_{\text{Mg}^{2+}}$  (8.4 mM) and the frequency ( $1 \text{ s}^{-1}$ ) are kept constant.



**Figure 8.** Quality of the model data (red) versus experimental data, measured (black) with 95 % confidence interval (gray).



**Figure 9.**  $G'$  and  $G''$  versus frequency. The *P. putida* KT2240 biofilm (red) is averaged over six runs while the hydrogel (black) is based on  $G'$  and  $G''$  of the biofilm and its composition is computed with the empirical model to:  $x_{\text{Gellan}} = 0.75\%$  (w/v),  $c_{\text{Mg}^{2+}} = 9.4$  mM,  $c_{\text{Na}^+} = 26$  mM. Measurement is done as triplicate.

viscoelastic range (compare **Figure 2**). Variations in the standard error are evident; these variations are due to the higher variability in the density and structure of the biofilms. The viscoelastic behavior of the biofilm corresponds to that of the gellan hydrogel.

The frequency sweep yielded a  $G'$  of  $11.96 \pm 2.41$  kPa and a  $G''$  of  $0.94 \pm 0.26$  kPa at  $1 \text{ s}^{-1}$ , which is within the range of the hydrogels.

In order to validate the prediction quality of the empirical physicochemical model, three random hydrogels and their computed values for  $G'$  and  $G''$  were generated, and their compositions were computed. **Table 3** shows the results for the three random hydrogels and a fourth based on the biofilm data. In comparison to the measured data, an error of 6.5% to 12.7% for  $G'$  is estimated. This is acceptable considering the error of the rheometer, which is  $\pm 10\%$  [51]. The overall error for  $G''$  ranges from 0.2% to 17.0%, which is higher but still reasonable for a biological system. Thus, the designed model is adequate for predicting the general behavior of the gellan hydro-

**Table 3.** Predicted versus measured values of  $G'$  and  $G''$  for random biomimetic hydrogels.  $x_{\text{Gellan}}$ ,  $c_{\text{Mg}^{2+}}$ , and  $c_{\text{Na}^+}$  at a constant frequency of  $1 \text{ s}^{-1}$  were computed based on the provided empirical model for the shown  $G'$  and  $G''$  values. The viscoelastic data for a *P. putida* KT2440 biofilm grown on a membrane filter are provided for comparison.

| Gel composition         |                           |                        | $G'$ [kPa]                   | $G''$ [kPa]                   |
|-------------------------|---------------------------|------------------------|------------------------------|-------------------------------|
| Gellan [% (w/v)]        | $c_{\text{Mg}^{2+}}$ [mM] | $c_{\text{Na}^+}$ [mM] | computed/measured/error      |                               |
| 0.86                    | 9.5                       | 23                     | 16.05/14.68/8.5%             | 1.27/1.33/4.2%                |
| 0.97                    | 6.4                       | 50                     | 7.06/7.63/8.1%               | 0.53/0.61/17.0%               |
| 0.88                    | 5.0                       | 50                     | 4.74/5.04/6.5%               | 0.33/0.28/15.9%               |
| 0.75                    | 9.4                       | 26                     | 11.90/13.41/12.7%            | 0.94/0.94/0.2%                |
| <b>Biofilm</b>          |                           |                        | <b><math>G'</math> [kPa]</b> | <b><math>G''</math> [kPa]</b> |
| <i>P. putida</i> KT2440 |                           |                        | 11.96 ± 1.39                 | 0.94 ± 0.15                   |

gels within the design space. Furthermore, the data obtained for the *P. putida* KT2440 biofilm suggests that this biofilm can be simulated with the concept provided. The viscoelastic parameters  $G'$  and  $G''$  are within the range of the measured values for the CCD. For the fourth hydrogel in **Table 3** the values for  $G'$  and  $G''$  of the biofilm were used and its concentrations computed. The modelled properties and the measured properties only deviate with an error of 12.7% for  $G'$  and 0.2% for  $G''$ , respectively. Thus, this proves the concept of a biofilm imitating physicochemical model based on gellan hydrogels.

## 6. Conclusion

The aim of this study was to simplify the biofilm production system by using a physicochemical model based on highly hydrolyzed gellan hydrogels. The results demonstrated that RSM is a reliable tool for predicting the mechanical properties of gellan-based hydrogels. The resulting empirical physicochemical models for the storage ( $G'$ ) and loss ( $G''$ ) moduli provide a tool that can be used to adjust and fine tune gel properties to mimic biofilm mechanical behavior. The results indicate a good estimation of the viscoelastic characteristic values of  $G'$  and  $G''$  in the frequency interval of 0.1 to  $10 \text{ s}^{-1}$ . Furthermore, the model was statistically verified and compared to experimental data. The  $G'$  and  $G''$  values of the predicted and designed hydrogels differed by less than 17.0% and were similar to those of a biofilm of *P. putida* KT2440, conclusively demonstrating that this method enables the design of gellan-based hydrogels that mimic biofilm behavior.

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