

# Two- and Three-Layered Dissolving Microneedles for Transcutaneous Delivery of Model Vaccine Antigen in Rats

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## ABSTRACT

**Purpose:** Comparison of transcutaneous immunization of ovalbumin (OA) between two- and three-layered dissolving microneedles (MN) in rats. **Methods:** We prepared 500  $\mu\text{m}$  long two-layered and three-layered dissolving microneedle (2-MN and 3-MN, respectively) arrays from chondroitin sulfate as the base, and OA as the model antigen. The 2-MN containing OA at the acral portion and 3-MN with OA at the second portion were administered to rat skin transcutaneously. As a positive control, OA solution was injected subcutaneously (sc). The OA delivery and diffusion in the rat skin were studied using confocal microscopy with fluorescein-conjugated OA (FL-OA). **Results:** The formulated positions of OA were 0 - 155  $\pm$  5  $\mu\text{m}$  for 2-MN and 175  $\pm$  4 - 225  $\pm$  5  $\mu\text{m}$  for 3-MN. The administered doses of OA were 2.2  $\pm$  0.1  $\mu\text{g}$ , 12.0  $\pm$  0.2  $\mu\text{g}$  and 22.0  $\pm$  0.2  $\mu\text{g}$  for 2-MN, 1.8  $\pm$  0.2  $\mu\text{g}$ , 12.6  $\pm$  0.7  $\mu\text{g}$ , and 20.4  $\pm$  0.3  $\mu\text{g}$  for 3-MN, 10  $\mu\text{g}$ , 100  $\mu\text{g}$  and 1000  $\mu\text{g}$  for sc injection. At 4 weeks after the first administration, 3-MN showed about 2.5 - 7.0 fold and 5.4 fold higher total Ig(G + A + M) antibody than 2-MN and sc injection of the OA solution. **Conclusions:** The 3-MN, which delivered OA to the epidermis, is a useful drug delivery system for transcutaneous antigen delivery.

**Keywords:** Dissolving Microneedles (MNs); Two-Layered MNs; Three-Layered MNs; Transcutaneous Vaccine; Ovalbumin; FI-Ovalbumin (FI-OA)

## 1. Introduction

Percutaneous route is an attractive route for the delivery of biopharmaceuticals, because there are many advantages: 1) little or no degradation by hydrolytic enzymes compared to that in the gastrointestinal tract; 2) no first-pass effects of the liver associated with oral delivery; 3) less or no pain compared to subcutaneous injection; 4) better convenience of administration than intravenous injection; 5) a better and more continuously controlled delivery rate than those of oral and subcutaneous sustained-release preparations; and 6) easy removal if side-effects appear [1,2]. However, transdermal drug delivery systems (TDDSs) are not recognized as a conventional dosage form, because the permeability of drugs through the human skin is limited by the strong barrier function of the skin. Especially, biopharmaceuticals do not permeate through the skin at therapeutically relevant amounts and rates [3]. Vaccine antigens, which are also representative biopharmaceuticals, are used as an injection preparation. To develop an alternative dosage form, not only oral vaccines [4], but also skin-administered vaccines have been sought [5].

Human skin is composed of three layers: the stratum

corneum, epidermis, and dermis [1]. The first one is the 10 - 15  $\mu\text{m}$  thick outer layer, which is dead tissue. The second one is the stratum corneum having a strong primary barrier function against exogenous compounds, including drugs. The third barrier is the viable epidermis having the thickness of 100 - 150  $\mu\text{m}$  which contains tissues such as living cells. However, there is no blood vessel in the epidermis. Several approaches such as chemical enhancers, electric fields, ultrasound, and thermal methods have been applied to increase the skin permeability of drugs, [6-10]. In the case of skin vaccine, microparticle systems are studied [11]. However, these TDDSs have not been recognized as a general TDDS, because of the strong barrier function of the skin, which prevents the permeation of drugs through the skin. The application of nanotechnology made it possible to produce microneedles by which the skin permeability of drugs was dramatically increased. Among them, coated microneedle arrays were shown to increase the transdermal permeability of ovalbumin (OA), a model antigen [12]. In addition, Matriano *et al.* demonstrated that skin immunization was effective by the application of antigen cream after the skin was treated with microneedles, thereby forming microconduits on the skin [13].

Those microneedles for percutaneous DDS are classified into three categories: 1) extremely small needles through which a drug solution can be injected into the skin; 2) metallic and/or silastic microneedles onto which a surface drug is coated; and 3) metallic and/or silastic microneedles which form microconduits on the skin, after which a drug solution is applied following removal of the microneedles. These materials—silicon and metals—are not optimal because they are exogenous substances. In contrast to those microneedles, we designed dissolving microneedles with water-soluble thread-forming biopolymers where chondroitin sulfate, dextran, hyaluronic acid, and albumin were used as the base. The drug was formulated as a solid dispersion. As the first step of our research, pen-type dissolving microneedle having 1 - 2 mm length and 0.4 mm diameter were prepared and inserted into the skin. In this case, the base immediately dissolved and the drug was released and absorbed into systemic circulation with high absorption efficiency. By means of dissolving microneedles, high bioavailabilities (BAs) of biopharmaceuticals were obtained, 91.3% - 97.7%, of insulin in mice [14] and 81.5% - 102.3% of low molecular weight heparin (LMWH) in rats [15]. Furthermore, high BAs of 87.5% were obtained for rhGH in rats [16] and of 82.1% - 99.4% for erythropoietin (EPO) in mice [17]. The relative BA of interferon (IFN) against subcutaneous (sc) injection of IFN solution was 79.9% - 17.8% in rats [18]. The relative BA of insulin was 90% - 99% in dogs [19]. As the second step of our research, we designed a dissolving microneedle array chip, approximately 1.0 cm<sup>2</sup>, on which 100 - 225 dissolving microneedles were formed [20]. Each dissolving microneedle had 500 μm length, with 300 μm diameter at its base. The drug was formulated at the acral portion of the dissolving microneedle, which was inserted into the skin region of the epidermis and epidermal-dermal junctions by finger pressure. Proof-of-concept experiments using insulin [20], erythropoietin [21], desmopressin [22], and recombinant human growth hormone (rhGH) [22] showed respectively high BAs: 97.9% ± 17.3%, 65.9% - 69.0%, 90.0% - 93.1%, and 72.8% - 89.9%. As a subsequent application of dissolving microneedle array chips, OA was used as a model skin vaccine antigen. The skin is densely populated with antigen presenting cells (APCs). Langerhans cells (LCs) and dermal dendritic cells are highly distributed respectively in the epidermis and dermis. Their function is to detect the environment, process antigens and present specific epitopes to T cells. Spontaneously, the dissolving microneedles physically break the barrier function of the skin and deliver the antigen to the skin tissue. However, controversy persists about the antigen target cells. Several groups regard LCs as the target [23-25]; other groups see dendritic cells as targets [26,27]. Therefore, two- and three-layered dissolving microneedles

were prepared, where OA was formulated at the acral portion of microneedles and at the second portion of microneedles from their tops, respectively. Comparison of the skin vaccination efficiency results is described in this report.

## 2. Materials and Methods

### 2.1. Materials

Ovalbumin (OA) was obtained from Sigma-Aldrich Corp. (St. Louis, MO, USA). Fluorescein labeled OA (FL-OA) was obtained from Molecular Probes Inc. (Eugene, USA). ELISA kits for measuring the OA-specific Ig(G + A + M) and IgE antibody concentrations in the rat plasma were obtained from Alpha Diagnostic International Inc. (TX, U.S.A.). An ELISA kit for measuring the OA contents in dissolving microneedles was obtained from Morinaga Institute of Biological Science Inc. (Tokyo, Japan). Sodium chondroitin sulfate was obtained from Nacalai Tesque Inc. (Kyoto, Japan). Brilliant blue (BB) was obtained from Tokyo Chemical Industry Co. Ltd. (Tokyo, Japan). All other materials were of reagent grade and were used as received. Male Brown Norway rats (10 - 12 weeks old) and Male Wistar Hannover rats (11 - 12 weeks old) used in the study were obtained from Japan SLC Inc. (Hamamatsu, Japan). A standard solid-meal commercial food (LabDiet<sup>®</sup>; Nippon Nousan Ltd., Yokohama, Japan) was used. All animal protocols were approved by the institutional animal care and use committee. Experiments were conducted in accordance with the Guidelines for Animal Experimentation, Kyoto Pharmaceutical University.

### 2.2. Preparation of Dissolving Microneedle Array Chips and Subcutaneous Solutions Containing OA

To produce the dense OA solution, hydrogel, 110, 140, or 170 μl of distilled water was added to the mixture of 2 mg, 10 mg, or 20 mg of OA, 0.1 mg of BB and 35 mg of sodium chondroitin sulfate and was kneaded at the low temperature, 15°C. To produce two-layered microneedle array chips, the hydrogel was degassed under reduced pressure. It was then dispensed into a mold containing 225 inverted cone-shaped wells with an area of 2.25 cm<sup>2</sup>. Each well had 500 μm depth and 300 μm diameter at its top. The mold was covered with a 300 g steel plate; then the drug hydrogel was filled into the wells. After the plate was removed, hydrogel made of 15 mg of chondroitin sulfate and 25 ml of distilled water was painted over the mold. It was then dried under pressure of the stainless steel plate for 3 h. Thereafter, the plate was removed and the dissolving microneedle array chip was obtained by detaching them from a supporting material base. To produce a three-layered microneedle array chip, filling and

drying were performed in the following order: 1) chondroitin sulfate hydrogel; 2) OA hydrogel; and 3) chondroitin sulfate hydrogel. To make FL-OA microneedle array chip, a mixture of OA and FL-OA (3:1) was used.

The OA solution for subcutaneous injection study was prepared by dissolving 10 mg, 100 mg, or 1000 mg of OA with 200 ml of phosphate buffered saline (PBS).

### 2.3. Microscopic Observation of Dissolving Microneedles

Dissolving microneedle array chips containing FL-OA were observed using a digital video microscope (VH-5500; Keyence Co., Osaka, Japan) under normal light and under fluorescent light, 495 nm.

### 2.4. OA Content in Dissolving Microneedle Array Chip

The OA was extracted from dissolving microneedle array chip with 10.0 ml of 0.1 mM phosphate buffer, pH 7.4, and the OA contents were determined using an ELISA kit (Morinaga Institute of Biological Science, Inc., Tokyo, Japan).

### 2.5. Immunization Studies in Rats

Male Brown Norway rats, 10 - 12 weeks old at primary immunization, were anesthetized with intraperitoneal injection of sodium pentobarbital, 50 mg/kg. One group consisted of 4 - 5 rats. At 5 min before administration, 0.5 ml of blank blood samples was obtained from the left jugular vein using a heparinized syringe. Hair on the abdominal region was removed with a shaver (ES7111; Panasonic Inc., Osaka, Japan). The dissolving microneedles were inserted into the skin by pressing the chip

Changing rate of IgE level (%)

$$= 100 \times (\text{IgE level after immunization} - \text{IgE level before immunization}) / \text{IgE level before immunization}$$

### 2.7. *In Vivo* Delivery and Distribution Experiment of FL-OA in the Rat Skin

Male Wistar Hannover rats, 265 - 305 g, were used. Under anesthesia with an intraperitoneal injection of sodium pentobarbital, 50 mg/kg, the hair covering the abdominal skin was removed using an electric shaver. Dissolving microneedles containing FL-OA was administered to the skin. To study the delivery and diffusion rate of FL-OA to the vertical direction of the rat skin, skin samples were obtained at 30 s and at 2, 5, and 10 min after administration of the FL-OA dissolving microneedles to the rat skin. The skin samples were embedded (Histo Prep<sup>®</sup>; Fisher Scientific International, NJ, USA), frozen at  $-80^{\circ}\text{C}$  in a deep-freezer, and sectioned vertically using a microtome (THK; Kenis Ltd., Osaka, Japan). The skin sections, ap-

proximately 40  $\mu\text{m}$ , were mounted on glass slides. The slides of FL-OA loaded rat skin were visualized—under normal light and the fluorescent light, 495 nm, with no staining or treatment—through a 10 $\times$  objective using a video microscope (VH-5500; Keyence Co., Osaka, Japan) equipped with a filter for fluorescein observation.

For the sc injection experiment, OA solution was injected to the abdominal region of rats at doses of 10, 100, and 1000  $\mu\text{g}/\text{rat}$ . The animals were boosted with OA solution at 2 weeks after first immunization. At 2 and 4 weeks after first immunization, 0.5 ml of blood samples was obtained from the left jugular vein using a heparinized syringe.

All these plasma samples were immediately frozen in a deep freezer at  $-80^{\circ}\text{C}$  until analysis.

### 2.6. Assay for Anti-OA Total Ig(G + A + M) and IgE Antibody

The OA-specific Ig(G + A + M) and IgE antibody concentrations in the rat plasma samples were determined using an ELISA kit (Alpha Diagnostic International, Inc., TX, USA). The concentration of anti-OA antibody of the plasma samples was determined against a standard curve of rat OA Ig calibrators. Plate washers were used (Dia-washer II; Dia Iatron Co. Ltd. USA). Absorbance was measured at 450 nm using a microplate reader (MTP-300; Corona Electric Co. Ltd., Japan). The rate of change of IgE level at 2 weeks after the start of transcutaneous immunization against the pretreatment level was calculated using the following equation.

proximately 40  $\mu\text{m}$ , were mounted on glass slides. The slides of FL-OA loaded rat skin were visualized—under normal light and the fluorescent light, 495 nm, with no staining or treatment—through a 10 $\times$  objective using a video microscope (VH-5500; Keyence Co., Osaka, Japan) equipped with a filter for fluorescein observation.

To study the horizontal distribution of FL-OA in the rat skin, skin samples were obtained at 1 min after administration of the two- and three-layered dissolving microneedles containing FL-OA. The skin samples were embedded, frozen at  $-80^{\circ}\text{C}$  in a deep-freezer, and sectioned horizontally from the surface to the depth of 400  $\mu\text{m}$  using a cryostat microtome (Leica CM 3050 S; Leica Inc., Nussloch, Germany). From each slice sample, 20  $\mu\text{m}$  thick FL-OA was extracted using 700  $\mu\text{l}$  of 0.1 N

NaOH solution. After vortexing for 1 min and centrifugation at  $9000 \times g$  for 5 min, 100  $\mu\text{l}$  of supernate was used for measuring fluorescent intensity by Fluoroskan (Ascent CF; Labsystems, Helsinki, Finland), where excitation and emission wavelengths were, respectively, 485 and 538 nm. The distribution ratio of FL-OA in rat skin was calculated using the following equation.

$$\text{Distribution ratio of FL-OA} = \frac{100 \times \text{fluorescent intensity of each section}}{\text{max imum fluorescent intensity in section}}$$

## 2.8. Statistics

All data are shown as mean  $\pm$  S.E. Statistical differences were inferred as significant when  $p < 0.05$  or  $p < 0.01$  (Student's unpaired *t*-test).

## 3. Results

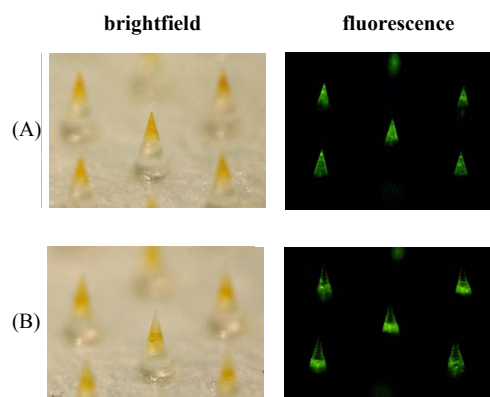
### 3.1. Physicochemical Properties of Dissolving Microneedles

The prepared two- and three-layered dissolving microneedles containing FL-OA are presented in **Figure 1**. In addition, the images of the enlarged dissolving microneedles are presented in **Figure 2**. As shown in those photos, FL-OA was formulated at the acral or second portions of dissolving microneedles. The physicochemical properties of the prepared dissolving microneedles are shown in **Table 1**. Mean lengths of the dissolving microneedles were, respectively,  $480 \pm 9 \mu\text{m}$  and  $485 \pm 7 \mu\text{m}$  for two- and three-layered dissolving microneedles. The basement diameters were, respectively,  $275 \pm 8 \mu\text{m}$  and  $281 \pm 3 \mu\text{m}$ . The mean length of the FL-OA loaded space was  $0 - 155 \pm 5 \mu\text{m}$  for two-layered and  $175 \pm 4 - 224 \pm 5 \mu\text{m}$  for three-layered dissolving microneedles.

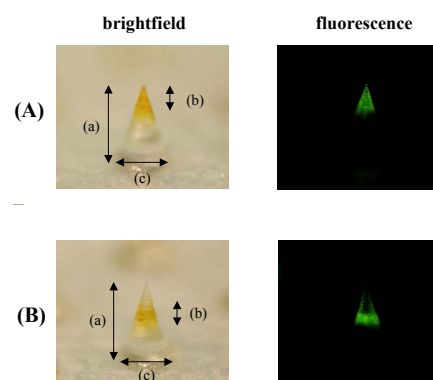
### 3.2. *In Vivo* Immunological Evaluation of Dissolving Microneedles in Rats

The obtained dissolving microneedles containing OA were administered to the rat skin by pressing the chips on the abdominal skin where the hair had been removed. After administration, the chips were recovered and the amounts of OA in the recovered chips were measured. **Table 2** presents the OA contents in the chips and recovered amounts of OA in the chips.

In the case of two-layered OA microneedle array chips, the OA contents were, respectively,  $2.2 \pm 0.4$ ,  $12.6 \pm 2.4$ , and  $22.7 \pm 1.3 \mu\text{g}$ . In contrast, the recovered OA in the chips were, respectively,  $0.1 \pm 0.1$ ,  $0.5 \pm 0.2$ , and  $0.7 \pm 0.2 \mu\text{g}$ . By subtracting the recovered amount of OA from the amount of OA formulated in microneedle array chips, the administered doses of OA were estimated as  $2.2 \pm 0.1$ ,  $12.0 \pm 0.2$ , and  $22.0 \pm 0.2 \mu\text{g}$ , respectively, as also shown



**Figure 1.** Fluorescence microscopical images of the (A) two- and (B) three-layered FL-OA dissolving microneedles made of chondroitin sulfate as the base used in the *in vivo* delivery and distribution experiments. Left panels portray overview images of dissolving microneedles under normal light.



**Figure 2.** Fluorescence microscopy of enlarged image of the (A) two- and (B) three-layered FL-OA dissolving microneedles. Left panels portray overview images of dissolving microneedles under normal light. Arrows indicate the following lengths: (a) Whole length; (b) Length of the FL-OA space; (c) Diameter of the basement.

**Table 1.** Physicochemical property of two- and three-layered dissolving microneedles.

Microneedle array	Height (a)* ( $\mu\text{m}$ )	Length of FL-OA space (b)* ( $\mu\text{m}$ )	Diameter at basement (c)* ( $\mu\text{m}$ )
Two-layered microneedles	$480 \pm 9$	$0 - 155 \pm 5$	$275 \pm 8$
Three-layered microneedles	$485 \pm 7$	$175 \pm 4 - 255 \pm 5$	$281 \pm 3$

Each values show the mean  $\pm$  S.E. (n = 6). \*The alphabets inside the parentheses represent the corresponding length in **Figure 2**.

in **Table 2**.

In the case of three-layered OA microneedle array chips, the OA contents were, respectively,  $2.2 \pm 0.3$ ,  $13.7 \pm 0.5$ , and  $21.1 \pm 1.6 \mu\text{g}$ . However, the recovered OA contents in the chips were, respectively,  $0.5 \pm 0.2$ ,  $1.0 \pm 0.8$ , and  $0.7 \pm 0.3 \mu\text{g}$ . By subtracting the recovered amount of OA from the amount of OA formulated in

**Table 2. OA contents in dissolving microneedle array chip, recovered amount of OA and administered dose.**

Formulation	OA content (µg/MNs)	Recovered amount of OA (µg/MNs)	Administered dose (µg/rat)
Two-layered microneedles	2.2 ± 0.4	0.1 ± 0.1	2.2 ± 0.1
	12.6 ± 2.4	0.5 ± 0.2	12.0 ± 0.2
	22.7 ± 1.3	0.7 ± 0.2	22.0 ± 0.2
Three-layered microneedles	2.2 ± 0.3	0.5 ± 0.2	1.8 ± 0.2
	13.7 ± 0.5	1.0 ± 0.8	12.6 ± 0.7
	21.1 ± 1.6	0.7 ± 0.3	20.4 ± 0.3
s.c. injection solution	-	-	10*
	-	-	100*
	-	-	1000*
Placebo microneedles	-	-	-

Each values show the mean ± S.E. (n = 4 - 10), \*injected dose.

microneedle array chips, the administered doses of OA were estimated respectively as 1.8 ± 0.2, 12.6 ± 0.7, and 20.4 ± 0.3 µg.

In **Table 3** and **Figure 3**, the anti- Ig(G + A + M) concentrations are shown after application of two- and three-layered dissolving microneedles and sc injection of OA solution. When OA solutions were injected to the rats at three different doses of 10, 100, and 1000 µg, the anti- Ig(G + A + M) concentrations at 2 weeks after the first immunization were, respectively, 2.0 ± 0.8, 4.7 ± 1.1, and 9.7 ± 3.1 (×10<sup>4</sup> U/mL). At 2 weeks after the second immunization, the anti- Ig(G + A + M) concentrations were more increased, to 67.1 ± 23.5, 124.0 ± 38.1, and 164.0 ± 30.0 (×10<sup>4</sup> U/mL), respectively.

After application of the two-layered dissolving microneedles, the anti- Ig(G + A + M) concentrations at 2 weeks after the first immunization were, respectively, 3.2 ± 0.3, 2.5 ± 0.5 and 2.8 ± 0.6 (×10<sup>4</sup> U/mL). At 2 weeks after the second immunization, the anti- Ig(G + A + M) concentrations were, respectively, 39.8 ± 13.3, 145.6 ± 25.8, and 70.3 ± 39.2 (×10<sup>4</sup> U/mL).

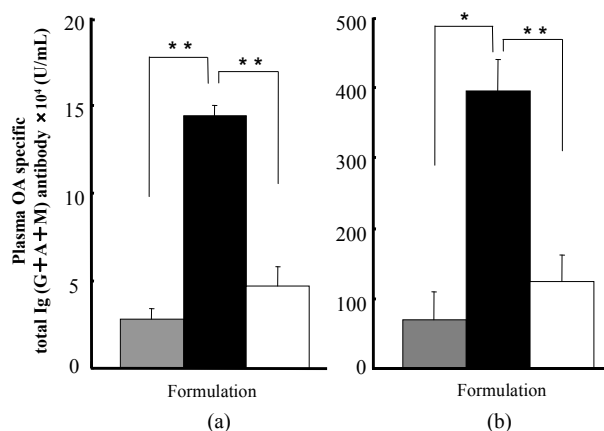
Three-layered dissolving microneedles showed higher plasma Ig(G + A + M) levels at both 2 and 4 weeks. The anti- Ig(G + A + M) concentrations at 2 weeks after the first immunization were, respectively, 16.5 ± 1.9, 13.0 ± 4.3, and 14.4 ± 0.6 (×10<sup>4</sup> U/mL). At 2 weeks after the second immunization, the anti- Ig(G + A + M) concentrations were, respectively, 282.8 ± 78.5, 368.4 ± 42.5, and 394.6 ± 45.6 (×10<sup>4</sup> U/mL). At 4 weeks after the first administration, three-layered microneedles showed about 2.5 - 7.0 fold and 5.4 fold higher total Ig(G + A + M) antibody levels than either the two-layered microneedles or sc injection solution.

Using plasma samples obtained at 2 weeks after the start of the experiment and the pre-dose plasma samples,

**Table 3. Administered dose of OA and total Ig(G+A+M) antibody.**

Formulation	Administered dose (µg/rat)	Total Ig(GAM) after 2 weeks ×10 <sup>4</sup> (U/mL)	Total Ig(GAM) after 4 weeks ×10 <sup>4</sup> (U/mL)
Two-layered microneedles	2.2 ± 0.1	3.2 ± 0.3	39.8 ± 13.3
	12.0 ± 0.2	2.5 ± 0.5	145.6 ± 25.8
	22.0 ± 0.2	2.8 ± 0.6	70.3 ± 39.2
Three-layered microneedles	1.8 ± 0.2	16.5 ± 1.9 <sup>a</sup>	282.8 ± 78.5 <sup>b</sup>
	12.6 ± 0.7	13.0 ± 4.3	368.4 ± 42.5 <sup>a</sup>
	20.4 ± 0.3	14.4 ± 0.6 <sup>a</sup>	394.6 ± 45.6 <sup>a</sup>
s.c. injection solution	10*	2.0 ± 0.8	67.1 ± 23.5
	100*	4.7 ± 1.1	124.0 ± 38.1
	1000*	9.7 ± 3.1	164.0 ± 30.0
Placebo microneedles	-	0.2 ± 0.1	0.5 ± 0.2

Each values show the mean ± S.E. (n = 4 - 10); \*Injected dose; <sup>a,b</sup>Compared to two-layered OA microneedles group at the corresponding dose (<sup>a</sup>p < 0.01, <sup>b</sup>p < 0.05).



**Figure 3. Plasma OA specific total Ig (G + A + M) antibody concentrations at (a) 2 and (b) 4 weeks post first immunization after transcutaneous administration of two- and three-layered dissolving microneedles containing OA to the abdominal rat skin. Plasma OA specific total Ig(G + A + M) antibody concentrations were measured using ELISA. The gray bars denote antibody concentrations obtained after administration of two-layered OA dissolving microneedles, 22.0 ± 0.2 µg/rat. The black bars denote those obtained after administration of three-layered OA dissolving microneedles, 20.4 ± 0.3 µg/rat. The white bars denote those obtained after subcutaneous administration of OA: 100 µg/rat. Each value shows the mean ± S.E. of 4 - 5 experiments. \*p < 0.05, \*\*p < 0.01: significantly different from three-layered microneedles. Each value shows the mean ± S.E. (n = 4 - 5).**

anti-OA IgE levels were measured. The results are shown in **Table 4**. The IgE concentrations, after the administration of placebo microneedles, made without OA, and two-layered microneedles (12.0 ± 0.2, 22.0 ± 0.2 µg/rat), three-layered microneedles (12.6 ± 0.7, 20.4 ± 0.3 µg/rat)

**Table 4. Plasma anti-OA IgE levels and the changing rate of IgE level at 2 weeks after the start of transcutaneous immunization against the pretreatment level.**

Formulation	Administered dose ( $\mu\text{g}/\text{rat}$ )	IgE level (U/mL)		Changing rate of IgE level (%)
		0 week	2 week	
Placebo microneedles	-	51.9 $\pm$ 0.9	50.9 $\pm$ 0.5	-1.7 $\pm$ 2.3
Two-layered microneedles	12.0 $\pm$ 0.2	58.9 $\pm$ 0.2	56.1 $\pm$ 1.2	-4.7 $\pm$ 2.0
	22.0 $\pm$ 0.2	57.4 $\pm$ 1.9	59.9 $\pm$ 0.9	5.8 $\pm$ 1.9
Three-layered microneedles	12.6 $\pm$ 0.7	61.7 $\pm$ 0.6	58.2 $\pm$ 1.9	-5.4 $\pm$ 4.0
	20.4 $\pm$ 0.3	60.2 $\pm$ 1.1	56.4 $\pm$ 0.8	-6.3 $\pm$ 2.5
s.c. injection solution	1000 <sup>a</sup>	53.9 $\pm$ 1.0	63.1 $\pm$ 1.0	17.0 $\pm$ 1.6 <sup>a</sup>

Changing rate;  $100 \times (\text{IgE level after immunization} - \text{IgE level before immunization})/\text{IgE level before immunization}$  injected dose, <sup>a</sup> $p < 0.01$ , significantly different placebo microneedles preparation; Each values show the mean  $\pm$  S.E. (n = 4 - 10).

and sc, were, respectively, 50.9  $\pm$  0.5, 56.1  $\pm$  1.3, 59.9  $\pm$  0.9, 58.2  $\pm$  1.9, 56.37  $\pm$  0.8, and 63.1  $\pm$  1.0 (U/mL). In contrast, the IgE concentrations before immunization were, respectively, 51.9  $\pm$  0.9, 58.9  $\pm$  0.2, 57.4  $\pm$  1.9, 61.7  $\pm$  0.6, 60.2  $\pm$  1.1, and 53.9  $\pm$  1.0 (U/mL). In addition, the estimated rates of change of IgE level were, respectively, -1.7%  $\pm$  2.3%, -4.7%  $\pm$  2.0%, 5.8%  $\pm$  1.9%, -5.4%  $\pm$  4.0%, -6.3%  $\pm$  2.5%, and 17.0%  $\pm$  1.6%. No significant difference was found in the rate of change of anti-OA IgE level between placebo, two-layered and three-layered groups, although the sc injection group showed a significantly higher level than the placebo group.

### 3.3. Delivery and Distribution Study in Rat Skin

The delivery site and the diffusion characteristics of the vaccine antigen in the rat skin were studied by administering the two- or three-layered microneedles containing FL-OA used as a model antigen.

**Figure 4** portrays normal and fluorescent images of rat skin sections obtained after the administration of FL-OA loaded dissolving microneedles to the rat skin. In the case of two-layered microneedles containing FL-OA, the spots were detected around the first 200  $\mu\text{m}$  of rat skin. However, the spots of green fluorescein, which had been delivered from three-layered microneedles containing FL-OA, were detected mainly from the surface to the first 100  $\mu\text{m}$  of the skin. As the figures show, the green fluorescence derived from FL-OA was apparent immediately after its administration; it diffused as time passed.

Because chondroitin sulfate, a water-soluble thread-forming polymer, was used as the base polymer to prepare dissolving microneedles, the base dissolved rapidly. Consequently, the release of green fluorescein occurred immediately after administration. Even at 30 s after administration, the conical shape of dissolving microneedles was not detected completely, although spots of green fluorescein were detected. At 2 and 5 min after administration, green fluorescence spots enlarged transversally. Then diffusion to the transverse direction reached the

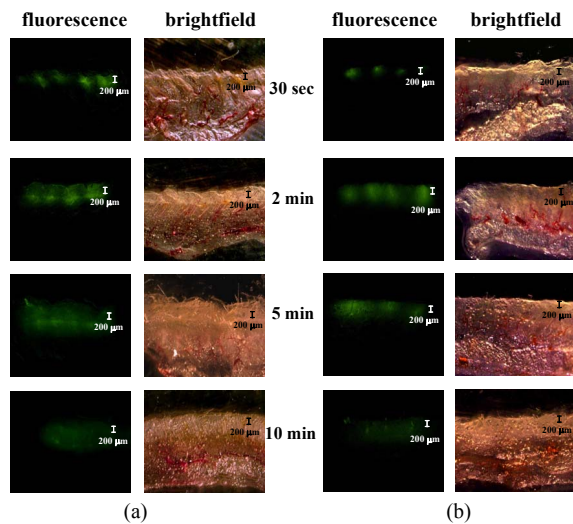
steady state at 10 min.

**Figure 5** presents the horizontal distribution profiles of fluorescent intensity attributable to FL-OA delivered in the rat skin after administration by dissolving microneedles. In the case of two-layered microneedles containing FL-OA, the maximum fluorescent intensity was detected at the first 120  $\mu\text{m}$  of rat skin. The distribution profile showed a gradual increase in fluorescence intensity with an increase in the depth from surface to 120  $\mu\text{m}$ , and a gradual decrease in it with an increase deep from 120  $\mu\text{m}$  onward. Furthermore, at the depth of 160 - 260  $\mu\text{m}$ , the fluorescence intensity distribution was considerably higher than that obtained from three-layered microneedles. The maximum fluorescence intensity obtained after the administration of three-layered microneedles was detected at the first 20  $\mu\text{m}$  of the skin. The fluorescence intensity decreased gradually with increased depth. At this depth, distribution of fluorescence intensity was markedly higher than that obtained using two-layered microneedles. The figures show that the two- and three-layered microneedles respectively delivered the model vaccine antigen mainly to the dermal and epidermal layers.

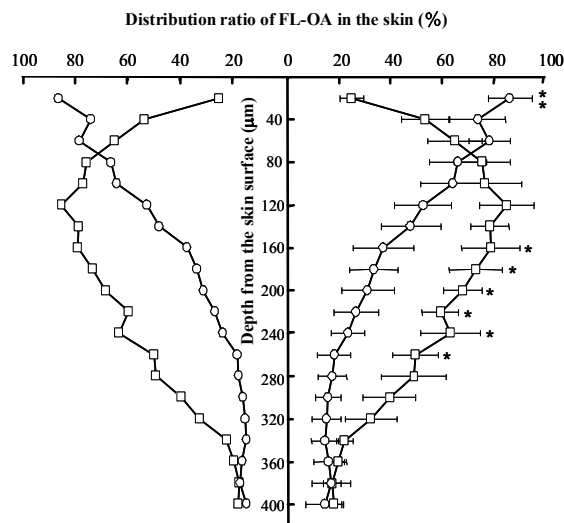
## 4. Discussion

The main function of skin is to provide a protective cover against the hostile external environment: a role that includes immunoprotection. Human skin comprises three layers: the stratum corneum, epidermis, and dermis. The first one is the 10 - 15  $\mu\text{m}$  thick outer layer, which is dead tissue. The stratum corneum is a strong primary barrier against exogenous compounds, including drugs. The second barrier is the viable epidermis, 100 - 150  $\mu\text{m}$ , which contains tissues such as living cells. However, the epidermis has no blood vessels. The epidermis primarily comprises keratinocytes, but it also has, distributed amongst the viable keratinocytes, a large population of cells, *i.e.* 1% - 3% of epidermal cells [28], that are involved in immune surveillance, the LCs. Although LCs





**Figure 4.** Fluorescence microscopy of the skin of rats who received the (a) two- and (b) three-layered dissolving microneedles containing FL-OA, as visualized through a 50× objective (scale bar: 200 μm). Dissolving microneedles of two types were administered to the rat abdominal skin. Thereafter, skin tissue samples were obtained at 30 s and 2, 5, and 10 min. Right panels show the corresponding bright-field microscopy images.



**Figure 5.** Distribution profiles of FL-OA in the rat skin after administration by dissolving microneedles. Dissolving microneedles of two types were administered to the rat skin. Skin tissue samples were obtained at 1 min. The 20 μm thick slice samples were obtained and FL-OA contents in each slice were determined spectrofluorometrically. The distribution ratio of FL-OA in rat skin was calculated using 100× fluorescent intensity of each section/maximum fluorescent intensity in all sections. The open squares show data obtained after administration of two-layered dissolving microneedles containing FL-OA. The open circles represent data for three-layered dissolving microneedles. \*\*  $p < 0.01$ ; significantly different from two-layered microneedles. \*  $p < 0.05$ ; significantly different from three-layered microneedles. Each value shows the mean ± S.E. (n = 5).

are few among the cells in the skin, they account for 25% of the total skin surface area in humans [29]. In fact, LCs represent an extensive, superficial network barrier of immune cells that make an attractive target for vaccine delivery. The LCs are bone marrow-derived dendritic cells that migrate to epithelial surfaces such as the skin where they perform immunosurveillance [30]. Under normal circumstances, the baseline traffic of LCs exists from the skin to the draining lymph nodes, where the cells present the antigens they have encountered in the epidermis. To increase the skin permeability of drugs, numerous approaches have been attempted using chemical enhancers, electric fields, ultrasound, and thermal methods [6-10]. However, these TDDS' success has been limited because of the strong barrier function of the skin: the low membrane permeability of drugs through the skin. In our earlier studies, two-layered dissolving microneedles with water-soluble thread-forming biopolymers such as chondroitin sulfate, dextran, hyaluronic acid and albumin used as the base were evaluated as a new TDDS. The drug was formulated as a solid dispersion. After administration to the rat skin, high BAs of 91.3% - 97.7% were obtained for insulin in mice [14] and of 81.5% - 102.3% for LMWH in rats [15]. Furthermore, high BAs of 87.5% were obtained for rhGH in rats [16] and of 82.1% - 99.4% for EPO in mice [17]. The relative BA of IFN against sc injection of IFN solution was 79.9% - 117.8% in rats [18]. The relative BA of insulin was 90% - 99% in dogs [19]. Based on those outcomes, dissolving microneedles have been applied for transcutaneous administration of vaccine antigen. In previous studies of transcutaneous immunization, the mouse model system has been used because many immunological assay systems have been developed and are now commercially available. However, the mouse model is not useful for the study of transcutaneous immunization, a so-called skin vaccine, because the histology of mouse and human skin differs considerably. According to a report by Monteiro-Riviere *et al.*, the epidermal thickness at the skin of the ventral abdomen is  $11.58 \pm 1.02 \mu\text{m}$  for rats and  $22.47 \pm 2.40 \mu\text{m}$  for dogs [31]. For human skin, the epidermal thickness is reportedly to be  $60.3 \pm 15.0 \mu\text{m}$  [32]. It is very difficult to deliver vaccine antigen strictly relying upon the epidermis and/or dermis for any delivery system in mice. Therefore, in this study, we introduced a rat model system. In this study, we used Brown Norway rats because Brown Norway rats have higher sensitivity to OA than Hooded Lister, Piebald Virol Glaxo, and Wistar rats have [33]. In the case of abdominal skin in rats, the respective thicknesses of the stratum corneum, epidermis, and dermis have been reported as  $4.56 \pm 0.61 \mu\text{m}$ ,  $11.58 \pm 1.02 \mu\text{m}$ , and  $402.0 \pm 49.86 \mu\text{m}$  [31,34]. Although a difference exists in the thickness and histology of the skin between rats and humans, it is possible to deliver

vaccine antigen to the epidermis and/or dermis using dissolving microneedle array chips. Gelinck *et al.* used an intradermal injection syringe (BD Micro-fine 0.5 mL U-100 insulin syringe; Microfine Materials Technologies Pte. Ltd.) to administer influenza vaccine antigen to human subjects [35]. However, it is difficult to control the depth of the injection site of vaccine antigen solution inside the skin. Therefore, to perform this study, we designed dissolving microneedles of two types: two-layered and three-layered dissolving microneedles. In the case of two-layered microneedles, OA, the model antigen, was formulated in the acral portion of microneedles, but OA was formulated into the second layer of three-layered microneedles. For two-layered microneedles, the length of the OA formulated layer was  $155 \pm 5 \mu\text{m}$  from the top of the microneedles. In the case of three-layered microneedles, OA was formulated at the position of  $175 \pm 4 \mu\text{m}$  to  $225 \pm 5 \mu\text{m}$  from the top of the microneedles. The epidermal thickness was reported as  $11.58 \pm 1.02 \mu\text{m}$  in rats and the layer of dermis is  $402.0 \pm 49.86 \mu\text{m}$  [31,34]. Consequently, OA would be delivered to the subcutaneous tissue of the rat if the microneedle of  $500 \mu\text{m}$  length were inserted completely into the rat skin. However, the full length of the microneedles was not inserted into the skin, as our studies of dissolving microneedles conducted for over a decade have confirmed. Our previous report showed that about a half-length of the microneedles was inserted into the skin [36]. Furthermore, when we inserted  $500 \mu\text{m}$  length dissolving microneedles into the skin, no bleeding occurred. Those results suggested that the acral portion of dissolving microneedles was dissolved during passage through the skin. The width of the top portion of dissolving microneedles was approximately  $10 \mu\text{m}$ . When the acral portion of dissolving microneedles was inserted into the skin, the dissolving microneedles encountered environmental water and dissolved during passage through the epidermis, because Caspers *et al.* reported that the water content in the skin epidermal layer was as high as 70% [37]. Fluorescent microscopical experiments using two-layered and three-layered dissolving microneedles containing FL-OA in this study showed that two-layered microneedles delivered FL-OA mainly to the rat skin dermal layer, where dermal DCs are highly distributed, and three-layered microneedles delivered FL-OA mainly to the epidermal layer where LCs are highly distributed. Some controversy persists about the target cells of the transcutaneously delivered antigen. Several groups support LCs. Other groups support dermal DCs. However, this argument remains unresolved, because no device can deliver vaccine antigen to a specific site of the skin, dermis and/or epidermis. Therefore, two- and three-layered dissolving microneedles were prepared. These devices delivered vaccine antigen strictly to the dermis and epider-

mis. Before our study of transcutaneous immunization, coated microneedle array chips were applied to study the effect of delivery parameters on immunization to OA that was coated onto the surface of microneedles [38]. For the coated microneedles, the distribution of OA in the rat skin and the antibody responses were independent of the microneedle length used for the delivery of OA, antigen. Moreover, it was shown to be very difficult to control the coating length from the top of the microneedles. Furthermore, in the case of coating microneedles, a vaccine antigen was coated onto the surface of the acral portion of microneedles. In this case, the amount of antigen was limited. In other words, the coating amount of vaccine antigen on the acral surface of microneedles, which was made of steel or a biopolymer-like polylactic acid, is limited. When steel microneedles are used as the device to administer vaccine transcutaneously, a problem exists in terms of its safety: allergy to the steel. To study the safety of OA-loaded dissolving microneedles, plasma IgE levels were measured at 2 weeks after the start of immunization. In addition, a histological study of the skin tissue was performed after the administration of microneedle array chips. Results showed no significant difference in the rate of increase of IgE levels between active groups and control groups who received placebo microneedles. In our previous studies of the evaluation of the dissolving microneedles containing insulin, EPO and rhGH, no irritation or damage was found at the administered skin tissue at 2 hr and 24 hr after administration [20, 22]. In this study, the rat skin was monitored for 1 month. However, no irritation or damage was found in the skin tissue over that long term of 1 month. Therefore, we infer that dissolving microneedles are a safe transcutaneous drug delivery system for use in immunization.

## 5. Conclusion

This feasibility study of OA loaded two- and three-layered dissolving microneedles having  $500 \mu\text{m}$  length and  $300 \mu\text{m}$  diameters of their basements was performed using rats. The dissolving microneedles were prepared with chondroitin sulfate as the base polymer using microfabrication technology. The delivery of model antigen, OA, to the epidermis and dermis was ascertained using three-layered and two-layered dissolving microneedles, where OA was formulated respectively as a solid dispersion with chondroitin sulfate at the second portion,  $175 \pm 4 - 225 \pm 5 \mu\text{m}$ , and acral portion,  $0 - 155 \pm 5 \mu\text{m}$ , of microneedles from their tops. Systemic immune responses, OA-specific Ig(G+A+M) antibody in plasma, were 2.5 - 7 fold increased by three-layered dissolving microneedles compared to two-layered dissolving microneedles. Histological studies using FL-OA loaded dissolving microneedles revealed that FL-OA was delivered mainly to the epidermal region of the rat skin, around the



first 100  $\mu\text{m}$  of the skin, by the three-layered dissolving microneedles. Those results revealed that the delivery site of transcutaneous antigen by three-layered dissolving microneedles was the epidermis of the skin where LCs are highly distributed.

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