

The Tikhonov Regularization Method in Hilbert Scales for Determining the Unknown Source for the Modified Helmholtz Equation

Lei You, Zhi Li, Juang Huang, Aihua Du

College of Science, Guangdong Ocean University, Zhanjiang, China
Email: ouxiesubmit@163.com

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Abstract

In this paper, we consider an unknown source problem for the modified Helmholtz equation. The Tikhonov regularization method in Hilbert scales is extended to deal with ill-posedness of the problem. An a priori strategy and an a posteriori choice rule have been present to obtain the regularization parameter and corresponding error estimates have been obtained. The smoothness parameter and the a priori bound of exact solution are not needed for the a posteriori choice rule. Numerical results are presented to show the stability and effectiveness of the method.

Keywords

Ill-Posed Problem, Unknown Source, Regularization Method, Discrepancy Principle in Hilbert Scales

1. Introduction

A variety of important problems in science and engineering involve the solution to the modified Helmholtz equation, e.g., in implicit marching schemes for the heat equation, in Debye-Huckel theory, and in the linearization of the Poisson-Boltzmann equation [1]-[5]. In this paper, we consider the following problem of determining an unknown source which depends only on one variable for the modified Helmholtz equation [6]:

$$\begin{cases} \Delta u(x, y) - k^2 u(x, y) = f(x), & 0 < x < \pi, 0 < y \leq +\infty, \\ u(0, y) = u(\pi, y) = 0, & 0 \leq y \leq +\infty, \\ u(x, 0) = 0, & 0 \leq x \leq \pi, \\ u(x, y)|_{y \rightarrow +\infty} \text{ bounded}, & 0 \leq x \leq \pi, \\ u(x, 1) = g(x), \end{cases} \quad (1)$$

where $f(x)$ is the unknown source and $u(x, 1) = g(x)$ is the supplementary condition and the constant $k > 0$ is the wave number. Our purpose is to identify the source term $f(x)$ from the input data $g(\cdot)$. This problem is called the inverse source problem. In practice, the data at $g(x)$ are often obtained on the basis of reading of physical instrument. So only a perturbed data $g^\delta(x)$ can be obtained. We assume that the exact and measured data satisfy

$$\|g - g^\delta\| \leq \delta, \quad (2)$$

where $\delta > 0$ denotes the noise level, $\|\cdot\|$ denotes the L^2 -norm.

Inverse source problems arise in many branches of science and engineering, e.g., heat conduction, crack identification electromagnetic theory, geophysical prospecting and pollutant detection. The main difficulty of these problems is that they are ill-posed (the solution, if it exists, does not depend continuously on the data). Thus, the numerical simulation is very difficult and some special regularization is required. Many papers have presented the mathematical analysis and efficient algorithms of these problems. The uniqueness and conditional stability results for these problems can be found in [7]-[12]. Some numerical reconstruction schemes can be found in [13]-[23].

Up to now, only a few papers for identifying the unknown source on the modified Helmholtz equation have been reported. In [1], an integral equation method has been proposed and a simplified Tikhonov regularization has been presented in [6]. In this paper, we will use the Tikhonov regularization method to solve the problem (1). Unlike the one in [6], a different Tikhonov functional will be used and we show that the regularization parameter can be chosen by a discrepancy principle in Hilbert scales which is proposed by Neubauer [24] and better convergence rates have been obtained. Moreover, the smoothness parameter of the exact solution is not needed for the new method.

This paper is organized as follows. In Section 2, we will give the method to construct approximate solution. The choices of the regularization parameter and corresponding convergence results will be found in Section 3. Some numerical results are given in Section 4 to show the effectiveness of the new method.

2. The Tikhonov Regularization Method

Let $\phi_l(x) = \sqrt{\frac{2}{\pi}} \sin(lx)$, it is well known that $\{\phi_l(x)\}_{l=0}^{\infty}$ is an orthonormal basis in $L^2(0, \pi)$, i.e.,

$$\int_0^\pi \phi_l(x) \phi_k(x) dx = \delta_{l,k}, \quad (3)$$

where $\delta_{l,k}$ is the Kronecher symbol. So for any $g \in L^2(0, \pi)$, we can write $g(x) = \sum_{l=0}^{\infty} \hat{g}_l \phi_l(x)$, where

$$\hat{g}_l = \int_0^\pi g(x) \phi_l(x) dx, \quad l = 0, 1, 2, \dots \quad (4)$$

It is easy to derive a solution of problem (1) by the method of separation of variables [6]

$$f(x) = -\sum_{l=0}^{\infty} \lambda_l \hat{g}_l \phi_l(x) =: Tg, \quad (5)$$

where

$$\lambda_l = \frac{l^2 + k^2}{1 - e^{-\sqrt{l^2 + k^2}}}. \quad (6)$$

Note that the exact data \hat{g}_l must decay faster than the rate l^{-2} . As for the measured data function g^δ is only in $L^2(0, \pi)$, we cannot expect that it possess such a decay property. So some special regularization methods are required. In the following, we apply the Tikhonov regularization method in Hilbert scales to reconstruct a new function h^δ from the perturbed data g^δ and Th^δ will be used as an approximation of f . It is well known that for any ill-posed problems an a priori bound assumption for the exact solution is needed and necessary. In this paper, we assume the following a priori bound holds:

$$\|f\|_p \leq E, \quad p > 0, \tag{7}$$

where $E > 0$ is a constant and $\|\cdot\|_p$ denotes a slightly different norm from the one in [25] which is defined by:

$$\|f\|_p = \left(\sum_{l=0}^{\infty} \rho_l^p |\hat{f}_l|^2 \right)^{\frac{1}{2}}, \tag{8}$$

where

$$\rho_l = l^2 + k^2. \tag{9}$$

We let $h^\delta = h^{\alpha, \delta}$ be the minimizer of the Tikhonov functional

$$\Phi(h) = \|h - g^\delta\|^2 + \alpha \|Th\|_q^2, \tag{10}$$

where $\alpha > 0$ is a regularization parameter and q is a positive real number. The real number ρ_l^{-q-2} is going to occur throughout this paper and will be denoted by γ_l .

If we let $h^\delta(x) = \sum_{l=0}^{\infty} \hat{h}_l^\delta \phi_l(x)$, then we can derive that \hat{h}_l^δ satisfy

$$(1 + \alpha \rho_l^q \lambda_l^2) \hat{h}_l^\delta = \hat{g}_l^\delta. \tag{11}$$

So we have

$$\hat{h}_l^\delta = \frac{1}{1 + \alpha \rho_l^q \lambda_l^2} \hat{g}_l^\delta. \tag{12}$$

Which means that

$$h^\delta(x) = \sum_{l=0}^{\infty} \frac{1}{1 + \alpha \rho_l^q \lambda_l^2} \hat{g}_l^\delta \phi_l(x). \tag{13}$$

Then the approximate solution can be given as

$$f^{\alpha, \delta} = Th^\delta = - \sum_{l=0}^{\infty} \frac{\lambda_l}{1 + \alpha \rho_l^q \lambda_l^2} \hat{g}_l^\delta \phi_l(x). \tag{14}$$

Lemma 1. For any $l \in \mathbb{N}$, we have

$$\rho_l \leq \lambda_l \leq 2\rho_l. \tag{15}$$

Lemma 2. [26] For $0 \leq b \leq 1$, we have

$$\sup_{\lambda \geq 0} \frac{\lambda^b}{\lambda + \alpha} = b^b (1-b)^{1-b} \alpha^{b-1}. \tag{16}$$

Lemma 3.

$$\|f^{\alpha, \delta} - Th^\alpha\| = O\left(\delta \cdot \alpha^{-1/(q+2)}\right) \tag{17}$$

where h^α is the unique minimizer of (10) with g instead of g^δ .

Proof.

$$\begin{aligned} \|f^{\alpha,\delta} - Th^\alpha\|^2 &= \sum_{l=0}^{\infty} \left(\frac{\lambda_l}{1 + \alpha \rho_l^q \lambda_l^2} \right)^2 (\hat{g}_l^\delta - \hat{g}_l)^2 \leq \sum_{l=0}^{\infty} \left(\frac{2\rho_l}{1 + \alpha \rho_l^q (\rho_l)^2} \right)^2 (\hat{g}_l^\delta - \hat{g}_l)^2 \\ &= 4 \sum_{l=0}^{\infty} \left(\frac{\rho_l^{-q-1}}{\rho_l^{-(q+2)} + \alpha} \right)^2 (\hat{g}_l^\delta - \hat{g}_l)^2 \leq 4 \left(\sup_{\lambda \geq 0} \frac{\lambda^{q+1}}{\lambda + \alpha} \right)^2 \sum_{l=0}^{\infty} (\hat{g}_l^\delta - \hat{g}_l)^2. \end{aligned} \quad (18)$$

The proposition follows by applying (16) with b replaced by $\frac{q+1}{q+2}$.

Lemma 4.

$$\|Th^\alpha - f\| \leq c_\alpha \cdot \alpha^{\frac{p}{2(q+2)}}, \quad (19)$$

where

$$c_\alpha^2 := 4 \sum_{l=0}^{\infty} \frac{\alpha^{\frac{2-p}{q+2}} \gamma_l^{\frac{p}{q+2}}}{(\gamma_l + \alpha)^2} \rho_l^p \hat{f}_l^2. \quad (20)$$

Proof. With the representation

$$Th^\alpha = - \sum_{l=0}^{\infty} \frac{1}{1 + \alpha \rho_l^q \lambda_l^2} \hat{f}_l \phi_l(x) \quad (21)$$

and Lemma 1, we have

$$\begin{aligned} \|Th^\alpha - f\|^2 &= \sum_{l=0}^{\infty} \left(\frac{\alpha \rho_l^q \lambda_l^2}{1 + \alpha \rho_l^q \lambda_l^2} \right)^2 \hat{f}_l^2 \leq 4 \sum_{l=0}^{\infty} \left(\frac{\alpha \rho_l^{q+2}}{1 + \alpha \rho_l^{q+2}} \right)^2 \hat{f}_l^2 = 4 \sum_{l=0}^{\infty} \left(\frac{\alpha}{\rho_l^{-q-2} + \alpha} \right)^2 \hat{f}_l^2 \\ &\leq 4 \alpha^{\frac{p}{q+2}} \sum_{l=0}^{\infty} \frac{\alpha^{\frac{2-p}{q+2}} \rho_l^{-p}}{(\rho_l^{-q-2} + \alpha)^2} \rho_l^p \hat{f}_l^2 = \alpha^{\frac{p}{q+2}} \cdot c_\alpha^2. \end{aligned} \quad (22)$$

3. The Choices of Regularization Parameter α and Convergence Results

In this section, we consider the choices of the regularization parameter. An a priori strategy and an a posteriori choice rule will be given. Under each choice of the regularization parameter, the convergence estimate can be obtained.

3.1. The a Priori Choice Rule

Take

$$\alpha_1 = C \delta^{\frac{2(q+2)}{p+2}}, \quad C > 0, \quad (23)$$

we can obtain the following theorem.

Theorem 5. If (2) holds and (7) holds with $p \leq 2q + 2$, $f^{\alpha_1,\delta}$ is defined by (14) and (23), then

$$\|f^{\alpha_1,\delta} - f\| = O\left(\delta^{\frac{p}{p+2}}\right). \quad (24)$$

Proof. With Lemma 3, Lemma 4 and (23) we obtain

$$\|f^{\alpha_1,\delta} - f\| \leq \|f^{\alpha_1,\delta} - Th^{\alpha_1}\| + \|Th^{\alpha_1} - f\| = O\left(c_{\alpha_1} \cdot \alpha_1^{\frac{p}{2(q+2)}} + \delta \cdot \alpha_1^{-1/(q+2)}\right) = O\left(\delta^{\frac{p}{p+2}} (\alpha_1 + 1)\right). \quad (25)$$

Moreover, by using Hölder inequality, we have

$$\begin{aligned} c_\alpha^2 &= 4 \sum_{l=0}^{\infty} \left[\frac{\alpha^{\frac{2-p+2}{q+2} \frac{p+2}{q+2}} \gamma_l^{\frac{p+2}{q+2}}}{(\gamma_l + \alpha)^2} \rho_l^p \hat{f}_l^2 \right]^{\frac{p}{p+2}} \left[\left(\frac{\alpha}{\gamma_l + \alpha} \right)^2 \rho_l^p \hat{f}_l^2 \right]^{\frac{2}{p+2}} \\ &\leq 4 \left[\sum_{l=0}^{\infty} \frac{\alpha^{\frac{2-p+2}{q+2} \frac{p+2}{q+2}} \gamma_l^{\frac{p+2}{q+2}}}{(\gamma_l + \alpha)^2} \rho_l^p \hat{f}_l^2 \right]^{\frac{p}{p+2}} \left[\sum_{l=0}^{\infty} \left(\frac{\alpha}{\gamma_l + \alpha} \right)^2 \rho_l^p \hat{f}_l^2 \right]^{\frac{2}{p+2}}. \end{aligned} \quad (26)$$

Formulae (8) implies that

$$\begin{aligned} \sum_{l=0}^{\infty} \left(\frac{\alpha}{\gamma_l + \alpha} \right)^2 \rho_l^p \hat{f}_l^2 &= o(1) \quad \text{for } \alpha \rightarrow 0, \\ \sum_{l=0}^{\infty} \frac{\alpha^{\frac{2-p+2}{q+2} \frac{p+2}{q+2}} \gamma_l^{\frac{p+2}{q+2}}}{(\gamma_l + \alpha)^2} \rho_l^p \hat{f}_l^2 &= \begin{cases} o(1), & \text{if } p < 2q + 2 \\ O(1) & \text{if } p = 2q + 2 \end{cases} \quad \text{for } \alpha \rightarrow 0. \end{aligned} \quad (27)$$

The assertion of the Lemma follows from (25)-(27).

3.2. The a Posteriori Choice Rule

For any $w \in L^2(0, \pi)$, we define

$$d(\alpha, w) := \sum_{l=1}^{\infty} \frac{\alpha^2}{(\gamma_l + \alpha)^2} \hat{w}_l^2. \quad (28)$$

It is apparent that the function $\alpha \rightarrow d(\alpha, w)$ is continuous and strictly increasing on $(0, \infty)$ and

$$\lim_{\alpha \rightarrow 0} d(\alpha, w) = 0, \quad \lim_{\alpha \rightarrow \infty} d(\alpha, w) = \|w\|^2. \quad (29)$$

So we can get the following lemma

Lemma 6. Let g , g^δ and $\delta > 0$ satisfy (2) and

$$\|g^\delta\| \geq C\delta^2 \quad (30)$$

for some $C > 1$. Then there is a unique $\alpha > 0$ such that

$$d(\alpha, g^\delta) = C \cdot \delta^2. \quad (31)$$

In the following, we denote the unique α determined in (31) by α_2 . In the next lemma, we consider the behavior of α_2 .

Lemma 7. Let g , g^δ and $\delta > 0$ satisfy (2) and (30) for $C > 1$.

a)

$$d(\alpha_2, g) = C\delta^2, \quad (32)$$

b)

$$\alpha_2 = O\left(\delta^{\frac{2(q+2)}{p+2}} \cdot e_{\alpha_2}^{\frac{2(q+2)}{p+2}} \right), \quad (33)$$

where

$$e_\alpha = 4 \sum_{l=1}^{\infty} \frac{\alpha^{\frac{2-p+2}{q+2} \frac{p+2}{q+2}} \gamma_l^{\frac{p+2}{q+2}}}{(\gamma_l + \alpha)^2} \rho_l^p \hat{f}_l^2. \quad (34)$$

Proof.

a) Let

$$Lw = \sum_{l=1}^{\infty} \frac{\alpha_2}{\gamma_l + \alpha_2} \hat{w}_l \phi_l(x) \quad (35)$$

then

$$d(\alpha_2, g) = \|Lg\|^2 \leq \left(\|L(g - g^\delta)\| + \|Lg^\delta\| \right)^2 \leq (\delta + \sqrt{C}\delta)^2 = (1 + \sqrt{C})^2 \delta^2. \quad (36)$$

b)

$$d(\alpha_2, g) = \sum_{l=1}^{\infty} \frac{\alpha_2^2}{(\gamma_l + \alpha_2)^2} \hat{g}_l^2 = \sum_{l=1}^{\infty} \frac{\alpha_2^2 \rho_l^{-p}}{(\gamma_l + \alpha_2)^2 \lambda_l^2} \rho_l^p \hat{f}_l^2 \quad (37)$$

then from Lemma 1

$$\frac{1}{4} \alpha_2^{\frac{p+2}{q+2}} \sum_{l=1}^{\infty} \frac{\alpha_2^{\frac{2-p+2}{q+2}} \gamma_l^{\frac{p+2}{q+2}}}{(\gamma_l + \alpha_2)^2} \rho_l^p \hat{f}_l^2 \leq d(\alpha_2, g) \leq \alpha_2^{\frac{p+2}{q+2}} \sum_{l=1}^{\infty} \frac{\alpha_2^{\frac{2-p+2}{q+2}} \gamma_l^{\frac{p+2}{q+2}}}{(\gamma_l + \alpha_2)^2} \rho_l^p \hat{f}_l^2. \quad (38)$$

The rest follows from a).

Theorem 8. Suppose that the conditions (2) and (30) hold, the condition (7) hold with $p \leq 2q + 2$, $f^{\alpha_2, \delta}$ is defined by (14) and (31), then

$$\|f^{\alpha_2, \delta} - f\| = O\left(\delta^{\frac{p}{p+2}}\right). \quad (39)$$

Proof. By using the triangle inequality we know

$$\|f^{\alpha_2, \delta} - f\| \leq \|f^{\alpha_2, \delta} - Th^{\alpha_2}\| + \|Th^{\alpha_2} - f\|. \quad (40)$$

So, in terms of Equations (17), (19) and (33), we have

$$\begin{aligned} \|f^{\alpha_2, \delta} - f\| &= O\left(\delta \cdot \alpha_2^{-1/(q+2)} + c_{\alpha_2} \cdot \alpha_2^{\frac{p}{2(q+2)}}\right) \\ &= O\left[\delta \cdot \left(\delta^{\frac{2(q+2)}{p+2}} \cdot e_{\alpha_2}^{\frac{2(q+2)}{p+2}}\right)^{-1/(q+2)} + c_{\alpha_2} \cdot \left(\delta^{\frac{2(q+2)}{p+2}} \cdot e_{\alpha_2}^{\frac{2(q+2)}{p+2}}\right)^{\frac{p}{2(q+2)}}\right] \\ &= O\left(\delta^{\frac{p}{p+2}} \cdot e_{\alpha_2}^{\frac{2}{p+2}} + c_{\alpha_2} \cdot \delta^{\frac{p}{p+2}} \cdot e_{\alpha_2}^{\frac{p}{p+2}}\right) = O\left[\delta^{p/(p+2)} \left(c_{\alpha_2} \cdot e_{\alpha_2}^{\frac{p}{p+2}} + e_{\alpha_2}^{\frac{2}{p+2}}\right)\right]. \end{aligned} \quad (41)$$

From (26),

$$c_{\alpha} \leq e_{\alpha}^{\frac{p}{p+2}} \left[\sum_{l=0}^{\infty} \left(\frac{\alpha}{\gamma_l + \alpha}\right)^2 \rho_l^p \hat{f}_l^2 \right]^{\frac{1}{p+2}}. \quad (42)$$

Combining (41) and (42), we obtain

$$\|f^{\alpha_2, \delta} - f\| = O\left(\delta^{p/(p+2)} \left(\left[\sum_{l=0}^{\infty} \left(\frac{\alpha}{\gamma_l + \alpha}\right)^2 \rho_l^p \hat{f}_l^2 \right]^{\frac{1}{p+2}} + e_{\alpha_2}^{\frac{2}{p+2}} \right)\right) \quad (43)$$

The assertion of the theorem follows from (27).

4. Numerical Tests

In this section, we present some numerical tests to check the effectiveness of the method. The discretization knots are $x_j = \frac{j\pi}{N}, j = 1, 2, \dots, N - 1$. We first get the datum $g = \{g(x_k)\}_{k=0}^N$ representing values of $g(x)$, and then obtain the perturbation datum g^δ as following

$$g^\delta(x_i) = g(x_i) + \epsilon_i, |\epsilon_i| < \delta_1, \tag{44}$$

where $\{\epsilon_j\}_{j=0}^N$ are generated by Function $(2 \times \text{rand}(N + 1, 1) - 1) \times \delta_1$ in Matlab. Because the error satisfies the uniform distribution in this paper, so we let

$$\delta = \frac{\sqrt{3}}{6} M \delta_1$$

in practical computing. The relative errors are measured by the weighted l^2 -norms defined as follows:

$$RE_k(f^{\alpha,\delta}) = \frac{\sqrt{\frac{1}{N} \sum_{j=1}^N (f^{\alpha,\delta}(x_j) - f(x_j))^2}}{\sqrt{\frac{1}{N} \sum_{j=1}^N (f(x_j))^2}}. \tag{45}$$

All tests are computed by using Matlab and we will also compare the method (M1) with the method in [6] (M2, notate the approximate function as f_δ). The perturbed data are given by

$$g^\delta(x_j) = g(x_j) + \epsilon_j, \tag{46}$$

where $\{\epsilon_j\}_{j=0}^N$ are generated by function $\text{randn}(N + 1, 1) \times \delta_1$ in Matlab.

Example [6] It is easy to see that the function $u(x, y) = (1 - e^{\sqrt{2}ny}) \sin nx$ and the function $f(x) = -2n^2 \sin nx$ are the exact solutions of the problem (1) for any natural number n . In these cases, the condition (7) hold for any $p \in \mathbb{R}^+$. So we have $\|f^{\alpha,\delta} - f\| = O\left(\delta^{\frac{q+1}{q+2}}\right), \forall q > 0$. Firstly, we exhibit influence of various p and N on accuracy of numerical solution. The relative errors $RE(f^{\alpha,\delta})$ have been shown in **Table 1** with $q = 0, 2, 4, 8, N = 32, 64, 128$ and fixed $n = 2$. We can see that when N increases and δ_1 decreases, the relative errors become smaller and when q increases, the rates of convergence become larger.

In **Table 2**, we give a numerical comparison between M1 and M2 with fixed $N = 128, n = 2$. The relative errors are given in **Table 2**, we can see that the results of M1 are much better than M2.

5. Conclusion

We have proposed a new method to identify the unknown source in the modified Helmholtz equation. Theoretical analysis as well as experience from computations indicates that the proposed method works well.

Table 1. Relative errors for various p and N with $k = 2$.

δ_1	$q = 0$			$q = 2$			$q = 4$			$q = 8$		
	$N = 32$	$N = 64$	$N = 128$	$N = 32$	$N = 64$	$N = 128$	$N = 32$	$N = 64$	$N = 128$	$N = 32$	$N = 64$	$N = 128$
1e-1	0.0765	0.0862	0.0746	0.0611	0.0423	0.0215	0.0501	0.0392	0.0128	0.0471	0.0382	0.0099
1e-2	0.0213	0.0203	0.0211	0.0078	0.0061	0.0043	0.0062	0.0040	0.0021	0.0054	0.0039	0.0011
1e-3	0.0092	0.0090	0.0072	0.0009	0.0008	0.0007	0.0008	0.0005	0.0005	0.0006	0.0004	0.0001

Table 2. Comparison of M1 and M2.

δ_i	$q = 0$		$q = 2$		$q = 4$		$q = 8$	
	M1	M2	M1	M2	M1	M2	M1	M2
1e-1	0.0746	0.1131	0.0215	0.0375	0.0128	0.0413	0.0099	0.0578
1e-2	0.0211	0.0584	0.0043	0.0184	0.0021	0.0194	0.0011	0.0233
1e-3	0.0072	0.0612	0.0007	0.0047	0.0005	0.0082	0.0001	0.0103

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