

# Modeling Components and Services of LTE Mobile Communications System

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## ABSTRACT

The latest wireless broadband network standard is LTE (Long Term Evolution) which is developed by 3GPP (3rd Generation Partnership Project). It will enable mobile devices such as smart phones, tablets and laptops to access Internet at a very high speed along with lots of multimedia services. There are many issues that are yet to be solved due to dynamic complex nature of wireless systems, multimedia software applications and software requirements. Poor service quality, service disconnections due to mobility, seamless handover, handover interruption time and downward compatibility to other Radio Access Networks (RAN) are some of the key issues for the current LTE systems that are addressed very recently in the scientific literature. Formal method is one of the promising software engineering techniques that assure quality and perfection in software system models. Formal methods use mathematical language to explicitly specify system specifications and requirements that serve as initial grounds for further development and implementation. It efficiently handles all component connections and resource management parameters using discrete structures. Z Schema language is used to model static aspects of LTE communications system. All the schemas are being verified using Z/Eves toolset. The aim is to provide sound mathematical foundation for system validation and verification that eventually results in a more reliable, scalable and complete software system.

**Keywords:** LTE Communications System; Formal Methods; Emerging Software; Mathematical Language; Z Notation

## 1. Introduction

Long Term Evolution (LTE), a wireless broadband technology, is designed to support roaming Internet use and access via mobiles phones and other such handset devices. LTE is also referred as fourth generation (4G) technology and provides significant improvements over previous cellular communication networks. LTE is the latest wireless standard for International Mobile Telecommunication (IMT) system which has been deployed in many countries and few others are in pipeline for its deployment. LTE can operate in different bandwidths ranging from 1.4 MHz to 20 MHz and will provide a data rate of 100 Mbps to 1 Gbps depending upon the mobility of the user equipment. LTE—Advanced Release 10 is set to provide higher bit rate (DL 3Gbps, UL 1.5 Gbps). The IMT system is a complex one due to its different Radio Access Networks (RAN) and protocols. International Mobile Telecommunication systems allow users to access services and information at any time and at any place. However, these telecommunication systems provide mobility services with different requirements, resources and constraints. For example, poor quality of service, service interruptions due to mobility, seamless

handover, interruption time at handovers and downward compatibility to other Radio Access Networks are some of the common key issues that are addressed in the scientific literature [1-7]. There are many other issues which need to be addressed due to complexity and dynamic nature of wireless systems, new information technology infrastructure, multimedia applications and software requirements.

LTE offers many real time multimedia applications by integrating with the existing technologies. Although there exists a lot of research in this area but still there is an uncertainty and sufficient improvement are required in it due to complexity and flexibility of the system. The telecom industry is still discovering the system, network approaches and optimization techniques with the support and cooperation of academics and researches around the globe. Because LTE will integrate many heterogeneous networks and services, the seamless implementation for a complete development of LTE communications system is an open research problem.

Formal methods are mathematical techniques used to model software systems. It enables us to write system specification in such a way that it helps us understanding

states of the system and its properties in a rigorous way. Formal methods are effective abstraction technique for managing complexity of large scale, dynamic and distributed systems. By use of formal methods, systems requirements, specification and limitations are addressed at early stages of systems and software development. In this way, a thorough development processes leads to a complete, consistent and robust model. The most important benefit of using formal methods is that we can move gradually from informal requirements to a detailed model maintaining the system properties and constraints.

In the existing work [8], Milner extended the system from Calculus of Communication System to pie-calculus developing a dynamic communication model which can be used to describe the mobility issues. In this work, mobility is assumed as movement of links between the connected components. Acharya *et al.*, [9] have used formal techniques to overcome the consistency issue at various phases of development process in wireless mobile communication networks. Formal models are applied in [10], [11] using  $Z$  and object  $Z$ , however, few discrepancies are identified and the systems are not addressed completely. Duke *et al.*, [12] have presented several case studies to illustrate application of formal methods. One of his study regarding mobile phone system which keeps track of several states of mobiles with limited number of operations, is an interesting example. The same case study is extended by Battaz in [13] to address the mobility issues explicitly using  $Z$  specification language. To further extend the same system, Battaz has described handover procedure among two objects in more details. The requirements capturing and analysis of LTE system is presented in [14]. In our paper, LTE is developed by the 3G Partnership Group (3GPP) [15] and its specifications is laid out mainly focusing on model abstraction.

In this paper, formal specification of static structures of the LTE system is presented using  $Z$  notation. First of all, fundamental definitions used in the model are presented. Then formal specification of few important services, phone book, messages and call record is described. In the next, formal description of user equipment is given based on the above definitions. Further, formal description of core components, namely, evolved Node B, Home Subscriber Server, Serving Gateway and Mobility Management Entity is described. Finally, LTE system is presented after composition of the above structures. The relationships among the components are defined for well-definedness. An integration of the components is described in terms of properties. The description of the formal specification is provided by  $Z$  notation. The specification of the system is analyzed using  $Z$ /Eves toolset.

Rest of the paper is organized as: In Section 2, basic model of the LTE communications system is introduced. Formal model using  $Z$  notation is provided in Section 3.

Model analysis is presented in Section 4. Finally, conclusions and future work are addressed in Section 5.

## 2. Long Term Evolution System

The previous cellular systems were unable to provide connectivity to packet switched networks and hence were not able to share services. The LTE has been designed to provide seamless Internet Protocol (IP) connectivity between user equipment (UE) and the packet data network (PDN), without any disruption to the applications run by end user during mobility. While the term “LTE” encompasses the evolution of the Universal Mobile Telecommunications System (UMTS) radio access through the Evolved UTRAN (E-UTRAN), it is accompanied by an evolution of the non-radio aspects under the term “System Architecture Evolution” (SAE), which includes the Evolved Packet Core (EPC) network. Together LTE and SAE comprise the Evolved Packet System (EPS) [16]. The LTE architecture is composed of separate components that includes UE (User Equipment), eNB (evolved Node B—more commonly known as base station in UMTS), SGW (Serving Gateway), MME (Mobility Management Entity), HSS (Home Subscriber Server), and PDN-GW (Packet Data Network Gateway). We have dedicated this section to define LTE components. After defining the system and its functional components formal description of the system will be provided.

### 2.1. Evolved Radio Access Network

The evolved RAN for LTE consists of a single node, *i.e.*, the eNodeB (eNB) that interfaces with the user equipment (UE). The architecture of all eNBs is called a flat architecture. The eNB is responsible for all radio-related functions. It offers Radio Resource Control (RRC) functionality corresponding to the control plane. It performs many functions including Radio Resource Management (RRM), Admission Control (AC), radio mobility control, scheduling and dynamic allocation of resources to UEs in both uplink and downlink. Another function performed by eNBs is header compression to ensure efficient use of radio interface by compressing the IP packet headers that could otherwise represent a significant overhead, especially for small packets such as VoIP. When UE and eNB is connected, then all data sent over the radio interface is encrypted.

On the network side, all of these functions reside in the eNBs, each of which can be responsible for managing multiple cells. Unlike some of the previous second- and third-generation technologies, LTE integrates the radio controller function into the eNB. This allows tight interaction between the different protocol layers of the radio access network (RAN), thus reducing latency and improving efficiency. Such distributed control eliminates

the need for a high-availability, processing-intensive controller, which in turn has the potential to reduce costs and avoid “single points of failure.” Furthermore, as LTE does not support soft handover there is no need for a centralized data-combining function in the network [16].

## 2.2. Serving Gateway

All user data packets (IP packets) are transferred through Serving Gateway, while also acting as the mobility anchor for the user plane during inter-eNB handovers. It also serves as the mobility anchor for interworking with other 3GPP technologies such as general packet radio service (GPRS) and UMTS. For idle state of user equipment, the serving gateway terminates the download link data path and triggers paging when the data arrives for the UE. It manages and stores UE contexts, e.g. parameters of the IP bearer service, and network internal routing information. It performs replication of the user traffic in case of lawful interception. In addition, SGW performs some administrative functions in the visited network such as collecting information for charging (for example, the volume of data sent to or received from user).

## 2.3. Mobility Management Entity

The MME is the key control-node for the LTE access-network. MME is the control node that processes the signaling between the UE and the Core Network (CN). The protocols running between the UE and the CN are known as the Non Access Stratum (NAS) protocols. It is also responsible for idle mode UE tracking and paging procedure including retransmissions. It is involved in the carrier activation/deactivation process and is also responsible for choosing the SGW for a UE at the initial attach and at time of intra-LTE handover involving CN node relocation. It is responsible for authenticating the user (by interacting with the HSS). The Non-Access Stratum (NAS) signaling terminates at the MME and it is also responsible for generation and allocation of temporary identities to UEs. It checks the authorization of the UE to camp on the service termination point in the network for ciphering/integrity protection for NAS signaling and handles the security key management. Lawful interception of signaling is also supported by the MME. The MME also provides the control plane function for mobility between LTE and 2G/3G access networks with the S3 interface terminating at the MME from the SGSN. The MME also terminates the S6a interface towards the home HSS for roaming UEs.

## 2.4. Home Subscriber Server

The Home Subscriber Server is the main Internet Multimedia Server IMS database which also acts as database

in evolved packet core EPC networks. The HSS is a super HLR that combined legacy HLR and AUC (Authentication Center) functions together for circuit switched (CS) and packet switched (PS) domains. The AUC generates the vectors for authentication and security keys. In the IMS architecture, the HSS connects to application servers as well as the Call Session Control Function (CSCF) using the DIAMETER protocol. HSS is the master repository for subscriber profiles, device profiles, and state information. As a mandatory control plane function in the LTE Evolved Packet Core (EPC), the HSS manages subscriber identities, service profiles, authentication, authorization, and quality of service (QoS) for LTE and IP Multimedia Subsystem (IMS) networks. An architecture of the LTE System, its components and relationship among the components is presented in the **Figure 1** (reproduced from [www.lte.org](http://www.lte.org)).

## 2.5. Packet Data Network Gateway

Another very important component is the PDN gateway. The PDN Gateway is responsible for IP address allocation for the UE, as well as QoS enforcement and flow-based charging according to rules from the PCRF (Policy Control and Charging Rules Function). It is responsible for the filtering of downlink user IP packets into the different QoS-based carriers. This is performed based on Traffic Flow Templates (TFTs). The P-GW performs QoS enforcement for guaranteed bit rate (GBR) carriers. It also serves as the mobility anchor for interworking with non-3GPP technologies such as CDMA2000 and WiMAX<sup>®</sup> networks. This component is partially modeled in this paper, however, a more completed function will be modeled later.

From the above model it is clear that this system is very much complex in terms of hardware equipment, communication protocols, and multimedia applications.

## 3. Formal Specification

Formal specification of the LTE system is provided in this section. Z is used for the formal specification and Z/Eves tool is used for the model analysis. Schema is a powerful structure in Z used for variables definitions, components encapsulation and defining properties in terms of invariants. In the formal model, first of all, fundamental definitions used in the LTE system are presented. In these definitions, phone book, messages and call record facilities are considered for services description. Then major components namely user equipment, eNB, HSS and SGW are defined. In the next section, formal definition of an important component, MME is described. Finally, LTE communications system is presented based on composition of the above structures.

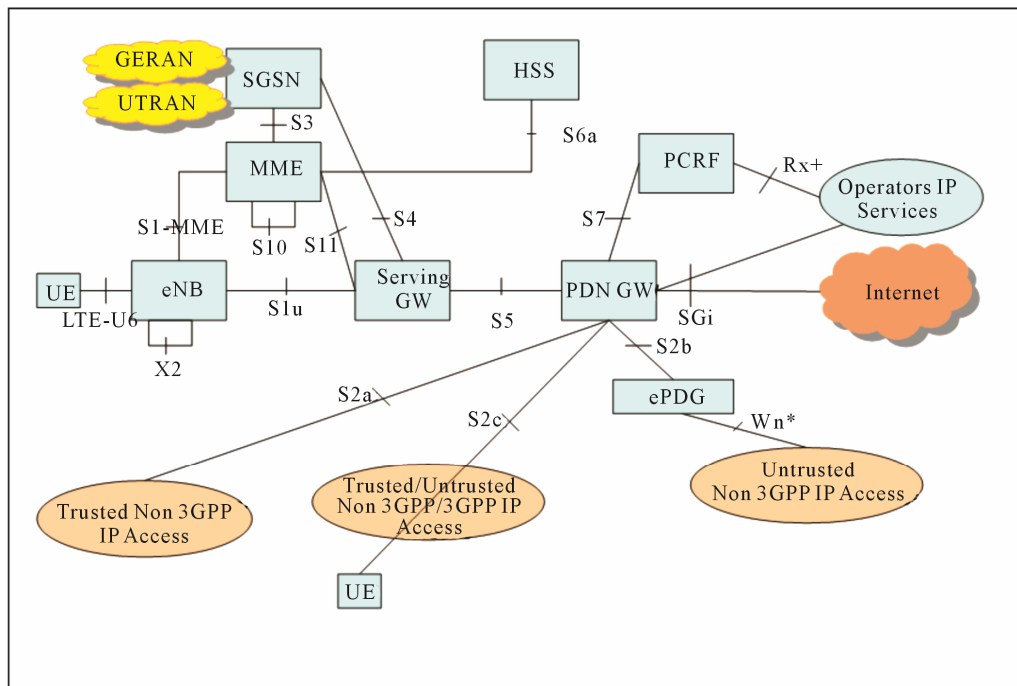
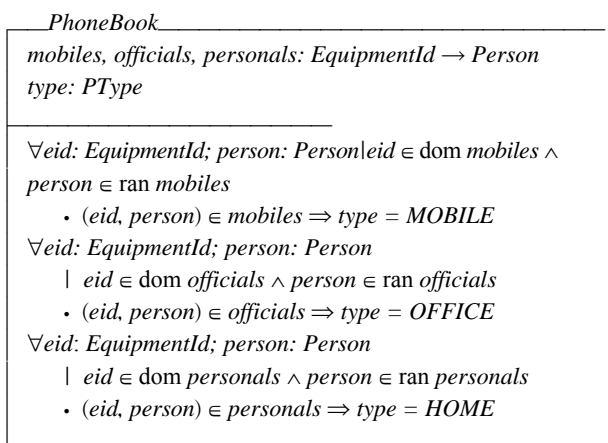


Figure 1. An architecture of LTE system.

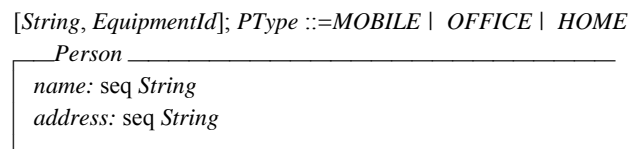
### 3.1. Services

Formal definition of phone book is described below by using schema *PhoneBook* which consists of four components, namely, mobiles, officials, personal and type. The first three variables are defined as functions of type equipment identifier to Person. The schema person contains two variables, that is, name and address. The last one variable in definition of *PhoneBook* is used to represent type of the phone number, *i.e.*, home, official or mobile. The schema consists of two parts in addition to the name of the schema written in the first horizontal line. Definitions of variables used are given in first part of the schema and invariants are defined in the second part.

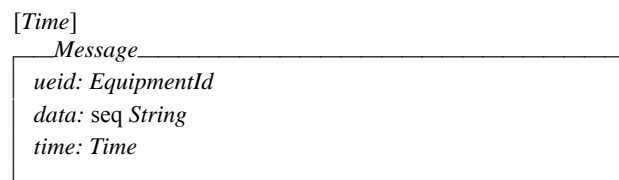


**Invariants:** 1) For each equipment identifier in do-

main of function mobiles and person in range of mobiles, it holds that type of mobile is MOBILE; 2) For each equipment identifier in domain of function officials and person in range of officials, the type of mobile is OFFICIAL; 3) For each equipment identifier in domain of function personals and person in range of the personals, the type of mobile is described as PERSONAL.



Formal definition of messages *s* described below by using the schema *Messages*. The schema consists of four components, inbox, sent, outbox and type. The variables inbox, sent and outbox are defined as functions of type equipment identifier to Message. The schema Message consists of three variables, namely, equipment identifier, data stored and sending or receiving time. The data sent or received is defined as a sequence of strings. The sending or receiving times are denoted by *Time*.



*MType ::= RECEIVED | DELIVERED | FAILED | DEFERRED*

Messages

$$\text{inbox, sent, outbox: EquipmentId} \rightarrow \text{Message; type: MType}$$

$$\forall \text{eid: EquipmentId; message: Message} \mid \text{eid} \in \text{dom inbox} \wedge \text{message} \in \text{ran inbox}$$

- $(\text{eid, message}) \in \text{inbox} \Rightarrow \text{type} = \text{RECEIVED}$

$$\forall \text{eid: EquipmentId; message: Message} \mid \text{eid} \in \text{dom sent} \wedge \text{message} \in \text{ran sent}$$

- $(\text{eid, message}) \in \text{sent} \Rightarrow \text{type} = \text{DELIVERED}$

$$\forall \text{eid: EquipmentId; message: Message} \mid \text{eid} \in \text{dom outbox} \wedge \text{message} \in \text{ran outbox}$$

- $(\text{eid, message}) \in \text{outbox} \Rightarrow \text{type} = \text{FAILED} \vee \text{type} = \text{DEFERRED}$

**Invariants:** 1) For each equipment identifier in domain of function inbox and message in range of the inbox, it holds that status of message is RECEIVED; 2) For each equipment identifier in domain of function sent and message in range of the sent, the status of message is DELIVERED; 3) For each equipment identifier in domain of function outbox and message in range of outbox the status of the message is represented as DEFERRED.

Formal specification of calls record is described by the schema *CallsRecord* which consists of outgoing, incoming, missed and type. The first three variables are described by the functions of type equipment identifier to Call. The schema Call consists of two variables, that is, equipment identifier and sending or receiving time.

CallsRecord

$$\text{outgoing: EquipmentId} \rightarrow \text{Call}$$

$$\text{incoming: EquipmentId} \rightarrow \text{Call}$$

$$\text{missed: EquipmentId} \rightarrow \text{Call}$$

$$\text{type: CType}$$

$$\forall \text{eid: EquipmentId; call: Call} \mid \text{eid} \in \text{dom outgoing} \wedge \text{call} \in \text{ran outgoing}$$

- $(\text{eid, call}) \in \text{outgoing} \Rightarrow \text{type} = \text{OUTGOING}$

$$\forall \text{eid: EquipmentId; call: Call} \mid \text{eid} \in \text{dom incoming} \wedge \text{call} \in \text{ran incoming}$$

- $(\text{eid, call}) \in \text{incoming} \Rightarrow \text{type} = \text{INCOMING}$

$$\forall \text{eid: EquipmentId; call: Call} \mid \text{eid} \in \text{dom missed} \wedge \text{call} \in \text{ran missed} \cdot (\text{eid, call}) \in \text{missed} \Rightarrow \text{type} = \text{MISSED}$$
Call

$$\text{ueid: EquipmentId}$$

$$\text{time: Time}$$

$$\text{CType} ::= \text{INCOMING} \mid \text{OUTGOING} \mid \text{MISSED}$$

**Invariants:** 1) For each equipment identifier in domain and call in range of the outgoing function, it is satisfied that status of the call is OUTGOING; 2) For each

equipment identifier in domain and call in range of the incoming, it is satisfied that status of the call is INCOMING; 3) For each equipment identifier in domain and call in range of the missed function, the property holds that status of the call is MISSED.

### 3.2. LTE Components

The formal description of User equipment, eNB, HSS and SGW are presented here. User equipment is a device used directly by an end-user to communicate with other connected users. It can be a telephone, laptop or any other such device connected to the base station. The user equipment is defined by the schema *UserEquipment* given below. The equipment identifier is denoted by the *EquipmentId*. The equipment has three states that is active, idle or detached. The Phone Book, Messages and Call Record schemas are called to define the user equipment. The equipment has information about its position which is defined in terms of latitude and longitude. Each variable has two parts that is an integral and fractional. The user equipment contains information about its state, *i.e.*, active, idle or detached. Further, it contains sim identifier and key. The equipment has other two variables called control and voice frequencies. The value of frequency will be either allocated or null. In predicate part of the schema, it is described that control frequency has allocated status if and only if the equipment status is active or idle. The control frequency is null if and only if the equipment is detached.

$$\text{Limit: } \mathbb{N}$$

$$\text{Position}$$

$$\text{north, nfrac: } \mathbb{N}; \text{ west, wfrac: } \mathbb{N}$$

$$0 \leq \text{north} \wedge \text{north} \leq 180 \wedge 0 \leq \text{west} \wedge \text{west} \leq 360$$

$$0 \leq \text{nfrac} \wedge \text{nfrac} \leq \text{Limit} \wedge 0 \leq \text{wfrac} \wedge \text{wfrac} \leq \text{Limit}$$

$$[\text{SimKey, SimId, Frequency}]$$

$$\text{UEState} ::= \text{ACTIVE} \mid \text{IDLE} \mid \text{DETACHED}$$

$$\text{FStatus} ::= \text{NULL} \mid \text{ALLOCATED}$$

$$\text{UserEquipment}$$

$$\text{ueid: EquipmentId; PhoneBook}$$

$$\text{messages: Messages; calls: CallsRecord}$$

$$\text{position: Position; uestate: UEState}$$

$$\text{simid: SimId; simkey: SimKey}$$

$$\text{cfstatus, vfstatus: FStatus; cfreq, vfreq: Frequency}$$

$$\text{cfstatus} = \text{ALLOCATED} \Leftrightarrow \text{uestate} \in \{\text{IDLE, ACTIVE}\}$$

$$\text{cfstatus} = \text{NULL} \Leftrightarrow \text{uestate} = \text{DETACHED}$$

An evolved Node B is the radio access part of LTE which contains at least one radio transmitter, receiver and control section. The receiver contain resource manage-

ment and logic control functions. The evolved Node B is denoted by the schema  $eNB$  given below. The schema consists of seven components. The first one node is identifier denoted by  $NodeId$ . The next three components are frequencies, namely, total set of frequencies, control and voice frequencies. The next component is a set of equipment connected to the evolved Node B. Finally, two functions for control frequencies and voice frequencies are described from a set of frequency to equipment identifier. The node identifier is of type Node.

[Node]

$eNB$

$enb$ : Node;  $uequipments$ :  $\mathbb{F}$  UserEquipment  
 $frequencies$ ;  $cfrequencies$ ;  $vfrequencies$ :  $\mathbb{F}$  Frequency  
 $alloc$ ;  $valloc$ : Frequency  $\rightarrow$  EquipmentId

$cfrequencies \neq \emptyset \wedge vfrequencies \neq \emptyset$   
 $cfrequencies \cap vfrequencies = \emptyset$   
 $frequencies = cfrequencies \cup vfrequencies$   
 $\forall ue$ : UserEquipment |  $uequipments \neq \emptyset$   
 •  $ue \in uequipments \Rightarrow ue . cfreq \in cfrequencies \wedge ue .$   
 $vfreq \in vfrequencies$   
 $\forall f1, f2$ : Frequency;  $ei$ : EquipmentId |  $(f1, ei) \in alloc \wedge (f2,$   
 $ei) \in alloc$  •  $f1 = f2$   
 $\forall f1, f2$ : Frequency;  $ei$ : EquipmentId |  $(f1, ei) \in valloc \wedge (f2,$   
 $ei) \in valloc$  •  $f1 = f2$   
 $\forall fr$ : Frequency |  $fr \in \text{dom } alloc$  •  $fr \in cfrequencies$   
 $\forall fr$ : Frequency |  $fr \in \text{dom } valloc$  •  $fr \in vfrequencies$   
 $\forall ue1$ : EquipmentId |  $ue1 \in \text{ran } alloc$   
 •  $\exists ue2$ : UserEquipment |  $ue2 \in uequipments$  •  $ue1 = ue2$   
 $. ueid$   
 $\forall ue1$ : EquipmentId |  $ue1 \in \text{ran } valloc$   
 •  $\exists ue2$ : UserEquipment |  $ue2 \in uequipments$  •  $ue1 = ue2$   
 $. ueid$

**Invariants:** 1) Control and voice frequencies are non-empty; 2) Intersection of control and voice frequencies is an empty set; 3) The union of control and voice frequencies is equal to set of total frequencies; 4) The control and voice frequencies of each equipment connected to evolved node are in the set of total frequencies in the node; 5) The control frequency is a one to one function; 6) The voice frequency is a one to one function that is a voice frequency can be assigned to only one equipment; 7) The control frequency in the domain of frequency allocation function to each user equipment, is in the set of control frequencies of evolved node; 8) The voice frequency in the domain of frequency allocation function to each user equipment, is in the set of voice frequencies of evolved node; 9) The range of control frequency is subset of set of equipments of evolved node; 10) The range of voice frequency function is sub-set of set of equipments

of evolved node.

The HSS manages subscription related information and supports the network control layer. It consists of various components some of the important information is given below in schema HSS. The first one component is its identifier. The second one is access having value granted or not denied. The next three components are for storing record about sim identifiers, sim keys and equipment identifiers. The relationship between sim identifiers with keys and equipment are also defined. Home location and visitor location registers are represented by hlrs and vlrs respectively which are power set of location register (LR). Three types of networks, GERAN, UTRAN and 2G/3G, are considered in the schema. The sim is described by the schema sim which consists of sim key, sim's owner, balance to call, render and other information. The last one component is added because this schema can be extended in our future work to have more information needed for the definition of sim.

[Render]

Sim

$simkey$ : SimKey;  $owner$ : seq String  
 $balance$ :  $\mathbb{N}$ ;  $render$ : Render;  $otherinfo$ : seq String

[LR, Hid]; Access ::= GRANTED | DENIED

RAN ::= GERAN | UTRAN | G23 ; BalLimit:  $\mathbb{N}$

HSS

$hid$ : Hid ;  $access$ : Access;  $sims$ : SimId  $\rightarrow$  Sim  
 $srecord$ : SimId  $\rightarrow$  EquipmentId;  $simkeys$ :  $\mathbb{F}$  SimKey  
 $hlrs$ ,  $vlrs$ :  $\mathbb{F}$  LR ;  $networktype$ : RAN

$\forall sid$ : SimId;  $sim$ : Sim |  $sid \in \text{dom } sims \wedge sim \in \text{ran } sims \wedge$   
 $(sid, sim) \in sims$   
 •  $sim . balance \geq BalLimit \Rightarrow access = GRANTED$   
 $\forall sid$ : SimId;  $sim$ : Sim |  $sid \in \text{dom } sims \wedge sim \in \text{ran } sims \wedge$   
 $(sid, sim) \in sims$  •  $sim . balance < BalLimit \Rightarrow access =$   
 DENIED  
 $\forall sid$ : SimId |  $sid \in \text{dom } sims$  •  $sid \in \text{dom } srecord$   
 $\forall sid$ : SimId |  $sid \in \text{dom } srecord$  •  $sid \in \text{dom } sims$   
 $\forall sid$ : SimId;  $sim$ : Sim |  $sid \in \text{dom } sims \wedge sim \in \text{ran } sims \wedge$   
 $(sid, sim) \in sims$  •  $sim . simkey \in simkeys$   
 $\forall lr$ : LR •  $lr \in hlrs \Rightarrow lr \notin vlrs \wedge lr \in vlrs \Rightarrow lr \notin hlrs$

**Invariants:** 1) Each sim identifier in the HSS is in the domain of sims function. If the sim balance is more than the minimum limit than permission for call is guaranteed; 2) If the sim balance is less than the minimum required limit then permission for call is not guaranteed; 3) Each sim in the domain of sim function is in the domain of sim-equipment function; 4) Each sim in the domain of sim-equipment function is in the domain of sims function;

- 5) Each sim key in the sim record function is in the HSS;  
 6) The intersection of sets, home location and visitors location registers, is empty.

The primary functionality of Serving Gateway (SGW) is to manage user mobility and act as a segregation point between the radio access network and the other core networks. The SGW maintains data movement between evolved nodes and the PDN Gateway. In a functional point of view, SGW is a termination point of the packet data network interface. Considering functionality of SGW, formal specification of SGW is given below by using the schema SGW. The schema consists of four components, namely, identifier, internet protocol, data and networks. The data is defined as a basic set type. The radio access network types are same as defined above in the definition of HSS schema. In the predicate part of the schema, it is stated that the data packet is sent towards a network based on the request of sender.

[Data]; IP ::= IP1 | IP2 | IP3

SGW

sgw: seq  $\mathbb{N}$ ; iprotocol: IP; data: Data; network: RAN

iprotocol = IP1  $\Rightarrow$  network = GERAN

iprotocol = IP2  $\Rightarrow$  network = UTRAN

iprotocol = IP3  $\Rightarrow$  network = G23

The Mobility Management Entity (MME) is the key control node for the Long Term Evolution network. The primary functionality of MME is monitoring tracking and paging procedure for idle mode user equipment. It is involved in activation and deactivation processes and is responsible for choosing the SGW for user equipment at the initial process. It is responsible for security issues including authentication of the user by interacting with the HSS. It verifies authorization of user equipment to site on the service provider and enforces the equipment roaming restrictions. Furthermore, the MME provides the control function for mobility between Long Term Evolution and other access networks.

Formal specification of Mobility Management Entity is given below using the schema MME. The schema consists of five components, namely, evolved nodes, home subscriber servers, serving gateways, verification and request. The formal definitions of the components are already given in terms of schemas. In MME schema, evolved nodes, home subscriber servers and serving gateways are assumed as power sets. Similar to above, all sets are assumed as finite collections of the components. Request has two values either success or failure. Similarly verification is either done or rejected.

Request ::= SUCCESS | FAILURE

Verification ::= VERIFIED | REJECTED

MME

enbs: F eNB; hsss: F HSS; sgws: F SGW

verification: Verification; request: Request

$\forall enb: eNB \mid enb \in enbs$

- $\forall ue: UserEquipment \mid ue \in enb . uequipments$
- $\exists hss: HSS \mid hss \in hsss$
- $\exists sid: SimId; uei: EquipmentId \mid (sid, uei) \in hss . srecord$
- $ue . ueid = uei \wedge ue . simid = sid$

$\forall enb: eNB \mid enb \in enbs$

- $\forall ue: UserEquipment \mid ue \in enb . uequipments$
- $\exists hss: HSS \mid hss \in hsss$
- $\exists sk: SimKey \mid sk \in hss . simkeys \cdot ue . simkey = sk$

$\forall enb: eNB \mid enb \in enbs$

- $\forall ue: UserEquipment \mid ue \in enb . uequipments$
- $verification = VERIFIED \Rightarrow request = SUCCESS$

$\forall enb: eNB \mid enb \in enbs$

- $\forall ue: UserEquipment \mid ue \in enb . uequipments$
- $verification = REJECTED \Rightarrow request = FAILURE$

**Invariants:** 1) For each evolved node and for each user equipment in the node there exists home subscriber server which contains the sim identifier of the equipment; 2) For an evolved node and for each equipment in the node there exists home subscriber server containing sim identifier of the equipment; 3) For each user equipment, if identity is verified, it is successful; 4) For an equipment, if the identity is not verified then it is a failure.

Finally, formal specification of LTE is given below using LTE schema which consists of five components, namely, set of evolved nodes, set of home subscriber servers, set of serving gateways, set of mobile management entities and network. The components definitions are given in first part and invariants are defined in the second part of the schema.

LTE

eNBS: F eNB; hSSS: F HSS

sGWS: F SGW; mMES: F MME; networks: RAN

$\forall mm: MME \mid mm \in mMES \cdot \forall enb: eNB \mid enb \in mm . enbs$   
 •  $enb \in eNBS$

$\forall mm: MME \mid mm \in mMES \cdot \forall hs: HSS \mid hs \in mm . hsss$   
 •  $hs \in hSSS$

$\forall mm: MME \mid mm \in mMES \cdot \forall sg: SGW \mid sg \in mm . sgws$   
 •  $sg \in sGWS$

**Invariants:** 1) For each mobile management entity and for every evolved node, the node is in the LTE system; 2) For each mobile management entity and for every

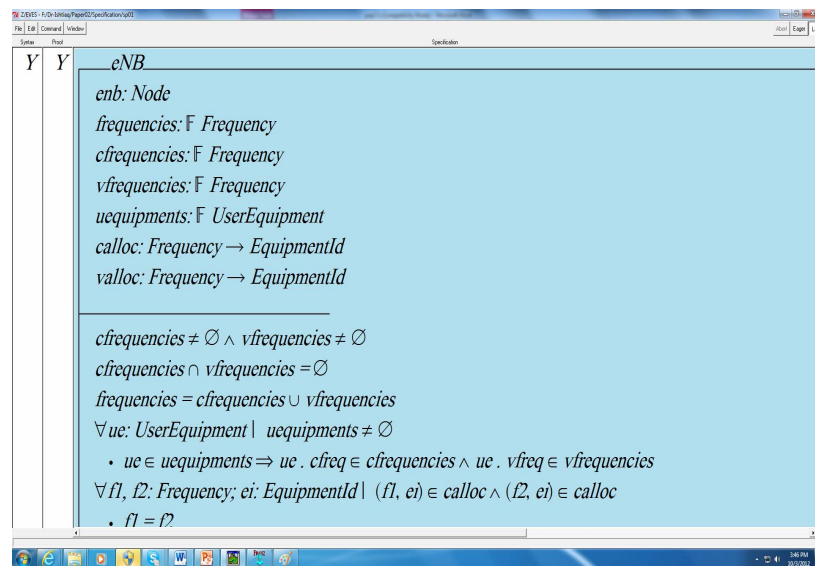
home subscriber server, the server is in the LTE system;  
 3) For each mobile management entity and for every serving gateway, the gateway is in the LTE system.

#### 4. Model Analysis

Formal analysis of the specification is provided here in this section. Since there does not exist any computer tool which may assure about complete correctness of a formal model, therefore, even the specification is well-written, it may contain potential errors. Hence, an art of writing specification does not provide guarantee about correctness of a system. However, if the specification is analyzed and validated with the rigorous computer tools, it certainly improves confidence by identifying errors if exists.

There exist various tools for analyzing Z specification, Z/Eves is one of the powerful tools used in this research for analyzing the formal specification of long term evolution communications system. A snapshot of the part of the specification analysis is provided in **Figure 2**. First column on the left of the figure shows status of the syntax checking and the second column represents the proof correctness of the specification. The symbol “Y” stands that specification is correct syntactically and proof is also correct. The symbol “N” is used that errors exist which needs to be fixed by further analysis. Formal specification of our systems is fully analyzed to be correct and hence “N” does not appear in the figure. All the schemas are checked to be correct in syntax and has a correct proof.

Summary of the results is presented in **Table 1** given



**Figure 2. Model analysis using Z/Eves tool.**

**Table 1. Results of model analysis.**

Schema Name	Syntax	Type Check	Domain Check	Reduction	Proof
Person	Y	Y	Y	NA	Y
PhoneBook	Y	Y	Y	NA	Y
Message	Y	Y	Y	NA	Y
Messages	Y	Y	Y	NA	Y
Call	Y	Y	Y	NA	Y
CallsRecord	Y	Y	Y	NA	Y
Position	Y	Y	Y	NA	Y
UserEquipment	Y	Y	Y	NA	Y
eNB	Y	Y	Y	NA	Y
Sim	Y	Y	Y	NA	Y
HSS	Y	Y	Y	NA	Y
SGW	Y	Y	Y	NA	Y
MME	Y	Y	Y	NA	Y
LTE	Y	Y	Y	NA	Y



below. Name of the schema is given in first column of the table. The symbol “Y” in second column indicates that all schemas are proved automatically. Similarly, domain checking, reduction and proof by reduction are represented in columns 3, 4 & 5 respectively. The “NA” in column four is used that reduction was not required on the predicates and, hence, the formal specification is well-written and meaningful.

## 5. Conclusions and Future Work

Modeling of complex systems has become a challenging task due to specifications and integration of their components. The Long Term Evolution (LTE) is a complex mobile communications system providing real time multimedia applications. Literature review reveals that some research work on addressing various issues of this system has been considered, however, LTE needs much more research contributions for its modeling, specifications, optimization and maintenance due to its complexity and distributed nature [17,18]. The telecom industry with the support of academia is discovering networks modeling and integration techniques for the systems and their implementation. Since LTE will integrate many heterogeneous networks and services, the seamless implementation for a complete development of LTE communications system is an open research problem [19-22]. In this paper, formal methods [23] in terms of Z notation are applied for modeling, specification and analysis of the LTE system. It is observed that the use of Z notation was effective to model and verify properties of the system because of its abstraction and expressive power. Further, the Z notation has a rigorous computer tool support addressing complexity of the system. At first, formal specification of services including messages and call record was provided. Then description of LTE components was presented. In the next section, formal description of the LTE system was described after composition of the schemas describing services and LTE components. Formal specification is analyzed using Z/Eves toolset. Based on our experience, it is observed that Z notation was useful at requirements analysis for further modeling and development of the system. The Z/Eves toolset facilitated and boosted our confidence for a complete and consistent description of the LTE model.

The preliminary results of this research were presented in [24] where LTE system was described at higher level of abstraction. In this paper, a detailed model is presented for addressing various issues including services, components and interaction protocols at software level of the LTE system. The other challenges, for example, quality of service, security issues and optimization techniques in this heterogeneous environment will be addressed in our future work.

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