

# Maximum Likelihood Detection for Detect-and-Forward Relay Channels

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## Abstract

This paper introduces a simple combining technique for cooperative relay scheme which is based on a Detect-and-Forward (DEF) relay protocol. Cooperative relay schemes have been introduced in earlier works but most of them ignore the quality of the source-relay (S-R) channel in the detection at the destination, although this channel can contribute heavily to the performance of cooperation schemes. For optimal detection, the destination has to account all possible error events at the relay as well. Here we present a Maximum Likelihood criterion (ML) at the destination which considers closed-form expressions for each symbol error rate (SER) to facilitate the detection. Computer simulations show that significant diversity gain and Packet Error Rate (PER) performance can be achieved by the proposed scheme with good tolerance to propagation errors from noisy relays. In fact, diversity gain is increased with additional relay nodes. We compare this scheme against the baseline Cooperative-Maximum Ratio Combining (C-MRC).

**Keywords:** Cooperative Relay, Detect-and-Forward, Maximum-Likelihood Criterion Detection

## 1. Introduction

Cooperative communication has been developed as a promising technique to realize spatial diversity through user cooperation [1]. Various relaying schemes have been proposed to explore the benefits of cooperative communication, mainly divided into three categories, including Decode-and-Forward (DF), Amplify-and-Forward (AF) [1-3] and Detect-and-Forward (DEF). In DF, the relay always decodes, re-encodes and re-transmits the decoded signal to the destination. That is, any errors at the relay can be corrected and thus, error propagation can eventually be avoided. On the other hand, AF simply amplifies the received signals and forwards them to the destination after power scaling. The disadvantage of AF strategy is that it will also forward noises which received at the relay. Another relaying protocol which is simple in complexity is DEF where the relay simply detects the signals (hard-decision detection), modulates before forwarding to the destination. With DEF, in [4] the author has shown that the diversity gain can also be achieved provided the destination knows the relay probability of error.

Recently, many works were devoted to improve the relay complexity and yet strive for better error rate per-

formance. For example, our earlier works in coded cooperative schemes [5] adopted DEF at the relay node with further enhancements at the destination. The destination employs Maximum Likelihood criterion detection (ML) to combine the direct and relayed transmissions. However, this detection at the destination does not account sufficiently the error probability of making errors at the relays resulting in serious performance degradation. In coded relay schemes, the channel decoder is initialized with channel log-likelihood ratio (LLR); hence, requires high accuracy of LLR computation. The authors in [4] have developed a piece-wise linear receiver approximating the ML criterion detection that requires knowledge of the average signal-to-noise-ratio (SNR) of the first hop. However, this scheme cannot achieve full diversity for more than one relay. In [6], another combining technique namely Cooperative-Maximum Ratio Combining (C-MRC) is introduced that approximates the ML detector. Unfortunately, C-MRC results in serious propagation error under *asymmetrical* networks when SNR of R-D link is larger than that of S-D link (or S-R link). Another work in [7], has studied the performance of the hard-decision ML criterion detection-based combining technique under uncoded cooperative scheme. In [8,9], the authors have proposed a non-coherent combiner in uncoded coopera-

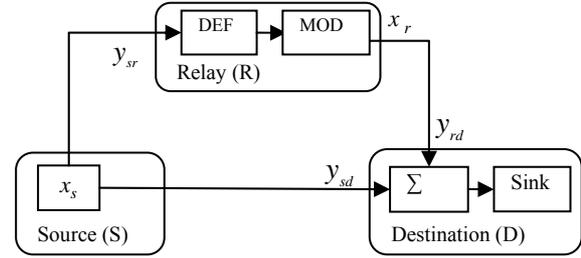
tive relaying scheme using DEF protocol with limited Channel State Information (CSI). In [4-12], the authors have derived sub-optimal receivers but exploiting effectively perfect knowledge of all links is still an open problem, specifically the error probability at the relay. However, many of the previous works assumed that the destination only knows the average probability of symbol error at the relays. Since the detection rule in ML criterion detection at the destination has to consider every symbol error probability, this error model may substantially affect the attainable end-to-end performance. Thus, to guarantee an optimal ML criterion detection, the destination needs to know the error characteristics of the S-R link (perfect CSI) in the form of relay error probabilities.

In this paper, we aim at providing the destination with a more accurate CSI in its decision criterion. While the idea itself of having perfect CSI is not new [9,11,13], the CSI in the previous works is calculated based on the assumption of average error probability. For binary phase-shift keying (BPSK) case, the solution is straightforward, but for *quaternary* phase-shift keying (QPSK) and higher modulation constellation, the Euclidean distance between symbols become no longer the same; hence the symbol error probability can also differ. To circumvent this problem, here we develop a simple ML detection algorithm for the destination node for QPSK modulation. For simplicity, we analyze this ML performance with a simple DEF in uncoded cooperative relay networks and compare against the baseline C-MRC. Unlike in C-MRC, the instantaneous CSI in the proposed scheme involves Q-function expression for each symbol in the modulation which provides accurate knowledge of S-R link. Our scheme also outperforms C-MRC especially when SNR of R-D link is sufficiently high or low (*asymmetrical* network). We show through computer simulations that the performance of the proposed cooperative relay schemes can be improved significantly particularly in multiple relay nodes. We observe that there is remarkable potential to achieve increasing orders of diversity with better packet error rate (PER) performance than that of C-MRC.

The organization of this paper is as follows: Section 2 is System Description and the proposed scheme, Simulation Results and Discussions are given in Section 3, and finally in 4, the paper is summarized. The derivation of the individual SER for QPSK in Gray mapping is presented in the Appendix.

## 2. System Description

With reference to **Figure 1**, we first consider a classical relay model in which only one relay (R) assists the source



**Figure 1. Block diagram of the cooperative relay system with a DEF protocol. MOD denotes the modulation of the received signal at the relay.**

(S) to communicate with the destination (D). We assume each node has only one antenna and is not equipped with cyclic redundancy-check (CRC) codes. In this paper, we consider a time division multiple access (TDMA) mode where data transmission is split into two phases, that of the source node and that of the relay node. It is assumed that all the receiving nodes have perfect CSI. Furthermore, the destination also requires CSI of all three links for detection.

### 2.1. System Model

At timeslot 1, the source broadcasts its information,  $x_s$  to the destination and the relay with the average power  $E_s$ . Due to the broadcast nature of wireless channel, both the destination and the relay receive a noisy observation of  $x_s$  denoted by  $y_{sd}$  and  $y_{sr}$  respectively in the following relation

$$\begin{aligned} y_{sd} &= h_{sd}x_s + n_{sd} \\ y_{sr} &= h_{sr}x_s + n_{sr} \end{aligned} \quad (1)$$

where the subscripts indicate the node relation such that  $h_{sd}$  and  $h_{sr}$  are independent complex-valued channel gains for the S-D and S-R links respectively. For simplicity, all channels are Quasi-static Rayleigh fading channels *i.e.*,  $h_{sd} \sim \mathcal{CN}(0, \sigma_{sd}^2)$  and  $h_{sr} \sim \mathcal{CN}(0, \sigma_{sr}^2)$ , where  $\mathcal{CN}(\mu, \sigma^2)$  denotes a complex Gaussian random variable with mean  $\mu$  and variance  $\sigma^2$ ;  $n_{sd}$  and  $n_{sr}$  are modeled as independent additive white Gaussian noise with zero mean and equal variance  $N_0$  at the destination and relay respectively. We assume that the average SNR for all links are the same denoted as  $\bar{\gamma} = E_s/N_0$ , while the instantaneous SNR is represented as  $\gamma_{sd} = \sigma_{sd}^2\bar{\gamma}$  and  $\gamma_{sr} = \sigma_{sr}^2\bar{\gamma}$  respectively. The relay performs a hard-decision detection (DEF) and re-modulates (MOD) the detected signals as  $x_r$  with the same average power  $E_s$  for re-transmissions in timeslot 2. The symbol received at the destination is given as

$$y_{rd} = h_{rd}x_r + n_{rd} \quad (2)$$

where  $h_{rd} \sim \mathcal{CN}(0, \sigma_{rd}^2)$  and  $n_{rd} \sim \mathcal{CN}(0, N_0)$ . The instantaneous SNR is  $\gamma_{rd} = \sigma_{rd}^2 \bar{\gamma}$ .

At the destination, the signals from the source and the relay node are combined to recover the original source data.

## 2.2. Processing at the Destination

### 2.2.1. Baseline Scheme

Many cooperative relay schemes use MRC to exploit spatial diversity gain. It is one of the simplest and practical approaches when S-R link is reliable. However, this conventional MRC at the destination cannot guarantee full diversity in cooperative schemes. The destination node requires perfect (instantaneous) CSI of S-R link with effective combining.

In [6], the authors have proposed an improved version of MRC termed as C-MRC. The combined signal at the destination node is given by

$$y_{cmrc} = h_{sd}^* y_{sd} + \frac{\gamma_{\min}}{\gamma_{rd}} h_{rd}^* y_{rd} \quad (3)$$

where  $\gamma_{\min} = \min(\gamma_{sr}, \gamma_{rd})$ ,  $\gamma_{sr}$  and  $\gamma_{rd}$  are instantaneous SNR of the S-R and R-D channels respectively. The usual intuitive meaning associated with (3) is that when  $\gamma_{sr}$  is high, the detector places full confidence to the arriving signals from the relay. In case of low  $\gamma_{sr}$ , the confidence is weighted according to the ratio of both hops, that is S-R-D link.

### 2.2.2. Proposed ML-based Combining Strategy

In this section, we first derive the proposed ML combining technique in case of one relay. In the subsequent section, we generalize it to multiple relays. The main purpose of this algorithm is to optimally combine the noisy signals received at the destination node,  $y_{sd}$  and  $y_{rd}$ . It should be noted here that the maximum likelihood detector at the destination should also consider the effect of detection errors at the output of the relay. Such errors are mainly due to fading events in the S-R link. When this link is affected by a deep fade, the detection errors committed at the relay are propagated to the destination. To mitigate these errors which are originated from both source-relay and relay-destination links, an end-to-end ML-based detector should be employed. Thus, the basic assumption for this strategy is that the destination node makes a coherent detection for the signals from the source and the relay nodes requiring all three channels to be known at the destination node, *i.e.*,  $h_{sd}, h_{sr}, h_{rd}$  and the noise variances are available at the destination (only the received symbol  $y_{sr}$  is not known). This is a standard assumption based on the fact that these parameters have been estimated a priori. For a fair comparison,

we maintain the same assumptions applicable for the baseline scheme C-MRC. The proposed ML decision rule at the destination is determined by taking all the possible symbol detection scenarios both at the destination and the relay. By applying Bayes' rule, the decision criterion can be shown as

$$\begin{aligned} \hat{x}_s &= \arg \max_{x_s \in \mathcal{X}} P(x_s, x_r | y_{sd} \Delta y_{sd}, y_{rd} \Delta y_{rd}) \\ &= \arg \max_{x_s \in \mathcal{X}} \frac{P(x_s) P(y_{sd} \Delta y_{sd} | x_s) P(y_{rd} \Delta y_{rd}, x_r)}{P(y_{sd} \Delta y_{sd}, y_{rd} \Delta y_{rd})} \\ &\quad P(x_s) P(y_{sd} \Delta y_{sd} | x_s) \\ &= \arg \max_{x_s \in \mathcal{X}} \frac{\left\{ P(y_{rd} \Delta y_{rd}, x_r = x_s) + \sum_{x_r \neq x_s} P(y_{rd} \Delta y_{rd}, x_r) \right\}}{P(y_{sd} \Delta y_{sd}, y_{rd} \Delta y_{rd})} \\ &= \arg \max_{x_s \in \mathcal{X}} P(y_{sd} \Delta y_{sd} | x_s) \left\{ P(y_{rd} \Delta y_{rd}, x_r = x_s) \right. \\ &\quad \left. + \sum_{x_r \neq x_s} P(y_{rd} \Delta y_{rd}, x_r) \right\}, \end{aligned} \quad (4)$$

From (4), by considering the potential errors at the relay node, the decision criterion can be expanded as

$$\begin{aligned} \hat{x}_s &= \arg \max_{x_s \in \mathcal{X}} P(y_{sd} \Delta y_{sd} | x_s) \\ &\quad \left\{ P(x_r = x_s) P_{rd}(y_{rd} \Delta y_{rd} | x_r = x_s) \right. \\ &\quad \left. + \sum_{x_r} P(x_r \neq x_s) P(y_{rd} \Delta y_{rd} | x_r) \right\}, \end{aligned} \quad (5)$$

where we assume  $P(x_s) = 1/M$  e.g.,  $M=4$  for QPSK;  $\mathcal{X}$  denotes the finite set of the constellation and  $\Delta y$  is the observation space for the respective received symbol. First, we assume that the transmit signals are modulated by QPSK and later, we consider a special case of BPSK. Throughout the sequel, we use capital  $P$  as the probability function. From (5), after some simplifications, the detector at the destination will find  $\hat{x}_s$ , an estimate of  $x_s$  by using the following criterion

$$\begin{aligned} \hat{x}_s &= \arg \max_{x_s \in \mathcal{X}} p_{sd}(y_{sd} | x_s) \\ &\quad \left\{ P(x_r = x_s) P_{rd}(y_{rd} | x_r = x_s) \right. \\ &\quad \left. + \sum_{x_r} P(x_r \neq x_s) P_{rd}(y_{rd} | x_r) \right\} \end{aligned} \quad (6)$$

where  $p_{sd}(y_{sd} | x_s)$  is the probability density function (PDF) of the source signal  $y_{sd}$  conditioned upon the

transmitted data  $x_s$  and  $p_{rd}(y_{rd}|x_r = x_s)$  is the PDF of the relayed signal  $y_{rd}$  conditioned on the equality of both transmitted symbols ( $x_r = x_s$ ). However the bracketed terms in (6) has to consider the error probability of the received signals  $y_{sr}$  at the relay accounting the SER of each signal point.

For QPSK case, the solution is not straightforward due to different Euclidean distance among symbols. In QPSK, a symbol with two bits,  $x_s = [b_1, b_2]$  takes from the constellation set  $\chi \in \{s_1, s_2, s_3, s_4\}$ . Assuming the Gray mapping, we represent the complex symbols of  $\chi$  as  $s_1 \rightarrow (0,0), s_2 \rightarrow (1,0), s_3 \rightarrow (1,1), s_4 \rightarrow (0,1)$ . The detection at the destination is performed jointly by the ML criterion and we can expand (5) as

$$\hat{x}_s = \arg \max_{x_s \in \chi} p_{sd}(y_{sd}|x_s) \left\{ \begin{array}{l} [1 - (\varepsilon_1 + 2\varepsilon_2)] p_{rd}(y_{rd}|x_r = x_s) \\ + \varepsilon_1 p_{rd}(y_{rd}|x_r = x_s \varepsilon^{j\pi}) \\ + \varepsilon_2 p_{rd}(y_{rd}|x_r = x_s \varepsilon^{j\frac{\pi}{2}}) \\ + \varepsilon_3 p_{rd}(y_{rd}|x_r = x_s \varepsilon^{-j\frac{\pi}{2}}) \end{array} \right\} \quad (7)$$

where  $\varepsilon_1$ ,  $\varepsilon_2$  and  $\varepsilon_3$  denote the symbol error probabilities from  $s_1 \rightarrow s_3$ ,  $s_1 \rightarrow s_2$  and  $s_1 \rightarrow s_4$  respectively;  $\varepsilon_1$  and  $\varepsilon_2 = \varepsilon_3$  are analytically expressed as the Gaussian  $Q(\cdot)$  function where

$Q(x) := (1/\sqrt{2\pi}) \int_x^\infty \exp(-t^2/2) dt$ . In the 2nd term of (6), we include the multiplicative error term in exponential function,  $\varepsilon^{j\phi}$  with the following equality  $x_r = x_s \varepsilon^{j\phi}$

where  $\phi \in \left\{0, \pi, \frac{\pi}{2}, -\frac{\pi}{2}\right\}$  denoting the phase changes

that depends on the symbols transmitted from the relay. This means that (7) takes into account that the relay does not operate error-free. In this paper, we derive closed-form expressions for the probability of error for each constellation symbol for QPSK as

$$\begin{aligned} \varepsilon_1 &= P(x_r = x_s \varepsilon^{j\pi}) \\ &= \frac{1}{4} \operatorname{erfc}^2 \left[ \sqrt{\frac{E_s}{2N_0}} \right] = \left\{ Q \left( \sqrt{\frac{E_s}{N_0}} \right) \right\}^2 \end{aligned} \quad (8)$$

$$\begin{aligned} \varepsilon_2 &= P(x_r = x_s \varepsilon^{j\frac{\pi}{2}}) = \varepsilon_3 = P \left( x_r = x_s \varepsilon^{-j\frac{\pi}{2}} \right) \\ &= Q \left( \sqrt{\frac{E_s}{N_0}} \right) - \left\{ Q \left( \sqrt{\frac{E_s}{N_0}} \right) \right\}^2 \end{aligned} \quad (9)$$

where  $\operatorname{erfc}$  is the complementary error function. When  $y_{sd}$  and  $y_{rd}$  are received at the destination, by insert-

ing  $s_1, s_2, s_3$  or  $s_4$  to  $x_s$  and examining how large the argument value from the augment (7), we can determine the transmit signal point  $x_s$  from the finite set  $\chi$  in QPSK constellation. The PDF for each term corresponding to the following expressions:

$$p_{rd}(y_{rd}|x_r = x_s \varepsilon^{j\phi}) = \frac{1}{2\pi N_0} \exp \left\{ -\frac{|y_{rd} - h_{rd} x_s \varepsilon^{j\phi}|^2}{2N_0} \right\} \quad (10)$$

where  $\phi \in \left\{0, \pi, \frac{\pi}{2}, -\frac{\pi}{2}\right\}$ . Detail derivations of (8) and

(9) are presented in the Appendix. It is clear from (8) and (9) that each QPSK symbol takes on a different symbol error probability. The analytical results presented thus far in previous works have been derived from studies which examined the SER problem assuming that the symbol error probability of each QPSK symbol is equally likely. Thus, these results cannot be treated as offering a complete ML solution. Note that another advantage in the proposed ML over C-MRC is its flexibility of combining different modulated signals from different nodes since each link can be treated independently (symbol-wise detection). In C-MRC, if the potential errors cannot be accurately modeled, maximizing the SNR would not result in the improvement of the scheme.

For completeness, we also examine the scheme in the absence of the CSI of S-R link at the destination node. The destination ignores the error possibility at the relays i.e.,  $\varepsilon_1 = \varepsilon_2 = \varepsilon_3 = 0$  in (7). Hence, we obtain

$$\hat{x}_s = \arg \max_{x_s \in \chi} p_{sd}(y_{sd}|x_s) p_{rd}(y_{rd}|x_r = x_s) \quad (11)$$

One can gain insight about (11) that it is identical with the conventional MRC. This means the combiner at the destination does not explicitly take into account the uncertainty of the relay decisions when S-R link is erroneous.

Next, we present a special case when BPSK is used, instead. For BPSK, the relay decision process can be simply modeled as a *binary symmetric channel (BSC)* with the probability of a binary decision error at the relay  $P(x_r \neq x_s)$ . This is because the S-R link is modeled as a Quasi-static Rayleigh fading channel and each channel is equivalent to a specific AWGN channel having a complex gain of  $h_{sr}$ . When the relay node R receives the signal from the source node, it does a hard decision  $x_r$  for  $y_{sr}$  and forwards it to the destination node.

The relay decision process can be characterized by a random variable  $\mu$  defined as

$$\mu = \begin{cases} 1 & : x_r = x_s \\ 0 & : x_r \neq x_s \end{cases} \quad (12)$$

with  $E\{\mu\} = 1 - \varepsilon_b = P(x_r = x_s)$  where  $\varepsilon_b$  is the probability of bit error at the relay. Therefore,  $p(y_{rd}|x_r)$  can be shown as

$$\begin{aligned} p(y_{rd}|x_r) &= \left[ \begin{aligned} &P(x_r = x_s) p_{rd}(y_{rd}|x_r = x_s) \\ &+ P(x_r \neq x_s) p_{rd}(y_{rd}|x_r \neq x_s) \end{aligned} \right] \\ &= P(\mu = 1) p_{rd}(y_{rd}|x_r = x_s) \\ &\quad + P(\mu = 0) p_{rd}(y_{rd}|x_r \neq x_s) \end{aligned} \quad (13)$$

where the transition PDF of  $p_{rd}(y_{rd}|x_r \neq x_s)$  is represented by

$$p_{rd}(y_{rd}|x_r \neq x_s) = \frac{1}{\sqrt{2\pi N_0}} \exp\left[-\frac{|y_{rd} - h_{rd}\bar{x}_s|^2}{2N_0}\right] \quad (14)$$

In (14),  $\bar{x}_s$  is the complement of  $x_s \in \{+1, -1\}$ .

Inserting the conditional PDF into (13), the transition PDF of this relayed path for BPSK case can be shown as

$$\begin{aligned} p(y_{rd}|x_r) &= (1 - \varepsilon_1) \frac{1}{\sqrt{2\pi N_0}} \exp\left[-\frac{|y_{rd} - h_{rd}x_s|^2}{2N_0}\right] \\ &\quad + \varepsilon_1 \frac{1}{\sqrt{2\pi N_0}} \exp\left[-\frac{|y_{rd} - h_{rd}\bar{x}_s|^2}{2N_0}\right] \end{aligned} \quad (15)$$

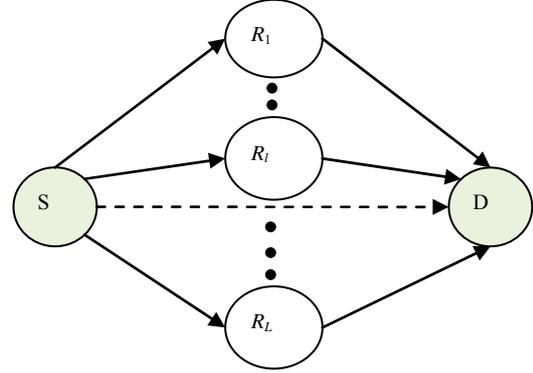
In brief, the proposed scheme features:

1) A simple detector which is capable of mitigating the potential errors at the noisy relays.

2) A new error model which serves as the side information for the detection at the destination by considering individual SER of the signal points in QPSK. Since (7) considers individual SER in its decision, our framework also suits well for other type of modulations. Nonetheless, since having complete CSI for S-R link can be resource-exhaustive, we propose another scheme as in (26) for QPSK considering only average SER for each modulation.

### 2.3. Multiple-relay Scheme (MRS)

In this section, we generalize (7) to multi relay schemes (MRS) with  $L$  relay nodes denoted as  $R_l, l \in \{1, \dots, L\}$ . The system model for MRS is shown in **Figure 2** where in the 1<sup>st</sup> timeslot, the source broadcasts its information to the destination (dashed line) and the relay nodes. In the following  $l+1$  timeslot, relay  $R_l$  re-transmits the received signal to the destination in TDMA orthogonal channels where the corresponding received symbol at the destination is  $y_{rd,l} = h_{rd,l}x_{r,l} + n_{rd,l}$ . Note that the total timeslots are  $L+1$ . The orthogonality is observed due to the constraints in the practical radio transceivers which cannot transmit and receive at the same time. Finally, at



**Figure 2. Multiple relay scheme in parallel channel setup.**

the destination, all signals transmitted from the relays are combined by the proposed ML combining technique. All relays are considered to employ uniform relay function e.g. DEF.

From (5), we let the bracketed term denote the decision criterion for the received symbol from a relay  $R_l$  as

$$\begin{aligned} \hat{p}_{rd,l} &= P(x_{r,l} = x_s) p_{rd}(y_{rd,l}|x_{r,l} = x_s) \\ &\quad + \sum_{x_{r,l}} P(x_{r,l} \neq x_s) p_{rd}(y_{rd,l}|x_{r,l} \neq x_s) \end{aligned} \quad (16)$$

Therefore, for MRS, we can rewrite (5) compactly as

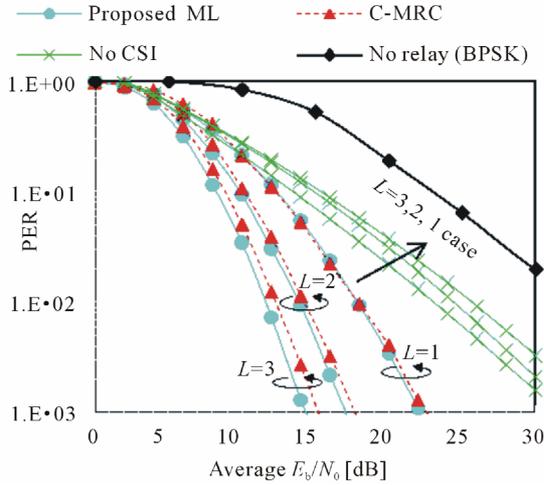
$$\hat{x}_s = \arg \max_{x_s \in \mathcal{X}} p_{sd}(y_{sd}|x_s) \prod_{l=1}^L \hat{p}_{rd,l} \quad (17)$$

The destination will detect the symbols from all relays (plus the direct link) by using the proposed ML assuming that the perfect knowledge of every S-R link is available at the destination.

## 3. Simulation Results and Discussions

We analyze Packet Error Rate (PER) against average SNR in decibel (dB). We first assume the source and relay nodes transmit with the same average power  $E_s$  resulting in the average SNR,  $\bar{\gamma} = E_s/N_0$  (symmetrical network). For C-MRC, we also consider the destination has a perfect knowledge of S-R links (*i.e.*, instantaneous SNR) and perfect channel estimation is assumed. In this simulation, we only consider blind cooperative relaying schemes where relay nodes always re-transmit to the destination whether the signal is correctly detected or contains errors. No automatic repeat request (ARQ) protocol is used to avoid the error propagation from the relay nodes to the destination.

First, we check the PER performance of the proposed scheme as shown in (7) against the baseline for multiple relay nodes *i.e.*  $L = 1, 2$  and 3. In **Figure 3**, as expected, the proposed schemes outperform C-MRC (3) in all cases with around 0.2 dB, 0.5 dB and 1 dB gap at  $\text{PER} = 10^{-3}$



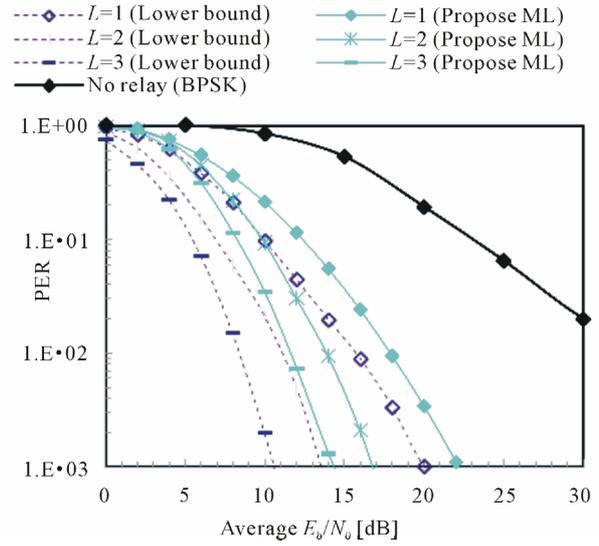
**Figure 3.** PER comparison between the proposed ML scheme and C-MRC (dashed lines) using DEF protocols for  $L = 1, 2$  and  $3$  relays.

for 1, 2 and 3 relay cases respectively. In particular, we can also observe that all the cooperative schemes achieve full order diversity as observed from the slopes of the curves *i.e.*,  $10^{-(L+1)}/10(\text{dB})$  (diversity order of  $L+1$ ) but a significant decrease in diversity gain for No CSI cases (11). This result demonstrates that the proposed algorithm has better accuracy of symbol detection due to the sufficient statistics of the received signals  $y_{sd}$  and  $y_{rd}$ . For this reason, the conditional probability  $P_{rd}(y_{rd}|x_r)$  can be computed using the observations  $P_{rd}(y_{rd}|x_r \neq x_s)$ . As for the No CSI case, the diversity order of 1 is achieved for all cases. PER performance further degrades from  $L=3, 2$  and  $1$  relay case. We note that when no CSI of S-R link is available at the destination, the decision by the destination are done using significantly erroneous assumptions that there are no detection errors at the relay nodes. As a result, they convey false reliability measures to the decoder and the performance is noticeably affected (more than 15 dB loss at  $\text{PER} = 10^{-3}$  compared to the proposed ML schemes for all cases). In practice therefore, a cooperative scheme with DEF which does not account for the S-R CSI may not be very effective.

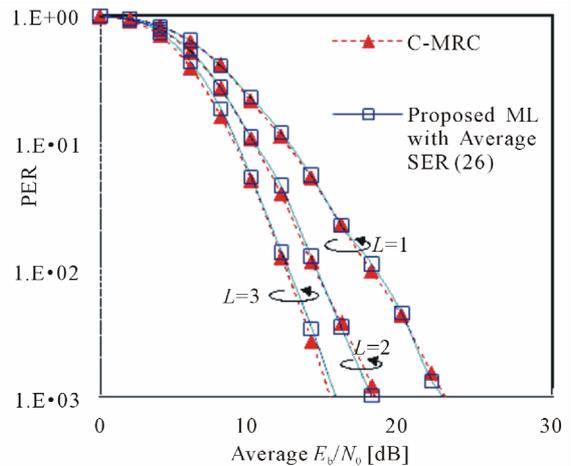
Second, we simulate the lower bounds corresponding to each case where a perfect relay is employed *i.e.*, the same signal from the source is transmitted to the destination (complete MRC). As shown in **Figure 4**, for  $\text{PER} = 10^{-3}$  the gap between the lower bound and the proposed scheme is 2 dB for 1 relay case and 3 dB for 2 and 3 relay cases each. In perfect relay cases, the relays just replicate the same data as the source node; hence the performance can be regarded as the lower bound of the cooperative schemes. We can also notice that the gap to the

lower bounds become almost constant if larger network is applied (multiple relays). This performance improvement is achieved by employing only a hard-decision protocol (DEF) at the relay nodes. Such a system design is practical for wireless networks which usually cannot compromise on the high energy consumption and longer time delay at the relays. We also plot no relay case using BPSK modulation for fair comparison. It is clear that the gain from the proposed ML scheme is significantly large due to cooperative gain.

Third, we investigate the proposed ML schemes when the detector considers the average SER of QPSK in the decision criterion (26) as shown in **Figure 5**. Interestingly,



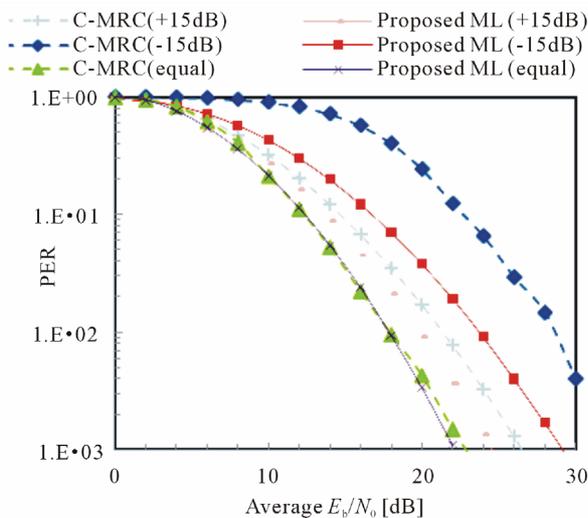
**Figure 4.** PER comparison between the lower bounds (dashed lines) and the proposed ML schemes (solid lines) in multiple relay schemes. The lower bounds are simulated with perfect relays.



**Figure 5.** PER performance when the proposed ML scheme (solid lines) using only average SER,  $\epsilon_0$  against the baseline C-MRC (dashed lines).

their performance is almost the same in all SNR regions as C-MRC. By using average SER in the algorithm, the observation in  $p_{rd}(y_{rd}|x_r \neq x_s)$  is equally weighted for all QPSK symbols. As a result, this has caused some degradation in PER performance compared to the proposed pure ML case (7) as shown in **Figure 3**. In fact, the convergence of the two schemes is also expected due to the approximation at high SNR of the baseline scheme [6]. Note that keeping perfect CSI of S-R link at the destination can be energy consuming and involve higher computations *i.e.*,  $\varepsilon_1$  and  $\varepsilon_2$  required for perfect CSI, but only  $\varepsilon_0$  is required for the average case. Thus, this proposed ML strategy poses a practical solution which constitutes a good tradeoff between the perfect CSI requirement and error rate performance

Finally, in **Figure 6**, we simulate the proposed scheme and C-MRC when only one relay node is used but we vary the average SNR for R-D link  $\bar{\gamma}_{rd}$  and we keep the average SNR for S-D link and S-R link the same,  $\bar{\gamma}_{sd} = \bar{\gamma}_{sr} = \bar{\gamma}$ . We simulate the schemes at three different scenarios of R-D link quality:  $\bar{\gamma} + 15$  dB (+15 dB),  $\bar{\gamma} - 15$  dB (-15 dB) and  $\bar{\gamma}_{rd} = \bar{\gamma}$  (equal). In view of this result, we infer that the proposed scheme can outperform C-MRC when R-D link has sufficiently high SNR quality (+15 dB) with 1dB gap at  $\text{PER} = 10^{-3}$  and 2.5 dB gap at  $\text{PER} = 10^{-2}$  for low SNR quality (-15 dB). When R-D link has higher SNR compared to S-D or S-R links, the combined signal at the destination is dominated by the erroneous signal from the relayed link. Thus, the PER performance is degraded further compared to the case of equal SNR. This significant performance demonstrated by the proposed ML scheme renders it more suitable when the relayed link has poor SNR quality. In



**Figure 6.** PER comparison between the proposed ML scheme (solid lines) and C-MRC (dashed lines) when the average SNR of R-D link,  $\bar{\gamma}_{rd}$  varies at  $L=1$  relay case.

C-MRC, one can also check from (3) that the suboptimality of C-MRC becomes inherently evident when the weighted signal from the relayed link becomes larger than that of the direct link. C-MRC effectiveness is largely conditioned on the link quality of R-D link over S-D link (direct path). Surprisingly, it can be easily seen that the proposed ML scheme achieves the advantages of the cooperative gain by using only simple DEF protocol with increasing improvement in multiple relay schemes. In particular, although the received signals at the relays are noisy and only DEF is used at the relays, the proposed scheme improves achievable PER performance. Furthermore, the proposed scheme also even provides better error rate performance at low and high SNR of R-D link which becomes an added advantage compared to C-MRC.

## 4. Conclusions

In this paper, we developed a new signal combining strategy based on ML criterion for cooperative relay scheme which accounts the potential errors at relays. The errors are expressed as the Gaussian Q-function for each SER in QPSK symbols. By applying these expressions in the detection at the destination, we can accurately model the transition probabilities for the erroneous transmission from noisy relays. The proposed ML scheme is superior to the conventional C-MRC in PER performance in all cases under the same CSI requirement. The proposed ML scheme has more flexibility in implementation compared to C-MRC depending on CSI at the destination. In fact, unlike C-MRC, the proposed scheme remains resilient to propagation errors even if R-D link has different SNR quality. In addition, with DEF protocol, this proposed ML scheme has shifted the processing complexity to the destination node whilst the relay nodes can conserve the energy and simple data processing. Thus, this makes this proposed strategy amenable to implementation especially for resource-constraint environment such as wireless sensor networks.

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## Appendix

### Derivation of Symbol Error Rate (SER) of QPSK Signals in Gray Mapping

Employing the two-dimensional (2-D) Gaussian Q- function representation, we present closed-form expressions for the individual SER of each QPSK signal. **Figure 7** illustrates the signal points for QPSK when Gray mapping is used. Let us denote  $I$  and  $Q$  as the in-phase and quadrature components respectively. Since each complex symbol of QPSK corresponds to two binary bits, as presented in **Figure 7** we assign the respective symbols  $s_1 \rightarrow (0,0), s_2 \rightarrow (1,0), s_3 \rightarrow (1,1), s_4 \rightarrow (0,1)$  accordingly.

#### 1. Derivation of $\varepsilon_1$

In this sub-section, we derive the symbol error probability of  $s_1 \rightarrow s_3$ . **Figure 7** depicts the difference of Euclidean distance between  $s_1$  and other symbols. Here we assume  $\varepsilon_2 = \varepsilon_3$ . First, it is convenient to define several assumptions used in the analysis.

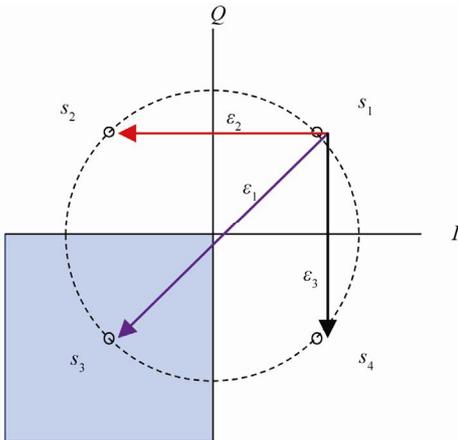
Consider the  $i$ -th received signal vector  $s_i = (X_i, Y_i)$ ,  $i \in \{1, 2, 3, 4\}$  of QPSK transmitted over an AWGN channel with the channel gain  $h$ . Hence,  $(X_i, Y_i)$  in  $s_i$  is given by the following in-phase and quadrature components

$$(X_i, Y_i) = [\cos\theta(t) + n'_x, \sin\theta(t) + n'_y] \quad (18)$$

where  $n'_x$  and  $n'_y$  are jointly Gaussian with zero mean and equal variance  $N_0$  such that the expected value is

$$E\{n'^2_x\} = E\{n'^2_y\} = (1/2)/(E_s/N_0) \quad (19)$$

The symbol error probability when  $s_1$  is sent and  $s_3$  is detected can be shown by the 2-D Gaussian probability



**Figure 7. Signal QPSK symbols and symbol error probabilities.**

integral as follows

$$\varepsilon_1 = \int_{-\infty}^0 \int_{-\infty}^0 \frac{1}{2\pi N_0} \exp\left[-\frac{\left(X - \frac{1}{\sqrt{2}}\right)^2 + \left(Y - \frac{1}{\sqrt{2}}\right)^2}{2N_0}\right] dXdY \quad (20)$$

(20) can be analytically calculated and we can re-write it as

$$\begin{aligned} \varepsilon_1 &= \frac{1}{4} \operatorname{erfc}^2 \left[ \sqrt{\frac{E_s}{2N_0}} \right] \\ &= \left\{ Q \left( \sqrt{\frac{E_s}{N_0}} \right) \right\}^2 \end{aligned} \quad (21)$$

where  $\operatorname{erfc}(\cdot)$  is the complementary error function.

#### 2. Derivation of $\varepsilon_2$

In this sub-section, we derive the transition probability of a signal point that falls into an adjacent quadrant e.g.,  $s_1 \rightarrow s_2$

Similarly, from (20)

$$\varepsilon_2 = \int_{-\infty}^0 \int_0^{\infty} \frac{1}{2\pi N_0} \exp\left[-\frac{\left(X - \frac{1}{\sqrt{2}}\right)^2 + \left(Y - \frac{1}{\sqrt{2}}\right)^2}{2N_0}\right] dXdY \quad (22)$$

Then, (22) can be analytically calculated and we obtain

$$\begin{aligned} \varepsilon_2 &= \frac{1}{2} \operatorname{erfc} \left[ \sqrt{\frac{E_s}{2N_0}} \right] - \frac{1}{4} \operatorname{erfc}^2 \left[ \sqrt{\frac{E_s}{2N_0}} \right] \\ &= Q \left( \sqrt{\frac{E_s}{N_0}} \right) - \left\{ Q \left( \sqrt{\frac{E_s}{N_0}} \right) \right\}^2 \end{aligned} \quad (23)$$

**Proof:** Derivation of  $\varepsilon_0$  using  $\varepsilon_1$ ,  $\varepsilon_2$  and  $\varepsilon_3$  which is equivalent to the standard closed-form expression of the average probability of error for QPSK in AWGN channel [14].

In this sub-section, we provide an alternative derivation for the average SER of QPSK,  $\varepsilon_0$ . We prove that the sum of all individual SER of QPSK symbols amounts to the average SER of QPSK. Since  $\varepsilon_2 = \varepsilon_3$ ,

$$\varepsilon_2 = \varepsilon_3 = \frac{1}{2} \operatorname{erfc} \left[ \sqrt{\frac{E_s}{2N_0}} \right] - \frac{1}{4} \operatorname{erfc}^2 \left[ \sqrt{\frac{E_s}{2N_0}} \right] \quad (24)$$

Therefore, the average SER for QPSK is simply

$$\begin{aligned}
 \varepsilon_0 &= \varepsilon_1 + \varepsilon_2 + \varepsilon_3 \\
 &= \operatorname{erfc} \left[ \sqrt{\frac{E_s}{2N_0}} \right] - \frac{1}{4} \operatorname{erfc}^2 \left[ \sqrt{\frac{E_s}{2N_0}} \right] \\
 &= 2Q \left( \sqrt{\frac{E_s}{N_0}} \right) - \left\{ Q \left( \sqrt{\frac{E_s}{N_0}} \right) \right\}^2
 \end{aligned} \tag{25}$$

For comparison, we also investigate the effect of having only average SER in the proposed ML algorithm. From (7), by setting  $\varepsilon_1 = \varepsilon_2 = \varepsilon_3 = \varepsilon_0$ , we have the following criterion

$$\hat{x}_s = \arg \max_{x_s \in \mathcal{X}} p_{sd}(y_{sd} | x_s) \left\{ \begin{aligned} & \left[ 1 - (3\varepsilon_0) \right] p_{rd}(y_{rd} | x_r = x_s) \\ & + \varepsilon_0 p_{rd}(y_{rd} | x_r = x_s \mathcal{E}^{j\pi}) \\ & + \varepsilon_0 p_{rd}(y_{rd} | x_r = x_s \mathcal{E}^{j\frac{\pi}{2}}) \\ & + \varepsilon_0 p_{rd}(y_{rd} | x_r = x_s \mathcal{E}^{-j\frac{\pi}{2}}) \end{aligned} \right\} \tag{26}$$

(26) can be viewed as suboptimal since it only considers average SER for all symbols in QPSK modulation.