

# Domination in Controlled and Observed Distributed Parameter Systems

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## ABSTRACT

We consider and we study a general concept of domination for controlled and observed distributed systems. We give characterization results and the main properties of this notion for controlled systems, with respect to an output operator. We also examine the case of actuators and sensors. Various other situations are considered and applications are given. Then, we extend this study by comparing observed systems with respect to a control operator. Finally, we study the relationship between the notion of domination and the compensation one, in the exact and weak cases.

**Keywords:** Distributed Systems; Domination; Actuators; Sensors; Compensation

## 1. Introduction

This work concerns the systems analysis and more precisely a general concept of domination. This notion consists to study the possibility of comparison or classification of systems. It was introduced firstly in [1] for controlled and observed lumped systems and then in [2] for a class of distributed parameter systems. The developed approach concerns separately the input and output operators. Various results are given and illustrated by applications and examples. A duality between the two cases is established. An extension of [2] to the regional case is given in [3]. The regional aspect of this problem is motivated by the fact that a system may dominates another one in a region  $\omega$ , but not on the whole geometrical support  $\Omega$  of the system.

Let us note that in the case of the dual notions of observability and controllability, the literature is very rich. However, the purpose is different and generally, the main problem is how to reconstruct the state of the considered system or to reach a desired state, *i.e.* to study if a system is (or not) observable or controllable.

In this paper, we consider and we study a more general domination problem in the case of a class of controlled and observed systems [4-6]. The developed approach depends on the different parameters of the considered systems, such their dynamics, their input and output operators. Indeed, we consider without loss of generality, a

class of linear distributed systems as follows

$$\begin{cases} \dot{z}(t) = Az(t) + Bu(t); & 0 < t < T \\ z(0) = z_0 \end{cases} \quad (1)$$

where  $A$  generates a strongly continuous semi-group (s.c.s.g.)  $(S(t))_{t \geq 0}$  on the state  $Z$ .  $B \in \mathcal{L}(U, Z)$ ,  $u \in L^2(0, T; U)$ ;  $Z$  and  $U$  are respectively the state and the control spaces, assumed to be Hilbert spaces. The system (1) is augmented with the following output equation

$$y(t) = Cz(t) \quad (2)$$

with  $C \in \mathcal{L}(Z, Y)$ ,  $Y$  is the observation space, a Hilbert space. The operator  $A$  is the dynamics of the system, the operators  $B$  and  $C$  are respectively the input and output operators. The state  $z$  of the system at time  $t$  is given by

$$z(t) = S(t)z_0 + H_t u \quad (3)$$

where

$$H_t u = \int_0^t S(t-s)Bu(s)ds \quad (4)$$

and the observation by

$$y(t) = CS(t)z_0 + CH_t u \quad (5)$$

The first problem consists to study a possible com-

parison of controlled systems as system (1), with respect to an output operator  $C$ . We give the main properties and characterization results. The case of sensors and actuators is also examined. Illustrative examples and applications are presented and various other situations are examined.

Then, an analogous study concerning the domination of observed systems, with respect to an input operator  $B$ , is given. Finally, we study the relationship between the notion of domination and the compensation problem [7,8].

## 2. Domination for Controlled Systems

### 2.1. Problem Statement and Definitions

We consider the following linear distributed systems

$$(S_1) \begin{cases} \dot{z}_1(t) = A_1 z_1(t) + B_1 u_1(t); & 0 < t < T \\ z_1(0) = z_{1,0} \in Z \end{cases} \quad (6)$$

$$(S_2) \begin{cases} \dot{z}_2(t) = A_2 z_2(t) + B_2 u_2(t); & 0 < t < T \\ z_2(0) = z_{2,0} \in Z \end{cases} \quad (7)$$

where, for  $i = 1, 2$ ;  $A_i$  is a linear operator generating a s.c.s.g.  $(S_i(t))_{t \geq 0}$  on the state space  $Z$ .  $B_i \in \mathcal{L}(U_i, Z)$ ,  $u_i \in L^2(0, T; U_i)$ ;  $U_i$  is a control space. The systems  $(S_1)$  and  $(S_2)$  are respectively augmented with the output equations

$$(E_i) y_i(t) = C z_i(t) \quad \text{for } i = 1, 2; C \in \mathcal{L}(Z, Y)$$

The state of  $(S_i)$  at the final time  $T$  is given by

$$z_i(T) = S_i(T) z_{i,0} + H_i u_i \quad (8)$$

where

$$\begin{aligned} H_i &: L^2(0, T; U_i) \rightarrow Z \\ u_i &\rightarrow \int_0^T S_i(T-s) B_i u_i(s) ds \end{aligned} \quad (9)$$

The corresponding observation at time  $T$  is given by

$$y_i(T) = C S_i(T) z_{i,0} + C H_i u_i \quad (10)$$

The purpose is to study a possible comparison of systems  $(S_1)$  and  $(S_2)$  (or the input operators  $B_1$  and  $B_2$  if  $A_1 = A_2$ ) with respect to the output operator  $C$ .

It is based on the dynamics  $A_1$  and  $A_2$ , the control operators  $B_1$ ,  $B_2$  and the observation operator  $C$ . Without loss of generality, one can assume that  $z_{1,0} = z_{2,0} \equiv 0$ . We introduce hereafter the corresponding notion of domination.

**Definition 1.** We say that

1)  $(S_1)$  dominates  $(S_2)$  (or the pair  $(A_1, B_1)$  dominates  $(A_2, B_2)$ ) exactly on  $[0, T]$ , with respect to the operator  $C$ , if

$$\text{Im}(CH_2) \subset \text{Im}(CH_1)$$

2)  $(S_1)$  dominates  $(S_2)$  (or the pair  $(A_1, B_1)$  dominates  $(A_2, B_2)$ ) weakly on  $[0, T]$ , with respect to the operator  $C$ , if

$$\overline{\text{Im}(CH_2)} \subset \overline{\text{Im}(CH_1)}$$

In this situation, we note respectively

$$(A_2, B_2) \underset{C}{\leq} (A_1, B_1)$$

$$\text{and } (A_2, B_2) \underset{C}{\lesssim} (A_1, B_1)$$

Let us give following properties and remarks :

1) Obviously, the exact domination with respect to an output operator  $C$ , implies the weak one with respect to  $C$ . The converse is not true, this is shown in [2] for  $A_1 = A_2$  and  $C = I$ .

2) If the system  $(S_1)$  is controllable exactly (respectively weakly), or equivalently

$$\text{Im}(H_1) = Z \quad (\text{respectively } \overline{\text{Im}(H_1)} = Z)$$

then  $(S_1)$  dominates exactly (respectively weakly) any system  $(S_2)$ , with respect to any output operator  $C$ .

3) In the case where  $A_1 = A_2$ ,  $(S_1)$  dominates  $(S_2)$  exactly (respectively weakly), we say simply that  $B_1$  dominates  $B_2$  exactly (respectively weakly). Then, we note

$$B_2 \underset{C}{\leq} B_1 \quad (\text{respectively } B_2 \underset{C}{\lesssim} B_1).$$

Hence, one can consider a single system with two inputs as follows

$$(S) \begin{cases} \dot{z}(t) = A z(t) + B_1 u_1(t) + B_2 u_2(t); & 0 < t < T \\ z(0) = z_0 \in Z \end{cases} \quad (11)$$

augmented with an output equation

$$(E) y(t) = C z(t)$$

In this case, the domination of control operators  $B_1$  and  $B_2$ , with respect to the observation operator  $C$ , is similar. The definitions and results remain practically the same.

4) The exact or weak domination of systems (or operators) is a transitive and reflexive relation, but it is not antisymmetric. Thus, for example in the case where  $A_1 = A_2$ , for any non-zero operator  $B_1 \neq 0$  and  $\alpha \neq 0$ , we have<sup>1</sup>  $\text{Im}(CH_1(B_1)) = \text{Im}(CH_1(\alpha B_1))$ , even if  $B_1 \neq \alpha B_1$  for  $\alpha \neq 1$ .

5) Concerning the relationship with the notion of remediability [7,8], we consider without loss of generality, a class of linear distributed systems described by the following state equation

<sup>1</sup>  $H_1(B_1)$  denotes the operator  $H_1$  corresponding to  $B_1$ , i.e. defined by  $H_1(B_1)u_1 = \int_0^T S_1(T-s) B_1 u_1(s) ds$ .

$$\begin{cases} \dot{z}(t) = Az(t) + Bu(t) + d(t); & 0 < t < T \\ z(0) = z_0 \end{cases} \quad (12)$$

where  $d \in L^2(0, T; Z)$  is a known or unknown disturbance. The system (12) is augmented with the following output equation

$$y(t) = Cz(t) \quad (13)$$

The state  $z$  of the system at time  $T$  is given by

$$z(T) = S(T)z_0 + Hu + Rd$$

where

$$Rd = \int_0^T S(T-s)d(s)ds$$

If the system (12), augmented with (13), is exactly (respectively weakly) remediable on  $[0, T]$ , or equivalently  $\text{Im}(CR) \subset \text{Im}(CH)$  (respectively  $\overline{\text{Im}(CR)} \subset \overline{\text{Im}(CH)}$ ), then  $B_1$  dominates any operator  $B_2$  exactly (respectively weakly) with respect to the operator  $C$ .

6) For  $C = I$  and  $A_1 = A_2$ , one retrieve the particular notion of domination as in [2].

We give hereafter characterization results concerning the exact and weak domination.

### 2.2. Characterizations

The following result gives a characterization of the exact domination with respect to the output operator  $C$ .

**Proposition 2.** *The following properties are equivalent*

1) The system  $(S_1)$  dominates exactly  $(S_2)$  with respect to the operator  $C$ .

2) For any  $u_2 \in L^2(0, T; U_2)$ , there exists  $u_1 \in L^2(0, T; U_1)$  such that

$$CH_1u_1 + CH_2u_2 = 0 \quad (14)$$

3) There exists  $\gamma > 0$  such that for any  $\theta \in Y'$ , we have

$$\begin{cases} \frac{\partial z(x, t)}{\partial t} = \alpha \frac{\partial^2 z(x, t)}{\partial x^2} + \beta z(x, t) + g(x)u(t) & \text{in } ]0, 1[ \times ]0, T[ \\ z(0, t) = z(1, t) = 0 & \text{in } ]0, T[ \\ z(x, 0) = z_0(x) & \text{in } ]0, 1[ \end{cases}$$

The operator  $M(\alpha, \beta) = \alpha \frac{\partial^2}{\partial x^2} + \beta I$  generates the s.c.s.g.  $(S_{(\alpha, \beta)}(t))_{t \geq 0}$  defined by

$$S_{(\alpha, \beta)}(t)z = \sum_{n=1}^{+\infty} e^{(\beta - \alpha n^2 \pi^2)t} \sum_{j=1}^{n_n} \langle z, \varphi_{nj} \rangle \varphi_{nj}$$

where  $(\varphi_n)_n$ , with  $\varphi_n(x) = \sqrt{2} \sin(n\pi x)$ , is a complete

$$\|B_2^* S_2^*(T - \cdot) C^* \theta\|_{L^2(0, T; U_2)} \leq \gamma \|B_1^* S_1^*(T - \cdot) C^* \theta\|_{L^2(0, T; U_1)} \quad (15)$$

*Proof.*

The equivalence between i) and ii) derives from the definition.

The equivalence between ii) and iii) is a consequence of the fact that if  $X, Y$  and  $Z$  are Banach spaces;  $P \in \mathcal{L}(X, Z)$  and  $Q \in \mathcal{L}(Y, Z)$ , then

$$\text{Im}(P) \subset \text{Im}(Q)$$

if and only if, there exists  $\gamma > 0$  such that for any  $z^* \in Z'$ , we have

$$\|P^* z^*\|_{X'} \leq \gamma \|Q^* z^*\|_{Y'}$$

where  $X', Y'$  and  $Z'$  are respectively the dual spaces of  $X, Y$  and  $Z$ .

Concerning the weak case, we have the following characterization result.

**Proposition 3.**

The system  $(S_1)$  dominates  $(S_2)$  weakly, with respect to  $C$ , if and only if

$$\ker[B_1^* S_1^*(\cdot) C^*] \subset \ker[B_2^* S_2^*(\cdot) C^*] \quad (16)$$

*Proof.*

Derives from the definition and the fact that  $\overline{\text{Im}(CH_2)} \subset \overline{\text{Im}(CH_1)}$  is equivalent to  $\ker(CH_1)^* \subset \ker(CH_2)^*$ .

It is well known that the choice of the input operator play an important role in the controllability of a system [4-6,9-11]. Here also, the domination for controlled systems, with respect to an output operator  $C$ , depends on the dynamics  $A_i$  and particularly on the choice of the control operators  $B_i$ . However, even if  $B_1 = B_2 = B$  (with the same actuator), the pair  $(A_i, B)$  may dominates  $(A_2, B)$ . This is illustrated in the the following example.

**Example 4.** *We consider the system described by the one dimension equation*

system of eigenfunctions of  $M(\alpha, \beta)$  associated to the eigenvalues  $\lambda_n = \gamma - \beta n^2 \pi^2$  ( $\alpha, \beta \in \mathbb{R}$ ).

For  $z^* \in Z'^2(0, 1)$ , we have

$$\begin{aligned} & \|B^* S_{(\alpha, \beta)}^*(t) z^*\|_{L^2(0, T; \mathbb{R})}^2 \\ &= \sum_{n=1}^{+\infty} \int_0^T e^{2(\beta - \alpha n^2 \pi^2)t} \sum_{j=1}^{n_n} \langle z, \varphi_{nj} \rangle \langle g, \varphi_{nj} \rangle^2 dt \end{aligned} \quad (17)$$

Hence, if  $g = \varphi_{n_0}$  ( $n_0 \geq 1$ ), Equation (17) becomes

$$\|B^* S_{(\alpha, \beta)}^*(t) z^*\|_{L^2(0, T; \mathbb{R})}^2 = \sum_{n=1}^{+\infty} \int_0^T e^{2(\beta - \alpha n^2 \pi^2)t} \langle z, \varphi_{n_0} \rangle^2 dt$$

Let  $A_1 = M_{1,0} = \frac{\partial^2}{\partial x^2}$  and  $A_2 = M_{1,\beta} = \frac{\partial^2}{\partial x^2} + \beta I$ ;  $\beta \neq 0$ .

The corresponding semi-groups, noted  $(S_1(t))_{t \geq 0}$  and  $(S_2(t))_{t \geq 0}$ , are respectively defined by

$$S_1(t)z = \sum_{n=1}^{+\infty} e^{-n^2 \pi^2 t} \sum_{j=1}^{r_n} \langle z, \varphi_{nj} \rangle \varphi_{nj}$$

and

$$S_2(t)z = \sum_{n=1}^{+\infty} e^{(\beta - n^2 \pi^2)t} \sum_{j=1}^{r_n} \langle z, \varphi_{nj} \rangle \varphi_{nj}$$

Then for  $B_1 = B_2 = B$ , with  $Bu = \varphi_{n_0} u$

1) If  $\beta > 0$ , then for any  $z^* \in Z'$ , we have

$$\begin{aligned} \|B^* S_1^*(t) z^*\|_{L^2(0, T; \mathbb{R})}^2 &= \sum_{n=1}^{+\infty} \int_0^T e^{-2n^2 \pi^2 t} \langle z^*, \varphi_n \rangle^2 dt \\ &\leq \sum_{n=1}^{+\infty} \int_0^T e^{2(\beta - n^2 \pi^2)t} \langle z^*, \varphi_n \rangle^2 dt \\ &= \|B^* S_2^*(t) z^*\|_{L^2(0, T; \mathbb{R})}^2 \end{aligned}$$

consequently, the pair  $(A_2, B)$  dominates the pair  $(A_1, B)$  exactly, and hence weakly.

2) If  $\beta < 0$ , then for any  $z^* \in Z'$ ,

$$\begin{aligned} \|B^* S_2^*(t) z^*\|_{L^2(0, T; \mathbb{R})}^2 &= \sum_{n=1}^{+\infty} \int_0^T e^{2(\beta - n^2 \pi^2)t} \langle z^*, \varphi_n \rangle^2 dt \\ &\leq \sum_{n=1}^{+\infty} \int_0^T e^{-2n^2 \pi^2 t} \langle z^*, \varphi_n \rangle^2 dt \\ &= \|B^* S_1^*(t) z^*\|_{L^2(0, T; \mathbb{R})}^2 \end{aligned}$$

Hence, the pair  $(A_1, B)$  dominates the pair  $(A_2, B)$  exactly (and weakly).

In the next section, we examine the case of a finite number of actuators, and then the case where the observation is given by sensors.

### 2.3. Case of Actuators and Sensors

This section is focused on the notions of actuators and sensors [4,8,10], *i.e.* on input and output operators. In what follows, we assume that  $Z = L^2(\Omega)$  and, without loss of generality, we consider the analytic case where  $A_1$  and  $A_2$  generate respectively the s.c.s.g.  $(S_1(t))_{t \geq 0}$  and  $(S_2(t))_{t \geq 0}$  defined by

$$S_1(t)z = \sum_{n=1}^{+\infty} e^{\lambda_n t} \sum_{j=1}^{r_n} \langle z, \varphi_{nj} \rangle \varphi_{nj} \tag{18}$$

and

$$S_2(t)z = \sum_{n=1}^{+\infty} e^{\gamma_n t} \sum_{j=1}^{s_n} \langle z, \psi_{nj} \rangle \psi_{nj} \tag{19}$$

where  $\{\varphi_{nj}, j=1, \dots, r_n; n \geq 1\}$  is a complete orthonormal basis of eigenfunctions of  $A_1$ , associated to the real eigenvalues  $(\lambda_n)_{n \geq 1}$  such that  $\lambda_1 > \lambda_2 > \lambda_3 > \dots$ ;  $r_n$  is the multiplicity of  $\lambda_n$ .

$\{\psi_{nj}, j=1, \dots, s_n; n \geq 1\}$  is a complete orthonormal basis of eigenfunctions of  $A_2$ , associated to the real eigenvalues  $(\gamma_n)_{n \geq 1}$  such that  $\gamma_1 > \gamma_2 > \gamma_3 > \dots$ ;  $s_n$  is the multiplicity of  $\gamma_n$ .

#### 2.3.1. Case of Actuators

In the case where  $(S_1)$  is excited by  $p$  zone actuators  $(\Omega_i, g_i)_{1 \leq i \leq p}$ , we have  $U_1 = IR^p$  and

$$B_1 u(t) = \sum_{i=1}^p g_i u_i(t) \tag{20}$$

where  $u = (u_1, \dots, u_p)^{tr} \in L^2(0, T; IR^p)$  and  $g_i \in L^2(\Omega)$ ;  $\Omega_i = \text{supp}(g_i) \subset \Omega$ . We have

$$B_1^* z = (\langle g_1, z \rangle, \dots, \langle g_p, z \rangle)^{tr} \tag{21}$$

By the same, if  $(S_2)$  is excited by  $q$  zone actuators  $(D_i, h_i)_{1 \leq i \leq q}$ , we have  $U_2 = IR^q$  and

$$B_2 v(t) = \sum_{i=1}^q h_i v_i(t) \tag{22}$$

with  $v = (v_1, \dots, v_q)^{tr} \in L^2(0, T; IR^q)$ ,  $h_i \in L^2(\Omega)$ ,  $D_i = \text{supp}(h_i) \subset \Omega$  and

$$B_2^* z = (\langle h_1, z \rangle, \dots, \langle h_q, z \rangle)^{tr} \tag{23}$$

As it will be seen in the next section, this leads to characterization results depending on  $C$  and the corresponding controllability matrix, and then on the observability one in the case where the observation is given by a finite number of sensors. First, let us show the following preliminary result.

**Proposition 5.** *We have*

$$\begin{aligned} &\ker(B_1^* S_1^*(\cdot) C^*) \\ &= \left\{ \theta \in Y' / \forall n \in \mathbb{N}^*, \left( \langle C^* \theta, \varphi_{nj} \rangle \right)_{1 \leq j \leq r_n} \in \ker(M_n) \right\} \end{aligned}$$

and

$$\begin{aligned} &\ker(B_2^* S_2^*(\cdot) C^*) \\ &= \left\{ \theta \in Y' / \forall n \in \mathbb{N}^*, \left( \langle C^* \theta, \psi_{nj} \rangle \right)_{1 \leq j \leq s_n} \in \ker(Q_n) \right\} \end{aligned}$$

where  $M_n$  and  $Q_n$  are the corresponding controllability matrices defined by

$$M_n = \left( \langle g_i, \varphi_{nj} \rangle \right)_{1 \leq i \leq p, 1 \leq j \leq r_n}$$

and

$$Q_n = \left( \langle h_i, \psi_{nj} \rangle \right)_{1 \leq i \leq q, 1 \leq j \leq s_n}$$

*Proof.* We have

$$B_1^* S_1^*(t) C^* \theta = \left( \sum_{n=1}^{+\infty} e^{\lambda_n t} \sum_{j=1}^{r_n} \langle C^* \theta, \varphi_{nj} \rangle \langle g_i, \varphi_{nj} \rangle \right)_{1 \leq i \leq p}$$

Therefore,  $\theta \in \ker(B_1^* S_1^*(\cdot) C^*)$  if and only if

$$\sum_{n=1}^{+\infty} e^{\lambda_n t} \sum_{j=1}^{r_n} \langle C^* \theta, \varphi_{nj} \rangle \langle g_i, \varphi_{nj} \rangle = 0; \forall i \in \{1, \dots, p\}, \forall t \geq 0$$

By analyticity, this is equivalent to

$$\sum_{j=1}^{r_n} \langle C^* \theta, \varphi_{nj} \rangle \langle g_i, \varphi_{nj} \rangle = 0; \forall n \geq 1, \forall i \in \{1, \dots, p\}$$

or

$$B_1^* S_1^*(t) C^* \theta = 0 \Leftrightarrow v_n(\theta) \in \ker(M_n), \forall n \geq 1$$

where

$$v_n(\theta) = \left( \langle C^* \theta, \varphi_{nj} \rangle \right)_{j=1, r_n}$$

The proof of the second equality of the proposition is similar.

The following result deriving from proposition 2, gives characterizations of exact and weak domination in the case of actuators.

**Proposition 6.**

1)  $(S_1)$  dominates  $(S_2)$  exactly with respect to the operator  $C$ , if and only if there exists  $\gamma > 0$  such that for any  $\theta \in Y'$ , we have

$$\left\| \left( \sum_{n=1}^{+\infty} e^{\gamma n t} \sum_{j=1}^{s_n} \langle C^* \theta, \psi_{nj} \rangle \langle h_i, \psi_{nj} \rangle \right)_{1 \leq i \leq q} \right\|_{L^2(0, T; \mathbb{R}^q)} \leq \gamma \left\| \left( \sum_{n=1}^{+\infty} e^{\lambda_n t} \sum_{j=1}^{r_n} \langle C^* \theta, \varphi_{nj} \rangle \langle g_i, \varphi_{nj} \rangle \right)_{1 \leq i \leq p} \right\|_{L^2(0, T; \mathbb{R}^p)}$$

2)  $(S_1)$  dominates  $(S_2)$  weakly with respect to the operator  $C$ , if and only if for any  $\theta \in Y'$ , we have

$$\left[ \forall n \in \mathbb{N}^*, \left( \langle C^* \theta, \varphi_{nj} \rangle \right)_{1 \leq j \leq r_n} \in \ker M_n \right] \Rightarrow \left[ \forall n \in \mathbb{N}^*, \left( \langle C^* \theta, \psi_{nj} \rangle \right)_{1 \leq j \leq s_n} \in \ker Q_n \right]$$

Let us note that if  $A_1 = A_2$ , the domination concerns the operators  $B_1$  and  $B_2$ , and then the corresponding actuators. This leads to the following definition.

**Definition 7.** If  $(S_1)$  dominates  $(S_2)$  exactly (respectively weakly) with respect to the operator  $C$ , we say

that  $(\Omega_i, g_i)_{1 \leq i \leq p}$  dominate  $(D_i, h_i)_{1 \leq i \leq q}$  exactly (respectively weakly) with respect to  $C$ .

In the usual case, the observation is given by sensors. This is examined in following section.

**2.3.2. Case of Sensors**

Now, if the output is given by  $m$  sensors  $(E_i, f_i)_{1 \leq i \leq m}$ , we have

$$Cz = \begin{pmatrix} \langle z, f_1 \rangle \\ \vdots \\ \langle z, f_m \rangle \end{pmatrix} \in \mathbb{R}^m$$

and

$$C^* \theta = \sum_{i=1}^m \theta_i f_i \text{ for } \theta \in \mathbb{R}^m$$

We have the following proposition.

**Proposition 8.**  $(S_1)$  dominates  $(S_2)$  weakly with respect to the sensors  $(E_i, f_i)_{1 \leq i \leq m}$ , if and only if

$$\bigcap_{n \geq 1} \ker(M_n G_n^{tr}) \subset \bigcap_{n \geq 1} \ker(Q_n R_n^{tr}) \quad (24)$$

where  $G_n$  and  $R_n$  are the corresponding observability matrices defined by

$$G_n = \left( \langle f_i, \varphi_{nj} \rangle \right)_{1 \leq i \leq m, 1 \leq j \leq r_n}$$

and

$$R_n = \left( \langle f_i, \psi_{nj} \rangle \right)_{1 \leq i \leq m, 1 \leq j \leq s_n}$$

*Proof.*  $(S_1)$  dominates  $(S_2)$  weakly with respect to the sensors  $(E_i, f_i)_{1 \leq i \leq m}$ , if and only if, for any  $\theta = (\theta_k)_{1 \leq k \leq m} \in \mathbb{R}^m$ ,

$$\forall n \in \mathbb{N}^*, \left( \sum_{i=1}^m \theta_k \langle f_k, \varphi_{nj} \rangle \right)_{1 \leq j \leq r_n} \in \ker(M_n)$$

implies that

$$\forall n \in \mathbb{N}^*, \left( \sum_{i=1}^m \theta_k \langle f_k, \psi_{nj} \rangle \right)_{1 \leq j \leq s_n} \in \ker(Q_n)$$

or equivalently, for any  $\theta \in \mathbb{R}^m$ ,

$$\left[ \forall n \geq 1, \theta \in \ker(M_n G_n^*) \right] \Rightarrow \left[ \forall n \geq 1, \theta \in \ker(Q_n G_n^*) \right]$$

we then have the result.

Let us give the following remarks.

- 1) If  $A_1 = A_2$ , we have  $G_n = R_n$ , for  $n \geq 1$ .
- 2) One actuator may dominates  $p$  actuators ( $p > 1$ ), with respect to an output operator  $C$  (sensors).
- 3) In the case of one actuator and one sensor, i.e. for  $p = q = 1$  and  $m = 1$ , we have

$$M_n = \left( \langle g, \varphi_{n1} \rangle, \dots, \langle g, \varphi_{nr_n} \rangle \right),$$

$$Q_n = \left( \langle h, \psi_{n1} \rangle, \dots, \langle h, \psi_{ns_n} \rangle \right)$$

and

$$G_n^{rr} = \begin{pmatrix} \langle f, \varphi_{n1} \rangle \\ \vdots \\ \langle f, \varphi_{nr_n} \rangle \end{pmatrix}, R_n^{rr} = \begin{pmatrix} \langle f, \psi_{n1} \rangle \\ \vdots \\ \langle f, \psi_{nr_n} \rangle \end{pmatrix}$$

Then

$$\begin{aligned} M_n G_n^{rr} &= \left( \sum_{j=1}^{r_n} \langle g, \varphi_{nj} \rangle \langle f, \varphi_{nj} \rangle \right) \\ Q_n R_n^{rr} &= \left( \sum_{j=1}^{s_n} \langle h, \psi_{nj} \rangle \langle f, \psi_{nj} \rangle \right) \end{aligned} \tag{25}$$

4) In the case of a finite number of sensors, the exact and weak domination are equivalent.

### 3. Application to Diffusion Systems

To illustrate previous results and other specific situations, we consider without loss of generality, a class of diffusion systems described by the following parabolic equa-

$$(S_1) \begin{cases} \frac{\partial z_1(x,t)}{\partial t} = \frac{\partial^2 z_1(x,t)}{\partial x^2} + g(x)u_1(t) & \text{in } ]0, a[ \times ]0, T[ \\ z_1(0,t) = z_1(a,t) = 0 & \text{in } ]0, T[ \\ z_1(x,0) = 0 & \text{in } ]0, a[ \end{cases} \tag{28}$$

$$(S_2) \begin{cases} \frac{\partial z_2(x,t)}{\partial t} = \frac{\partial^2 z_2(x,t)}{\partial x^2} + h(x)u_2(t) & \text{in } ]0, a[ \times ]0, T[ \\ z_2(0,t) = z_2(a,t) = 0 & \text{in } ]0, T[ \\ z_2(x,0) = 0 & \text{in } ]0, a[ \end{cases} \tag{29}$$

$A = \Delta$  admits a complete orthonormal system of eigenfunctions  $(\varphi_n)_{n \in \mathbb{N}^*}$  associated to the eigenvalues

$$\lambda_n = -\frac{n^2 \pi^2}{a^2} \text{ with } \varphi_n(x) = \sqrt{\frac{2}{a}} \sin\left(\frac{n\pi x}{a}\right).$$

Each system  $(S_i)$  is augmented with the output

$$[\forall n \in \mathbb{N}^*, \langle g, \varphi_n \rangle \langle f, \varphi_n \rangle = 0] \Rightarrow [\forall n \in \mathbb{N}^*, \langle h, \varphi_n \rangle \langle f, \varphi_n \rangle = 0] \tag{31}$$

Let  $m, n \in \mathbb{N}^*$  such that  $m \neq n$ . We suppose that  $(S_1)$  and  $(S_2)$  are respectively excited by the actuators  $(\Omega, \varphi_n)$  and  $(\Omega, \varphi_m)$ , i.e.  $g = \varphi_n$  and  $h = \varphi_m$ .

Then

- $(\Omega, g)$  dominates  $(\Omega, h)$  with respect to the sensor  $(\Omega, \varphi_n)$  and
- $(\Omega, h)$  dominates  $(\Omega, g)$  with respect to the sensor  $(\Omega, \varphi_m)$ .

tion.

$$(S) \begin{cases} \frac{\partial z(x,t)}{\partial t} = \Delta z(x,t) + g(x)u(t) & \Omega \times ]0, T[ \\ z(x,0) = 0 & \Omega \\ z(\xi, t) = 0 & \partial\Omega \times ]0, T[ \end{cases} \tag{26}$$

where  $\Omega$  is a bounded subset of  $R^n$  with a sufficiently regular boundary  $\partial\Omega = \Gamma$ ;  $Z = L^2(\Omega)$  and  $Az = \Delta z$  for  $z \in D(A) = H^2(\Omega) \cap H_0^1(\Omega)$ .  $(S)$  is augmented with the output equation

$$(E) y(t) = Cz(t), 0 < t < T \tag{27}$$

We examine respectively, hereafter the case of one and two space dimension.

#### 3.1. One Dimension Case

In this section, we consider the systems  $(S_1)$  and  $(S_2)$  described by the following one dimension equations, with  $\Omega = ]0, a[$  and  $A_1 = A_2 = \Delta$ .

equation corresponding to a sensor  $(D, f)$ ,

$$(E_i) y_i(t) = \langle f, z_i(t) \rangle_{L^2(D)}; 0 < t < T \tag{30}$$

According to proposition 8,  $(\Omega, g)$  dominates  $(\Omega, h)$  with respect to the sensor  $(\Omega, f)$ , if and only if,

Let us also note that in the one dimension case, any operators  $B_1$  and  $B_2$  are comparable. this is not always possible in the two-dimension case which will be examined in the next section.

#### 3.2. Two Dimension Case

Now, we consider the case where  $\Omega = ]0, 1[ \times ]0, 1[$  and

the systems described by the following equations

$$(S_1) \begin{cases} \frac{\partial z_1(x, y, t)}{\partial t} = \Delta z_1(x, y, t) + g_1(x, y)u_1(t) + g_2(x, y)u_2(t) & \text{in } \Omega \times ]0, T[ \\ z_1(x, y, t) = 0 & \text{in } \Gamma \times ]0, T[ \\ z_1(x, y, 0) = 0 & \text{in } \Omega \end{cases}$$

$$(S_2) \begin{cases} \frac{\partial z_2(x, y, t)}{\partial t} = \Delta z_2(x, y, t) + h_1(x, y)v_1(t) + h_2(x, y)v_2(t) & \text{in } \Omega \times ]0, T[ \\ z_2(x, y, t) = 0 & \text{in } \Gamma \times ]0, T[ \\ z_2(x, y, 0) = 0 & \text{in } \Omega \end{cases}$$

Here, we have  $Z = L^2(\Omega)$  and  $Az = \Delta z = \frac{\partial z}{\partial x^2} + \frac{\partial z}{\partial y^2}$ , for  $z \in D(A) = H^2(\Omega) \cap H_0^1(\Omega)$ .  $A$  admits a complete orthonormal system of eigenfunctions  $(\varphi_{m,n})_{m,n \in \mathbb{N}^*}$  associated to the eigenvalues  $(\lambda_{m,n})_{m,n \in \mathbb{N}^*}$  defined by

$$\begin{cases} \lambda_{m,n} = -(m^2 + n^2)\pi^2 \\ \varphi_{m,n}(x, y) = 2 \sin(m\pi x) \sin(n\pi y) \end{cases} \quad (32)$$

$(S_1)$  and  $(S_2)$  are respectively augmented with the output equations

$$(E_1) y_1(t) = \left( \langle f_1, z_1(t) \rangle_{L^2(D_1)}, \langle f_2, z_1(t) \rangle_{L^2(D_2)} \right), ]0, T[$$

and

$$(E_2) y_2(t) = \left( \langle f_1, z_2(t) \rangle_{L^2(D_1)}, \langle f_2, z_2(t) \rangle_{L^2(D_2)} \right), ]0, T[$$

Let us first note that:  $200 = 14^2 + 2^2 = 10^2 + 10^2$ , then  $-200$  is a double eigenvalue, corresponding to the eigenfunctions  $\varphi_{10,10}$  and  $\varphi_{2,14}$ .

By the same,  $250 = 15^2 + 5^2 = 13^2 + 9^2$ , then  $-250$  is also a double eigenvalue, corresponding to the eigenfunctions  $\varphi_{5,15}$  and  $\varphi_{9,13}$ .

The examples given hereafter show the following situations :

- An actuator may dominates another one with respect to a sensor.
- None of the systems does not dominates the other.

**Example 9.** In the case where  $g_1 = \varphi_{10,10}, g_2 = 0, h_1 = 0, h_2 = \varphi_{5,15}, f_1 = \varphi_{10,10}$  and  $f_2 = \varphi_{2,14}$ , we have

$$\bigcap_{n \geq 1} \ker(M_n G_n^{tr}) = \mathbb{R}(0,1) \text{ and } \bigcap_{n \geq 1} \ker(Q_n G_n^{tr}) = \{0\} \quad (33)$$

where  $\mathbb{R}(0,1)$  denotes the  $y$ -axis. Therefore  $(S_2)$  dominates  $(S_1)$  with respect to the corresponding out-

put operator  $C$ .

On the other hand, for  $g_1 = \varphi_{10,10}, g_2 = 0, h_1 = 0, h_2 = \varphi_{5,15}$  and  $f_1 = \varphi_{5,15}, f_2 = \varphi_{9,13}$ , we have

$$\begin{aligned} \bigcap_{n \geq 1} \ker(M_n G_n^{tr}) &= \{0\} \\ \text{and } \bigcap_{n \geq 1} \ker(Q_n G_n^{tr}) &= \mathbb{R}(1,0) \end{aligned} \quad (34)$$

where  $\mathbb{R}(1,0)$  denotes the  $x$ -axis. Then  $(S_1)$  dominates  $(S_2)$  with respect to the corresponding output operator  $C$ .

**Example 10.** Now, for  $g_1 = \varphi_{10,10}, g_2 = 0, h_1 = 0, h_2 = \varphi_{2,14}, f_1 = \varphi_{10,10}$  and  $f_2 = \varphi_{2,14}$ , we have

$$\begin{aligned} \bigcap_{n \geq 1} \ker(M_n G_n^{tr}) &= \mathbb{R}(0,1) \\ \text{and } \bigcap_{n \geq 1} \ker(Q_n G_n^{tr}) &= \mathbb{R}(1,0) \end{aligned} \quad (35)$$

Then none of the operators  $B_1$  and  $B_2$  does not dominates the other.

### 4. Domination of Output Operators

In this section, we introduce and we study the notion of domination for observed systems (output operators) with respect to an input one. We consider first a dual problem where the control concerns the initial state, and then a general controlled system.

#### 4.1. A Dual Problem

In this section, we examine a dual problem concerning the output operators and observed systems. We consider the system

$$\begin{cases} \dot{z}(t) = Az(t); 0 < t < T \\ z(0) = Bu_0 \end{cases} \quad (36)$$

The initial state  $z_0$  depends on an input operator  $B$

and is of the form  $z(0) = Bu_0$ . We assume that  $A$  is a linear operator with a domain  $D(A)$  dense in  $Z$ , a separable Hilbert space, and generates a strongly continuous semi-group  $(S(t))_{t \geq 0}$  on the state  $Z$ .  $B \in \mathcal{L}(U, Z)$ ,  $u_0 \in U$ ;  $U$  is a Hilbert space. The system  $(S)$  is augmented with the following output equations

$$y_1(t) = C_1 z(t); 0 < t < T \tag{37}$$

$$y_2(t) = C_2 z(t); 0 < t < T \tag{38}$$

For  $i = 1, 2$ ; the observations are given by

$$y_i(t) = C_i S(t) B u_0; 0 < t < T$$

We have  $y_i(\cdot) = K_i(\cdot) u_0$ , with

$$K_i = C_i S(\cdot) B$$

Its adjoint operator is defined by

$$K_i^* y = \int_0^T B^* S^*(t) C_i^* y(t) dt$$

Noting  $B_i = C_i^*$ ;  $i = 1, 2$ ;  $B = C^*$  and considering the dual systems

$$(S_i^*) \begin{cases} \dot{z}_i(t) = A^* z_i(t) + B_i u_i(t); 0 < t < T \\ z_i(0) = z_0 \\ y_i(t) = C z_i(t) \end{cases}$$

and

$$(\tilde{S}_i) \begin{cases} \dot{z}(t) = Az(t); 0 < t < T \\ z(0) = Bu_0 \\ y_i(t) = C_i z(t) \end{cases}$$

we obtain the following characterization result.

**Proposition 11.**  $\text{Im}(K_2^*) \subset \text{Im}(K_1^*)$  (respectively  $\text{Im}(K_2^*) \subset \overline{\text{Im}(K_1^*)}$ ) if and only if, the controlled system  $(S_1^*)$  dominates  $(S_2^*)$  exactly (respectively weakly).

From this general result, one can deduce analogous results and similar properties to those given in previous sections.

### 4.2. Domination of Output Operators

We consider the following linear distributed system

$$(S) \begin{cases} \dot{z}(t) = Az(t) + Bu(t); 0 < t < T \\ z(0) = z_0 \end{cases} \tag{39}$$

where  $A$  generates a s.c.s.g.  $(S(t))_{t \geq 0}$  on the state space  $Z$ ;  $B \in \mathcal{L}(U, Z)$  and  $u \in L^2(0, T; U)$ ;  $U$  is the control space and the system  $(S)$  is augmented with the output equations

$$y_i(t) = C_i z(t), 0 < t < T; i = 1, 2.$$

where  $C_i \in \mathcal{L}(Z, Y)$ ;  $i = 1, 2$ ;  $Y$  is an Hilbert space.

The observation with respect to operator  $C_i$  at the final time  $T$ , is given by

$$y_i(T) = C_i S(T) z_0 + C_i H u \tag{40}$$

We introduce hereafter the appropriate notion of domination for the considered case.

**Definition 12.** We say that

1)  $C_1$  dominates  $C_2$  exactly with respect to the system  $(S)$  (or the pair  $(A, B)$ ) on  $[0, T]$ , if  $\text{Im}(C_2 H) \subset \text{Im}(C_1 H)$ .

2)  $C_1$  dominates  $C_2$  weakly with respect to the system  $(S)$  (or the pair  $(A, B)$ ) on  $[0, T]$ , if  $\overline{\text{Im}(C_2 H)} \subset \overline{\text{Im}(C_1 H)}$ .

Here also, we can deduce similar characterization results in the weak and exact cases. On the other hand, one can consider a natural question on a possible transitivity of such a domination. As it will be seen, this may be possible under convenient hypothesis. In order to examine this question, we consider without loss of generality, the linear distributed systems with the same dynamics  $A$  ( $A_1 = A_2 = A$ ).

$$(S_1) \begin{cases} \dot{z}_1(t) = Az_1(t) + B_1 u_1(t); 0 < t < T \\ z_1(0) = z_{1,0} \end{cases} \tag{41}$$

$$(S_2) \begin{cases} \dot{z}_2(t) = Az_2(t) + B_2 u_2(t); 0 < t < T \\ z_2(0) = z_{2,0} \end{cases} \tag{42}$$

where  $A$  generates a s.c.s.g.  $(S(t))_{t \geq 0}$  on the state space  $Z$ ;  $B_1 \in \mathcal{L}(U_1, Z)$ ,  $B_2 \in \mathcal{L}(U_2, Z)$ ,  $u_1 \in L^2(0, T; U_1)$ ,  $u_2 \in L^2(0, T; U_2)$ ;  $U_1$  and  $U_2$  are two control spaces. The systems  $(S_1)$  and  $(S_2)$  are augmented with the output equations

$$(E_{1,i}): y_{i,1}(t) = C_1 z_i(t); i = 1, 2$$

$$(E_{2,j}): y_{j,2}(t) = C_2 z_j(t); j = 1, 2$$

where  $C_i \in \mathcal{L}(Z, Y)$ , for  $i = 1, 2$ ;  $Y$  is a Hilbert space. The observations with respect to operator  $C_1$  at the final time  $T$  are respectively given by

$$y_{1,1}(T) = C_1 S(T) z_{1,0} + C_1 H_1 u_1 \tag{43}$$

$$y_{2,1}(T) = C_1 S(T) z_{2,0} + C_1 H_2 u_2 \tag{44}$$

By the same, the observations with respect to operator  $C_2$  at time  $T$  are given by

$$y_{1,2}(T) = C_2 S(T) z_{1,0} + C_2 H_1 u_1 \tag{45}$$

$$y_{2,2}(T) = C_2 S(T) z_{2,0} + C_2 H_2 u_2 \tag{46}$$

We have the following result deriving from the definitions.

**Proposition 13.** If the following conditions are satisfied

1)  $B_1$  dominates  $B_2$  exactly (respectively weakly)



with respect to operator  $C_1$ ,

2)  $C_1$  dominates  $C_2$  exactly (respectively weakly) with respect to operator  $B_2$ ,

3)  $C_2$  dominates  $C_1$  exactly (respectively weakly) with respect to operator  $B_1$ ,

then  $B_1$  dominates  $B_2$  exactly (respectively weakly) with respect to operator  $C_2$ .

We examine hereafter, the relationship between the notions of domination and compensation.

### 4.3. Domination and Compensation

In this section, we study the relationship between the notions of domination and compensation [7,8]. We consider without loss of generality, the following systems.

$$(\mathcal{S}_1) \begin{cases} \dot{z}_1(t) = Az_1(t) + d_1(t) + B_1u_1(t), 0 < t < T \\ z_1(0) = z_{1,0} \end{cases} \quad (47)$$

$$(\mathcal{S}_2) \begin{cases} \dot{z}_2(t) = Az_2(t) + d_2(t) + B_2u_2(t), 0 < t < T \\ z_2(0) = z_{2,0} \end{cases} \quad (48)$$

where  $A$  generates a s.c.s.g.  $(S(t))_{t \geq 0}$  on the state space  $Z$ ;  $B_1 \in \mathcal{L}(U_1, Z)$ ,  $B_2 \in \mathcal{L}(U_2, Z)$ ,  $u_1 \in L^2(0, T; U_1)$ ,  $u_2 \in L^2(0, T; U_2)$ ,  $d_1$  and  $d_2 \in L^2(0, T; Z)$ ;  $U_1$  and  $U_2$  are two control spaces.  $(\mathcal{S}_1)$  and  $(\mathcal{S}_2)$  are respectively augmented with the output equations

$$(\mathcal{E}_1) y_1(t) = C_1z_1(t)$$

$$(\mathcal{E}_2) y_2(t) = C_2z_2(t)$$

The states of these systems at the final time  $T$  are respectively given by

$$z_1(T) = S(T)z_0 + H_1u_1 + Hd_1 \quad (49)$$

$$z_2(T) = S(T)z_0 + H_2u_2 + \tilde{H}d_2$$

where the operators  $H_i$ ;  $i=1,2$  and  $\tilde{H}$  are defined by

$$H_i : L^2(0, T; U_i) \rightarrow Z$$

$$u_i \rightarrow \int_0^T S(T-s)B_iu_i(s)ds \quad (50)$$

$$\tilde{H} : L^2(0, T; Z) \rightarrow Z$$

$$d \rightarrow \int_0^T S(T-s)d(s)ds \quad (51)$$

The corresponding observations are given by

$$y_{1,f}(T) = CS(T)z_0 + CH_1u_1 + CRd_1 \quad (52)$$

$$y_{2,f}(T) = CS(T)z_0 + CH_2u_2 + CRd_2 \quad (53)$$

and  $Rf = \tilde{H}f$ . First let us recall the notion of compensation.

**Definition 14.** The system  $(\mathcal{S}_i)$  augmented with out-

put equation  $(\mathcal{E}_i)$  (or  $(\mathcal{S}_i) + (\mathcal{E}_i)$ ) is

1) exactly remediable on  $[0, T]$  if for any  $d_i \in L^2(0, T; Z)$ , there exists  $u_i \in L^2(0, T; U_i)$  such that  $C_iH_iu_i + Rd_i = 0$ , or equivalently

$$\text{Im}(C_iR) \subset \text{Im}(C_iH_i) \quad (54)$$

2) weakly remediable on  $[0, T]$  if for any  $d_i \in L^2(0, T; Z)$  and any  $\epsilon > 0$ , there exists  $u_i \in L^2(0, T; U_i)$  such that  $\|C_iH_iu_i + Rd_i\| < \epsilon$ , or equivalently

$$\text{Im}(C_iR) \subset \overline{\text{Im}(C_iH_i)} \quad (55)$$

Here, the question is not to examine if a system is (or not) remediable (for this one can see [7,8]), but to study the nature of the relation between the notions of domination and compensation, respectively in the exact and weak cases. We have the following result.

**Proposition 15.** If the following conditions are verified

1)  $(\mathcal{S}_1) + (\mathcal{E}_1)$  is exactly (respectively weakly) remediable.

2)  $C_2$  dominates  $C_1$  exactly (respectively weakly) with respect to the operator  $B_1$ .

3)  $\text{Im}(C_2R) \subset \text{Im}(C_1R)$  (respectively  $\overline{\text{Im}(C_2R)} \subset \overline{\text{Im}(C_1R)}$ ).

then  $(\mathcal{S}_1) + (\mathcal{S}_2)$  is exactly (respectively weakly) remediable.

We have the similar result concerning the output domination and the remediability notion.

**Proposition 16.** If the following conditions are satisfied

1)  $(\mathcal{S}_1) + (\mathcal{E}_1)$  is exactly (respectively weakly) remediable.

2)  $B_2$  dominates  $B_1$  exactly (respectively weakly) with respect to the operator  $C_1$ .

then  $(\mathcal{S}_2) + (\mathcal{E}_1)$  is exactly (respectively weakly) remediable.

Let us note that this section is a generalization of the previous one where  $d(t)$  has the form  $B_2u_2(t)$ . The results can be applied easily to a diffusion system and to other systems and situations.

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