

# Immunological responses of HIV/AIDS patients treated with Nevirapine versus Efavirenz based highly active anti-retroviral therapy in Addis Ababa, Ethiopia: A retrospective cohort study<sup>\*</sup>

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## ABSTRACT

**Background:** There are two approved non-nucleoside reverse transcriptase inhibitor antiretroviral drugs; namely Nevirapine (NVP) and Efavirenz (EFV). Nevirapine and EFV have comparable clinical efficacy when administered in combination regimens. But there is a lack of recent evidence showing the effect of NVP and EFV-based ARTs on immunological responses in HIV infected individuals in Ethiopia in general and Addis Ababa in particular. **Methods:** Retrospective cohort study design was used to compare immunological response rate of NVP and EFV based HAART regimen in Addis Ababa. Four hundred ninety two HIV infected patients who started HAART in ten selected health facilities were included in the study. Rate of immunologic response was examined at the 6<sup>th</sup>, 12<sup>th</sup>, 18<sup>th</sup>, and 24<sup>th</sup> months of follow-up period. The time required to get immunological response was analyzed by Kaplan-Meier survival curve. Adjusted hazard ratio was calculated with a 95% confidence interval by Cox proportional hazards model to determine the rate of immunological response. To ascertain the association, bivariate and multi variable Cox proportional hazard model was used. **Statistical significance was considered with two sides P-value of 0.05. Results:** The mean CD4

count ranged between 132.2 cell/ $\mu$ l at baseline and 302.3 cell/ $\mu$ l at the end of the follow-up period. This change was significant at 95% of CI but did not show significant differences among the comparison group. The median time to get immunological response was 18 (75% percental 12) months. At the end of the follow-up period, 73.2% (76.6% for NVP and 69.8% for EVF P-value 0.13) of the study population had immunological response. **Conclusion:** As a conclusion, there was a robust and sustained CD4 response and the effect of NVP and EFV based ART on change of mean CD4 count and immunological response was comparable and effective. Initiation of ART with high baseline CD4 count, in combination of IPT and with either NVP or EFV based NNTI was recommended.

**Keywords:** Efavirenz; Nevirapine; Immunological Response Rate; Mean CD4 Count

## 1. BACKGROUND

HIV remains a global health problem of unprecedented dimensions. According to the Joint United Nations Program on HIV/AIDS (UNAIDS) report, around 30.8 million adults and 3.4 million children were living with HIV at the end of 2010. In response to the epidemic, tremendous efforts have been done to control the infection starting from the first HIV case. This effort includes the development of antiretroviral (ARV) drugs [1]. The pri-

<sup>\*</sup>The authors declare that they have no competing interests.

mary goal of Highly Active Anti-Retroviral Therapy (HAART) is to prolong life, reduce HIV related mortality and morbidity in people living with HIV/AIDS. About 6.6 million people including 420,000 children were receiving antiretroviral therapy (ART) in low- and middle-income countries at the end of 2010. In the same year, 1.4 million people started lifesaving treatment; more than any year before [1].

More than 20 ARV compounds are now approved for use in US and Europe. But to date only two treatment strategies are recommended: 3 - 5 a backbone of two nucleoside analogues plus a non-nucleoside reverse transcriptase inhibitor (NNRTI) or protease inhibitor (PI). There are two approved NNRTIs named as Efavirenz (EFV) and Nevirapine (NVP). The question here is which of the combination is preferable. Currently there is no consensus on which NNRTI is the most effective in the treatment of HIV infection. For example, the US Department of Health and Human Services and British HIV Association Treatment Guidelines list EFV as the preferred agent over NVP. On the other hand, the WHO in 2010 revised ART guidelines, suggesting either NVP or EFV treat HIV-infected individuals [2-6]. But there is a lack of recent evidence showing effect of NVP or EFV-based HAART on immunological responses in HIV infected individuals in Ethiopia in general and Addis Ababa in particular. This study will fill the gap by assessing the immunological responses rate of HIV/AIDS patients treated with Nevirapine versus Efavirenz based HAART in Addis Ababa, July 1, 2009 - Dec. 31, 2011.

## 2. METHODS

### 2.1. Study Area

Addis Ababa has the status of both a city and a state. It is where the African Union and its predecessor Organization of Africa Union are based. The city often referred as “the political capital of Africa”, due to its historical, diplomatic and political significance for the continent (Ethiopia Tourism Organization, 2010; Wikipedia, 2010). Addis Ababa Health Bureau is responsible organ for health care services in the city. There are 10 Sub-City Health Offices, which are directly accountable to their respective Sub-City Administrations. In 2011, there are 38 hospitals, 27 Health Centres and 87 private clinics in the city. The potential health service coverage in Addis Ababa with regard to geographical accessibility is 100% (Addis Ababa city Administration, 2008; Addis A. personal communication August, 2011).

The study followed adult HIV patients (age  $\geq 15$  years) who started HAART between June 31 and Dec. 31, 2009 in ten selected health facilities of Addis Ababa. The cohort groups were followed for 24 months (July 1, 2009 - Dec. 31, 2011) for immunological response. Health facil-

ity based retrospective fixed cohort study design was used to compare immunological responses of EFV and NVP based HAART regimen patients. The primary outcome measures of this study are the rate of immunological response (an increase of CD4 cell count by  $\geq 50$  cells/ $\mu$ l over the baseline value) and time to increasing CD4 cell count by 50 cells/ $\mu$ l. A CD4 count obtained in the nearest 6 months before the follow up period was taken as baseline value. About 1245 medical records of ART patients initiating HAART between 31<sup>st</sup> June and 31<sup>st</sup> Dec. 2009 were abstracted to select eligible patients in ten selected health facilities. Among this 602(49.95%) were excluded from this study for five different reasons. One hundred and nineteen eight (32.89%) were excluded due to the presences of active TB during initiation of ART, 137(22.75%) were pregnant mothers, 127(21.1%) didn't had data for baseline CD4 count, 103(17.1%) had age less than 15 year and the remaining 37(16.14%) were excluded due to treatment substitution to second line regimen before the first 6 months after initiation of ART.

### 2.2. Sample Size Calculation

Sample size was determined based on the assumption of 95% two sided confidences level, 90% power, percent of Unexposed with outcome 46%, percent of exposed with outcome 62%, 10% lost to follow effect and 1:1 ratio of exposed to non-exposed. To get value of variables necessary for the sample size calculation, a pilot study was conducted in three health facilities which were not included in the main research. Based on the above assumption the final sample size was 492.

### 2.3. Data Collection

Ethical Approval was granted by the Institutional Research Ethics Review Committee (IRERC) of Haramaya University and Addis Ababa City Administration Health Bureau Ethical Clearances Committee. A written consent form was signed by each health institution leader. Consent from patients was not obtained since this work used data from medical records of patients. A Structured data collection format was used to retrieve relevant data from medical records of patients by trained data collectors. The data collection forms were reviewed for completeness and accuracy. Information collected included sex, age at initiation of ART, marital status, educational status, occupation, prophylaxis, WHO stage, eligibility criteria, weight (kg), height (meter), TB status, functional status and NRTI treatment substitution.

### 2.4. Statistical Methods

Data was entered to the computer by using EPI INFO Version 3.5.1. Then it was exported to SPSS for windows version 16.0 for analysis. For comparison of treatment groups EFV group was selected as references (non-ex-

posed) group. Rate of immunological response was examined at 6, 12, 18, and 24 months after initiation of ART. The time required to increasing CD4 count by 50 cells/ $\mu$ l was analyzed by Kaplan-Meier estimation. Log-rank test was used to compare the two groups. Hazard ratio was calculated with a 95% confidence interval by Cox proportional hazards model to determine rate of immunological response. To ascertain the association; variables found to be significant ( $p < 0.2$ ) in a bivariate analysis were used to build a multi variable model. For multi variable analysis statistical significance was considered with two sides P-value of 0.05.

### 3. RESULTS

#### 3.1. Background Information

All patients were followed retrospectively for a complete of 24 months (two years) but for different reasons, study participants retained in the cohort for different length of follow up time: they stayed for a minimum of four, maximum of twenty four and median of eighteen (IQR = 12) months. Concerning to follow up condition, 429(87.2%) complete follow up, 19(3.9%) died within the follow up period, 24(4.9%) lost to follow up, 11(2.2) transfer out from follow up site and 9(1.8%) substitute their original treatment regimen to other NNRTIs or to second line regimen. The follow up status didn't have statistically significant difference (P-value 0.869) among exposed and non-exposed groups.

#### 3.2. Socio-Demographic Variables

The median age of the study participants was 34 year (IQR, 10.75). Majority 289(58.7%) of the study subjects were females. Among these 147 (50.9%) took NVP based ART. More than half of (319, 64.8%) the study participants were Orthodox by religion. The remaining 86(17.5%) and 87(17.7%) patients belong to Muslim and Protestant respectively. Regarding to educational status, 53(10.8%) of the study subject had tertiary level education; whereas 168(34.1%) and 178(36.2%) complete secondary and primary education respectively. The remaining 93(18.9%) patients had no educational history. Concerning to occupational status, 81(16.5%) were governmental employers whereas only 31(6.3%) did not have work and the rest 371(77.2%) involved in different income generating activities. Except educational status and occupational status all other socio-demographic variables did not had significant difference among exposed and non-exposed group (Table 1).

#### 3.3. Clinical Characteristics of Patients at Art Initiation

When we see the type of starting NRTIs regimen the

**Table 1.** Socio-demographic status of HIV/AIDS patients treated with NVP versus EFV based HAART in A. A July 1, 2009 - Dec. 31, 2011.

Variables	Exposure Status (n = 492)		P-Value	
	Nevirapine (n = 246)	Efavirenz (n = 246)		
Sex	Male	99(48.8)	104(51.2)	0.714
	Female (%)	147(50.9)	142(49.1)	
Age	Median	33	35	0.071*
	Interquartile Range	11	10	
Marital Status	Single (%)	93(52.2)	85(47.8)	0.688
	Married	193(47)	132(53)	
	Divorced	27(56.2)	21(43.8)	
	Widowed	9(52.9)	8(47.1)	
Educational Status	No Education (%)	36(38.7)	57(61.3)	0.025
	Primary	105(57.9)	75(42.1)	
	Secondary	81(48.2)	87(51.8)	
	Tertiary	26(49.1)	27(50.9)	
Religion	Muslim (%)	43(50.0)	43(50.0)	0.936
	Orthodox	161(50.5)	158(49.5)	
	Protestant	42(48.3)	45(51.7)	
Occupational Status	Private Employee (%)	27(39.1)	42(60.9)	0.025
	Driver	30(68.2)	14(31.8)	
	Governmental Employee	36(44.4)	45(55.6)	
	Teacher	31(43.1)	41(56.9)	
	Merchant	42(56.0)	33(44.0)	
	House Wife	22(44.0)	28(56.0)	
	Student	25(62.5)	15(37.5)	
	Daily Laborer	14(46.7)	16(53.3)	
	Not Employ	19(61.3)	12(38.7)	

\* (Df = 490,  $f = 2.285$ ).

highest proportion 360(73.2%) have started with Zidovudine regimen followed by 94(19.1%) Stavudine and 38(7.7%) Tenofovir based regimen. Among those who started with Tenofovir based regimen 34(89.3%) were from EFV group. From those who initiated with Zidovudine 194(53.9%) and from Stavudine 48 (51.1%) were from NVP group. The median week to start HAART after confirmation of HIV infection was 8.5 (IQR = 38) in overall study subjects and 9 (IQR = 37) for NVP arms. Lower value (8, IQR = 42) was detected among EFV group but the difference was not significant (P value 0.513) among the cohort groups.

All variables of clinical characteristics of patients at ART initiation didn't show significant differences between the study groups at 5% level of significance (for all variables comparison the P-value was  $> 0.05$ ).

#### 3.4. Rate of Immunological Response

Among those complete the study 314(73.2%) patients'

had immunological response within 24 months of follow up period. Of these 164 (52.2%) were from the exposed group. The crude rate of immunological response didn't show significant difference within cohort groups (OR 1.42 (0.98 - 2.13), P-value 0.134). The largest proportion 98(31.2%) of study subjects got immunological response at 18 months of follow up period. The rate of immunological response at 6, 12, 18 and 24 month was 12.9%, 24.0%, 28.6% and 17.2 respectively. This trend had significant differences at P value of 0.012. The rate of immunological response at 12, 18 and 24 month was 2.13, 2.69 and 1.4 times greater than the rate of 6month. The incidences rate also didn't show significant differences among cohort groups at all comparison months (P-value > 0.05).

### 3.5. Time Required for Immunological Response

Fifty percent of the study population got immunological response on 18 (95% CI 16.78 - 19.93) month of follow up period. The mean month required for the primary outcome was 17.38<sup>th</sup> (95% CI 16.72 - 19.97) month. The mean and median month to get immunological response was 17.23 (95% CI of 16.36 - 18.12) and 18.0 (95% CI 16.45 - 19.55) month respectively for NVP group. But for EFV group it was 17.45 (95% CI 16.56 - 18.33) and 18.0 (95% CI 16.07 - 19.92) respectively. By logrank test the time period to get immunological response among the cohort group didn't show statistical significance differences with P value of 0.28.

### 3.6. Factors Associated with Immunological Response

As shown on **Table 2** only four baseline variables have showed significant association with immunological response at a 5% level of significance by bivariate analysis of Cox-proportional hazard regression. By this analysis variables such as health facility type, marital status (Divorced), religion (Orthodox), eligibility criteria, starting NRTI and WHO clinical stage were significant at P value of less than 0.2. Therefore, these variables were retained for multi variable analysis in addition to the above four variables.

The selected twelve variables were entered to multi variable analysis to further evaluation and to control the effect of confounder on the truth value. Forward LR Cox regression model was used by taking in account all assumption of the model for the fitness of the variable to the model.

At the end, only five variables were significant at 5% level of significance. Based on this result females were 1.374 (95% CI AHR 1.01 - 1.63, P value 0.048) times more likely to have immunological response compared to

**Table 2.** Factors determining immunological response of HAART patients in A.A, July 1, 2009 - Dec. 31, 2011.

Variables		CHR	P-Value	AHR (95% CI)	P-Value
Exposure Status	Nevirapine	1.05	0.360		
	Efavirenz	1.00			
Facility Type	Governmental	0.84	0.166	0.8	0.142
	Private	1		1	
Age	<24 Year	1			
	25 - 45 Year	1.01	0.934		
Sex	>45 Year	1.03	0.776		
	Female	1.44	0.002	1.37	0.048
Marital Status	Male	1		1	
	Single	1		1	
Marital Status	Married	0.70	0.190	0.95	0.668
	Divorced	0.63	0.084	1.15	0.497
Marital Status	Widowed	0.78	0.418	1.31	0.350
	No Education	1		1	
Educational Status	Primary	1.11	0.519	1.10	0.569
	Secondary	1.29	0.110	1.20	0.289
Religion	Tertiary	1.19	0.428	1.17	0.498
	Orthodox	1.02	0.779	0.99	0.926
Starting NRTIs	Muslim	0.86	0.153	0.87	0.500
	Protestant	1		1	
Presences of OI	Stavudine	0.004	2.144	1.57	0.104
	Zidovudine	0.016	1.884	1.30	0.304
Eligible Criteria	TDF	0.016	1	1	
	Yes	0.98	0.876		
WHO Clinical Stage	No	1			
	CD4 count	0.83	0.136	0.71	0.005
Functional Status at Baseline	Clinical	1		1	
	Stage 1	1.38	0.219	1.49	0.049
WHO Clinical Stage	Stage 2	1.28	0.344	1.36	0.299
	Stage 3	0.94	0.816	1.04	0.887
Functional Status at Baseline	Stage 4	1		1	
	Working	0.90	0.656	0.86	0.579
BMI at Baseline	Ambulatory	0.90	0.666	0.86	0.571
	Bedridden	1	0.901	1	
Provision of IPT	Under Weight	1	0.215	1	
	Normal	0.94	0.601	1.01	0.938
Baseline CD4 Count	Over Weight	1.08	0.695	1.06	0.771
	Obesity	2.34	0.064	2.53	0.055
Baseline CD4 Count	Given	1.31	0.021	1.37	0.012
	Not Given	1		1	
Baseline CD4 Count		0.996	0.000*	0.997✓	0.000

\*B=-0.004; ✓B=-0.005.

male study participants. Patients those who have started ART based on their CD4 count were 0.71 (95% CI 0.54 - 0.94, P value 0.005) time less likely to get immunologi-

cal response compared to those initiated based on their clinical status. Among the four different WHO clinical stages, stage I patients were 1.49 (95% CI AHR 1.02 - 0.2.68; P value 0.049) times more like to develop the outcome compared to stage four patients. But the other stages didn't show significant association at P value of 0.05. When the effect of other variables controlled, taking INH preventive therapy also significantly associated with immunological response. Odd to develop the outcome among patients received the prophylaxis were 1.363 (95% CI 1.07 - 1.74, P value 0.012) times higher compared to those didn't take the INH prophylaxis.

According to this study result those who developed immunological response had significantly high CD4 count at baseline compared to those who didn't get the immunological response. The baseline CD4 count also had negative interaction with immunological response. When the baseline CD4 count decreased by 1 cells/ $\mu$ l the risk to develop immunological response will be decreased by 0.005.

#### 4. DISCUSSION

At the end of follow up period 73.2 % of the study population had immunological response. This rate was lower when compared to the result of retrospective cohort study conducted in France. According to that study the rate was 84.4% among viral load (VL)  $\leq$  100,000 copies/ml and 85.8% among VL  $\geq$  100,000 copies/ml. During the comparison of immunological response rate insignificant difference (P-value 0.134) was detected among the cohort groups. Even if it was not significant NVP groups were 1.425 (0.925 - 2.185) times more likely to develop immunological response compared to EFV groups. This result also agreed with many studies conducted among different study population. In the finding of Volerie *et al.* study EFV (HR 1.08 P value  $>$  0.05) has similar immunological efficacy with NVP. But this study included patient with CD4 count less than 200 cells/ $\mu$ l only [7]. Another prospective cohort study conducted in England on 2003 agreed with this result. According to this study result; by using EFV results as a reference, a non-significant (AHR 0.93, 95% CI 0.67 - 1.29) trend was observed for NVP based regimens [8].

Like the above study, findings from retrospective cohort conducted among patients whose pre-treatment CD4 cell count was less than 100 cells/ $\mu$ l; NVP and EFV based HAART regimens were effective and comparable, in term of immunological responses. Those, received NVP-based regimen had about 40% (HR = 0.49, 95% CI 0.22 - 1.09, P  $\geq$  0.144) lower chance of achieving immunological response than patients who received the EFV-based regimen [9]. A similar finding was observed in Meta-analysis conducted among seven clinical trials. The result of this review showed the absences of critical differences

between EFV and NVP for immunological responses. Based on the five trials' data the immunological response was difference (WMD = -2.00; 95% CI -23.17 to 19.18, p = 0.92) in the EFV and NVP groups [10].

The median time to got immunological response was 18 (95% CI 16.78 - 19.93) month. The incidences rate of immunological response at 6, 12, 18 and 24 month was 12.9%, 24.0%, 28.6% and 17.2% respectively. This trend had significant differences at P value of 0.012. Taking such amount of time to the outcome can be as result of the drug efficacy time or due to other patient related factors such as adherences status, body metabolism ability and initial immunity status. This finding can be affected due to classification of measurement periods to small number of categories. The duration for immunological response also didn't show significant differences (P value of 0.28) among cohort groups at all comparison months. The mean month to got immunological response was 17.23 (95% CI of 16.36 - 18.12) for NVP group but it was 17.45 (95% CI 16.56 - 18.33) EFV group. There for it possible to conclude as the time required to the outcome was the same in the two cohort groups. The result can be affected by patient adherence status which was not assessed by this study.

According to this study result female sex, WHO clinical stage 1 and taking IPT were associated with faster immunological response (P value  $<$  0.05 in all cases). This association also may be due to the patients' adherences status, presences of additional factor to enhance immunological status or due to absences of some OIs'. On this study, the two categories of NNRTI treatment regimen didn't show significant association at 5% of significant level. But on other two studies significant association was observed at P-value of 0.05. According to Philips *et al.* study EFV was 0.5 (0.4 - 0.63, P  $<$  0.001) times lower to had immunological response compared to NVP. Similarly in Kanya *et al.* study NVP groups were 2.59 (1.2 - 5.59, P value 0.02) times higher to develop the outcome. In both of studies, NVP had a higher chance of immunological response compared to EFV arm. These differences may be as result of differences in follow up period. The follow up period for Kanya *et al.* and Philip *et al.* studies was 4 years which is longer than this study. In addition to this, variation in socio-demographic variable may lead to this discrepancy [11,12].

According to this study sex was associated with the outcome of the study. The adjusted HR for sex was 1.276 (1.002, 1.625, P value 0.048) when females were compared to male. This finding was not agreed with the result of Moing *et al.* study. Based on that study males were at high risk to response to the treatment compared to female (P value 0.002). In other studies [9,11] significant association were not detected among sex and immunological response [9,11,13]. Variation can be as result of

sample selection procedure. This study exclude pregnant women form the study population.

Regarding to Baseline CD4 count similar result was detected form many studies. Based on this study result decreasing the CD4 count by 1 cells/ $\mu$ l will decrease the rate of immunological response by 0.05 time ( $P = 0.000$ ). Suggestive result was obtained from Philps *et al.* (OR 0.92 95% CI, 0.4 - 0.97,  $P < 0.002$ ) and Boulle *et al.* (OR93 95% CI 0.98 - 1.01,  $P = 0.004$ ) studies. In both of the case low CD4 count value was associated with low risk of response [12,14]. Only from this study significant association was detected from WHO clinical stage and provision of IPT. Those who start ART with stage I had risk of outcome 1.49 (1.02 - 2.68;  $P$  value 0.049) times lower compared to stage IV patients. In addition to the true association the small sample size for comparison group can lead to this association. Provision of INH also contributes for immunological response. The adjusted hard odd ratio was 1.363 (95% CI 1.071 - 1.735,  $P$  value 0.012) for those received the prophylaxis. This can be as result of decreasing the rate of TB due to IPT.

### Limitations of the Study

The study was limited by its retrospective design that involved analysis of secondary data. So, the researcher could not control for some of the confounding covariates such as adherences status, socio-economic variables, and other nutritional status indicator other than BMI. To have comparable study subjects at baseline TB patients and pregnant women were excluded from the study. This also may affect the true result because some important study subject related may be not included in the analysis.

### 5. CONCLUSION

There was a sustained and robust CD4 response and the effect of NVP and EFV on change of mean of CD4 count was the same. Similarly during the comparison of immunological response rate, insignificant difference was detected among the cohort groups. Fifty percent of the study participants got the expected immunological response at the 18<sup>th</sup> month of the follow-up period. The required time for immunological response was the same in the two study groups. Being female, initiation of ART at WHO stage I, provision of IPT and high baseline CD4 count contributed to achieving the immunological response.

### Authors' Contributions

ZM conceived the study, participated in the design and helped draft the manuscript. TA, NB, MK and AG participated in the design and helped draft the manuscript. All authors read and approved the final manuscript.

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