

# Transformer's Condition Assessment Method Based on Combination of Cloud Matter Element and Principal Component Analysis

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## Abstract

With the development of power grid, as one of the key equipment, the transformer's condition assessment method has always receive attention from experts, scholars concern more and more about the method's practicality and reliability. In the traditional condition assessment method, due to the characteristics of the transformer's complex structure, the assessment system is not comprehensive enough, or the assessment system is too complex, the indexes are not easy to quantify, such problems are emerging. The traditional method is complex and the degree of quantification is not enough. Therefore it is necessary to propose a condition assessment method that is easy to carry out the condition assessment work and does not affect the assessment results. In this paper, we propose a method to assess the state of the transformer's complex structure. First, we establish a comprehensive assessment system, then apply the method of principal component analysis to optimize the index system, and then use the theory of cloud-matter-element. Finally the reliability and rationality of the method are verified by an example.

## Keywords

Transformer, Assessment Method, Principle Component Analysis, Cloud Model

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## 1. Introduction

For a long time, as the important electrical equipment in power system, the transformer's reliable and stable state is meaningful to ensure the safe and healthy operation of the power grid. While its condition assessment work is an

important prerequisite to transformer's operation. Assess transformer's condition precisely is a basic work for subsequent work like condition based maintenance. Therefore if the condition assessment result is reliable depends on the assessment method.

At present, the research on the condition assessment method of the transformer has made some achievements. Zhang Jingjing and others have adopted the fuzzy analytic hierarchy process to carry out the weighted evaluation of the condition indexes of the transformer on site [1]; Liao Ruijin and others establish the state function of the evaluation index of the insulating oil test and the state function considering the difference of the initial value [2]; Wang Youyuan proposed a comprehensive life evaluation model of the transformer based on the health level diagnosis technology [3]; Zhao Wenqing and others proposed a transformer status assessment model based on Bayesian network [4].

Although the above methods have adopted the advanced method of transformer condition assessment, we can find that most methods are focus on the condition assessment process, they pay less attention to the condition indexes system of assessment process. Meanwhile, some existing assessment system is not comprehensive enough, and some are too complicated, they are all not good for the subsequent condition assessment. As for assessment methods, some indexes which are difficult to quantify also affect the results, therefore it is necessary to propose a more reasonable condition assessment method.

This paper first establish the condition assessment system of transformer, and then introduce the idea of principal component analysis [5] [6] to optimize the original system and build a system with less indexes. Then we use cloud-matter-element theory to assess transformer's condition [7] [8] [9]. The result indicates after using the combination of PCA and cloud model method will not affect the true condition, which proves the feasibility and rationality of the method.

## 2. Establishment and Optimization of the Transformer's Condition Assessment System

As the first step of the transformer's condition assessment process, a perfect condition assessment system need to be built at the beginning. Every parts of the transformer may break down because of its complicated structure, meanwhile, different fault has different physical measurement, therefore, we need to set up a complete system. Meanwhile, a very complete system may be too complicated to do the assessment work, an appropriate method is necessary to simplify the system. Principle component analysis (PCA) is a suitable way to finish the process.

### A. Construction of transformer and corresponding faults

On the basis of transformer's structure we can divide a transformer into 6 parts: tank, winding, iron core, cooling system, tapping switch and bushing. We need to first figure out the corresponding part of fault type before establishing the system. Refer to <summary of technical standard for maintenance of grid equipment > [10] and engineering statistics, we can draw a table about key faults occurred in a transformer as follows **Table 1**.

**Table 1.** Key faults occurred in a transformer.

construction	key failure
Transformer tank	Oil leakage, Partial discharge, Deformation
Transformer winding	Insulation drops, Abnormal resistance, Discharge failure, Short circuit fault, Winding deformation, Overload
Transformer core	Multi-point grounding, Insulation drops, Core deformation, Over excitation, Oil blockage, Magnetic flux leakage
Transformer bushing	Oil leakage, Insulation damp, Porcelain sets flashover, Ageing, Partial discharge, Magnetic flux leakage, Insulation breakdown
Transformer cooling system	Oil leakage, Oil flow circuit unreasonable, Heat pipe clogged, Cooler outage, Fan exception
Transformer tap-changer	Abnormal action, Partial discharge, Tap connection error, Oil leakage, Insulation drops, Mechanical damage

### B. Establishment of condition assessment system

The establishment of assessment system needs to seek fault's corresponding physical measurement which could conduct as indexes of assessment system. However, because two different components may have same measurement, such as cooling system and tank all have temperature measurement, therefore we need to filter the main measurement before the assessment. According specialist's suggestion and content of the summary, we can select the most important measurement to form the system, the initial system is as follows **Figure 1**.

### C. Optimization for assessment system based on principle component analysis

Principle component method can apply in many aspects of multivariate statistical analysis. Its basic theory is to use ideology of decreasing dimension. We can build a new index system by using a certain of algorithm on the basis of multi-parameter and multi-sample data array. Though the quantity of indexes in new system decreased, they can reflex initial system's information more intensively. The new index parameters are called principle component. The key steps are as follows:

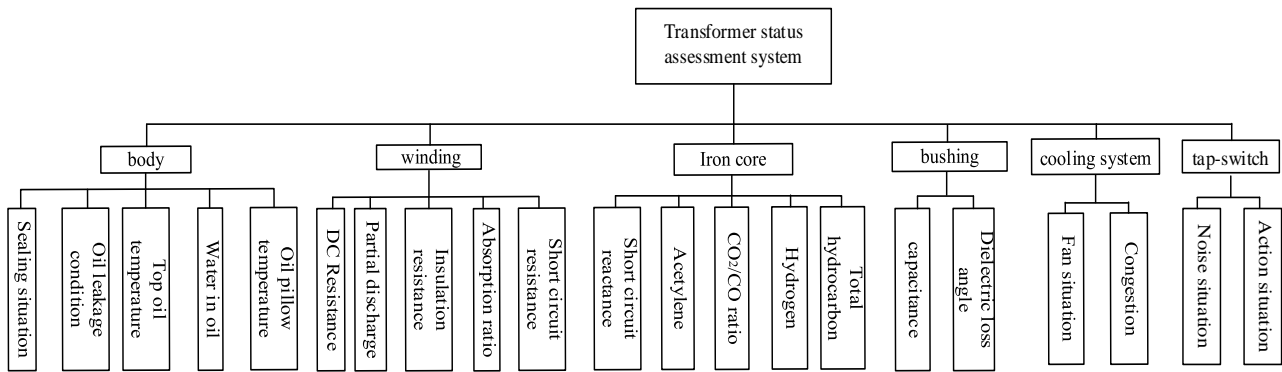
- 1) Standardize p indexes value in n samples to obtain matrix Z.
- 2) Build correlation coefficient matrix  $R = ZZ^T / (n - 1)$ , calculate p eigenvalues:  $\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \dots \geq \lambda_p \geq 0$ , then calculate their corresponding feature vector:  $e_j = (I_{1j}, I_{2j}, \dots, I_{pj})$ .
- 3) Calculate variance contribution rate:  $\alpha_j = \lambda_j / \sum \lambda_j$ , then sort rate and make sure that when first m indexes' accumulating rate more than 0.85, we can regard these m principle components can reflect p indexes.
- 4) Calculate n sample's principle component:  $M_{i,j} = Z_{ij} \times [e_1, e_2, \dots, e_p]$ .

We can discover that there are correlation between new assessment indexes. The initial system could be optimized into a new compact system to asses on the base of PCA method.

## 3. Transformer Condition Assessment Method Based on Cloud Model

### A. Determining the weight of index based on the combination of PCA and analytic hierarchy process

On the one hand, in the process of PCA mentioned above, accumulating rate can be regarded as principle components' important level to transformer's over-



**Figure 1.** Transformer’s condition assessment system.

all condition, meanwhile, the component’s feature sector value can be seen as indexes’ weight, because in the steps of PCA, we choose the absolute value greater than 0.3 as the new index among feature sector, the absolute value could also be seen as indexes’ weight. On the other hand, the rate is calculated by samples’ value, therefore the rate is objective which could represent objective weight. We can use analytic hierarchy process to calculate subjective weight based on experts’ opinion on site. Finally we can use comprehensive weight method to calculate integrated weight, the main steps are as follows **Figure 2**.

**B. Transformer’s condition assessment based on cloud model**

The cloud matter-element model is an uncertain transformation model expressed by Li Deyi. The model is used to describe the qualitative concept and its quantitative representation. The model consists of three eigenvalues: entropy and super entropy. There are many indexes which are not easy to quantify directly except other quantifiable indexes in assessment system, such as tank’s oil leakage situation, mechanical vibration situation and so on. As for these indexes, we can use cloud model to simulate quantization. The main steps of cloud-model assessment method are as follows:

1) Establishment of indexes’ standard cloud

According to possible consequences of assessment, firstly we can divide the consequences into four class: normal, noticeable, abnormal, serious. Then we can define the interval upper limit and lower limit as  $c_{max}$  and  $c_{min}$  before we calculate three eigenvalues. Two eigenvalues’ formula are as follows.

$$E_x = (c_{min} + c_{max}) / 2$$

$$E_n = (c_{max} - c_{min}) / 6$$

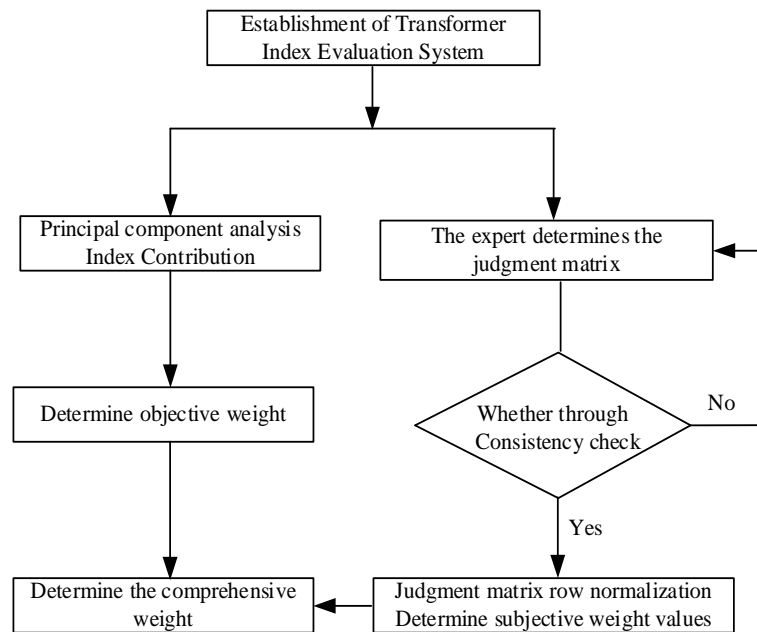
2) Calculate the indexes’ correlation of cloud model

We can use this formula to calculate the correlation:

$$y = \exp \frac{-(x - E_x)^2}{2(E_n)^2}$$

$Y$  represents  $x$ ’ correlation value. We can calculate every class’ correlation of assessment index:

$$k_j(p) = \sum_{i=1}^m w_i y_i$$



**Figure 2.** Flow chart of weight calculating.

$w_i$  is comprehensive weight calculated from last section,  $y_i$  is correlation of every class. Finally, we can determine the condition class by the principle of maximum membership.

### C. Case study

This paper choose a power supply bureau's 5 transformers before repair to make case study. Their original data are as **Table 6**, there are some indexes which are not easy to quantify among them such as "sealing condition". According to 4 classes as mentioned before, we can rate them by using "1" "2" "3" "4" as responding 4 classes.

We can use PCA to handle these data and find there are 4 eigenvalues greater than 0:  $\lambda_1 = 2.6397$ ,  $\lambda_2 = 4.2956$ ,  $\lambda_3 = 4.9412$ ,  $\lambda_4 = 9.1235$ . After sorting them we can find that  $\lambda_4, \lambda_3, \lambda_2$  these 3 eigenvalues' accumulating rate are greater than 85%, therefore they are the 3 principle components. Next we choose indexes whose absolute value is greater than 0.3 from every component's eigenvector. The first principle component consist of 4 indexes: tank's oil temperature, winding's short circuit resistance, iron core's total hydrocarbon content, iron core's acetylene content; the second component's indexes: tank's oil pillow sealing situation, iron core's hydrogen content, tap-switch's action situation, bushing's capacitance; the third principle's indexes are winding's absorption ratio, winding's partial discharge, iron core's co2/co ratio and cooling system's fan situation. We can assess the condition by using these 3 principles. We choose 1# transformer's state value to assess condition. The standard summary could tell us the value range of every index. Every index's value range is divided into 4 classes as mentioned. The cloud model is as follow **Table 2**.

According to the assess process, we choose new indexes' variance contribution rate as subjective weight. Their values are as **Table 3** after using AHP.

**Table 2.** Cloud model of 1# transformer.

	Index	Normal	Noticeable	Abnormal	Serious
	Tank's oil temperature	66, 0.6667, 0.5	70, 0.6667, 0.5	74, 0.6667, 0.5	78, 0.6667, 0.5
NO.1 Principle component	Winding's short circuit resistance	0.005, 0.00167, 0.5	0.015, 0.00167, 0.5	0.025, 0.00167, 0.5	0.035, 0.00167, 0.5
	Iron core's total hydrocarbon content	145, 1.6667, 0.5	155, 1.6667, 0.5	165, 1.6667, 0.5	175, 1.6667, 0.5
	Iron core's acetylene content	0.75, 0.0833, 0.5	1.25, 0.0833, 0.5	1.75, 0.0833, 0.5	2.25, 0.0833, 0.5
	Oil pillow's sealing situation	92.5, 2.5, 0.5	77.5, 2.5, 0.5	62.5, 2.5, 0.5	47.5, 2.5, 0.5
NO.2 Principle component	Iron core's hydrogen content	145, 1.6667, 0.5	155, 1.6667, 0.5	165, 1.6667, 0.5	175, 1.6667, 0.5
	Tap-switch's action situation	92.5, 2.5, 0.5	77.5, 2.5, 0.5	62.5, 2.5, 0.5	47.5, 2.5, 0.5
	Bushing's capacitance	0.005, 0.00167, 0.5	0.02, 0.00333, 0.5	0.04, 0.00333, 0.5	0.06, 0.00333, 0.5
	Winding's absorption ratio	1.35, 0.01667, 0.5	1.25, 0.01667, 0.5	1.15, 0.01667, 0.5	1.05, 0.01667, 0.5
NO.3 Principle component	Winding's partial discharge	0.75, 0.0167, 0.5	0.85, 0.0167, 0.5	0.95, 0.0167, 0.5	1.05, 0.0167, 0.5
	Iron core's co2/co ratio	5, 1.667, 0.5	20, 3.333, 0.5	35, 3.333, 0.5	45, 3.333, 0.5
	Cooling system's fan situation	92.5, 2.5, 0.5	77.5, 2.5, 0.5	62.5, 2.5, 0.5	47.5, 2.5, 0.5

**Table 3.** Subjective weight.

	NO.1 PC	NO.2 PC	NO.3 PC
Index 1	0.32	0.145	0.263
Index 2	0.276	0.283	0.347
Index 3	0.216	0.264	0.124
Index 4	0.188	0.308	0.266

The comprehensive weight is as follows **Table 4**.

Then according to cloud-matter-element theory to calculate indexes' correlation value as follows **Table 5**.

According to maximum membership principle we can find that the condition of the 1# transformer's condition is "noticeable" which conforms to the actual situation. Therefore it proves that after optimizing the assessment system, using cloud-model method could keep the condition's accuracy and simplify the whole assess process.

## 4. Conclusions

1) The establishment of a perfect transformer condition assessment system is an important step of assessment process. We can optimize the system by using PCA which could not only receive reliable assess conclusion, but also decrease condition measurement. The method has its practical significance. (**Table 6**)

2) Through the condition assessment method based on cloud-model, we could quantize all the indexes in assessment system according practical situation, plus, the objective weight calculated in PCA process avoids the abuse of only us-

**Table 4.** Comprehensive weight.

	NO.1 PC	NO.2 PC	NO.3 PC
Index 1	0.083	0.055	0.100
Index 2	0.081	0.082	0.113
Index 3	0.065	0.084	0.081
Index 4	0.065	0.088	0.106

**Table 5.** Correlation value of 4 ranks.

Rank	Correlation value
Normal	0.112
Noticeable	0.204
Abnormal	0.056
serious	0.011

**Table 6.** Transformers' original data.

PART	INDEX	1#	2#	3#	4#	5#	PART	INDEX	1#	2#	3#	4#	5#	
BODY	Sealing condition	2	4	3	2	4	IRON CORE	Ground current (A)	0.14	0.36	0.07	0.28	0.19	
	Oil pillow temperature (°C)	69	78	66	74	83		Total hydrocarbon (µL/L)	158	178	144	158	163	
	Top oil temperature (°C)	52	59	50	59	61		Acetylene (µL/L)	1.2	2.3	0.8	1.5	2.1	
	Oil leakage condition	3	3	4	1	4		CO <sub>2</sub> /CO ratio	30	38	46	34	35	
	Water in oil (ppm)	5	9	3	4	6		Hydrogen (µL/L)	157	169	148	155	145	
WINDING	Short circuit resistance (%)	1.4	3.8	1.1	2.5	4.3	SWITCH	Action situation	2	3	4	3	4	
	Insulation resistance (MΩ)	18	10	21	13	19		Noise	4	3	3	1	2	
	Absorption ratio	1.2	1.09	1.34	1.45	1.26		Capacitance (%)	0.8	1.4	2	0.9	1.9	
	Partial discharge (%)	76	98	89	65	9		BUSHING	Dielectric loss angle (%)	2	4.3	1.2	1.5	3.8
	DC resistance (%)	1.26	1.08	0.82	0.94	1.35			COOLING SYSTEM	Congestion	2	3	4	2
							Fan situation	3	4	3	2	1		

ing AHP to calculate weight. The method also makes the process of calculating weight more reliable and easy. The combination of PCA and cloud-model makes the whole assess process more reasonable and effective.

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