

Biofilm Formation by *Streptococcus mutans* and Related Bacteria

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ABSTRACT

Caries is a disease of human dentition characterized by the loss of mineralized surfaces of the tooth; it is an infectious disease of the oral cavity in which biofilms play a causative role. Control of biofilms has traditionally relied on non-specific removal of plaque by mechanical means such as brushing, although it is difficult to remove biofilms by this method. Caries is also a widespread infection in children. *Streptococcus mutans* and *S. sobrinus* are important causative agents of caries. They produce a homologous exocellular polysaccharide called glucan, which strongly adheres to the enamel surface. This is a review of oral microbial biofilm formation by *S. mutans* and other related bacteria.

Keywords: Caries; *Streptococcus mutans*; *Streptococcus sobrinus*; Biofilm; Exocellular Polysaccharide; Glucan; Glucosyltransferase

1. Introduction

Caries has been characterized as an ecological collision in the mouth, involving infectious bacteria and readily available sugar in drinks and foods. Streptococcus mutans has been reported as the principal etiological agent of dental cavities and a normal inhabitant of dental plaque [1,2]. Oral microbial biofilms cause to form complex bacterial communities based on co-agglutination. Specific important pathogens in the oral biofilm include mutans streptococci, particularly S. mutans and S. sobrinus, which are highly associated with caries in humans. S. mutans readily metabolizes dietary sucrose to form insoluble polymers of glucose that aid in persistent colonization of solid surfaces. These bacteria can survive at low pH values that are toxic to most other bacterial species. Oral biofilms are exemplary and serve as a model system for bacterial adhesion [3]. In this review, we describe biofilm formation by S. mutans and related bacteria.

1.1. Dental Plaque and Decalcification in Caries

Dental plaque is caused by a complex and dynamic processes that involves the progressive destruction of tooth

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enamel, dentine and cementum by bacteria. Acid formation by cariogenic bacteria such as *S. mutans* drives the dissolution of calcium and phosphate in the hydroxyapatite crystal structure. Decalcification by acid from the bacteria is dependent on a variety of factors including the concentration of the carbon source, the amount and activity of the plaque microflora, the flow rate of saliva, and the nature of the tooth surface. The major species found in human dental plaque are *S. mutans* and *S. sobrinus*, *S. rattus*, *S. cricetus* and several mutans streptococci are cariogenic in animals [4,5]. The mutans streptococci are important etiological agents in dental caries.

1.2. Role of Biofilms in Caries

The etiology of caries disease is well established and bacterial colonization appears to be an important step for oral diseases, leading to biofilm formation [6-8]. Oral biofilms mostly consist of multiple bacterial strains. It has been recently shown that more than 700 bacterial strains are present in dental plaque [9]. Specific pathogens, including Gram-negative bacteria, can cause serious clinical diseases. The microflora in healthy individuals is dominated by the presence of Gram-positive bacteria, whereas patients with periodontitis have increased Gram-negative anaerobic rod-shaped bacteria [10]. There is communication between the multispecies in biofilms and the formation of biofilm can lead to antibiotic and oxygen resistance, resulting in failure to prevent or treat oral diseases.

Normally, the composition of human oral microflora is in a dynamic balance. When this balance is disturbed, the normal oral flora becomes disordered, leading to the development of dental plaque. High carbohydrate intake causes the accumulation of acidic metabolites within mature plaque, leading to a low pH environment. In addition, the number of acid-tolerant bacteria with cariogenic potential will increase significantly or bacterial virulence will be enhanced. In this circumstance, noncariogenic plaque will change into cariogenic plaque producing a large number of pathogenic substances that can cause the development of dental caries. In particular, S. sanguinis and S. oralis are maintained under an ecological balance. S. sanguinis decreases after micro-ecological imbalance, which leads indirectly to the development of dental caries. The causative agents of acid production are not limited to S. mutans, but also include other bacteria in dental plaque, and it is actually the synergistic effect of oral bacteria in dental plaque that is the root cause of dental caries.

1.3. Metabolism by the Acidogenic Microflora and Acid Tolerance

Lactic acid is generated in the presence of high sugar concentrations, while low sugar concentrations lead to the production of acetic acid, formic acid and ethanol (Figure 1). Cariogenic bacteria such as S. mutans survive and grow in the mouths of caries-active patients due to the low resting pH in the plaque environment [11]. Survival in acidic environments depends on the ability of S. mutans and other acid-tolerant oral bacteria to maintain intracellular pH homeostasis using H⁺/ATPase and acid end product efflux (Figure 1). Caries lesions can be near or below pH 4. Bowden and Hamilton [12] explained the acid tolerance response: 1) increased glycolytic activity provides proton-efflux; 2) the shift to a lower pH becomes optimum for glucose transport, the glycolytic pathway and proton impermeability; 3) decreased activity by the acid-sensitive, membrane-associated, sugar-specific enzyme IIs for the phosphotransferase sugar transport system is accompanied by an increase in the more acid tolerant, non-phosphotransferase transport activity; 4) increased specific activity of the H⁺/ATPase responsible for proton efflux from cells in lower pH environments; 5) increased capacity to maintain pH at lower values; and 6) a shift to predominately homofermentative metabolism as indicated by increased lactate formation.

1.4. Glutamate Biosynthesis by S. mutans

S. mutans can be cultured anaerobically, but not aerobically, on minimal medium containing no amino acids with ammonia as the sole nitrogen source [13-15]. It is suggested that this organism possesses glutamate dehydrogenase (GDH), glutamine synthetase (GS) and glutamine oxoglutarate aminotransferase (glutamate synthase) (GOGAT) to assimilate ammonia [15,16]. The glutamate synthetic pathway that *S. mutans* uses under anaerobic conditions is illustrated in **Figure 2**. This



Figure 1. Sugar metabolism and acid formation in cariogenic bacteria.



Figure 2. The glutamate synthesis pathway in *S. mutans*. Abbreviations: PEPC, phosphoenolpyruvate carboxylase; PFL, pyruvate formate-lyase; LDH, lactate dehydrogenase; CS, citrate synthase; ACN, aconitase; ICDH, isocitrate dehydrogenase; GDH, glutamate dehydrogenase; GS, glutamine synthetase; GOGAT, glutamine oxoglutarate aminotransferase (glutamate synthase).

organism can synthesize α -ketoglutarate, a substrate in the GDH reaction, using citrate synthase (CS), aconitase (ACN) and isocitrate dehydrogenase (ICDH) under anaerobic conditions [15-17]. However, under aerobic conditions, *S. mutans* is unable to synthesize α -ketoglutarate because PEPC, PFL and ACN enzymes lose their activity in the presence of oxygen [18].

Two major *S. mutans* virulence factors are acidogenicity (ability to produce acid via glycolysis) and aciduricity (ability to survive in a low pH environment) [19]. Aciduricity in *S. mutans* has been demonstrated in a number of studies [2]. Recently, it has been suggested that glutamate metabolism is associated with survival in low pH environments. Krastel *et al.* [20] reported a mutant deficient in the *glnQHMP* operon encoding a glutamine transporter which survived at pH 3.5 better than the parent strain. These results suggest that the glutamate transporter operon *glnQHMP* is involved in the acid-tolerance response in *S. mutans*.

1.5. Exocellular Polysaccharides (EPSs)

S. mutans is regarded as the main offending bacteria and producer of exocellular polysaccharides (EPSs) called glucans. EPSs are important in the first stage of caries development because they promote co-aggregation and colonization of cariogenic bacteria in biofilms.

Many Streptococcus strains can synthesize EPSs and they are generally produced as adhesins. S. thermophilus is the only "streptococcal" strain used in the food manufacturing process and is widely known as a yogurt starter. Bacterial EPSs are divided into homopolysaccharides and heteropolysaccharides according to whether they are composed of one or several kinds of sugar. *S. mutans* produces glucan, a type of homopolysaccharide, while heteropolysaccharides are synthesized by *S. thermophilus* strains.

1.6. Glucosyltransferase (GTF) and Glucan Formation in *S. mutans*

S. mutans synthesizes EPS (glucans) from the glucosyl residues of sucrose by secreting glucosyltransferases (GTFs). It is well known that S. mutans has at least three GTFs (GTF-B, C, and D) (Table 1). GTF-B and GTF-D mainly synthesize water-insoluble α -(1 \rightarrow 3)- and water-soluble α -(1 \rightarrow 6)-glucan. GTF-C associates with insoluble and soluble glucan synthesis, which is controlled by the genes gtfB, gtfC, and gtfD [21,22]. GTF-I, GTF-SI, and GTF-S are consistent in these genes. The correlation between the genetics and the properties of GTF-B, C, and D has been shown by various researchers [23-25]. In their reports, GTF-B, encoded by the gt/B gene on S. mutans GS-5, was a strongly hydrophilic protein consisting of 1475 amino acids with a molecular mass of 166 kDa. GTF-C was composed of 1375 amino acid residues (approx. 153 kDa) and was generally hydrophilic with three small hydrophobic domains. The gtfC gene (4218 bp) was located immediately downstream of the gtfB gene. A third enzyme, GTF-D, was found to have a molecular mass of 155 kDa and its kinetic parameters were identical to the GTF-S enzyme. These GTFs and glucansucrase (GS), produced by Leuconostoc mesenteroides, have high homology [26,27]. GTFs and GS have two functional domains: an N-terminal glucansucrase domain and a C-terminal glucan-binding domain (GBD). The N-terminal domain is noted as VR (variable region) as the properties of the signal peptide and core region vary according to changes in its composition and length [28]. The N-terminal domain catalyzes the transglycosylation reaction using sucrose as a substrate, transferring to low molecular mass acceptors [29,30]. On the other hand, the C-terminal domain of the GTFs binds to glucan polymers [29,31]. It seems that this domain plays a key role in the glucan structure. In S. mutans and S. sobrinus, the C-terminal domain has an acceptor site, called YG repeats, which binds both the $1,6-\alpha$ -linked glucose residues of dextran and the $1,3-\alpha$ -linked glucose residues. It has been also found YG repeats which were able to attach to either substrates [32,33]. Shar et al. showed that GBD of GTF I in S. downei was able to bind glucans with not only alternating α -1,3 and α -1,6 links, but also mainly α -1,3 or α -1,6 links [34] (**Table 1**). The detailed catalytic

Strain	Protein	Protein size (a.a.)	Gene	Ratio of glucan type	References
S. mutans GS-5	GTF B	1475	gtfB	α -(1 \rightarrow 3) 87% α -(1 \rightarrow 6) 13%	[23]
S. mutans GS-5	GTF C	1375	gtfC	α -(1 \rightarrow 3) 85% α -(1 \rightarrow 6) 15%	[24]
S. mutans GS-5	GTF D	1430	gtfD	α -(1 \rightarrow 3) 30% α -(1 \rightarrow 6) 70%	[25]
L. mesenteriodes NRRL B-1299		1290	dsrA	α -(1 \rightarrow 3) 15% α -(1 \rightarrow 6) 85%	[26]
L. mesenteriodes NRRL B-1299		1508	dsrB	α -(1 \rightarrow 3) 5% α -(1 \rightarrow 6) 95%	[27]
S. downei Mfe28	GTF I	1556	gtfI	α -(1 \rightarrow 3) 88% α -(1 \rightarrow 6) 12%	[34]
S. downei Mfe28	GTF S	1328	gftS	α -(1 \rightarrow 3) 10% α -(1 \rightarrow 6) 90%	[34]
S. sobrinus 6715	GTF-I	1592	gtfIa	<i>α</i> -(1→3)	[29,35]
S. sobrinus B13N	GTF-S ₁		gftU	$\begin{array}{l} \alpha \text{-}(1 \rightarrow 6) \\ \alpha \text{-}(1 \rightarrow 3, 6) \end{array}$	[35,36]
S. sobrinus OMZ176	GTF-S ₂	1542	gftT	a -(1 \rightarrow 3) 16% a -(1 \rightarrow 6) 73% a -(1 \rightarrow 3,6) 5%	[35,37]
S. sobrinus	GTF-S3		gftS		[35,36]

Table 1. Composition of GTFs and glucan patterns produced from S. mutans and other related bacteria.

mechanisms and structures of GTFs and GS are not yet fully understood. Recently, it has been found that both GTF-B and GTF-C are necessary to synthesize glucans, and it is important to activate GTF-B on *S. mutans* for formation of microcolonies [21].

1.7. Glucan from S. sobrinus

Four types of GTFs occur in S. sobrinus, GTF-I, GTF-S₁, GTF-S₂, and GTF-S₃, which are encoded by gtfI, gtfU, gtfT, and gtfS, respectively [35,36] (Table 1). A high level of homology is recognized between the four enzymes and all members of GS proteins GH70. GTF-I (175 kDa) synthesizes water-insoluble glucan (α -1,3 glucan). GTF-S₁(GTF-U) is activated by the addition of water-soluble glucan (α -1,6 glucan with α -1,3,6 branch linkages) and GTF-S₂ produces α -1,6 glucan with α -1,3 glucan. These two enzymes can hydrolyze sucrose and synthesize water-soluble glucan containing both α -1,3 and α -1,6 linkages. On the other hand, GTF-S₃ (155 kDa) hydrolyzes sucrose and synthesizes water-soluble α -1,6 glucan (oligo-isomaltosaccharides). Hanada et al. [37] showed that $GTF-S_2$ has three repeated sequences of 51 to 52 amino acids, a partial repeat of 18 amino acids and gtfS present in the region immediately upstream of the gtfT gene. They identified the gtfU gene and GTF-S₁ enzyme from the S. sobrinus strain B13N [36]. C-terminal fragments of the GBD of S. sobrinus GTF-I were

prepared and shown that GBD bound tightly to a stretch of dextran chain through the combination of individually weak subsite/glucose interactions accompanied by the entropy change. Recently, glucan synthesis was correlated with its kinetic properties and the time course of saccharide production [38].

The sizes and structures of synthesized glucans vary according to the strain. Glucans are divided into four types based on their different main glucosidic linkages: $\alpha(1-6)$ glucosidic bonds (dextran); $\alpha(1-3)$ glucosidic bonds (mutan); $\alpha(1-4)$ glucosidic bonds (reuteran); and both $\alpha(1-6)$ and $\alpha(1-3)$ glucosidic bonds (alternan). Glucans vary due to branch linkage types, the degree of branching, the length of branch chains, and their spatial arrangement [22]. However, there is very little knowledge about the detailed structure of glucans to date.

1.8. Sugar Components and Structures of EPS in *S. thermophilus*

The sugar components of EPS synthesized from *S. ther-mophilus* are mainly galactose, glucose, and rhamnose. Furthermore, N-acetylgalactosamine, fucose, and ribose are also components of EPS (**Figure 3**) [39-49]. Galactose includes not only galactopyranose, but also galacto-furanose. *S. thermophilus* synthesizes EPS via intracellular sugar nucleotide precursors (**Figure 4**). Metabolic flux via sugar nucleotide precursors of EPS in *S. ther*- β -D-Galf-(1 \rightarrow 6)

Strain: ST1 [39]

 \rightarrow 3)- β -D-Galp-(1 \rightarrow 4)- β -D-Glcp-(1 \rightarrow 4)- β -D-Glcp-(1 \rightarrow 6)- β -D-Glcp-(1 \rightarrow α -D-Glcp-(1 \rightarrow 4)

Strain: THS [40]

Strain: S3 [41]

Strain: EU20 [42]

$$\rightarrow 6)-\beta-D-Galp-(1\rightarrow 6)-\alpha-D-Galp-(1\rightarrow 3)-\beta-L-Rhap-(1\rightarrow 4)-\beta-D-Glcp-(1\rightarrow 6)-\alpha-D-Galf-(1\rightarrow 6)-\beta-D-Glcp-(1\rightarrow 6)-\alpha-D-Galf-(1\rightarrow 6)-\beta-D-Glcp-(1\rightarrow 6)-\alpha-D-Galp-(1\rightarrow 6)-\alpha-D-Gal$$

 α -D-Galp-(1 \rightarrow 6) | \rightarrow 3)- β -D-Galp-(1 \rightarrow 3)- β -D-Glcp-(1 \rightarrow 3)- α -D-GalpNAc-(1 \rightarrow

Strain: Sfi39[46]; SY89, SY102[45]

$$\begin{array}{c|c} \textbf{Strain: MR-1C [47]} & \beta\text{-D-Gal}p\text{-}(1\rightarrow6)\text{-}\beta\text{-D-Gal}p\text{-}(1\rightarrow4) & \text{L-Fuc-}(1\rightarrow3) \\ & & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & &$$

Strain: OR901[48]; Rs, Sts [49]
$$\beta$$
-D-Gal p -(1 \rightarrow 6)- β -D-Gal p -(1 \rightarrow 4)
 \rightarrow 2)- α -D-Gal p -(1 \rightarrow 3)- α -D-Gal p -(1 \rightarrow 3)- α -L-Rha p -(1 \rightarrow 2)- α -L-Rha p -(1 \rightarrow

 $\begin{array}{c} \beta\text{-D-Gal}p\text{-}(1\rightarrow 4) \\ & & | \\ \rightarrow 2)\text{-}\alpha\text{-}L\text{-}Rhaf\text{-}(1\rightarrow 2)\text{-}\alpha\text{-}D\text{-}Galp\text{-}(1\rightarrow 3)\text{-}\alpha\text{-}D\text{-}Galp\text{-}(1\rightarrow 3)\text{-}\alpha\text{-}L\text{-}Rhaf\text{-}(1\rightarrow 3)\text{-}\alpha\text{-}D\text{-}Galp\text{-}(1\rightarrow 3)\text{-}\alpha\text{-}D\text{-}G$ Strain: Sfi12 [46]

Figure 3. Structures of exocellular polysaccharide produced from *S. thermophilus*.



Figure 4. The model of EPS biosynthesis on *S. thermophilus*. The series of EPS metabolism was revealed by Stingele *et al.* [40,41].

mophilus has been found [43,50,51]. The mechanism of EPS production in this species is common in streptococcal systems. The EPS repeating unit is accomplished by multiple glycosyltransferases. These additional enzymes participate in eps gene regulation, membrane translocation and polymerization [50,52]. EPS gene cluster is found on chromosome. Stingele et al. reported the first genetic study of EPS production in lactic acid bacteria [43]. S. thermophilus Sfi6 has a gene cluster consisting of 13 ORFs (epsA to epsM; encoded within a 14.5 kb region). EPS expression is regulated by epsA, and epsE, epsF, epsG, epsH and epsI, which show homology with glycosyltransferases, catalyze the biosynthesis of the EPS repeating unit. The regions of EpsC to D and EpsJ to K play a role in the polymerization and export of the EPS. Furthermore, Germond et al. [53] identified a 20 kb gene cluster consisting of 15 ORFs with three IS-elements in S. thermophilus Sfi39. They demonstrated that epsA and epsB encode protein for regulating gene expression, on the other hand, epsC and epsD encode protein for chain length determination and export. Furthermore, epsE, epsF, epsG and epsH were found to contribute to the synthesis of EPS repeating units. The region encoding orf14.9 at the 3' end of the gene cluster is conserved in both strains. IS-elements and partial ORFs seem to provide genetic variability in S. thermophilus Sfi39.

2. Conclusion

Dental caries causes acid formation by cariogenic bacteria such as *S. mutans* and results from the interaction of *S. mutans* and other related bacteria by production of biofilm on tooth surfaces. Exocellular glucosyltransferases (GTFs) produced by these bacteria play a key role in biofilm formation. *S. mutans* has at least three GTFs, whereas *S. sobrinus* has four. Branch linkage types, the degree of branching, the length of branch chains vary according to the kind of GTFs and the strain. Engineering approaches of glucosyltransferase would provide valuable substances to prevent or treat oral diseases in the future.

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