

# Fractional Optical Solitons and Fractional Noether's Theorem with Ortigueira's Centered Derivatives

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## Abstract

This paper shows that the centered fractional derivatives introduced by Manuel Duarte Ortigueira in 2006 are useful in the description of optical solitons. It is shown that we can construct a fractional extension of the nonlinear Schrödinger (NLS) equation which incorporates Ortigueira's derivatives and has soliton solutions. It is also shown that this fractional NLS equation has a Lagrangian density and can be derived from a variational principle. Finally, a fractional extension of Noether's theorem is formulated to determine the conserved quantities associated to the invariances of the action integral under infinitesimal transformations.

## Keywords

Fractional Derivatives, Centered Derivatives, Noether's Theorem, Ortigueira, Optical Solitons

## **1. Introduction**

In 2010, it was found that the famous nonlinear Schrödinger (NLS) equation:

$$iu_z + u_{tt} + |u|^2 u = 0, (1)$$

which occupies a central role in the study of light pulses propagating in optical fibers, has a *fractional* extension which has soliton-like solutions [1]. The existence of this *fractional NLS equation* is, to our knowledge, the first contact between fractional calculus and the theory of optical solitons. In Equation (1) z represents the distance along an optical fiber, t is the so-called *retarded time*, and u(z,t) is the envelope of the electric field of a laser

beam. In this context, the *evolution variable* is not the time, but the distance z along the fiber, and consequently the initial condition for Equation (1) is defined by the function u(z = 0, t).

Equation (1) is adequate to describe optical pulses when the power transmitted along the fiber is low (a few milliwatts), and the width of the pulses is in the range of a few picoseconds. However, when the pulses are shorter and the power is higher, the NLS equation has to be modified by adding higher-order dispersive and nonlinear terms, as in the equation:

$$iu_{z} + \varepsilon_{2}u_{tt} - i\varepsilon_{3}u_{tt} + u_{4t} + |u|^{2}u - |u|^{4}u = 0$$
<sup>(2)</sup>

where  $\varepsilon_2$  and  $\varepsilon_3$  are real constants whose values depend on the frequency of the laser, and  $u_{tt}$ ,  $u_{ttt}$  and  $u_{4t}$  are the second, third and fourth partial derivatives of *u* with respect to time. In 2003 [2], it was found that this equation had exact solitons of a peculiar type, known as *embedded solitons* [3]-[7], and from the results found in [2] it followed that exact soliton solutions also existed in the following equations:

$$iu_{z} + u_{tt} + u_{4t} + |u|^{2} u - |u|^{4} u = 0$$
(3)

$$iu_{z} - iu_{ttt} + u_{4t} + |u|^{2} u - |u|^{4} u = 0$$
(4)

The existence of exact solitons in these two equations suggests that perhaps soliton solutions may also exist in a *fractional equation* of the form:

$$iu_{z} + \varepsilon(\alpha) D^{\alpha} u + u_{4t} + |u|^{2} u - |u|^{4} u = 0$$
(5)

where  $\alpha$  is a real number in the interval  $2 < \alpha < 3$ ,  $\varepsilon(\alpha) = -\exp(-i\alpha\pi/2)$  is a function satisfying the boundary conditions  $\varepsilon(2) = 1$  and  $\varepsilon(3) = -i$ , and  $D^{\alpha}u$  is a fractional derivative. The rationale which leads to the form of the function  $\varepsilon(\alpha)$  is explained in [1]. As in the context of light pulses propagating in optical fibers, the time is not the evolution variable, there is no reason to privilege *left-sided* fractional derivatives over *right-sided* ones, and consequently in [1] it is decided to introduce in Equation (5) the following fractional derivative:

$$D^{\alpha}u(z,t) = \frac{1}{2} \left[ \int_{-\infty}^{\infty} D_{t}^{\alpha}u(z,t) + \left(-1\right)^{\alpha} D_{\infty}^{\alpha}u(z,t) \right]$$

$$\tag{6}$$

where  $_{-\infty}D_t^{\alpha}u(z,t)$  and  $_{t}D_{\infty}^{\alpha}u(z,t)$  are, respectively, the left and right Grünwald-Letnikov derivatives [8]-[11]:

$${}_{-\infty}D_t^{\alpha}u(z,t) = \lim_{h \to 0} \frac{1}{h^{\alpha}} \sum_{k=0}^{\infty} (-1)^k \frac{\Gamma(\alpha+1)}{\Gamma(k+1)\Gamma(\alpha-k+1)} u(z,t-kh)$$
(7)

$${}_{t}D_{\infty}^{\alpha}u(z,t) = \lim_{h \to 0} \frac{1}{h^{\alpha}} \sum_{k=0}^{\infty} \left(-1\right)^{k} \frac{\Gamma(\alpha+1)}{\Gamma(k+1)\Gamma(\alpha-k+1)} u(z,t+kh)$$

$$\tag{8}$$

and the coefficient  $(-1)^{\alpha}$  which appears in (6) in front of the right-sided derivative is the extrapolation to the fractional case of the coefficient  $(-1)^{n}$  which appears in the finite difference approximation to the *n*-th derivative of a function when forward differences are used. Later on, in [1], it is shown that this factor  $(-1)^{\alpha}$  is indeed necessary in order to maintain the conservation of energy.

Unexpectedly, the results found in [1] show that Equation (5) [with the fractional derivative defined in (6)] *does not have soliton solutions*. However, it is also found that soliton solutions do exist if we add an additional nonlinear term of the form  $|u|^{2(\alpha-1)}u$ . More precisely, it is found that the equation:

$$iu_{z} + \varepsilon(\alpha) D^{\alpha} u + u_{4t} + |u|^{2} u - \sin(\alpha \pi) |u|^{2(\alpha - 1)} u - |u|^{4} u = 0$$
(9)

does indeed have stable soliton solutions.

We can see that the derivative defined in (6) can be considered as an alternative to define a *centered fractional derivative*, as it combines left- and right-sided Grünwald-Letnikov derivatives. However, other possibilities exist. One of them is the Riesz derivative [12]:

$$\frac{\mathrm{d}^{\alpha}f(t)}{\mathrm{d}|t|^{\alpha}} = -\frac{1}{2\cos\left(\alpha\,\pi/2\right)} \left[ \sum_{-\infty}^{RL} D_{t}^{\alpha}f(t) + \sum_{t}^{RL} D_{\infty}^{\alpha}f(t) \right]$$
(10)

where  $\int_{-\infty}^{RL} D_t^{\alpha} f(t)$  and  $\int_{t}^{RL} D_{\infty}^{\alpha} f(t)$  are the left and right Riemann-Liouville derivatives. This derivative has

been used, for example, to construct fractional generalizations of the Fokker-Planck equation in statistical mechanics [13]. Another interesting possibility has been proposed by M. Duarte Ortigueira, who defined the following two centered fractional derivatives [14] [15]:

$$D_{c_1}^{\alpha} f\left(t\right) = \lim_{h \to 0} \frac{1}{h^{\alpha}} \sum_{k=-\infty}^{\infty} \left(-1\right)^k \frac{\Gamma\left(\alpha+1\right)}{\Gamma\left(\frac{\alpha}{2}-k+1\right) \Gamma\left(\frac{\alpha}{2}+k+1\right)} f\left(t-kh\right)$$
(11)

$$D_{c_2}^{\alpha}f\left(t\right) = \lim_{h \to 0} \frac{1}{h^{\alpha}} \sum_{k=-\infty}^{\infty} \left(-1\right)^k \frac{\Gamma\left(\alpha+1\right)}{\Gamma\left(\frac{\alpha+1}{2}-k+1\right)\Gamma\left(\frac{\alpha-1}{2}+k+1\right)} f\left(t-kh+\frac{h}{2}\right)$$
(12)

The first of these derivatives is adequate when  $\alpha$  is close to an *even* integer, and it is called "type 1" fractional centered derivative in Ortigueira's papers. The second one is the "type 2" centered derivative, and it is appropriate when  $\alpha$  is closer to an *odd* integer.

In the present communication we investigate if it is possible to replace the integer-order derivatives  $u_{tt}$  and  $u_{tt}$  which appear in Equation (2) by the centered fractional derivatives (11) and (12), in such a way that the resulting fractional equation admits the propagation of soliton-like solitary waves. As we shall see in this paper, this fractional generalization of Equation (2) indeed exists. Then, we will show that it is possible to obtain this new fractional equation from a variational principle. To this end we begin by constructing a fractional extension of the least action principle which can be applied to Lagrangian densities which involve Ortigueira's centered fractional derivatives, and then we determine a suitable Lagrangian for our fractional generalization of Equation (2). Once with this Lagrangian, we will show that it is possible to formulate a generalized Noether's theorem which applies to this type of Lagrangians (with centered fractional derivatives).

The paper is structured as follows: in Section 2 we show that it is indeed possible to replace the integer-order derivatives  $\varepsilon_2 u_{tt} - i\varepsilon_3 u_{ttt}$  which appear in Equation (2) by a linear combination of Ortigueira's centered derivatives (11) and (12), and the resulting equation admits the propagation of soliton-like solutions. In Section 3 we obtain a generalized version of the least action principle which can be applied to Lagrangian densities which involve Ortigueira's centered derivatives. We will see the form of the Euler-Lagrange equations corresponding to these fractional Lagrangians, and we will determine a suitable Lagrangian which lead us to the fractional extension of Equation (2) found in Section 2. In Section 4, we formulate a generalized Noether's theorem that can be applied to Lagrangians that contain Ortigueira's centered derivatives. Then, by means of this theorem, we show that our fractional extension of Equation (2) conserves the energy, the momentum and the Hamiltonian. Finally, in Section 5, we present our conclusions.

# 2. Fractional generalization of Equation (2)

To begin this section, we should mention that Equations (11) and (12) were obtained by extrapolating the finite difference approximation for the *n*-th derivative of f(t) with centered differences. However, a careful derivation of these extrapolations shows that in Equation (11) a factor  $(-1)^{\alpha/2}$  should appear, and Equation (12) should contain a factor  $(-1)^{(\alpha-1)/2}$ . These two *complex* factors are not contained in the definitions (11) and (12) of Ortigueira's fractional derivatives, but it is not evident why these factors have been dropped. An obvious advantage of dropping these factors is that the expressions (11) and (12) have *real* values if the function f(t) is real. However, when we deal with equations whose dependent variable is a complex function, it is not clear if these factors should indeed be discarded. In these cases [when f(t) is complex] we might use the complex centered fractional derivatives:

$$D_{even}^{\alpha}f(t) = \left(-1\right)^{\alpha/2} D_{c_1}^{\alpha}f(t)$$
(13)

$$D_{odd}^{\alpha} f(t) = (-1)^{(\alpha - 1)/2} D_{c_2}^{\alpha} f(t)$$
(14)

which shall be called "even" and "odd" centered fractional derivatives from now on.

Now, let us focus our attention on our first goal: to find out if it is possible to generalize Equation (2) by replacing the integer-order derivatives  $u_{tt}$  and  $u_{ttt}$  by centered fractional ones. Since the variable u(z,t) in Equation (2) is a complex function, it is not evident if we should replace  $u_{tt}$  and  $u_{ttt}$  by Ortigueira's centered derivatives (11)-(12), or if we should use the expressions (13)-(14). To find out which derivatives [(11)-(12) or (13)-(14)] are more adequate to describe the dispersion of optical pulses, we begin by comparing the solutions of the following linear equations:

$$iu_z + D_{c_1}^{\alpha}u = 0 \tag{15}$$

$$iu_z + D^{\alpha}_{even}u = 0 \tag{16}$$

In Figure 1, we can see the evolution of the solution of Equation (15) with  $\alpha = 2.1$  corresponding to an initial condition of the form:

$$u(z=0,t) = A\operatorname{sech}\left(\frac{t}{w}\right)$$
(17)

where A = 0.977 and w = 2.265. The behavior of the solution that we see in **Figure 1** is reasonable: the pulse is slowly dispersed, as we could have anticipated. On the other hand, if we calculate the solution of Equation (16) for the same initial condition (17), we find that the pulse diverges (*i.e.* its amplitude grows enormously). Consequently, it is now clear that Ortigueira's type 1 derivative (11) is more adequate to describe the dispersion of optical pulses than the derivative defined in (13).

In a similar way, we can now compare the solutions of the equations:

$$iu_z + D_{c_2}^{\alpha} u = 0 \tag{18}$$

$$iu_z + D^{\alpha}_{odd}u = 0 \tag{19}$$

with  $\alpha = 2.9$  and the same initial condition (17). In Figure 2, we can see how the solution of (18) evolves. We



**Figure 1.** Solution of Equation (15) with  $\alpha = 2.1$  and the initial condition (17). The temporal profile of the solution is shown for z = 0, 8, 16, 24, 36 and 40.



Figure 2. Solution of Equation (18) with  $\alpha = 2.9$  and the initial condition (17). The temporal profile of the solution is shown for z = 0, 8, 16, 24, 36 and 40.

can see that the pulse slowly disperses, and it moves along the *t* axis, which is a consequence of  $\alpha$  being near to 3 (we know that the effect of a third derivative  $u_{ttt}$  would be to move the pulse). On the other hand, the solution of Equation (19) with the initial condition (17) diverges. Consequently, when  $\alpha$  is closer to 3 Ortigueira's derivative (12) is a better option to describe the dispersion of optical pulses than the derivative (14).

It is worth observing that the divergence of the solutions of Equations (16) and (19) might be considered as *a* posteriori proof that Ortigueira's decision of eliminating the complex factors  $(-1)^{\alpha/2}$  and  $(-1)^{(\alpha-1)/2}$  from the definitions (11) and (12) was a correct one.

There is, however, a small discrepancy that has to be clarified. In Figure 2 we can see that the pulse advances to the left, while we expected that the movement would be to the right (as this would be the effect of the term  $u_m$ ). This discrepancy is due to the fact that:

$$\lim_{n \to 3} D_{c_2}^{\alpha} u = -u_{ttt} \tag{20}$$

Therefore, if we want to generalize Equation (2) by including centered fractional derivatives, we should replace  $u_{ttt}$  by  $-D_{c_2}^{\alpha}u$  (*i.e.* we must add a minus sign). In a similar way, it is known that:

$$\lim_{\alpha \to 2} D^{\alpha}_{c_1} u = -u_{tt} \tag{21}$$

and consequently  $u_{tt}$  should be replaced by  $-D_{c_1}^{\alpha}u$ .

The above results seem to imply that a reasonable fractional generalization of Equation (2) would be:

$$iu_{z} - \varepsilon_{2} D_{c_{1}}^{\alpha} u + i\varepsilon_{3} D_{c_{2}}^{\alpha} u + u_{4t} + |u|^{2} u - |u|^{4} u = 0$$
<sup>(22)</sup>

But we can improve this equation by introducing weight factors in front of the fractional derivatives. We desire that the influence of the type 1 derivative diminishes as  $\alpha$  moves from 2 to 3, while, at the same time, the importance of the type 2 derivative should increase. This effect may be accomplished by introducing a factor:

$$c(\alpha) = 3 - \alpha \tag{23}$$

in front of the type 1 derivative, and a factor:

$$d(\alpha) = \alpha - 2 \tag{24}$$

in front of the type 2 derivative. Therefore, a good candidate to generalize Equation (2) to fractional orders seems to be:

$$iu_{z} - \varepsilon_{2}c(\alpha)D_{c_{1}}^{\alpha}u + i\varepsilon_{3}d(\alpha)D_{c_{2}}^{\alpha}u + u_{4t} + |u|^{2}u - |u|^{4}u = 0$$

$$\tag{25}$$

To find out if this equation has soliton-like solutions we will solve it numerically with an initial condition that has a chance to be near to a soliton. And a promising initial condition could the exact soliton solution of Equation (2), which has the form [2]:

$$u(z,t) = A\operatorname{sech}\left(\frac{t-az}{w}\right) e^{i(qz+rt)}$$
(26)

where:

$$A = \left(\frac{6}{5}\right)^{1/2} \left[1 - \left(2\varepsilon_2 + \frac{3\varepsilon_3^2}{4}\right) 24^{-1/2}\right]^{1/2}$$
(27)

$$w = \frac{24^{1/4}}{A}$$
(28)

$$r = \varepsilon_3 / 4 \tag{29}$$

$$a = 2\varepsilon_2 r + 8r^3 \tag{30}$$

$$q = -\varepsilon_2 r^2 - 3r^4 + (\varepsilon_2 + 6r^2) 24^{-1/2} A^2 + A^4/24$$
(31)

Therefore, in z = 0 this solution has the form:

$$u(z=0,t) = A \operatorname{sech}\left(\frac{t}{w}\right) e^{irt}$$
(32)

and this is the initial condition that we will use to solve Equation (25).

In Figure 3, we can see the solution of Equation (25) for  $\alpha = 2.9$  and  $\varepsilon_2 = \varepsilon_3 = 1$ . We can observe that at the beginning the pulse's amplitude decreases, but then it stabilizes, and the pulse propagates without being dispersed away. And similar solutions are obtained with  $\alpha = 2.5$  and  $\alpha = 2.7$ . Therefore, Equation (25) is indeed a fractional generalization of Equation (2) which accepts soliton propagation.

We should observe, however, that the evolution of the initial condition (32) is different if  $\alpha < 2.5$ . In Figure 4, for example, we can observe the solution (at various values of z) corresponding to  $\alpha = 2.3$ . We can see that the pulse tends to split in two pulses, then it returns to a single-hump profile, and then the process repeats again. This behavior is reminiscent of that of the third-order soliton of the standard NLS equation [16] [17], and therefore the possibility that higher-order fractional solitons might exist in Equation (25) is an issue that might deserve further studies in the future.

It is worth remembering that in the case of Equation (9) it was necessary to include the nonlinear term  $-\sin(\alpha \pi)|u|^{2(\alpha-1)}u$  in order to have soliton solutions [1]. However, in the case of Equation (25) this nonlinear term is not necessary. In fact, the numerical solution of the equation:

$$iu_{z} - \varepsilon_{2}c(\alpha)D_{c_{1}}^{\alpha}u + i\varepsilon_{3}d(\alpha)D_{c_{2}}^{\alpha}u + u_{4t} + |u|^{2}u - \sin(\alpha\pi)|u|^{2(\alpha-1)}u - |u|^{4}u = 0$$
(33)

shows that the initial condition (32) is dispersed away quite rapidly if  $\alpha = 2.1, 2.3, 2.5$  and 2.7. In Figure 5, we



**Figure 3.** Solution of Equation (25) with  $\alpha = 2.9$ ,  $\varepsilon_2 = \varepsilon_3 = 1$  and the initial condition defined by the Equations (27), (28) and (32). The temporal profile of the solution is shown for z = 0, 16, 32, 48, 72 and 80.



**Figure 4.** Solution of Equation (25) with  $\alpha = 2.3$ ,  $\varepsilon_2 = \varepsilon_3 = 1$  and the initial condition defined by the Equations (27), (28) and (32). The temporal profile of the solution is shown for z = 0, 16, 32, 48, 72 and 80.



and the initial condition defined by the Equations (27), (28) and (32). The temporal profile of the solution is shown for z = 0, 16, 32, 48, 72 and 80.

can see the evolution of the pulse when  $\alpha = 2.5$ . Similar results are obtained with  $\alpha = 2.1$ , 2.3 and 2.7.

The fact that Equation (25) does not require the nonlinear term  $-\sin(\alpha \pi)|u|^{2(\alpha-1)}u$  to have soliton solutions seems to imply that the dispersion of optical pulses is better described with Ortigueira's centered derivatives, than using the sum of left and right Grünwald-Letnikov derivatives used in [2] and shown in Equation (6).

To close this section we would like to observe that in Equations (9) and (25) we put the coefficients of  $u_{4t}$ ,  $|u|^2 u$  and  $-|u|^4 u$  equal to one just to simplify the exposition. However, it is known that Equation (25) has exact soliton solutions when these coefficients take values  $\varepsilon_4$ ,  $\gamma_1$  and  $\gamma_2$  different from one (see [2]). In the same way, it is expected that Equation (9) will also accept soliton solutions when we allow these coefficients to have different values. However, to determine the precise boundaries which define the regions in the space of parameters ( $\varepsilon_4$ ,  $\gamma_1$ ,  $\gamma_2$ ) where Equation (9) has soliton solutions is a task which requires extensive numerical calculations, and it lies outside the scope of the present work.

#### 3. Fractional Euler-Lagrange Equation

Now, let us investigate if it is possible to formulate a generalized least action principle which applies to Lagrangian densities which involve Ortigueira's centered fractional derivatives. Therefore, let us begin by supposing that we have a functional (that we shall call "action", as usual) defined as follows:

$$S[u] = \int_{-\infty}^{+\infty} \mathcal{L}\left(u, u_z, u_t, u_{tt}, D^{\alpha}_{c_1}u, D^{\alpha}_{c_2}u, u^*, \cdots\right) dz dt$$
(34)

where u(z,t) is a complex function, z and t are real variables,  $D_{c_1}^{\alpha}u$  and  $D_{c_2}^{\alpha}u$  are Ortigueira's derivatives of types 1 and 2, respectively, and the value of the integrand (the Lagrangian density) is real.

Once with our action integral, we would like to obtain the conditions that the function u(z,t) must satisfy in order that the action integral attains an extremum. For this to occur it is necessary that  $\delta S = 0$ , and this condition implies that:

$$\int_{-\infty}^{+\infty} \delta \mathcal{L} dz dt = 0 \tag{35}$$

where the variation of the Lagrangian is given by:

$$\delta \mathcal{L} = \frac{\partial \mathcal{L}}{\partial u} \delta u + \frac{\partial \mathcal{L}}{\partial u_z} \delta u_z + \frac{\partial \mathcal{L}}{\partial u_t} \delta u_t + \frac{\partial \mathcal{L}}{\partial u_u} \delta u_{tt} + \frac{\partial \mathcal{L}}{\partial D_{c_1}^{\alpha} u} \delta \left( D_{c_1}^{\alpha} u \right) + \frac{\partial \mathcal{L}}{\partial D_{c_2}^{\alpha} u} \delta \left( D_{c_2}^{\alpha} u \right) + \text{ similar terms with } u^* \text{ instead of } u$$
(36)

Now, in order to obtain a fractional differential equation from the condition (35), it is necessary to rearrange the integrand in (35) in such a way that each of its terms contains a factor  $\delta u$  or  $\delta u^*$ , and for this to happen it is necessary to integrate by parts the terms containing  $\delta u_z$ ,  $\delta u_u$ ,  $\delta u_u$ ,  $\delta (D^{\alpha}_{c_1}u)$  and  $\delta (D^{\alpha}_{c_2}u)$  (and similar

terms with  $u^*$  instead of *u*). The integration by parts of the terms containing  $\delta u_z$ ,  $\delta u_t$  and  $\delta u_{tt}$  is a standard calculation, but the integration of the terms containing  $\delta(D_{c_1}^{\alpha}u)$  and  $\delta(D_{c_2}^{\alpha}u)$  requires the new Parseval relations:

$$\int_{-\infty}^{+\infty} f D_{c_1}^{\alpha} g dt = \int_{-\infty}^{+\infty} g D_{c_1}^{\alpha} f dt$$
(37)

$$\int_{-\infty}^{+\infty} f D_{c_2}^{\alpha} g \mathrm{d}t = -\int_{-\infty}^{+\infty} g D_{c_2}^{\alpha} f \mathrm{d}t$$
(38)

which can be obtained directly from the definitions of the derivatives  $D_{c_1}^{\alpha}f$  and  $D_{c_2}^{\alpha}f$ . These equations are similar to the known equation [18]:

$$\int_{-\infty}^{+\infty} f_{-\infty} D_t^{\alpha} g dt = \int_{-\infty}^{+\infty} g_t D_{\infty}^{\alpha} f dt$$
(39)

where  $\int_{-\infty}^{\alpha} D_t^{\alpha} g$  and  $\int_{-\infty}^{\alpha} df$  are the left and right Riemann-Liouville fractional derivatives. However, it should be observed that Equation (39) involves *both* Riemann-Liouville derivatives (left-handed and right-handed), while in Equation (37) only type 1 derivatives appear, and in Equation (38) only type 2 derivatives occur. Moreover, there is an unexpected asymmetry between Equations (37) and (38) due to the minus sign that appears in Equation (38). As we shall see in the following, the fact that Ortigueira's derivatives satisfy the Parseval relations (37) and (38) is absolutely essential in order to obtain the Euler-Lagrange equations that u and  $u^*$  must satisfy to guarantee that the action integral (34) attains an extremum.

Using the Parseval relations (37) and (38) we can integrate by parts all the terms in the integrand of Equation (35) and then, collecting the terms which contain  $\delta u$  (and those containing  $\delta u^*$ ), it follows that Equation (35) implies that:

$$\frac{\partial \mathcal{L}}{\partial u} - \frac{\partial}{\partial z} \frac{\partial \mathcal{L}}{\partial u_z} - \frac{\partial}{\partial t} \frac{\partial \mathcal{L}}{\partial u_t} + \frac{\partial^2}{\partial t^2} \frac{\partial \mathcal{L}}{\partial u_u} + D^{\alpha}_{c_1} \left( \frac{\partial \mathcal{L}}{\partial D^{\alpha}_{c_1} u} \right) - D^{\alpha}_{c_2} \left( \frac{\partial \mathcal{L}}{\partial D^{\alpha}_{c_2} u} \right) = 0$$
(40)

and a similar equation holds with  $u^*$  instead of u. These are the Euler-Lagrange equations corresponding to a fractional Lagrangian density which involves Ortigueira's centered fractional derivatives.

If we now consider the Lagrangian density:

$$\mathcal{L} = -\frac{i}{2} \left( u u_{z}^{*} - u^{*} u_{z} \right) + u_{tt} u_{u}^{*} + \frac{1}{2} u^{2} \left( u^{*} \right)^{2} - \frac{1}{3} u^{3} \left( u^{*} \right)^{3} - \frac{1}{2} \varepsilon_{2} c(\alpha) u^{*} D_{c_{1}}^{\alpha} u + \frac{i}{2} \varepsilon_{3} d(\alpha) u^{*} D_{c_{2}}^{\alpha} u - \frac{1}{2} \varepsilon_{2} c(\alpha) u D_{c_{1}}^{\alpha} u^{*} - \frac{i}{2} \varepsilon_{3} d(\alpha) u D_{c_{2}}^{\alpha} u^{*}$$

$$(41)$$

and we substitute it into Equation (40), we obtain Equation (25). Therefore, the fractional equation (25), in addition of having soliton-like solutions, can be obtained from the least action principle using the Lagrangian density (41).

#### 4. Fractional Noether's Theorem

Noether's theorem states that if the action integral is invariant under an infinitesimal transformation, then a conservation law exists. In the following we will investigate if this theorem also holds when we have action integrals which involve Lagrangian densities which depend on integer-order derivatives and also on *centered fractional ones*.

In this communication, we will only consider infinitesimal transformations of the form:

$$\overline{z} = z + \epsilon \xi_1 \tag{42}$$

$$\overline{t} = t + \epsilon \xi_2 \tag{43}$$

$$\overline{u} = u + \epsilon \varphi_1(u) \tag{44}$$

$$\overline{u^*} = u^* + \epsilon \varphi_2 \left( u^* \right) \tag{45}$$

where  $\xi_1$  and  $\xi_2$  are just real constants and  $\epsilon$  is the parameter of the transformation. Having these transformations, we can define:

$$\delta u = \overline{u}(z,t) - u(z,t) \tag{46}$$

$$\delta u^* = \overline{u^*}(z,t) - u^*(z,t) \tag{47}$$

and from these equations it follows that:

$$\delta u \cong \epsilon \Big[ \varphi_1 \Big( u \big( z, t \big) \Big) - u_z \xi_1 - u_t \xi_2 \Big]$$
(48)

$$\delta u^* \cong \epsilon \Big[ \varphi_2 \Big( u^* \big( z, t \big) \Big) - u_z^* \xi_1 - u_t^* \xi_2 \Big]$$
(49)

Now, in order to arrive at Noether's theorem, it is necessary to substitute  $\delta z = \epsilon \xi_1$ ,  $\delta t = \epsilon \xi_2$ , Equations (48) and (49), and the Euler-Lagrange Equation (40) (and its counterpart with  $u^*$  instead of *u*), into the variation of the Lagrangian:

$$\delta \mathcal{L} = \frac{\partial \mathcal{L}}{\partial z} \delta z + \frac{\partial \mathcal{L}}{\partial t} \delta t + \frac{\partial \mathcal{L}}{\partial u} \delta u + \frac{\partial \mathcal{L}}{\partial u_z} \delta u_z + \frac{\partial \mathcal{L}}{\partial u_t} \delta u_t + \frac{\partial \mathcal{L}}{\partial u_u} \delta u_u + \frac{\partial \mathcal{L}}{\partial D_{c_1}^{\alpha} u} \delta \left( D_{c_1}^{\alpha} u \right) + \frac{\partial \mathcal{L}}{\partial D_{c_2}^{\alpha} u} \delta \left( D_{c_2}^{\alpha} u \right) + \text{similar terms with } u^* \text{ instead of } u$$
(50)

It should be noticed that Equation (50) differs from (36) because the first two terms on the r.h.s of (50) did not appear in Equation (36). In the derivation of the Euler-Lagrange equations from the least action principle, only the functions u(z,t) and  $u^*(z,t)$  (and their derivatives) are varied, while in the infinitesimal transformation (42)-(45) also the independent variables z and t are varied. This is the reason for including the first two terms on the r.h.s of Equation (50).

Once we have substituted  $\delta z$ ,  $\delta t$ , Equations (48)-(49) and the Euler-Lagrange equations into  $\delta \mathcal{L}$ , we impose the condition  $\delta \mathcal{L} = 0$ , and a lengthy algebraic exercise shows that this condition can be rewritten in the form:

$$\frac{\partial Q_1}{\partial z} + \frac{\partial Q_2}{\partial t} + P = 0 \tag{51}$$

where we have defined:

$$Q_{1} = \epsilon \left[ \xi_{1} \mathcal{L} + \frac{1}{\epsilon} \frac{\partial \mathcal{L}}{\partial u_{z}} \delta u + \frac{1}{\epsilon} \frac{\partial \mathcal{L}}{\partial u_{z}^{*}} \delta u^{*} \right]$$
(52)

$$Q_{2} = \epsilon \left[ \xi_{2}L + \frac{1}{\epsilon} \frac{\partial \mathcal{L}}{\partial u_{t}} \delta u - \frac{1}{\epsilon} \left( \frac{\partial}{\partial t} \frac{\partial \mathcal{L}}{\partial u_{u}} \right) \delta u + \frac{1}{\epsilon} \frac{\partial \mathcal{L}}{\partial u_{u}} \frac{\partial}{\partial t} \delta u \right. \\ \left. + \frac{1}{\epsilon} \frac{\partial \mathcal{L}}{\partial u_{t}^{*}} \delta u^{*} - \frac{1}{\epsilon} \left( \frac{\partial}{\partial t} \frac{\partial \mathcal{L}}{\partial u_{u}^{*}} \right) \delta u^{*} + \frac{1}{\epsilon} \frac{\partial \mathcal{L}}{\partial u_{u}^{*}} \frac{\partial}{\partial t} \delta u^{*} \right]$$

$$(53)$$

$$P = \left[\frac{\partial \mathcal{L}}{\partial D_{c_{1}}^{\alpha} u} D_{c_{1}}^{\alpha} (\delta u) - D_{c_{1}}^{\alpha} \left(\frac{\partial \mathcal{L}}{\partial D_{c_{1}}^{\alpha} u}\right) \delta u\right] \\ + \left[\frac{\partial \mathcal{L}}{\partial D_{c_{2}}^{\alpha} u} D_{c_{2}}^{\alpha} (\delta u) + D_{c_{2}}^{\alpha} \left(\frac{\partial \mathcal{L}}{\partial D_{c_{2}}^{\alpha} u}\right) \delta u\right] \\ + \left[\frac{\partial \mathcal{L}}{\partial D_{c_{1}}^{\alpha} u^{*}} D_{c_{1}}^{\alpha} (\delta u^{*}) - D_{c_{1}}^{\alpha} \left(\frac{\partial \mathcal{L}}{\partial D_{c_{1}}^{\alpha} u^{*}}\right) \delta u^{*}\right] \\ + \left[\frac{\partial \mathcal{L}}{\partial D_{c_{2}}^{\alpha} u^{*}} D_{c_{2}}^{\alpha} (\delta u^{*}) + D_{c_{2}}^{\alpha} \left(\frac{\partial \mathcal{L}}{\partial D_{c_{2}}^{\alpha} u^{*}}\right) \delta u^{*}\right]$$
(54)

The form of Equation (51) is interesting because *it does not have the form of a conservation law* due to the presence of the last term (the term *P*). This term disappears when the Lagrangian density does not contain the fractional derivatives  $D_{c_1}^{\alpha}u$ ,  $D_{c_2}^{\alpha}u$ ,  $D_{c_1}^{\alpha}u^*$  and  $D_{c_2}^{\alpha}u^*$ , and in that case Equation (51)reduces to the usual form of a conservation law. It should be noticed that if the parameter  $\alpha$  (the order of the fractional derivatives) takes an integer value, the fractional derivatives become standard (integer-order) derivatives, and the term *P* disappears. In that case (when  $\alpha$  takes an integer value) the Lagrangian changes (as the fractional derivatives are replaced by standard ones), and the problem is reduced to a standard one, with a Lagrangian depending on *u*,  $u^*$  and integer-order derivatives of these functions. Therefore, in this case, the standard Noether's theorem applies, and the requirement  $\delta \mathcal{L} = 0$  leads to a standard conservation law (without the term *P*).

We should now observe that even when the term *P* is present in Equation (51), this equation may imply the existence of a conserved quantity, because when we integrate this equation over *t* (from  $-\infty$  to  $+\infty$ ), the integral of *P* turns out to be zero, due to the Equations (37)-(38), and the integral of Equation (51)reduces to:

$$\frac{\mathrm{d}}{\mathrm{d}z}\int_{-\infty}^{+\infty}Q_{1}\mathrm{d}t + Q_{2}\Big|_{-\infty}^{+\infty} = 0$$
(55)

and consequently for any solution which satisfies the boundary condition:

$$\lim_{t \to +\infty} Q_2 = 0 \tag{56}$$

there is a conserved quantity since Equation (55)reduces to:

$$\frac{\mathrm{d}}{\mathrm{d}z}\int_{-\infty}^{+\infty}Q_{\mathrm{I}}\mathrm{d}t = 0\tag{57}$$

Therefore we have the following fractional extension of Noether's theorem:

If we have a fractional partial differential equation which can be obtained from a Lagrangian density which depends on two functions u(z,t),  $u^*(z,t)$  and its derivatives  $u_z$ ,  $u_t$ ,  $u_u$ ,  $D_{c_1}^{\alpha}u$ ,  $D_{c_2}^{\alpha}u$ ,  $u_z^*$ , ..., and:

1)  $\delta \mathcal{L} = 0$ , where  $\delta \mathcal{L}$  is defined by Equation (50), and the quantities  $\delta z$ ,  $\delta t$ ,  $\delta u$  and  $\delta u^*$  which enter in  $\delta \mathcal{L}$  can be obtained from the infinitesimal transformation (42)-(45).

2) The condition (56) is satisfied [where  $Q_2$  is defined by Equation (53)], then Equation (57) holds [where  $Q_1$  is defined by Equation (52)], and therefore a conserved quantity exists.

It should be observed that other fractional generalizations of Noether's theorem have been formulated in the past [19]-[24], but none of them is applicable to Lagrangian densities which involve Ortigueira's centered derivatives.

Now, we will apply the theorem presented above to determine the conserved quantities associated with three infinitesimal transformations. The first one is a infinitesimal gauge transformation:

$$\overline{z} = z \tag{58}$$

$$\overline{t} = t \tag{59}$$

$$\overline{u} = u + i\epsilon u \tag{60}$$

$$\overline{u^*} = u^* - i\epsilon u^* \tag{61}$$

It can be verified that the action integral associated to the Lagrangian density shown in Equation (41) is invariant under this transformation (*i.e.*  $\delta \mathcal{L} = 0$ ). Moreover, any function u(z,t) which tends to zero as  $t \to \pm \infty$  will satisfy the condition (56), and consequently Noether's theorem tells us that:

$$\frac{\mathrm{d}}{\mathrm{d}z}\int_{-\infty}^{+\infty}\left|u\right|^{2}u\mathrm{d}t=0$$
(62)

In other words: the invariance of the action under a gauge transformation implies that the energy of the pulse is conserved.

As a second example we can consider the following infinitesimal transformation:

$$\overline{z} = z + \epsilon \xi_1 \tag{63}$$

$$\overline{t} = t \tag{64}$$

$$\overline{u} = u \tag{65}$$

$$\overline{u^*} = u^* \tag{66}$$

A straightforward calculation shows that also in this case we have  $\delta \mathcal{L} = 0$ , and the condition (56) is satisfied by any solution of Equation (25) which tends to zero as  $t \to \pm \infty$ . Consequently Noether's theorem can be applied, and it implies that there is a conservation law of the following form:

$$\frac{\mathrm{d}}{\mathrm{d}z} \int_{-\infty}^{+\infty} \left[ \mathcal{L} + \frac{i}{2} \left( u u_z^* - u^* u_z \right) \right] \mathrm{d}t = 0$$
(67)

If we now substitute the Lagrangian (41) in this equation, it reduces to:

$$\frac{\mathrm{d}}{\mathrm{d}z} \int_{-\infty}^{+\infty} \mathcal{H} \mathrm{d}t = 0 \tag{68}$$

where:

$$\mathcal{H} = -\left|u_{tt}\right|^{2} - \frac{1}{2}\left|u\right|^{4} + \frac{1}{3}\left|u\right|^{6}$$
(69)

is the Hamiltonian density corresponding to the Lagrangian given in (41). It is worth mentioning that this Hamiltonian does not contain the term  $|u_t|^2$ , which appears in the Hamiltonian of the NLS equation, because (69) is the Hamiltonian associated to the Lagrangian (41) [which corresponds to Equation (25)], and this Lagrangian does not contain the first derivatives  $u_t$  and  $u_t^*$ .

It may be a surprise that the conservation of the Hamiltonian is a consequence of the invariance of the action integral under translations in z. We are used to think that the Hamiltonian conservation is associated to invariances under time translations. However, we must remember that in the context of soliton propagation in optical fibers, the *evolution variable* is the spatial coordinate z, and therefore, in this context, z plays the same role that is usually played by the time in mechanical problems. This is the reason for the Hamiltonian conservation to be associated to translations in z.

As a third example we can consider a time translation:

$$\overline{z} = z \tag{70}$$

$$\overline{t} = t + \epsilon \xi_2 \tag{71}$$

$$\overline{u} = u \tag{72}$$

$$\overline{u^*} = u^* \tag{73}$$

A direct calculation shows that also in this case the variation of the Lagrangian (41) associated to this transformation vanishes (*i.e.*  $\delta \mathcal{L} = 0$ ). Moreover the condition (56) is also satisfied by any function u(z,t) which tends to zero as  $t \to \pm \infty$ . Therefore, Noether's theorem can be applied, and it implies that the following conservation law holds:

$$\frac{\mathrm{d}}{\mathrm{d}z} \int_{-\infty}^{+\infty} i \left( u u_t^* - u^* u_t \right) \mathrm{d}t = 0 \tag{74}$$

It is worth observing that this conservation law also holds in the case of the standard NLS equation [17], where it is frequently referred at as "momentum conservation".

#### 5. Conclusions and Final Remarks

In this communication, we show that there exists a fractional generalization of the NLS equation [Equation (25)] which admits soliton-like solutions, and employs Ortigueira's centered fractional derivatives [Equations (11)-(12)] to describe the dispersion of light pulses travelling along an optical fiber. It is found that Ortigueira's centered derivatives are more adequate to describe the dispersion of optical pulses than the Grünwald-Letnikov derivatives used in [1], since in Equation (25) it is not necessary to include additional nonlinear terms in order to have soliton solutions. We also show that this fractional NLS equation can be deduced from a variational principle, and in order to do so we show that the least action principle can be applied to Lagrangian densities which contain Ortigueira's centered derivatives. We show that when we have this type of Lagrangians the Euler-Lagrange equations take the form (40), and to obtain these equations it is essential to prove that Ortigueira's

centered derivatives satisfy the Parseval relations (37) and (38). Then, we show that it is possible to formulate a fractional extension of Noether's theorem which is applicable to Lagrangians which contain Ortigueira's centered derivatives. We demonstrate this theorem in the particular case of infinitesimal transformations of the forms (42)-(45), which are the only type of transformations considered in the study of optical solitons. Finally, using this fractional Noether's theorem, we prove that the action integral associated to Equation (25) [and its Lagrangian (41)] is invariant under gauge transformations, and z and t translations, and as a consequence of these invariances, the solutions of Equation (25) conserve the energy, the Hamiltonian and the momentum.

Therefore, we have seen that Ortigueira's centered fractional derivatives can be incorporated in a generalized NLS equation [Equation (25)] which describes the propagation of light pulses in optical fibers, and this new fractional equation has the following five characteristics:

a) It is an interesting physical model.

b) It has soliton solutions (fractional optical solitons).

c) It is superior to other models which accept fractional optical solitons because Equation (25) does not require additional nonlinear terms to describe the propagation of solitons.

d) It can be obtained from a Lagrangian density, via the least action principle.

e) Some of its conserved quantities can be obtained by means of a generalized fractional Noether's theorem.

It is worth mentioning that Ortigueira has recently proposed a new *unified* centered fractional derivative [25] which combines, in a way, the centered derivatives (11) and (12). An interesting topic for future work might be to study an equation similar to Equation (25), but replacing the terms:

$$-\varepsilon_2 c(\alpha) D^{\alpha}_{c_1} u + i\varepsilon_3 d(\alpha) D^{\alpha}_{c_2} u$$

with this *unified* centered derivative, in order to find out if the resulting equation admits soliton solutions and can be derived from a variational principle.

As a final remark we would like to add that the theory of optical solitons is not only related to the fractional derivatives and the fractional calculus (as we have seen in this paper), but also to the concept of *fractional dimensions* [26]. It might be a topic for future work to study if the propagation of solitons may be related *simultaneously* to fractional derivatives and fractional dimensions.

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