

Commercial Building Containing Generation Sources: A Technical and Economic Assessment and Its Potential to Participate in Demand Response Programs

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Abstract

The interest on studying the impact of demand response is growing, especially on residential and commercial buildings which are responsible for a considerable consumption of energy worldwide. Also, it is virtually unquestionable that in most of these buildings there is a waste of energy, mainly electrical and thermal energy. In this context, the establishment of intelligent networks in these buildings, as well as the use of small or even medium-sized renewable sources of power can significantly contribute to the reduction and preservation of power. In this article, the results of the simulations carried out in a specific simulation program to evaluate the benefits brought by the installation of some local sources of power on a commercial building are presented. It is evaluated the impact on some of the economic variables linked to that system as well as compared its greenhouse gas emissions for the conditions with and without the presence of the local generation. It will also evaluate the building's response towards the utility requirements, mainly the possibility to reduce or partially compensate the energy consumed, commonly referred to as Demand Response.

Keywords

Demand Response, Commercial Buildings, Cost of Energy, Net Present Cost, Small-Sized Generation Sources

1. Introduction

Typically, demand response (DR) is referred to any program that motivates

changes in the normal power consumption of the end users (consumers) in response to incentives regarding electricity prices. According to [1], the main objectives of a demand response program are: reduce the periods of peak demand, fill up low-consumption periods (valleys), shift the maximum demand to another period, turn flexible the load curve, and reduce or increase the total consumption.

There are several ways of classifying DR programs. One of them depends on the objectives set [2] in the program; the type of market established (whole sale and retail markets) [3] is another way to classify DRs, and finally DRs can be classified according to the type of resources used [4]. Nevertheless, the two more traditional incentive DR programs are: incentive-based programs to reduce the consumption, and price-based programs [5], [6].

Incentive-based programs aim to reduce the end-user demand by controlling his/her major domestic loads' associated consumption (e.g. cutting air conditioners, electric heaters, etc.). Price-based programs, in turn, strive in lowering the customer demand through the change in prices.

According to [7], one of the countries that showed a high invest in demand-response projects is the USA. Other countries with substantial growth in DR programs are Australia, New Zealand, South Korea, China and Japan.

Despite the existence of a legal framework that allows the use of DR programs, only in some European countries the consumer response is commercially active [8]. According to a survey conducted by SEDC (Smart Energy Demand Coalition), France, Ireland, United Kingdom, Belgium, Switzerland and Finland are the countries with more active DR programs [7], [9]. In [10], some simulation tools are applied to analyse the DR of an islanded system considering some load conditions for its economic operation.

Commercial buildings have a large potential to participate in DR programs for reasons, such as, the electricity they consume today is significant, which gives them also a potential to generate onsite power. The load of these buildings is somewhat predictable operating in repeated schedules, thus, making them good candidates for DR programs. To this feature, it can be added the fact that most of them have a centralized control system, which reduces the cost for integrating them in DR programs [11], [12], [13].

Some of the references available on the response of commercial buildings [14], [15], [16], [17] suggest the use of small or medium-sized generation systems based on renewable sources. This is the case of some urban wind turbines [18], which are driven by the relatively stronger winds available on top of the buildings. Solar panels are also placed on the roof of some buildings, on car parking lots as well as in some other specific areas of the building, as long as they do not interfere with the routine activities of the neighbourhood, air navigation, etc.

Today, most of the public buildings have some degree of automation, if not a high degree of intelligence. Examples of these partially automated systems are the lighting system in the corridors of a building with optical sensors that turn on/off the lights according to the users' needs. There are also some other systems activated by infrared sensors to control and make an efficient use of water (water sinks) or to smartly operate the main doors of a building to preserve its inner temperature. Other aspects featuring a building as an intelligent one may be the maximum use of natural light instead of electric lamps, reuse of water, etc.

Automation systems such as BAS (Building Automation System), EMGI (Energy Management and Grid Interaction), and the building's information technology system interact each other through communication protocols that may have a centralized or decentralized arrangement.

The main scope of this article is to evaluate the benefits brought by the installation of some local sources of power and observe its impact on some of the economic variables linked to a commercial building, as well as compare its greenhouse gas emissions for the conditions with and without the presence of such a local generation. It will also be evaluated the building's response towards the utility requirements, commonly referred to as Demand Response. By applying tariffs with hourly, and/or contracts with financial incentives, the utility may induce changes in the load curve and improve operational bottlenecks.

2. Description of the System

A medium-sized commercial building was considered to develop the technical and economic analysis. The power demand and consumption of such a building (2 MW and 560 MWh/month) are mainly fed by the utility. The local sources of power also contribute to complete feeding this demand. The installed sources are three wind generators of 50 kW each (which together generate approximately 54 MWh/month), a photovoltaic system containing 14 units, each with 250 Wp capacity. Both renewable sources are installed on top of the building. A 0.5 MVA diesel generator and a storage system (batteries) whose capacity is equal to 200 A-h. The latter sources are installed on the ground floor of the building (**Figure 1**). For this system, the following analyses were conducted:

- Case 1. Supply of power exclusively from the utility.
- Case 2. Power supplied by the utility and by the sources in the building.
- Case 3. Sensitivity analysis of Case 2.

The data and costs presented next were obtained from commercial catalogues and information provided by some manufacturers. The energy and demand (*i.e.* peak and off-peak) unit tariffs were obtained from a local utility [19]. The currency used here corresponds to American dollars represented by the symbol (\$).

- Cost of the energy supplied by the utility during the peak period (14:00 22:00): 0.10 \$/kWh.
- Cost of the energy supplied by the utility during the off-peak period (22:00 14:00): 0.067 \$/kWh.
- Cost of the contracted demand from the utility during the peak period: 3.97 \$/kW.
- Cost of the contracted demand from the utility during the off-peak period: 2.48 \$/kW.

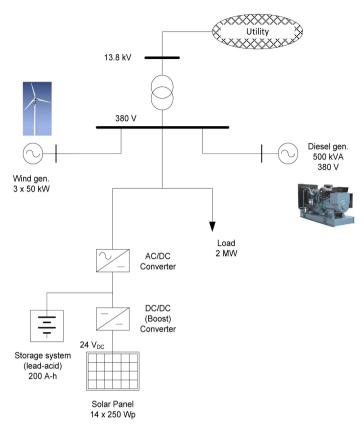


Figure 1. Components of the commercial building used in the analysis.

- Fuel cost (diesel): 0.873 \$/L.
- Diesel generator cost (2018): \$ 40,000 (service period: 15,000 hr).
- Wind generator cost (installation included): \$ 250,000 (service period: 15 years).
- Converter cost: \$ 2000 (service period: 15 years, efficiency = 90%).
- Battery bank cost: \$ 60,000.
- Cost of solar panels (installation included): \$ 6000.
- Average annual wind speed: 7.51 m/s (top of building).
- Average solar radiation: 4.64 kWh/m²/day.
- Building coordinates: Latitude: 23°32′ (South); Longitude: 46°38′ (West).
- Annual average temperature: 20.1 °C.
- Annual interest rate: 6%.

3. Simulation of the Case under Analysis

The simulations were performed using the HOMER Legacy v2.68 beta program [20], [21]. In Cases 1, 2 and Case 3 (sensitivity analysis) the following variables were analysed:

- Net Present Cost (NPC), which is a well-known method used to assess the viability of a certain project. Succinctly, it results of the difference between the investment value of an asset and the amount that will be redeemed at the end of the investment, brought to present value (\$).

 Levelized Cost of Energy (LCOE), which refers to the unit net cost due to installation of a power source (renewable or non-renewable) divided by the energy produced over its expected life-time (\$/kWh).

These variables, together with the CO_2 emissions of each of the alternatives analysed are indicators on the building's viability to operate as a partially self-fed building. It will also be evaluated the energy generated by the renewable sources and the diesel generator to compute the cost that this energy represents if sold back to the grid.

3.1. Supply of Power Exclusively from the Utility (Case 1)

All the energy is exclusively supplied by the utility (see **Table 1**). The NPC value is equal to \$ 4,372,648. On the other hand, the LCOE (global unit cost of energy) is equal to \$ 0.100/kWh. The overall operation cost for this case is equal to \$. 676,446/year.

The periods of tariff application by the utility along the day are shown in **Figure 2**. The period of peak tariff is shown in green colour whereas the off-peak period is shown in yellow colour.

3.2. Power Supplied by the Utility and the Sources within the Building (Case 2)

Figure 3 corresponds to the simulated system presented in **Figure 1**. Note that the inclusion of the dc/dc converter linking the solar panel to the local generation (shown in **Figure 1**) is optional. In this case, it was not included.

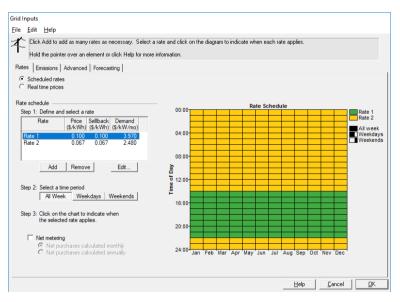


Figure 2. Application of peak (green) and off-peak (yellow) tariffs.

 Table 1. Energy purchase from the grid.

Component	Production (kWh/yr)	Fraction (%)
Grid purchase	6,789,000	100

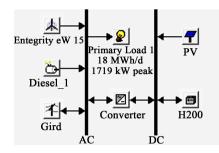


Figure 3. Load fed by the utility and the sources of the building.

Figure 4 shows the daily load curve specified in the simulation tool. It should be noted that (for the sake of comparison) such a load curve will be the same used in all the three analysed cases. Thus, including the load curve of Case 1 and Case 3 would be redundant. The result of this simulation (*i.e.* Case 2) is shown in **Figure 5**.

Because the generation sources are relatively small in relation to the demand of the building, the utility will be supplying most of the demanded energy (blue columns). The diesel and wind generators (black and green colours respectively) contribute with less energy. The small PV solar generation (yellow colour), which practically does not appear in the graph, will also be contributing to supply power to the building.

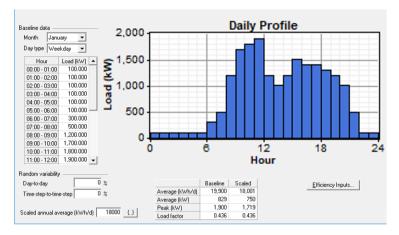
Table 2 shows how the generation of the different components behaves in cumulative terms. In this case, a greater contribution of power production by the utility, followed by the wind and diesel systems, can be observed. Although the diesel generator has a higher capacity than the wind turbine, the software gives priority to the contribution of the renewable sources.

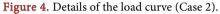
The energy produced by the local sources (E_{LS}) will be (sum of the first three rows shown in Table 2):

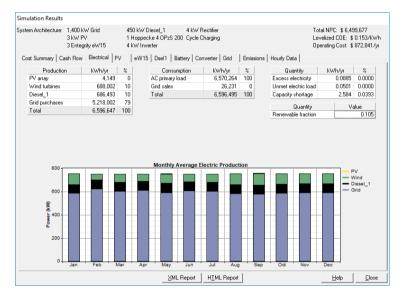
$$E_{LS} = (4149 + 688,002 + 686,493) = 1,378,644 (kWh/yr)$$
(1)

The characteristics of each source during the power production is shown in **Tables 3-6**. For example, **Table 3** shows that the PV array has the lowest capacity factor equal to 15.8% and it also has the least energy contribution to the load (4000 kW-h/yr). This is because its rated power has only 3 kW. The wind generation (**Table 4**) presents a better scenario with more than 52% of capacity factor and a much greater annual production (*i.e.* 688,000 kW/yr), although its rated capacity, compared to the PV array, is also greater (150 kW). Due to the issues above explained, mainly the generation cost, the diesel generator presents a low capacity factor (17.4%) with only 4745 hr/yr of operation.

The first column in **Table 6** shows the global energy purchased from the utility, the second column represents the energy generated by the local sources which will be compensated (*i.e.* subtracted) from the first column. Thus, the commercial building will only pay the "net" energy purchased shown in the third column. It can also be observed the total (annual) energy and demand charges; the former calculated considering the net purchase of energy.







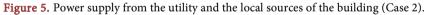


Table 2. Participation of the generation components.

Component	Production (kWh/yr)	Fraction (%)
PV array	4149	0
Wind turbines	688,002	10
Diesel_1	686,493	10
Grid purchase	5,218,002	79
Total	6,596,647	100

Table 3. Characteristics of the PV array.

Quantity	Value	Units
Rated capacity	3.00	kW
Mean output	0.474	kW
Mean output	11.4	kWh/d
Capacity factor	15.8	%
Total production	4149	kWh/yr

Variable	Value	Units
Total rated capacity	150	kW
Mean output	78.5	kW
Capacity factor	52.4	%
Total production	688,002	kWh/yr

 Table 4. Characteristics of the wind generator.

Table 5. Characteristics of the diesel generator.

Quantity	Value	Units
Hours of operation	4745	hr/yr
Number of starts	365	starts/yr
Operational life	3.16	yr
Capacity factor	17.4	%
Fixed generation cost	34.4	\$/hr
Marginal generation cost	0.218	\$/kWhyr

Table 6. Total (annual)energy purchased and sold plus the demand and energy charge.

Energy	Energy	Net	Peak	Energy	Demand
purchased	sold	purchases	demand	charge	charge
(kWh)	(kWh)	(kWh)	(kW)	(\$)	(\$)
5,218,002	26,231	5,191,771	1400	430,686	99,466

The "base case" condition is when the diesel price is equal to 0.873 US\$/L and the wind speed equal to 7.51 m/s. Because these variables are global evaluation factors of the project, the NPC and LCOE variables will also be observed for these conditions.

For this (base case) condition, the NPC = 6,499,677; the LCOE = 0.153 /kWh, and the cost of operation = 872,841 / year.

In **Table 7**, a comparison of the main economic variables and the CO_2 emissions of both cases is presented. Note that Case 2 has got greater values than Case 1; this is due to the capital cost of the generation sources included to which it can be added the price of the fuel.

3.3. Sensitivity Analysis (Case 3)

The objective to perform a sensitivity analysis is that in situations where there are hypothetical variables, or variables prone to variations, there will be certain degree of uncertainty in the system, thus, a sensitivity analysis is needed.

During the sensitivity analysis performed in the HOMER program, for any variable used it is inserted a range of values that are believed will vary. Examples of these variables can be, the energy price, fuel price, interest rate, life span of the PV array, average wind speed, average connected load, etc. Also, it is common to estimate the useful life of equipment and assume that, for example, the price of

	NPC (US\$/yr)	LCOE (US\$/kWh)	Operation cost (US\$/yr)	CO ₂ emissions (kg/yr)
Case 1	4,372,648	0.10	676,446	4,290,648
Case 2	6,499,677	0.153	872,841	4,182,966
Difference	2127,029	0.053	196,395	107,682

Table 7. Comparison of the main variables between Cases 1 and 2.

fuel will not change over this period. There is obviously substantial uncertainty in considering this hypothesis, which might not reflect in a real manner the analysis performed and the project scenario.

The sensitivity analysis was performed for Case 2 (*i.e.* building fed by the utility and the local sources) for which two additional cases were considered:

- Higher wind speed and lower diesel price.
- Lower wind speed and higher diesel price.

3.3.1. Sensitivity Considering a Higher Wind Speed and Lower Diesel Price

A drop in the diesel price to 0.75 \$/L and an increase in the wind speed (8.5 m/s) was in this case applied. In **Figure 6** and **Figure 7** the behaviour of the base case is represented through the crossed blue lines inside each window. It can be observed that both the NPC and LCOE are highly sensitive to the fuel price (higher slope) and to a lesser extent to the wind speed.

In **Table 8**, the power production of the different components is shown. Note that an increase in the wind speed makes the wind generator to produce more energy.

The total power produced by the local sources will be:

$$E_{LS} = (4149 + 892,810 + 674,048) = 1,571,007 (kWh/yr)$$
(2)

The quantities corresponding to the PV array did not change. This is because it has not been accounted in the sensitivity analysis. The reason is that its installed capacity is relatively small, thus, little change would produce in the whole power production.

The mean output power and the capacity factor of the wind generator are shown in Table 9. In Table 10, the main operative characteristics of the diesel generator are shown.

The energy purchased from the utility and the net energy sold by the building, as well as the demand and energy charges are presented in **Table 11**.

In this case, due to the lower fuel price the diesel generator operates at a daily basis (*i.e.* it is more used) operating approximately 50% (4745/8760) of the time along the year. It was not included the case when simultaneously the wind speed and the fuel price increase as the simulation toll would obviously give priority to the wind generator.

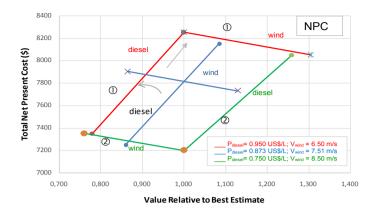


Figure 6. Effect on NPC due to the variation of diesel price and wind speed.

Table 8. Power production of the various sources. Increase in the wind speed.

Component	Production (kWh/yr)	Fraction (%)
PV array	4149	0%
Wind turbines	892,810	13%
Diesel_1	674,048	10%
Grid purchase	5,063,325	76%
Total	6,634,331	100%

Table 9. Characteristics of the wind generator during its operation.

Variable	Value	Units
Total rated capacity	150	kW
Mean output	102	kW
Capacity factor	67.9	%

 Table 10. Characteristics of the diesel generator during its operation.

Quantity	Value	Units
Hours of operation	4745	hr/yr
Number of starts	365	starts/yr
Operational life	3.16	yr
Capacity factor	17.1	%
Fixed generation cost	30.0	\$/hr
Marginal generation cost	0.188	\$/kWhyr

Table 11. Energy purchased and sold by the local sources.

Energy	Energy	Net	Peak	Energy	Demand
purchased	sold	purchases	demand	charge	charge
(kWh)	(kWh)	(kWh)	(kW)	(\$)	(\$)
5,063,325	63,916	4,999,409	1400	415,536	99,046

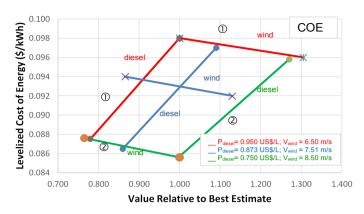


Figure 7. Effect on the unit cost (COE \$/kWh) due to the variation of diesel price and wind speed.

3.3.2. Sensitivity Considering Lower Wind Speed and Higher Diesel Price Under this condition, a reduction of the wind speed to 6.5 m/s and an increase in the diesel price to 0.95 \$/L, occurs. Analogously, the values of the NPC and LCOE relative to the best estimate are also shown in **Figure 6** and **Figure 7**.

In **Table 12**, it can be observed that due to the increase in fuel price, the diesel generator reduces its power production in relation to the previous case.

The total power produced by the local sources will be (Table 12):

$$E_{LS} = (4149 + 468,585 + 702,214) = 1,174,971 (kWh/yr)$$
(3)

Features like the mean output and the capacity factor of the wind generator are shown in Table 13. In Table 14, the main operative characteristics of the diesel generator are shown.

For this case, the energy purchased from the utility and the net energy sold by the building, as well as the demand and energy charges are presented in Table 15.

A synthesis of the total energy produced by the local sources presented in Table 2, Table 8 and Table 12, as well as the CO_2 emissions, is presented in Table 16.

The result of the sensitivity analysis considering a drop in the diesel price to 0.75 %/L and an increase in the wind speed (8.5 m/s) can also be seen in **Figure 6** and **Figure 7** (green lines). The analysis of these variations will be presented in the next section. Note that with the diesel price becoming higher (*i.e.* blue line shifted to the left side until it rides the red line) the value relative to the best estimate worsens (decreases) which increases the diesel NPC O. A similar behaviour occurs in the case of the wind generation NPC.

Conversely, if the diesel price becomes lower (*i.e.* blue line is shifted to the right side until it rides the green line) the value relative to the best estimate rises ② decreasing the diesel's NPC. This behaviour also occurs in the case of the wind generation NPC.

A similar behaviour occurs in the case of the LCOE in which the "best estimate value" decreases if the diesel price increases ①. Conversely, this value improves if the diesel price falls ②.

Component	Production (kWh/yr)	Fraction (%)
PV array	4149	0%
Wind turbines	468,585	7%
Diesel_1	702,214	11%
Grid purchase	5,399,972	82%
Total	6,574,919	100%

Table 12. Power production of the various sources. Increase in the diesel price.

Table 13. Characteristics of the wind generator during its operation.

Variable	Value	Units
Total rated capacity	150	kW
Mean output	53.5	kW
Capacity factor	35.7	%

Table 14. Characteristics of the diesel generator during its operation.

Value	Units
4745	hr/yr
365	starts/yr
3.16	yr
17.8	%
37.2	\$/hr
0.237	\$/kWhyr
	4745 365 3.16 17.8 37.2

Table 15. Energy purchased and sold by the local sources.

Energy purchased	Energy sold	Net purchases	Peak demand	Energy charge	Demand charge
(kWh)	(kWh)	(kWh)	(kW)	(\$)	(\$)
5,399,972	4503	5,395,468	1400	446,763	99,724

 Table 16. Total energy produced by the local sources in each case (comparison).

	E_{LS} (kWh/yr)	CO ₂ emissions (kg/yr)
Case 2 (utility + sources)	1,378,644	4,182,966
Case 3 (sensitivity 1)	1,571,007	4,322,052
Case 3 (sensitivity 2)	1,174,971	4,053,199

4. Commercial Building Participating in Demand Response Programs

This analysis was conducted considering some hypotheses, namely:

Case 2. (Section 3.2)

If the energy generated by the local sources is injected into the grid by the

battery during the period 17:00 - 21:00 (peak period), the price at which the energy is sold, according to the spot price (the ratio between the maximum spot price and the peak period price is 1.28), would be (kWh/yr taken from Table 16):

$$C_{sell-back} = (1,378,644 \text{ kWh/yr} \times 0.1 \text{ kWh} \times 1.28) = 176,466 \text{ yr}$$
 (4)

This would be the revenue value due to the local sources and could represent approximately 40% of the building's annual cost (*i.e.* 176,466/430,686 = 0.40). This value is related entirely to the energy cost (\$. 430,686/yr) presented in **Ta-ble 6**. Note that the demand cost is not being considered here.

Indeed, this condition is subject to an agreement reached between the utility and the government to encourage the contribution of end-users.

Case 3. Recall that this case explores two conditions:

1) Section 3.3.1

An increase in the wind speed and a drop in the diesel price was previously established for this case. Thus, by the same philosophy as in Case 2, the sell-back cost will be:

$$C_{sell-back} = (1,571,007 \text{ kWh/yr} \times 0.1 \text{ }/\text{kWh} \times 1.28) = 201,089 \text{ }/\text{yr}$$
(5)

This sell-back value represents approximately 48.4% of compensating profit in relation to the energy charge presented in Table 11.

2) Section 3.3.2

Analogously, in the second case of sensitivity (*i.e.* lower wind speed and higher diesel price) the sell-back value will be \$ 150,396/yr, which represents approximately 33.66% of compensating profit in relation to the energy charge presented in **Table 15**. Note that the sell-back cost is more sensitive to the wind speed than to the diesel price.

5. Discussion

In the above example, the PV source is relatively small. This is because its size is limited by the available area of the building's roof. However, its minor contribution to the analysed costs, together with the contribution of the wind generators, made the difference in relation to Case 1.

Also, since the analysed building requires a relatively high degree of power availability and reliability (*i.e.* it can be the case of a shopping mall) a diesel genset was included. The impact of its acquisition and operational costs was partly offset by the renewable sources that have a null fuel cost.

In some countries, the application of the microgrid technology and the smart building technology is currently leveraged by some government incentives in the electric sector. This is the case of the Brazilian ProGD (Program for the Development of Distributed Generation) whose aim is to broaden and deepen the actions to stimulate the power generation by the consumers, as long as it is based on renewable sources, especially solar power. Another similar incentive in the country is PROINFA (Program for the Incentive of Alternative Sources) that promotes the participation of alternative sources (e.g. wind power, biomass, and small hydropower plants) within the National Interconnected System. Other areas such as the construction and architecture have also some incentives for the construction of smart buildings; however, there is still a relatively long way to run before the application of this technology becomes mandatory to attain significant benefits for the environment.

6. Conclusions

Moderate investments in generation sources in a building, in either renewable sources or those based on fossil fuels, may bring revenues proportional to the investment, which, in a medium term (*i.e.*, a period less than the service life of the assets) can become significant.

The overall annual cost of the system (NPC) and LCOE is highly sensitive to the variation of the fuel price and, to a lesser degree, to wind speed variations. Hence, investment in renewable generation sources would be more advantageous for this purpose.

The article shows in a straight manner the procedures and data required (for a specific simulation program) to assess the technical and economic impact of the sources contained in a building. Some features characterizing a building as smart, are described here. Along the research conducted, it was observed the lack of some general guidelines and standards to build up new buildings, or to convert conventional ones into smart structures, this should be the next challenge for the scientific community.

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Conflicts of Interest

The authors declare no conflicts of interest regarding the publication of this paper.

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