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# Negative Refractive Index Metamaterial Structure Using SRR by Incidenting the Light Horizontally

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#### **Abstract**

Metamaterial structure based on split ring resonators (SRR) is proposed in order to produce a negative refractive index. For this structure we have used a new approach, instead of applying light perpendicularly incident. We apply horizontally incident input waves. A model of SRR is used to understand the behavior and its affects. We calculate the S-parameters using S-parameter analysis and the results for transmission, refractive index, permeability and permittivity of the structure is induced. The negative refractive index is found to be significantly dependent upon the width of the continuous wire as well as gap between resonators. Moreover, we study the effect of lattice constant on the electromagnetic response of the structure. It is expected that this work will provide useful information for design and fabrication of metamaterials with negative refractive index for in-plane applications.

# **Keywords**

Metamaterials, Negative Refractive Index, Left Handed Material, Scattering Parameters

#### 1. Introduction

Metamaterial is a composite structure made of metals and dielectrics. It implies that it is not a homogenous material, rather inhomogeneous artificial materials made of metal and dielectric materials. Metallic or dielectric structures in metamaterials are typically small; they are actually much smaller than the wavelength. Because of which, at a wavelength scale, we can assume an effectively homogenous material but with very different physi-

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cal properties in comparison to either metals or dielectrics. So, now we are creating artificial materials made of metallic and dielectric materials in a way that they have the desired property. The first quadrant has a positive  $\varepsilon$  and  $\mu$ , this kind of materials is called double positive materials or natural dielectric materials. If an electromagnetic wave propagates in such a material, you will see a propagating wave with some loss or attenuation. In case of metals, the  $\varepsilon$  is negative and  $\mu$  is positive, thus metals are also called epsilon negative materials. If electromagnetic wave propagates in a metal, it experiences huge attenuation that is why when discussing metals we discuss the skin depth effect of metal. We know that actually the waves will attenuate a lot into the metamaterials, so the actual skin depth effect for most of the electromagnetic waves is very small. In 1960s, Veselog theoretically proposed that materials having negative Mu and negative epsilon at the same time could produce a negative index of refraction and called them a left handed material [1]. In 1996, J. B. Pendry proposed that we could have a negative epsilon by arranging the metal wires in a simple cubic lattice. But the question still remained how we could get the negative Mu that was answered in 2000 by smith [2] [3]. Smith proposed a structure consisting of periodic array of split ring resonators and continuous wires that produced the negative permeability and negative permittivity [3].

In recent times, there has been massive development in the field of metamaterials. Negative refractive index (NRI) is the main focus point of current research. Within five years after Smith's proposal, the field of NRI has seen development at optical range by using different structures like paired nano-rods [4], nano-fishnet with circular voids [5], nano-fishnet with elliptical voids [6], and nano-fishnet with rectangular voids [7].

Metamaterials in THz regime are being used as absorbers [8], quarter waveplates [9], switches and modulators [10]-[15]. Metamaterial negative refractive index has numerous applications such as M-NRI for antennas [16], Superlens [17], and wireless power transfer [18] and for biomedical applications [19]-[26].

In this paper, we propose a novel structure of metamaterials, unit cell of complementary split ring resonators at 10THz frequency. This structure has been simulated by FDTD methods and the S-parameters. Then we derive the parameters *i.e.* refractive index, permittivity and permeability by using the S-parameters analysis technique discussed by smith [5]. The simulation results shows that by incidenting the light horizontally, negative Mu and negative epsilon are obtained for the proposed structure, which has the properties of Double Negative (DNG) metamaterials.

Most of the current metamaterials research is that light incident on the normal direction. But many researchers are trying to integrate devices with different function on single chip. Therefore, metamaterials to work with light in plane propagation direction is necessary for chip integration. However, few research of in plane light propagation is reported in case of metamaterials. In this paper, it studies a metamaterial structure for an in plane light propagation and studies its optical properties.

# 2. Design and Simulation

## 2.1. S-Parameter Extraction Technique

Scatter parameters (S-parameters), is usually use to describe the optical behavior of structures. S-parameters relate the incident light to reflected or transmitted light. The S-parameters are complex value numbers that represent reflection and transmission coefficients. In case of metamaterials, the S-parameters are directly proportional to the electric fields. So, we simply run the simulation and look at the S-parameters as transmitted filed divided by the incident field in other direction.

Ideally, the fields would be recorded on the edge of the unit cell. In practice, the measurements are usually done at some distance from the metamaterial structure. Therefore, it is necessary to compensate the phase accumulated between metamaterial structure and measurement points. Different kinds of techniques have been developed in the past few years for extraction of S-parameters. In our simulation we are using the S-parameters extraction technique discussed by Smith *et al.* [3].

## 2.2. Simulation Technique

The structure was simulated FDTD method. Choosing the frequency unit in THz, S-parameter analysis tool was used to extract the S-parameters. Each calculation is based on 100 frequency samples.

The unit cell is shown in **Figure 1**. It consists of two materials: glass substrate and split ring resonator made of copper. This structure was simulated for 10 THz frequency range. The thickness of the substrate is 250 nm,

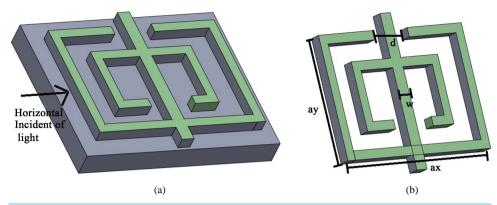


Figure 1. (a) Shows the direction of the incident light and (b) shows the parameters.

width of metal wires is 140 nm, length of the metal wires of the outer ring is 2000 nm and the gap between the inner ring and the outer ring is 147 nm.

#### 3. Results and Discussion

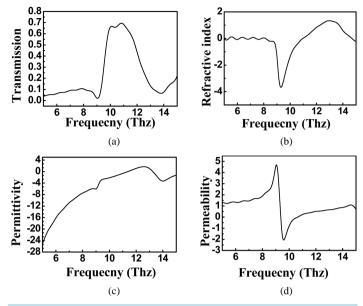
The calculated transmission spectra of the structure is shown in **Figure 2(a)**, where the width of the wires are kept at 140 nm. As it can be seen in the figure, there exists two pass bands in the transmission spectra of the structure that are separated by a certain amount of frequency. The first transmission peak is at approximately 9.7 THz. This indicates that this pass band exhibits LH behavior, but the other one at around 13 THz is has RH behavior [27] [28]. The appearance of the LH behavior can also be confirmed by using the extracted effective parameters of the structure, as presented in **Figures 2(b)-(d)**. Obviously, both the effective permeability and permittivity are negative around the first peak, consequently the refractive index has a negative value (n < 0). However, the peak at around 13 THz exhibits the RH behavior because both permeability and permittivity are positive.

Moreover, there is a dependence of the structural parameters of the metal wire on the LH behavior. We found that the electromagnetic response of the structure is significantly affected by the width of the continuous wire. **Figure 3** shows the transmission spectra of the compound structure by varying the width of the continuous wire. For this purpose, the width of the wire pairs is kept at 140 nm while the width of the continuous wire is varied from 50 to 140 nm.

As can be seen, the transmission peak exhibiting the LH behavior is significantly improved with the decrease in width of the continuous wire. It should also be noted that the resonance is shifted with an increase in width of the continuous wire. To confirm this phenomenon, the effective permittivity, permeability and also refractive index of the structures are extracted from the scattering parameters, as shown in **Figures 3(b)-(d)**, respectively. As expected, the plasma frequency shifts towards a lower frequency by increase in width of the continuous wire, while the magnetic response remains roughly unchanged [24]. Thus, this explains the reason for the dependence of resonance peak on width of the continuous wire. As **Figure 3(d)** showing, the refractive index is decreasing with the decrease in width of the continuous wire.

Another interesting result is shown in **Figure 4**, where the LH behavior of the structure is also intensely affected by varying the gap *d* between the centers of the continuous wires. To study this phenomenon, the gap *d* was varied from 500 to 700 nm while the lattice constants ax and ay are 2200 nm and 1800 nm respectively. The effective permittivity, permeability and refractive index are extracted from simulation results. As it can be seen in the figure, the resonance is left-shifted by varying the gap d between the centers of the continuous wire. A reduction of negative refractive index appears when increasing the gap size.

We also studied the LH behavior dependency on the lattice constant. To study the effect of the lattice constant ay, ax is fixed at 2200 nm while ay is varied from 1500 to 2400 nm. The calculated effective parameters of the structure are shown in **Figure 5**. As ay is reduced, the resonant plasma frequency of the structure is reduced. If ay is reduced to 1500 nm the magnetic resonance frequency is still higher than the plasma frequency (at 10 THz). If ay is reduced any further than this point, the refractive index becomes positive eliminating the LH behavior of the structure. To study the effect of the lattice constant ax, ay was kept constant at 1800 nm while ax was varied from 2200 to 2600 nm. The calculated effective parameters are shown in **Figure 6**. As ax is increased, magnetic



**Figure 2.** (a) Simulated transmission spectra of the structure, where the width of the wires are kept at 140 nm; (b)-(d) real part of the permittivity, permeability and refractive index extracted from the simulation data, respectively.

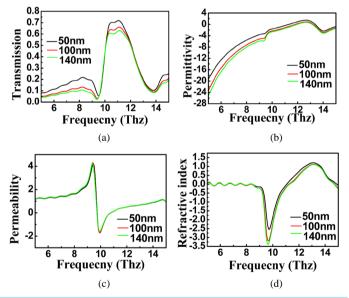
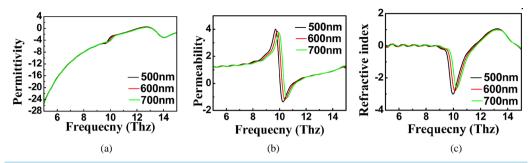


Figure 3. (a)Simulated transmission spectra of the structure with different widths of the continuous wires; (b)-(d) real part of the permittivity, permeability and refractive index, respectively.

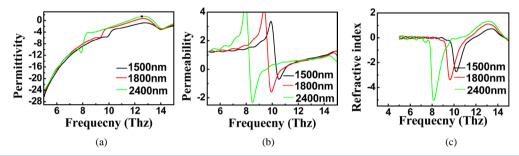
resonance frequency of the structure is reduced while plasma frequency and the refractive index remain constant. Therefore, the refractive index is still negative.

The following **Table 1** shows a comparison of this structure with other structures reported in the literature, with respect to refractive indices.

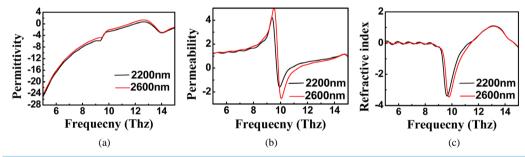
In the above table we have compared our results with the other structures that are using perpendicular direction of the incident light, where as in our design we are using the horizontal direction of incidenting light and achieve the refractive index of -3.8. The results by using the horizontal direction of incidenting light are useful for designing novel devices for on chip integration by utilizing the optical properties of metamaterials.



**Figure 4.** Extracted effective parameters of structure from the calculated scattering parameters: (a), (b) and (c) are the permittivity, permeability and refractive index, respectively. The lattice constant ax is 2200 nm, ay is 1800 nm.



**Figure 5.** Extracted effective parameters of the structure from the calculated scattering parameters: (a), (b) and (c) are the permittivity, permeability and refractive index, respectively. The lattice constant ax is 2200 nm, and ay is varied from 1500 to 2400 nm.



**Figure 6.** Extracted effective parameters of structure from the calculated scattering parameters: (a), (b) and (c) are the permittivity, permeability and refractive index, respectively. The lattice constant ay is 1800 nm, and ax is varied from 2200 to 2600 nm.

Table 1. Comparison between different structures.

References	Structures	Refractive index	Direction of pump
4	Paired nanorods	-0.3	Perpendicular
21	Nano-fishnet with circular rods	-2	Perpendicular
7	Nano-fishnet with rectangular rods	-1	Perpendicular
26	Metal-dielectric-metal	-1.05	Perpendicular
Our Design	Split ring resonators	-3.8	Horizontally

#### 4. Conclusion

Simulation studies have been conducted on ring-type split ring resonators (SRR) to understand the properties of metamaterial structure with horizontally incident source. By incidenting the light along x-axis, the proposed

structure shows a negative refractive index indicating the properties of double negative material. Moreover, it is observed that the width of the continuous wire as well as distance between the centers affects the left-handed behavior of the structure. Additionally, influence of lattice constant on the left-handed behavior of the structure is also studied. The results indicate strong dependence of the left-handed behavior on the lattice constants *ay*. The search results in our paper are useful for designing novel devices for on chip integration by utilizing the optical properties of metamaterials. In subsequent works, a cascaded version of the above detailed design can be placed on top of a silicon waveguide and can be simulated to extract parameters and thus behavioral pattern of light in such environment.

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