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# Impact of the Waves on the Sea Surface Roughness under Uniform Wind Conditions: Idealized Cases for Uniform Winds (Part I)

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## **Abstract**

The effect of the surface gravity waves over sea surface roughness length ( $z_0$ ) is investigated from several idealized numerical experiments with the Wave-Watch-III (WW3) model. The WW3 model is combined with a simplified model to estimate  $z_0$ ,  $C_D$ ,  $u_*$  and  $U_{10}$  as function of the sea state. The impacts related to the presence of the ocean waves over  $z_0$  are obtained from conditions of growing (young waves) and mature seas (old waves). The wave spectrum is obtained from WW3 model for each idealized simulation under uniform wind conditions. Uniform wind experiments range from 15 to 45 m/s. The simplified algorithm determines  $z_0$ ,  $C_D$ ,  $u_*$  and  $U_{10}$  for cases of young waves, old waves and by the Charnock method. The results show that when the ocean is characterized by young waves, both  $z_0$  and  $C_D$  (drag coefficient) increase while  $U_{10}$  is reduced. In Charnock case, the values of  $z_0$ ,  $C_D$  and  $U_{10}$  have no dependence with the presence of gravity waves. Experiments using winds higher than 30 m/s result in young waves'  $C_D$  values higher than the  $C_D$  value for old waves. Even for young waves  $C_D$  values are high for cases of strong winds. The results also show that in experiments using winds higher than 30 m/s the dependence between  $C_D$  and wave age becomes stronger, which is in accordance with other studies.

# **Keywords**

Surface Gravity Waves, Wind Sea, Ocean Waves, Young Waves, Old Waves

## 1. Introduction

Ocean surface waves are the result of forces acting on the ocean. The characteristics of the waves depend on the

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controlling forces. Tidal waves are generated by the response to gravity of the Moon and Sun and are rather large-scale waves. Capillary waves are dominated by surface tension in the water. Where the Earth's gravity is the major determining factor we have the so-called gravity waves. Wind-generated gravity waves are almost always present at sea. These waves are generated by winds somewhere on the ocean. They are also very relevant in climate processes since they play a role in the heat, energy, gases and particles exchange between the oceans and atmosphere. Further, gravity waves can be classified into wind sea and swell. Wind seas are waves that constantly experience the action from the winds. Swells, however, are waves that propagate away from the wind forcing area.

To estimate wind stress over the ocean is one of the most important issues in atmospheric and ocean modeling, including extratropical and tropical cyclones forecasting. In the most operational numerical models the momentum flux at the air-sea interface is parameterized by a nondimensional parameter called surface roughness or Charnock coefficient ( $z_{ch}$ ) [1]. This formulation is used in the most atmospheric models, however over the sea, where the surface experiences constant changes,  $z_{ch}$  must consider the state of the sea [2].

A direct dependence between surface roughness and the sea state is suggested in several papers [3]-[10]. The results of these works pointed that the increase of roughness length ( $z_0$ ), a height in meters at which the wind speed becomes zero, occurs in the presence of young waves, while there is a low relationship between old waves and roughness length. [11] showed that roughness length computed as function of the developing sea state better represents the observed sea wave height (SWH). The SWH computed by the Charnock constant overestimates the observed values. This pattern is commonly observed in comparisons between observed and modeled SWH.

From observed and experimental data, [12] evaluated the role of the young waves on the air-sea momentum transfer. It was observed that the relationship between  $z_0$  and the waves varies in specific conditions. In addition, it was noted that  $z_0$  tends to diminish as the ratio between  $c_p$  and  $u_*$  (phase speed at the peak frequency and friction velocity, respectively) decreases. This ratio is a dependent relation between cause (winds) and effect (gravity waves) and is usually called wave age. The parameter wave age indicates the development state of the sea. When the nondimensional value of the wave age is less than 10 the sea is characterized by young waves [13]. The young waves are gravity waves in process of constant growing, once they are continually extracting momentum from the winds. By another way, old waves (wave age greater than 10) are gravity waves that are completely developed. In this case, there is either very weak or any momentum transfer between winds and waves, considering that the winds and waves propagate in the same direction. The term strong (weak) coupling is usually used when the sea is characterized by strong (weak) momentum transfer in the air-sea interface. Strong coupling generally occurs in the presence of young waves, when the winds act as a source of energy to the waves.

[8] investigated the effect of the surface waves on air-sea momentum exchange by combining ocean wave models and a wave boundary layer model. They performed several numerical experiments for constant winds from 10 to 45 m/s to investigate the effect of mature and growing seas on the air-sea momentum exchange. They observed that, for mature seas, the Charnock coefficient is estimated to be about 0.01 - 0.02 and the drag coefficient increases as wind speed increases. With growing seas, results for winds less than 30 m/s show that the drag coefficient is larger for young waves. For winds higher than 30 m/s, however, results show a different trend: very young waves yield less drag.

In this context, the present study evolves a combination of an ocean wave numerical model (WW3) and a very simple algorithm to provide some insight on the effect of the waves on the behavior of some surface variables, including:  $z_0$ , sea surface drag ( $C_D$ ), wind stress ( $u_*$ ), and wind velocity at 10 meters ( $U_{10}$ ). These effects are evaluated in conditions of growing and mature sea. A brief outline of the WW3, the simplified algorithm, and the experimental design, used here is introduced in Section 2. Section 3 describes results of the experiments with constant winds from 15 to 45 m/s to investigate roughness length behavior in mature and growing sea conditions. The summary and conclusions are given in Section 4.

## 2. Methodology

The WW3 runs for all time integration. It outputs SWH, mean wave length (MWL), wave mean period, mean wave direction and peak frequency [14]. The simplified algorithm, based on diagnostic equations, computes  $z_0$ ,  $C_D$ ,  $u_*$  and  $U_{10}$  from two different manner. In the first, the algorithm uses the Charnock relation ( $R_{\rm BULK}$ ), which computes  $z_0$  considering  $z_{ch}$  without dependence with waves. In the second case, the parameter wave age

 $(c_p/u_*)$  is included in the place of  $z_{ch}$  ( $R_{WA}$ ). In this case,  $z_{ch}$  takes into account the sea state. The simplified algorithm uses the complete wave spectrum from the WW3 model to compute  $R_{BULK}$  and  $R_{WA}$ .

#### 2.1. Wave Model

The WW3 model solves the energy transfer equation for the wave spectrum. The equation describes the variation of the wave spectrum F in space and time due to the advection of energy and local interactions. The wave spectrum is locally modified by the input of energy from the wind, the redistribution of energy due to nonlinear interactions and energy dissipation due to wave breaking. These processes are represented by the source functions  $S_{in}$ ,  $S_{nb}$ , and  $S_{ds}$ , respectively, according to Equation (1).

$$\frac{DF}{Dt} = S_{in} + S_{nl} + S_{ds} \tag{1}$$

The three terms in the right hand side of Equation (1) are called source terms. The source terms of the WW3 use nonlinear wave-wave interaction using a discrete interaction approximation (DIA) modified by [15], input and dissipation from [15], and bottom friction from the Joint North Sea Wave Project (JONSWAP) as used in WAM [16]. A detailed description of the model is given by [14].

# 2.2. Simplified Algorithm

In most atmospheric, ocean and wave models  $z_0$  is determined from the Charnock relation [17]:

$$z_0 = \frac{z_{ch}}{g} u_*^2 \tag{2}$$

where  $u_*$  is the friction velocity, g the gravity of the Earth, and  $z_{ch}$  is the Charnock constant with value of 0.0185, which is representative of a sea at equilibrium. Thus, the Charnock conventional formulation does not consider the evolution stage of the sea or its growth.

To include the wave growing effect  $z_{ch}$ , in simplified algorithm, is replaced by the coupled term wave age. Thus,  $z_{ch}$  becomes a function of the sea state. The effect of the sea development into  $z_0$  can be formulated by two particular ways: the first follows [3] and is related to the wave age parameter: the second, according to [2], considers the effect of the wave-induced stress (a stress induced by the presence of the waves). Therefore, Equation 2 becomes:

$$z_0 = \frac{Zu_*^2}{g} \tag{3}$$

where 
$$Z = z_{\text{WIS}} = 0.01 / \sqrt{1 - \left(\frac{\tau_w}{\tau}\right)}$$
 and  $Z = z_{\text{WA}} = 0.48 \left(u_* / c_p\right)$ . Here, the subscripts "WA" and "WIS", refers,

respectively, to parameters based on [3] and [18] formulations. The symbols  $\tau$ ,  $\tau_w$ , and  $c_p$  denotes, respectively, total stress, wave-induced stress, and the phase speed at the peak frequency. [13] showed that both schemes (WA or WIS) present similar results in cases of baroclinic systems simulations. For implementation simplicity, it is used the scheme elaborated by [3].

The 10 meters level drag coefficient under neutral conditions is given by

$$C_D = \left(\frac{1}{\kappa} \ln \frac{z}{z_0}\right)_{z=-2}^{10} \tag{4}$$

in which  $\kappa = 0.41$  is the von Kármán constant and  $C_D$  is the drag coefficient.

$$u_* = U_{10} \left( C_D \right)^{1/2} \tag{5}$$

where  $u_*$  is the friction velocity and  $U_{10}$  is the 10 meters wind speed given by

$$U_{10} = \left(u_*/\kappa\right) \ln\left(10/z_0\right) \tag{6}$$

The simplified algorithm solves the Equations (3) to (6), in conventional ( $R_{\rm BULK}$ ) and nonconventional ( $R_{\rm WA}$ )

modes, from WW3 outputs. The use of the simplified algorithm allows us to evaluate the impact of the waves on the surface roughness length over the oceans.

# 2.3. Strategy of the Simulations

The parameters of the WW3 used in this study are 1800 s (time step and wind input interval), 24 directions (directional resolution),  $0.2^{\circ} \times 0.2^{\circ}$  spatial grid resolution, and 4000 m (water depth). The grid is regularly spaced by a longitude-latitude grid. It extends 3000 km in the south-north direction and 1500 km in the east-west extent. The wave spectrum is discretized using 25 frequencies extending from 0.041 a 0.42 Hz with a logarithmic increment  $f_{n+1} = 1.1 f_n$  where  $f_n$  id the nth frequency. The WW3 provides output of two-dimensional directional wave spectra, as well as mean wave parameters such as significant wave heights, mean wave length, mean wave period, mean wave direction, peak frequency, and peak direction.

Seven experiments are performed for uniform wind conditions ranging from 15 to 45 m/s in all grid space. In the first experiment the uniform winds are 15 m/s, in the second they are of 20 m/s and so on until the last experiment were winds blow with 45 m/s. The simplified algorithm estimates the surface variables for  $R_{\rm BULK}$  and  $R_{\rm WA}$  for each experiment and in cases of growing and mature seas.

#### 3. Results and Discussions

In this work, the effect of sea state on sea surface roughness length, drag coefficient, wind stress and wind velocity in 10 meters is evaluated. Two distinct cases were analyzed: mature and growing seas. Further, the results are divided into those which consider  $z_{ch}$  as a constant value of 0.0185 and those which use wave age in the place of  $z_{ch}$ .

Figure 1 shows sea wave height and mean wave length values for each experiment with uniform wind speedy. The values of sea wave height and mean wave length were obtained for a reference point near the north edge of the domain (not showed). In the first thirty hours of simulation, the wave height and the wave length show right ratio of development when compared with the 42 hours of spin up. This different behavior is due to the strong momentum transfer from the atmosphere to the ocean which occurs in the first hours of simulation [19]. Figure 1 shows that as the wind becomes strong both sea wave height (Figure 1(a)) and mean wave length (Figure 1(b)) increases. This behavior is observed before 24 hours of simulation. This occurs because sea extracts more energy from the atmosphere in the first hours of spin up. In this case the sea is in process of development. After 30 hours of spin up, the waves reaches their peak intensity (or the sea becomes in equilibrium) and no more growth occurs. In this case, the sea wave height is constant due to the fact that the energy gained by the wind equals to the energy loss by dissipative processes  $S_{ds}$  (Equation (1)).

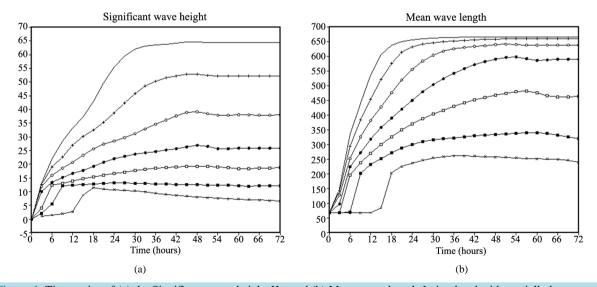
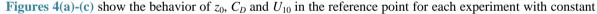


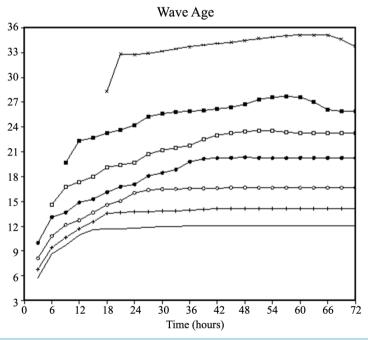
Figure 1. Time series of (a) the Significant wave height  $H_{sig}$  and (b) Mean wave length L simulated with spatially homogenous winds from 15 to 45 m/s. From bellow to top the continuous lines in the graphic denotes 45, 40, 35, 30, 25, 20 e 15 m/s.

**Figure 2** shows the temporal variability (model time integration) of the wave age term for different wind speed experiments in the same grid point (reference point). When the waves are in process of development the sea is characterized by young waves (wave age less than 15). For mature sea cases (spin up > 30 hours), the sea is dominated by old waves. According to **Figure 2** younger waves are related to the strongest winds, while older waves occur in cases of weakest winds. It is also observed that young waves are not produced or observed during weak wind conditions (wind velocity less than 25 m/s).

**Figure 3(a)-(d)** show scatter plot of  $z_0$ ,  $C_D$ , and  $U_{10}$  versus wave age for each experiment with uniform wind speed. At this time the sea is completely developed. For  $R_{WA}$  case (**Figure 3(a)**) two different conditions are observed: one with winds less than 30 m/s and other for stronger winds (wind velocity great than 30 m/s). In the first situation, as the waves become older  $z_0$  decreases. The ratio of  $z_0$  diminishing is more accentuated in cases of strong winds. In the second situation (wind velocity less than 30 m/s), different trends are noted. As the waves become older  $z_0$  values keep invariant. This means that old waves (or mature sea) produce slight or any effect on  $z_0$ , as observed in several studies. Results from  $R_{BULK}$  case (**Figure 3(b)**) show that  $z_0$  values are not modified by wave type. This occurs because  $z_0$  in  $R_{BULK}$  case is kept constant and it does not suffer any modification as the sea or waves growth. In  $R_{BULK}$  case the parameter  $z_0$  is just forced by wind speed. Because wind speed is it only forcing mechanism one can expected that  $z_0$  values are large for experiments with strong winds.

**Figure 3(c)** shows the scatter plot between  $C_D$  and wave ages for  $R_{WA}$  case. It is noted that the behavior of  $C_D$  is similar to the observed behavior of  $z_0$ . For strong wind experiments sea surface drag decreases as the waves become older. In experiments with wind velocity less than 30 m/s,  $C_D$  suffers slight changes with the sea state. The relation between CD and wave age are more significant for experiments with strong winds. As young waves yields more drag it is expected that wind speed diminish. Expressive reduction of the wind velocity at 10 meters level under strong wind speed and young sea conditions is observed in **Figure 3(d)**. As the winds become strong and the waves become older  $U_{10}$  increases. The values of  $U_{10}$  are not substantially modified with the sea state in cases of experiments with wind speed weaker than 30 m/s. As can be noted, young waves produce more drag and weak winds. However, in experiments with uniform wind speed lower than 25 m/s the relation between  $U_{10}$  and wave ages weak until they become independent. Those results show that significant changes in the planetary boundary layer as well as momentum, heat and moisture fluxes can be expect by considering the sea state.





**Figure 2.** Time series of wave age for numerical simulations with spatially homogenous winds from 15 to 45 m/s. From bellow to top the continuous lines in the graphic denotes 45, 40, 35, 30, 25, 20 e 15 m/s.

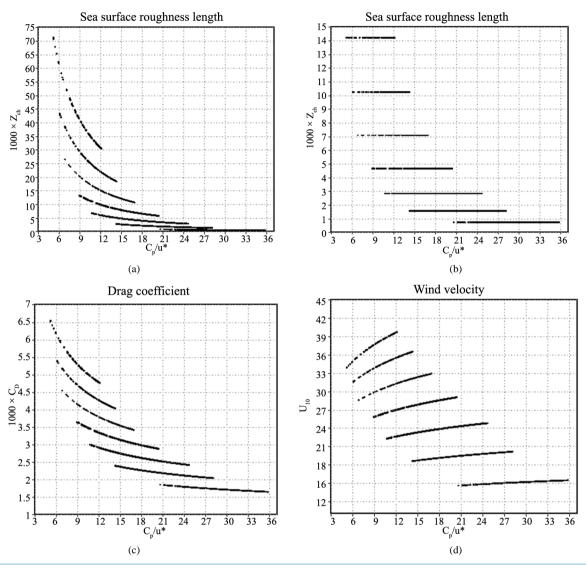


Figure 3. Scatter plot of the  $z_0$  (a);  $z_{ch}$  (b);  $C_D$  (c); and  $U_{10}$  (d) as a function of wave age in all grid points for spatially homogenous winds from 15 to 45 m/s (from bottom to top). All scatter represents the spin up of 56 hours.

wind speed. Further, surface parameters are put in perspective for developing (06 hours) and mature (72 hours) sea conditions ( $R_{WA}$ ). It is also showed the results from  $R_{BULK}$  case. **Figure 4(a)** shows that young waves yield high values of  $z_0$ , while old waves are associated to low values of  $z_0$ . Lowest values of  $z_0$  are produced for cases without the sea state influence ( $R_{BULK}$ ). More discrepancy between  $z_0$  values are observed for experiments with strong winds. Results from mature sea conditions and  $R_{BULK}$  case show similar  $z_0$  values for weak wind experiments (wind velocity less than 35 m/s). An important fact to note is that  $R_{BULK}$  case underestimates  $z_0$  values in comparison with young and old waves ( $R_{WA}$ ) for all experiments. This results are in agreement with numerical modeling studies, including complex coupled experiments with atmospheric and ocean wave models [5] [13] [19] [20].

Figure 4(b) shows that young waves produce more drag ( $C_D$ ) than old waves. Lower values of  $C_D$  are produced in  $R_{\rm BULK}$  case. Differences between  $C_D$  values for mature and growing sea are more expressive in cases of strong wind conditions (wind velocity greater than 30 m/s).  $C_D$  values for mature sea conditions are similar to  $C_D$  values for  $R_{\rm BULK}$  cases for weak wind experiments (wind velocity less than 30 m/s). It can be also noted that  $R_{\rm BULK}$  case produces the lowest values of  $C_D$  for all experiments. This results are similar to those observed for  $z_0$  (Figure 4(a)). It means that young waves yield more drag than old waves and drag is more accentuated for

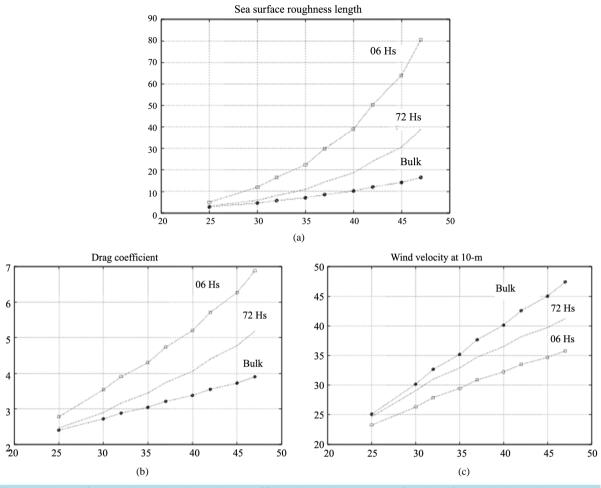


Figure 4. Sea surface roughness length (a); drag coefficient (b) and  $U_{10}$  (c) as a function of numerical experiments. Each figure shows the respective variable for mature (72 hours) and developing (06 hours) seas ( $R_{WA}$ ), and Bulk ( $R_{BULK}$ ) computed in a reference point near the north edge of the experiment domain.

strong wind conditions. As young waves yield more drag and high values of  $z_0$ , it can be expected that in conditions of developing sea the wind velocity, near surface, diminish.

Figure 4(c) shows that  $U_{10}$  is lower at sea dominated by young waves than at developed sea. The wind velocity is reduced in developing sea because young waves produce more resistance (or drag) to the wind flow. According to [19] the reduction of wind velocity, under the influence of young waves, can produce less mass and moisture convergence into meteorological systems. It can diminish both the meteorological system intensity and the height of the waves. Further, the velocity of the considered meteorological phenomena (Hurricanes or extratropical systems) can experience a substantial reduction. At developed sea conditions the wind velocity experiences a less reduction. This occurs due the fact that the resistance to the wind displacement is low is this sea condition. This pattern is similar to conditions of  $R_{\rm BULK}$  case, where sea is considered completely developed.  $U_{10}$  behaves as in the cases of  $z_0$  and  $C_D$  for experiments under strong wind conditions. However, under strong wind conditions young waves produces weak winds. Our results are in agreement with several works which have showed that when waves are considered to interact with the lower atmosphere they can produce significant impacts on wind field [5] [13] [19] [20].

## 4. Conclusions

The effect of waves on sea surface roughness length, sea drag, and wind velocity at 10 meters has been investigated by combining the WW3 model with a simplified algorithm. The combined models estimate the behavior

of  $z_0$ ,  $C_D$ , and  $U_{10}$  for particular sea state situations. To evaluate the sea state effect the wave age coupling term  $(c_p/u_*)$  was included in the algorithm. Several numerical experiments were carried out for constant winds ranging from 15 to 45 m/s. For each numerical experiment,  $z_0$ ,  $C_D$ , and  $U_{10}$  were computed in terms of mature and growing seas.

The results showed that there is a significant dependency between  $z_0$  and the sea state. This relation is strong in cases of developing sea under higher wind speed conditions (wind speed > 30 m/s). This dependency is slight weak in experiments with weak winds (wind speed less than 30 m/s). In addition, it was observed that young waves are related to high values of  $z_0$  while old waves are associated to low values of  $z_0$ . The behavior of  $C_D$  is very similar to those of  $z_0$  values. The wind velocity at 10 meters shows an inverse trend with relation to  $z_0$  and  $C_D$  patterns. As for instance, as the wave age increases, which usually occurs when the sea is reaching an equilibrium condition,  $U_{10}$  also increases. It means that, when the sea is young  $U_{10}$  must decrease because the sea is extremely rough. This pattern is consistent with earlier studies which point out that wind velocity near sea surface is reduced in regions dominated by young waves [5] [19]. This occurs because young waves yield more resistance to the wind displacement and this decreasing in the wind velocity produces significant changes in the heat and moisture fluxes at the air-sea interface.

To evaluate the dependence of  $z_0$  on the sea state under mature and developing sea conditions, two cases were carried out. The first ( $R_{\rm BULK}$ ) considers  $z_{ch}$  constant in time, while the second one ( $R_{\rm WA}$ ) admits that  $z_{ch}$  changes according to the sea state. In  $R_{\rm BULK}$  case  $z_0$  and  $C_D$  values present just a slight increase with wind speed in all experiments. However, in the case of  $R_{\rm WA}$ ,  $z_0$  and  $C_D$  present accentuated and expressive increase as the wind speed in the numerical experiments increases. Despite both  $z_0$  and  $C_D$  obtain a high ratio of increase in the case of  $R_{\rm WA}$ , important and notable differences between developing and mature seas are observed. At developing seas  $z_0$  and  $C_D$  increase. In the case of mature sea  $z_0$  and  $C_D$  values are lower than those in the developing sea case. The difference between developing and mature seas increases for experiments with strong winds. This behavior is also valid for the variable  $U_{10}$ . However,  $U_{10}$  decreases more for developing sea conditions than for mature stage ( $R_{\rm WA}$ ).  $U_{10}$  in  $R_{\rm BULK}$  cases does not suffer any modification in all experiments. This is due to the fact that the sea state condition does not affect rigorously the flow passing by developing seas, as it occurs in  $R_{\rm WA}$  cases.

The present results show important aspects when the sea state is included in the computation of sea surface roughness length. These aspects are related to the change of values of drag and wind velocity near surface, which would bring substantial effects on the life cycle of atmospheric system moving on young sea. Despite the numerical experiments showed that wave state affects the patterns of  $z_0$ ,  $C_D$  and  $U_{10}$ , they are very limited. This limitation is due to the fact that in real conditions the winds will not blow and support constant wind speed too long. Issuing to bring more reality to the results, the second part of this work includes experiments with idealized tropical storms with different intensity and translation speeds.

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