

# System Identification of Wood Drying Process Based on ARMAX Model

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# Abstract

This article presents system identification of wood drying process based on ARMAX model. Temperature and equivalent moisture content are considered as inputs, and moisture content of the wood sample during drying is taken as output of the system. The comparative study of RLS and FF-RLS to identify the system parameters is presented. Simulation results are presented to validate the efficacy of the ARMAX model for wood drying process.

# **Keywords**

Wood Drying, System Identification, Moisture Content

# **1. Introduction**

Wood drying process plays an important role in industry of wood product [1]. The quality of wood product mainly depends on the final moisture content of wood. Temperature and equilibrium moisture content (EMC) are the two main factors influencing the drying moisture content [2]. Hence, experimental data of temperature and EMC was often used to build the prediction model of wood drying moisture in the previous literature.

Wood drying prediction models like [3] [4] [5] [6], simplified physical characteristics are used to simulate the coupled heat and mass transfer during wood drying. However, too many inputs, e.g., gravity, external pressure, capillarity, temperature gradient, water concentration, etc., used to build theoretical models has a deterioration in accuracy to solve the highly coupled equations. Mathematical method such as artificial neural networks (ANN) and support vector machines (SVM) have been used to build drying model due to their ability to capture the trend of moisture content [7] [8] [9] [10]. ANN model can provide accurate prediction results, however over-training problem must to be taken care of to develop the prediction model. SVM model depends on a subset of training data points. Though incomplete data can be used to develop a SVM model, it also requires a substantial number of computation times to solve large-size equations [11].

Prediction models based on Auto Regressive Moving Average model with exogenous input (ARMAX) analysis have been widely shown in literature [12] [13] [14] [15] because of the computational efficiency. To the best of authors' knowledge, ARMAX model applied in the field of wood drying have rarely been seen in the previous research. In this paper, the method of ARMAX is presented to describe the time-varying system of wood drying. Recursive Least Squares (RLS) is introduced to identify the coefficients of the ARMAX model. To improve the identifying accuracy, an optimization algorithm is also discussed. Wood sample used in this study for the drying experiment was Northeast China ash. The dying kiln and its inside structure are show in **Figure 1**. The temperature, EMC, and moisture content used in the simulation model were the average value collected by temperature sensors, EMC sensors, and moisture content sensors.

#### 2. Mathematics Model Description

The model takes temperature and EMC as inputs, moisture content as output. ARMAX model of wood drying process is described as

$$4(z)y(t) = B_1(z)u_1(t) + B_2(z)u_2(t) + D(z)v(t)$$
(1)

The constant coefficient polynomials A(z),  $B_1(z)$ ,  $B_2(z)$  in the wood drying process model are

$$A(z) = a_0 + a_1 z^{-1} + a_2 z^{-2}$$
(2)

$$B_1(z) = b_{10} + b_1 z^{-1} + b_2 z^{-2}$$
(3)

$$B_2(z) = b_{20} + b_3 z^{-1} + b_4 z^{-2}$$
(4)

$$D(z) = d_0 + d_1 z^{-1} \tag{5}$$

When  $t \le 0$ , y(t) = 0,  $u_j(t) = 0$ , v(t) = 0,  $a_0 = 1$ ,  $b_{10} = 0$ ,  $b_{20} = 0$ ,  $d_0 = 0$ .

Substituting polynomials (2), (3), (4), (5) and the initial values into (1), gives

$$\begin{bmatrix} 1 + a_1 z^{-1} + a_2 z^{-2} \end{bmatrix} y(t)$$
  
=  $\begin{bmatrix} b_1 z^{-1} + b_2 z^{-2} \end{bmatrix} u_1(t) + \begin{bmatrix} b_3 z^{-1} + b_4 z^{-2} \end{bmatrix} u_2(t) + (d_1 z^{-1}) v(t)$  (6)



**Figure 1.** Wood drying kiln. (a) Wood drying kiln exterior; (b) Humidifying tube and heating tube; (c) Fans.

Introducing the unit backward shift operator  $z^{-1}$  into (6) yields to

$$y(t) = -a_1 y(t-1) - a_2 y(t-2) + b_1 u_1(t-1) + b_2 u_1(t-2) + b_3 u_2(t-1) + b_4 u_2(t-2) + d_1 v(t-1)$$
(7)

Therefore, the output y(t) can be expressed as

$$y(t) = \varphi^{\mathrm{T}}(t)\theta + v(t)$$
(8)

where  $\theta := [a_1, a_2, b_1, b_2, b_3, b_4, d_1]^T$ ,  $\varphi(t) = [-y(t-1), -y(t-2), u_1(t-1), u_1(t-2), u_2(t-1), u_2(t-2), v(t-1)]^T$ .

## 3. Parameter Identification

Let  $t = 1, 2, \dots$ , Equation (8) leads to

$$Y_t = H_t \theta + V_t \tag{9}$$

Using the Least Squares identification principle to define the quadratic criterion function

$$J(\theta) := V_t^{\mathrm{T}} V_t = (Y_t - H_t \theta)^{\mathrm{T}} (Y_t - H_t \theta)$$
(10)

Using recursive method, matrix  $P^{-1}(t)$  is define as

$$P^{-1}(t) = P^{-1}(0) + \sum_{j=1}^{t} \varphi(j) \varphi^{\mathrm{T}}(j) = P^{-1}(0) + H_{t}^{\mathrm{T}} H_{t}, P(0) = p_{0} I > 0$$
(11)

The RLS estimation of the parameter vector is

$$\hat{\theta}(t) = (H_t^{\mathrm{T}} H_t)^{-1} H_t^{\mathrm{T}} Y_t = P(t) H_t^{\mathrm{T}} Y_t = \hat{\theta}(t-1) + P(t) \varphi^{\mathrm{T}}(t) [y(t) - \varphi^{\mathrm{T}}(t) \hat{\theta}(t-1)]$$
(12)

The estimated residual is

$$\hat{v}(t) = y(t) - \hat{\varphi}(t)\hat{\theta}(t)$$
(13)

where  $\hat{\varphi}(t) := [\phi(t)^{T}, \hat{v}(t-1)]^{T}$ .

RLS estimation of parameter vector  $\theta$  is achieved

$$\hat{\theta}(t) = \hat{\theta}(t-1) + P(t)\hat{\phi}(t)[y(t) - \hat{\phi}^{\mathrm{T}}(t)\hat{\theta}(t-1)]$$
(14)

$$P^{-1}(t) = P^{-1}(t-1) + \hat{\phi}(t)\hat{\phi}^{\mathrm{T}}(t), P(0) = p_0 I > 0$$
(15)

In experimental system, data accumulates with time, results in the failure of extracting new data information from the previous data. Especially to the time-varying parameter system, due to the characteristics of parameter, the algorithm should track the time variation parameter. Hence, forgetting factor  $\lambda$  is introduced into (15), an optimization algorithm to identify the parameters is obtained

$$P^{-1}(t) = \lambda P^{-1}(t-1) + \hat{\varphi}(t)\hat{\varphi}^{\mathrm{T}}(t)$$
(16)

#### 4. Simulation Results

#### 4.1. Computation of the Model Parameters Based on RLS

Simulation results were based on the 1000 input-output experimental data acquired during wood drying. RLS algorithm in Equations (14) and (15) is applied to estimate the parameters in the ARMAX model built in Equation (1). Parameters variation trend is shown in **Figure 2**. Comparison of actual values and predicted values are shown in **Figure 3**. **Figure 4** is the absolute error between predicted value and actual value. Mean Square Error (MSE) and Root Mean Square Error (RMSE) of RLS algorithm is 0.2973 and 0.5387, respectively.



**Figure 2.** Estimate parameters  $a_1$ ,  $a_2$ ,  $b_1$ ,  $b_2$ ,  $b_3$ ,  $b_4$ ,  $d_1$ .



Figure 3. Comparison of actual values and predicted values.



Figure 4. Absolute error.

#### 4.2. Computation of the Model Parameters Based on FF-RLS

Parameters variation trend with  $\lambda = 0.95$  is shown in **Figure 5**. It is obviously observed that the FF-RLS (Forgetting Factor Recursive Least Squares) algorithm has a faster convergence speed than RLS algorithm. From t = 200, curves of estimate parameters tend to be stable. The comparison of actual values and predicted values is shown in **Figure 6**. The absolute error between predicted value and actual values is shown in **Figure 7**. MSE is 0.3630, and RMSE is 0.7111. Convergence speed of FF-RLS algorithm is faster, however the absolute error is greater than RLS algorithm.



**Figure 5.** Estimate parameters  $a_1$ ,  $a_2$ ,  $b_1$ ,  $b_2$ ,  $b_3$ ,  $b_4$ ,  $d_1$ .



Figure 6. Comparison of actual values and predicted values.



Figure 7. Absolute error.

# **5.** Conclusion

In this paper, an ARMAX model based on the experimental data is derived to describe the wood drying model, which is adopted to predict wood moisture content during drying. RLS and FF-RLS algorithms are utilized to identify the system parameters. The proposed method is verified by simulation results. The parameters variation trend with the proposed prediction scheme is studied. Simulation results demonstrate that the FF-RLS method leads to a faster and more stable convergence compared with RLS scheme. However, the accuracy of RLS estimate is higher than FF-RLS.

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# **Conflicts of Interest**

The authors declare no conflicts of interest regarding the publication of this paper.

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