

On Functions of K-Balanced Matroids

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Abstract

In this paper, we prove an analogous to a result of Erdős and Rényi and of Kelly and Oxley. We also show that there are several properties of k-balanced matroids for which there exists a threshold function.

Keywords

K-Balanced, Matroid, Projective Geometry, Threshold Function

1. Introduction

We begin with some background material, which follows the terminology and notation in [1]. Let $M = (E, F)$ denote the matroid on the ground set E with flats F . All matroids considered in this paper are loopless. In particular, if M is a matroid on a set E and $X \subseteq E$, then $r(X)$ will denote the rank of X in M . We shall be considering projective geometries over a fixed finite field $GF(q)$, recalling that (see, for example [2]) the number $\begin{bmatrix} r \\ n \end{bmatrix}$ of rank- n subspaces of the projective geometry $PG(r-1, q)$ is

$$\frac{(q^r - 1)(q^{r-1} - 1) \cdots (q^{r-n+1} - 1)}{(q^n - 1)(q^{n-1} - 1) \cdots (q - 1)}.$$

The uniform matroid of rank r and size n is denoted by $U_{r,n}$ where $r = 0, 1, \dots, n$. When $r = n$, the matroid $U_{r,r}$ is called free and when $r = n = 0$, the matroid $U_{0,0}$ is called the empty matroid. For more on matroid theory, the reader is referred to [1]-[15]. Let k be a nonnegative integer. The k -density of a matroid M with rank greater than k is given by $d_k(M) = \frac{|M|}{r(M) - k}$, where $|M|$

is the size of the ground set of M and $r(M)$ is the rank of the matroid M . A matroid M is **k-balanced** if $r(M) > (k(k+1))/2$ and

$$d_k(M) \leq d_k(M) \quad (1)$$

for all non-empty submatroids $H \subseteq M$ and **strictly k-balanced** if the inequality is strict for all such $H \neq M$. When $k = 0$, M is called **balanced** and when $k = 1$, M is called **strongly balanced**.

A **random** submatroid ω_r of the projective geometry $PG(r-1, q)$ is obtained from $PG(r-1, q)$ by deleting elements so that each element has, independently of all other elements, probability $1 - p$ of being deleted and probability $1 - p$ of being retained. In this paper, we take p to be a function $p(r)$ of r . Let A be a fixed property which a matroid may or may not possess and $P_{r,p}(A)$ denotes the probability that ω_r has property A . We shall show that there are several properties A of k -balanced matroids for which there exists a function $t(r)$ such that

$$\lim_{r \rightarrow \infty} P_{r,p}(A) = \begin{cases} 0, & \lim_{r \rightarrow \infty} \frac{P}{t(r)} = 0 \\ 1, & \lim_{r \rightarrow \infty} \frac{P}{t(r)} = \infty \end{cases}$$

If such a function exists, it is called a **threshold function** for the property A . For more on these notions, the reader is referred [16] [17].

2. K-Balanced Matroids

In this section, we prove the following main result which is analogous to Theorem 1 of Erdős and Rényi [16] and to Theorem 1.1 of Kelly and Oxley [17].

Theorem 1. *Let m and n be fixed positive integers with $n \leq m$ and suppose that $B_{n,m}$ denote a non-empty set of k -balanced simple matroids, each of which have m elements and rank n and is representable over $GF(q)$. Then a threshold function for the property B that ω_r has a submatroid isomorphic to some member of $B_{n,m}$ is $q^{\frac{-m}{m}}$.*

Proof. Let X and $B_{n,m}$ denote the number of submatroids of the matroid ω_r and $PG(n-1, q)$ respectively which are isomorphic to some member of $B_{n,m}$. Then

$$P_{r,p}(B) = P(X \neq 0) \leq EX$$

by definition of expectation. Therefore

$$P_{r,p}(B) \leq \binom{r}{n} B_{n,m} p^m \leq B_{n,m} p^m q^m \leq B_{n,m} \left(\frac{p}{q^{\frac{m}{m}}} \right)^m.$$

Thus, if $\lim_{r \rightarrow \infty} \frac{p}{q^{\frac{m}{m}}} = 0$, then $\lim_{r \rightarrow \infty} P_{r,p}(B) = 0$.

Now suppose that $\lim_{n \rightarrow \infty} \frac{p}{q^{\frac{m}{m}}} = \infty$. We need to show that, in this case,

$\lim_{n \rightarrow \infty} P_{r,p}(B) = 1$. Let $D_{m,n}$ be the set of subsets A of $PG(r-1, q)$ for which the restriction $PG(r-1, q)|_A$ of $PG(r-1, q)$ to A is isomorphic to some mem-

ber of $B_{n,m}$. Then

$$EX^2 = \sum_{A_1 \in D_{m,n}} \sum_{A_2 \in D_{m,n}} p^{|A_1 \cup A_2|} = \sum_{i=0}^m p^{m+i} \alpha_i \tag{2}$$

where α_i equals the number of ordered pairs (A_1, A_2) such that $A_1, A_2 \in D_{m,n}$ and $|A_1 \cap A_2| = m - i$. Thus

$$EX^2 \leq p^{2m} \left[\left(B_{m,n} \begin{bmatrix} r \\ n \end{bmatrix} \right)^2 + \sum_{i=0}^{m-1} p^{i-m} \alpha_i \right].$$

We now want to obtain upper bounds on the numbers $\alpha_0, \alpha_1, \dots, \alpha_{m-1}$, so suppose that $A_1, A_2 \in D_{m,n}$ and $|A_1 \cap A_2| = m - i$ where $0 \leq i \leq m - 1$. Then as $PG(r-1, q) \mid A$ is k -balanced,

$$\left(|A_1 \cap A_2| \right) / \left(r(A_1 \cap A_2) - k \right) \leq m / (n - k)$$

and so $r(A_1 \cap A_2) \geq ((m - i)(n - k)) / m + k$. It follows that

$$\begin{aligned} r(A_2) - r(A_1 \cap A_2) &\leq n - ((m - i)(n - k)) / m - k \\ &= (i(n - k)) / m \leq (in) / m \end{aligned}$$

and hence $r(A_2) - r(A_1 \cap A_2) \leq \lfloor (in) / m \rfloor$ where $\lfloor (in) / m \rfloor$ is the floor of $(in) / m$.

Now $\alpha_i = \beta_i \gamma_i$ where β_i is the number of ways to choose A_1 and γ_i is the number of ways to choose A_2 so that $|A_1 \cap A_2| = m - i$, A_1 having already been chosen. Clearly $\beta_i = B_{m,n} \begin{bmatrix} r \\ n \end{bmatrix}$. Once A_1 has been chosen, there are at most $\binom{m}{m-i}$ choices for the subset $A_1 \cap A_2$ of A_1 . Further, once $A_1 \cap A_2$ has been chosen, A_2 must be contained in some rank n subspace W of $PG(r-1, q)$ which contain the chosen set $A_1 \cap A_2$. The number δ of such subspaces W is bounded above by

$$\left((q^r - q^s) / (q - 1) \right) \left((q^r - q^{s+1}) / (q - 1) \right) \dots \left((q^r - q^{n-1}) / (q - 1) \right),$$

where $s = r(A_1 \cap A_2)$. Thus $\delta \leq q^{r(n-1)}$. But it was shown above that $n - s \leq \lfloor (in) / m \rfloor$; hence $\delta \leq q^{r \lfloor in/m \rfloor}$. Once W has been chosen, there are at most $B_{m,n}$ choices for A_2 . We conclude that

$$\gamma_i \leq \binom{m}{m-i} q^{r \lfloor in/m \rfloor} B_{m,n}$$

and hence

$$\alpha_i \leq \begin{bmatrix} r \\ n \end{bmatrix} B_{m,n}^2 \binom{m}{m-i} q^{r \lfloor in/m \rfloor}. \tag{3}$$

Now as $EX = \begin{bmatrix} r \\ n \end{bmatrix} B_{m,n} p^m$, we have by Equation (2), that

$$\frac{EX^2}{(EX)^2} \leq 1 + \left(B_{m,n} \begin{bmatrix} r \\ n \end{bmatrix} \right)^{-2} + \sum_{i=0}^{m-1} p^{i-m} \alpha_i.$$

Hence, by Equation (2),

$$\frac{EX^2}{(EX)^2} \leq 1 + \left(B_{m,n} \begin{bmatrix} r \\ n \end{bmatrix} \right)^{-2} + \sum_{i=0}^{m-1} p^{i-m} \begin{bmatrix} r \\ n \end{bmatrix} B_{m,n}^2 \binom{m}{m-i} q^{r \lfloor in/m \rfloor}.$$

$$\text{Thus } \frac{EX^2}{(EX)^2} \leq 1 + \sum_{i=0}^{m-1} p^{i-m} \binom{m}{m-i} \frac{q^{\lfloor \frac{in}{m} \rfloor}}{\binom{r}{n}} \leq 1 + \sum_{i=0}^{m-1} p^{i-m} \binom{m}{m-i} \frac{q^{\lfloor \frac{in}{m} \rfloor}}{q^{n(r-n)}}$$

Since $\binom{r}{n} \geq q^{n(r-n)}$. Thus

$$\frac{EX^2}{(EX)^2} \leq 1 + \sum_{i=0}^{m-1} p^{i-m} q^{-m+r \lfloor \frac{in}{m} \rfloor} \binom{m}{m-i} q^{n^2}. \tag{4}$$

Now consider $p^{i-m} q^{-m+r \lfloor \frac{in}{m} \rfloor}$. We have

$$q^{-m+r \lfloor \frac{in}{m} \rfloor} \leq q^{-r \binom{n-in}{m}} = (q^{m/m})^{i-m}.$$

Thus $p^{i-m} q^{-m+r \lfloor \frac{in}{m} \rfloor} \leq \left(pq^{m/m} \right)^{i-m}$. But $\lim_{r \rightarrow \infty} pq^{m/m} = \infty$, hence

$\lim_{r \rightarrow \infty} \left(pq^{m/m} \right)^{i-m} = 0$ for $0 \leq i \leq m-1$. It follows from Equation (4) that $\lim_{r \rightarrow \infty} \sup \frac{EX^2}{(EX)^2} \leq 1$; hence $\lim_{r \rightarrow \infty} \frac{EX^2}{(EX)^2} = 1$. Therefore, by Chebyshev's In-

equality, $\lim_{r \rightarrow \infty} P(X \neq 0) = 1$. We conclude that $q^{\frac{-m}{m}}$ is indeed a threshold function for the property B .

Corollary 1 *If n is a fixed positive integer, then a threshold function for the property that ω_r has an n -element independent set is q^{-r} .*

Corollary 2 *If m is a fixed positive integer exceeding two, then a threshold function for the property that ω_r has an m -element circuit is $q^{\frac{-r(m-1)}{m}}$.*

Corollary 3 *If n is a fixed positive integer, then a threshold function for the property that ω_r contains a submatroid isomorphic to $PG(n-1, q)$ is $q^{\frac{-m(q-1)}{q^n-1}}$.*

To show that the preceding three results are valid, we are required to check that the appropriate submatroids are k -balanced. For example, in Corollary 1, the n -element independent set must be k -balanced; this is the free matroid $U_{n,n}$. Corollary 2 requires one to verify that an m -element circuit is k -balanced; this is precisely the uniform matroid $U_{m-1,m}$, while in Corollary 3, the projective geometry $PG(n-1, q)$ needs to be k -balanced. For a more thorough discussion of this material, the reader is referred to Proposition 2 and Theorem 5 in [2].

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