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Ferroelectricity in Layered Perovskites as a Model of Ultra-Thin Films

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Abstract

The instability of thin ferroelectric films is discussed based on the close similarity of dielectric properties between bulk Bi-layered perovskites and thin $BaTiO_3$ films. The dielectric properties of pseudo-two-dimensional layered perovskites suggest that the bulk layered ferroelectric is a good model of ultra-thin ferroelectric film with a few perovskite units, free from any misfit lattice strain. It seems plausible that the ferroelectric interaction is still prominent but shows a crossover from ferroelectric to antiferroelectric along the unique c-axis (perpendicular to the film plane); with decreasing thickness, the ferroelectricity appears within the plane, which results in so-called "canted ferroelectricity". An extra relaxation mode induced by surface effect of thin films correlates with soft mode, which results in a new intermediate phase between the paraelectric and ferroelectric phases. These evidences may indicate no critical thickness even for ferroelectric ultrathin films.

Keywords

Ferroelectricity, Layered Oxide, Perovskite, Thin Film, Size Effect

1. Introduction

Ferroelectric compounds exhibit many attractive physical properties such as piezoelectricity, pyroelectricity, high-dielectric constant, non-linear optical effects and bistable nature. Therefore various works have been focused on integration of ferroelectric thin films into devices such as ferroelectric nonvolatile memory (FRAM), metal-oxide-semiconductor field-effect transistors (MOSFETs) and sensor devices [1]-[5]. Although ferroelectrics were embedded as a functional element in the form of thin films, our concern is whether the ferroelectricty can persist even in ultra-thin films or not. Since the ferroelectricty originates fundamentally from electrostatic

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long-range force in dielectric media, it is important to know the exact size effect of thin films on ferroelectricity and the critical thickness where the ferroelectricity may disappear. However, various experiments of ferroelectric thin films have not been enough investigated because of its difficulty to grow a good quality of ultra-thin films and to estimate the effects of misfit strain due to their substrate. It is of fundamental interest in two-dimensional structure of ferroelectric thin films experimentally and theoretically since early times [6]. There have been many attempts to study the size effect on ferroelectricity, leading to a rich literature of both experimental and theoretical works [7] [8]. Especially, studies of ferroelectric perovskites are activated and are promised as one of the best sources of ferroelectric thin films since the perovskite oxides show prominent high dielectric constants and piezoelectric properties.

The similarity of dielectric and structural features has been observed between BaTiO₃ thin films and Bi-layered perovskite compounds. This means that both materials have a common mechanism for ferroelectric activity. Among Bi-layered perovskites, SrBi₂Ta₂O₉ (abbreviated as SBT) has been extensively studied as a candidate for ferroelectric non-volatile memory devices. Based on dielectric and structural similarities, it may be reasonable to consider that SBT is a good model for an ideal ferroelectric thin film, free from any misfit lattice strain. The close analogy between Bi-layered perovskites and ferroelectric thin films was pointed out simply in a previous paper [9]. In this review, we will discuss the ferroelectric stability in ultra-thin films in detail from the viewpoint of the nature of Bi-layered perovskites.

2. BaTiO₃: Bulk Crystal and Thin Film

We will simply introduce fundamentals of barium titanate, BaTiO₃, in the form of bulk crystal and thin films. Perovskite oxides have a basic structure of ABO_3 , where A and B are cations. This structure has been considered to be a prototype for many ferroelectrics just as for ferromagnetic, semiconducting and superconducting materials, depending on the composition. The first perovskite oxide showed ferroelectricity was BaTiO₃, which is cubic with space group Pm3m in the high-temperature paraelectric phase. It undergoes a ferroelectric phase transition at 409.5 K (T_c) and has a tetragonal structure (P4mm) at room temperature. The characteristic features associated with this phase transition are known such as a large dielectric anomaly. The dielectric constant (ε) is over 14,000 at T_c (Figure 1) and follows the Curie-Weiss law, $\varepsilon = C/(T - T_c)$ with C = 14,000 K [10]. The saturated spontaneous polarization (P_s) of the tetragonal phase is about 26 μ C/cm² along the tetragonal c-axis.

This phase transition has been explained by the so-called "soft mode theory" after Cochran where a transverse optic (TO) mode softens [11] [12]. The decrease in the frequency of TO mode (ω_{TO}) towards T_c results in the large dielectric anomaly as we should remember the following LST (Lyddane-Sachs-Teller) relation,

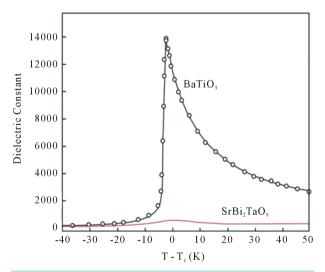


Figure 1. Dielectric constant of BaTiO₃ bulk crystal associated with a paraelectric-ferroelectric phase transition [10]. The recent T_c has been reported as 409.5 K in high quality sample as shown in **Figure 4**. The red line shows dielectric constants of SrBi₂TaO₉ bulk ceramics with $T_c = 608$ K [9].

$$\frac{\mathcal{E}}{\mathcal{E}_{\infty}} = \prod_{j} \left(\frac{\omega_{\text{LO } j}^{2}}{\omega_{\text{TO } j}^{2}} \right) \tag{1}$$

where ε , ε_{∞} are dielectric constant of static and at the frequency $f = \infty$. The ω_{LO} and ω_{TO} are frequencies of longitudinal optic and transverse optic modes of the j-th branch, respectively.

Recently, however, it has been recognized that the dielectric constant begins to decrease with decreasing its sample thickness below 2000 Å (**Figure 2**) [13]-[19]. The peak value of dielectric anomaly is only 380 at 1000 Å. Moreover, the dielectric constant shows broad and non Cure-Weiss behavior as in **Figure 3** [13]-[19]. This evidence indicates that the well-established soft mode may be modified in the sense of lattice dynamics of ultrathin films of BaTiO₃.

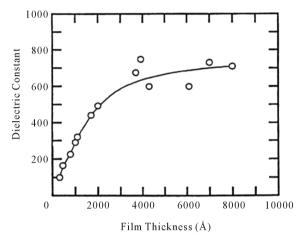


Figure 2. Thickness dependence of dielectric constant of BaTiO₃ thin films on Pt/MgO substrate at room temperature [13]-[19]. With decreasing thickness, the dielectric constant monotonically decreases from 700 (8000 Å thick) to 100. The solid line is a guideline. Detailed discussion is given in reference [17].

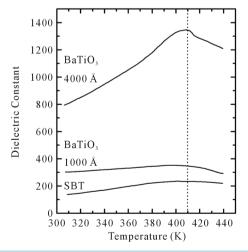


Figure 3. Temperature dependence of dielectric constant of BaTiO₃ thin films with thickness of 4000 Å and 1000 Å. Dielectric constant of SrBi₂Ta₂O₉ (SBT) bulk ceramics is also referred for comparison where its T_c is shifted to that of BaTiO₃ bulk crystal [13]-[19]. The dotted line is the T_c of BaTiO₃ bulk crystal ($T_c = 409.5$ K). More detailed comparison is given in Figure 13.

The specific heat (C_p) shows a sharp and clear λ -type anomaly at T_c in bulk BaTiO₃ [20]. In the case of thin films, it changes to a broad, small and characteristic one with decreasing film thickness [21]. The spontaneous polarization (P_s) is related to the anomalous specific heat (ΔC_p) in the mean field theory as

$$P_s^2(T) = \frac{C}{2\pi} \int_T^\infty \frac{\Delta C_p}{T} dT$$
 (2)

This suggests that the temperature dependence of an order parameter (spontaneous polarization P_s) looks to be weak and linear. The upper and lower temperatures of anomalous specific part are shown by short arrows in **Figure 5(a)**. Following the above thermodynamic relation, Equation (2), T_c should be understood as the upper temperature, but it is rather difficult to determine T_c definitely for this film. For film with 60 Å thick, large hysteresis phenomena are observed as shown in **Figure 5(b)**. The sharp anomalous specific heat observed in the single bulk crystal changes to diffuse one in thin films. It plays somewhat in different and diffusive manners from the usual mean-field behavior $(P_s \sim (T - T_c)^{1/2})$. As pointed out by many researches, these novel ferroelectric properties are essentially due to the two-dimensionality in thin films.

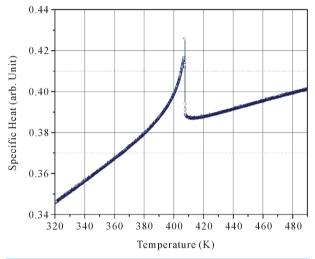


Figure 4. Temperature dependence of specific heat of BaTiO₃ bulk single crystal around the paraelectric-ferroelectric phase transition point T_c (409.5 K) [20].

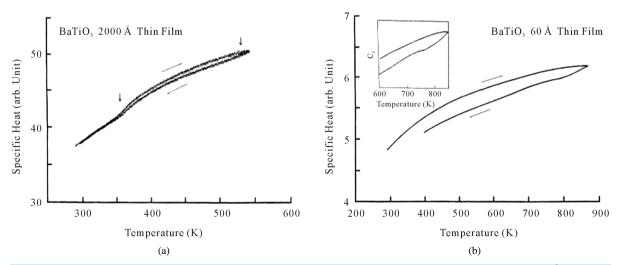


Figure 5. Temperature dependence of specific heat of BaTiO₃ epitaxial thin films on SrTiO₃ substrate; (a) 2000 Å thick and (b) 60 Å thick [21]. The arrows show the direction of heating and cooling. The short arrows indicate the beginning and end temperature of anomalous specific heat in (a).

The similar weak dielectric nature has been pointed out in Bi-layered perovskite compounds [9] $SrBi_2Ta_2O_9$ (SBT), which has been extensively studied as a candidate for ferroelectric non-volatile memory devices. As discussed in the next section, this similarity of crystal structure and dielectric properties between thin films of Ba- TiO_3 and Bi-layered perovskites shows that both materials have a common mechanism resulted in dielectric nature. Moreover it suggests us that SBT may be a good model for an ideal ferroelectric thin film, though SBT itself is a bulk crystal.

3. Bi-Layered Perovskite

A series of layered perovskite compounds were discovered by Aurivillius in 1949 [22]. Among these compounds, the ferroelectric activities of Bi-layered perovskites were first discovered by Smolenskii *et al.* [23]. The general chemical formula of Bi-layered perovskites is $A_{m-1}Bi_2B_mO_{3m+3}$, which is rewritten as $[Bi_2O_2]^{2^+}$ · $[A_{m-1}B_mO_{3m+1}]^{2^-}$. It consists of the regular staking of semiconducting $[Bi_2O_2]$ slabs and perovskite-like octahedral $[BO_6]$ groups, as described by Haeni *et al.* [24]. Various *A* and *B* cations are allowed for these compounds such as $A = Na^+$, K^+ , Ba^{2^+} , Ca^{2^+} , Pb^{2^+} , Sr^{2^+} , Bi^{3^+} , and $B = Fe^{3^+}$, Ti^{4^+} , Nb^{5^+} , Ta^{2^+} , W^{6^+} . For example, Bi_2WO_6 (m = 1), $SrBi_2Ta_2O_9$ (m = 2) and $Bi_4Ti_3O_{12}$ (m = 3) are well studied. Additional members can be generated by allowing the number of stacking (m) of perovskite-like group. The family of Bi-layered perovskites has the face-centered orthorhombic unit cell as shown in Figure 6.

The reported Bi-layered perovskites are summarized in **Table 1** [25] [26]. The unit cell is highly anisotropic and pseudo two-dimensional as given in **Table 2**. The -O-B-O- linkage along the pseudo-tetragonal unique c-axis is interrupted by the existence of semiconducting Bi₂O₂ layers. The perovskite BO₆ layers and Bi₂O₂ layers are linked by weak van der Waals interaction. This structure is similar to that of thin perovskite films with

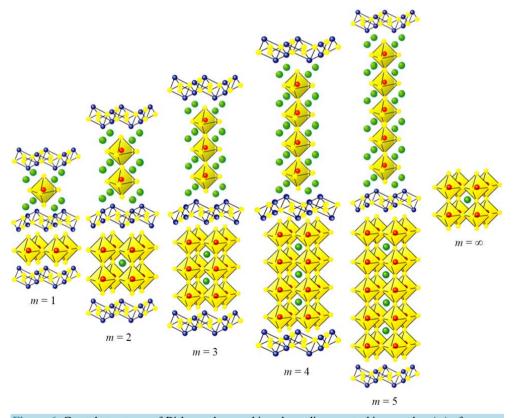


Figure 6. Crystal structures of Bi-layered perovskites depending on stacking number (m) of perovskite-like groups. The atoms in $A_{m-1} \text{Bi}_2 B_m \text{O}_{3m+3}$ within the unit cell are shown as green ball (A), red ball (B), yellow ball (O) and blue ball (B). The long axis is the c-axis. The crystal structure is orthorhombic but nearly tetragonal at room temperature. The $B\text{O}_6$ are shown as octahedra. Bulk BaTiO₃ with simple perovskite structure has been recognized as a structure with expanded Bi-layered perovskite with infinity perovskite units $(m = \infty)$.

Table 1. Dielectric properties of layered perovskites $(Bi_2O_2)^{2+}(A_{m-1}B_mO_{3m+1})^{2-}$. *Ferroelectricity has not been confirmed.

m	Compound	$T_{c}\left(\mathrm{K}\right)$	P_s (μ C/cm ²) along a axis	Dielectric Constant		Curie-Weiss Constant	
				ε (r.t.)	$\varepsilon\left(T_{c}\right)$	$C(10^5 \text{ K})$	Θ (Κ)
1	$\mathrm{Bi}_2\mathrm{WO}_6$	973	-	200	3100	1.4	1199
1	$\mathrm{Bi}_{2}\mathrm{MoO}_{6}$	843	-	16	103	-	-
2	Bi_3TiNbO_9	1213	-	100	-	-	
2	Bi_3TiTaO_9	1146?	-	140	-	-	
2	$CaBi_2Nb_2O_9$	923?	-	80	-	-	
2	$CaBi_2Ta_2O_9$	873?	-	140	-	-	
2	$SrBi_2Nb_2O_9 \\$	713	-	190	1100	0.55	663
2	$SrBi_2Ta_2O_9 \\$	608	5.8 at r. t.	180	550	2.0	463
2	$BaBi_2Nb_2O_9\\$	473	-	280	540	-	-
2	$BaBi_2Ta_2O_9$	383	-	400	450	-	-
2	$PbBi_2Nb_2O_9\\$	823	-	170	2100	1.3	783
2	$PbBi_2Ta_2O_9 \\$	703	-	180	340	0.37	598
3	$Bi_4Ti_3O_{12}\\$	948	50//a, 4//c	140	1600	-	-
3	$BaBi_3Ti_2NbO_{12} \\$	543	-	-	-	-	-
3	$PbBi_3Ti_2NbO_{12} \\$	563	-	-	-	-	-
4	$BaBi_4Ti_4O_{15} \\$	693	-	150	1630	2.5	608
4	$PbBi_4Ti_4{O_{15}}^*$	843	-	220	5500	1.4	825
4	$SrBi_{4}Ti_{4}O_{15}^{*}$	803	-	190	1630	0.68	758
4	$\text{CaBi}_4\text{Ti}_4\text{O}_{15}^{*}$	1063	-	120	-	-	-
4	$Bi_5Ti_3CaO_{15}\\$	-	-	150	-	-	-
4	$Na_{0.5}Bi_{4.5}Ti_{4}O_{15} \\$	908	-	200	1600	0.79	883
4	$K_{0.5}Bi_{4.5}Ti_4O_{15}\\$	823	-	140	1700	0.74	788
5	$Pb_2Bi_4Ti_5O_{18} \\$	583	0.06 at 508 K	400	5900	4.1	553
5	$Sr_2Bi_4Ti_5O_{18}$	558	3.5 at 528 K	280	1700	0.47	528
5	$Ba_2Bi_4Ti_5O_{18}\\$	602	2 at r.t.	360	-	-	-
5	$Ca_2Bi_4Ti_5O_{18}\\$	726	-	40	420	-	-
5	$Pr_2Bi_4Ti_3Fe_2O_{18} \\$	1173	-	-	-	-	-
5	$Bi_6Ti_3WO_{18}\\$	1023	-	100	1000	-	-

Table 2. Crystal system and the existence of soft mode of typical Bi-layered perovskites.

m	Compound	Crystal system (r.t.)	Lattice parameters (r.t.) [Å]	Soft mode
2	$SrBi_2Ta_2O_9$	Orthorhombic (A2 ₁ am)	a = 5.531, b = 5.534, c = 25.98	0
3	$Bi_4Ti_3O_{12}\\$	Monoclinic (Pc)	$a = 5.450, b = 5.406, c = 32.83, \beta \sim 90^{\circ}$	0
4	$SrBi_{4}Ti_{4}O_{15} \\$	Orthorhombic (A2 ₁ am)	a = 5.451, b = 5.437, c = 41.01	0
5	$Sr_2Bi_4Ti_5O_{18}$	Orthorhombic (B2cb)	a = 5.465, b = 5.463, c = 48.85	0

m-layers. Among these compounds, $\mathrm{Bi_4Ti_3O_{12}}$ with m=3 has a monoclinic structure (Pc) and a polarization along the a- and c-axis, which indicates that this crystal has an relatively strong interaction along the c-axis and shows the three-dimensional character. Therefore, compounds with m=3 ($\mathrm{Bi_4Ti_3O_{12}}$, $\mathrm{BaBi_3Ti_2NbO_{12}}$, and $\mathrm{PbBi_3Ti_2NbO_{12}}$) are not included in the following discussion on two-dimensional nature, although $\mathrm{Bi_4Ti_3O_{12}}$ is an interesting ferroelectric compound for thin film application.

4. SrBi₂Ta₂O₉ (SBT)

Strontium bismuth tantalate $SrBi_2Ta_2O_9$ is the most important material in the application for FRAM, because of its low fatigue, low coercive field and Pb-free compound [1] [2]. The room-temperature structure is orthorhombic $A2_1$ am (a = 5.531, b = 5.534, c = 25.984 Å), with 28 atoms in the unit cell. It consists of perovskite-type $[SrTa_2O_7]^{2^-}$ groups (two layers of TaO_6 octahedra) and semiconducting $[Bi_2O_2]^{2^+}$ layers. They are stacked alternately along the pseudo- tetragonal c-axis as shown in **Figure 7** [27]-[33]. Ferroelectricity in $SrBi_2Ta_2O_9$ with m = 2 was discovered below 608 K (T_c) by Smolenskii $et\ al.$ in 1961 [23]. $SrBi_2Ta_2O_9$ undergoes two phase transitions at 608 K and 850 K. This crystal shows small and broad dielectric anomaly around T_c where the maximum value is only 260 (**Figure 8**). A broad anomaly in specific heat has been observed as shown in **Figure 9**. This non Curie-Weiss like dielectric anomaly is observed not only in $SrBi_2Ta_2O_9$ but also in other Bi-layered perovskites commonly.

The spontaneous polarization (P_s) is relatively large and 5.8 ~ 10 μ C/cm² along the a-axis (not along the pseudo-tetragonal c-axis) at room temperature [25], while $P_s = 26 \mu$ C/cm² along the tetragonal c-axis in BaTiO₃ [34]. The high-temperature paraelectric phase is tetragonal with space group I4/mmm (a = 3.927, and c = 25.142 Å at 1000 K), where the TaO₆ octahedra take antiparallel arrangements along the tetragonal c-axis [30]. The shape of TaO₆ octahedron is not perfect and is elongated along the c-axis even in the paraelectric tetragonal phase, which means the TaO₆ octahedon has a dipole moment. The Ta-O bond lengths owned commonly by adjacent octahedra along the tetragonal c-axis are a little bit short, and chemical bonds directed to Bi₂O₂-layer are long. In the ferroelectric phase, this crystal favors canted octahedral TaO₆ arrangements below T_c . This structure results in the net spontaneous polarization along the a-axis and no polarization along the pseudo-tetragonal c-axis.

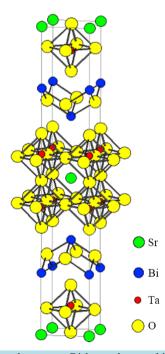


Figure 7. Crystal structure Bi-layered perovskite $SrBi_2Ta_2O_9$, which consists of Bi_2O_2 semiconducting layers interleaved with TaO_6 perovskite groups. This orthorhombic structure is nearly tetragonal with $a \sim b$. The c-axis is perpendicular to these stacking layers.

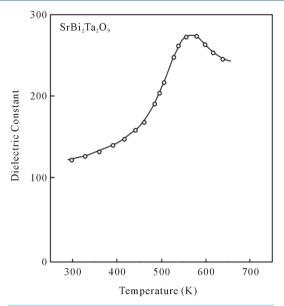


Figure 8. Small and broad dielectric behavior in $SrBi_2Ta_2O_9$ around T_c . The peak value is only 260 and the half width of this anomaly is over 400 K.

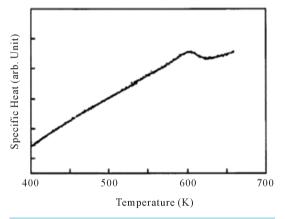


Figure 9. Temperature dependence of specific heat of SrBi₂Ta₂O₉ single crystal [33].

The crystal structures of the high-temperature paraelectric phase at 1000 K and the ferroelectric phase at room temperature are schematically shown in **Figure 10** [33] [35]-[38]. The TaO_6 octahedra are distorted in both phases. Even in the high-temperature paraelectric phase, the octahedra are elongated along the pseudo-tetragonal c axis and locate in an antiparallel way. The distortion parameter of one TaO_6 octahedron, p, defined as

$$p = |\mathbf{p}| = \sum_{i=1}^{7} q_i (\mathbf{r}_i - \mathbf{r}_G)$$
(3)

is estimated to be 1.365 debye along the c-axis as shown in **Table 3**, where q_i and r_i and are charge and position of each constituent ion, and r_G is the gravity center of TaO₆ [30].

The parameter p is calculated as (0, 0, 1.365) in debye unit in the high-temperature paraelectric phase. In the ferroelectric phase, the absolute value is 1.682 debye, which is almost the same as that of the high-temperature paraelectric phase within errors. If the distortion of octahedron corresponds to a dipole moment, it should be probable that $SrBi_2Ta_2O_9$ prefers an antiferroelectric structure along the c-axis, when the distorted octahedra are mainly responsible for the ferroelectric activity. Furthermore, these distorted octahedra have a canted arrangement in the ferroelectric phase as shown in Figure 10(a).

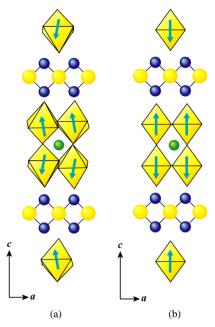


Figure 10. Schematic crystal structures of (a) the ferroelectric (space group; $A2_1$ am) and (b) the paraelectric phases (space group; I4/mmm) of $SrBi_2Ta_2O_9$. Arrows show estimated dipole arrangements of TaO_6 octahedra [27].

Table 3. Estimated dipole moment of TaO₆ octahedron of the ferroelectric phase at 300 K (A2₁am) and the high-temperature paraelectric phase (I4/mmm) at 1000 K [27].

		I4/mmm			
	Onodera [27]	Miura [37]	Shimakawa et al. [36]	Rae et al. [35]	Onodera [27]
p_x	0.035	0.564	0.425	0.386	0
$p_{\scriptscriptstyle \mathrm{y}}$	-0.702	-0.074	0.001	0.003	0
p_z	1.528	1.747	1.169	1.117	1.365
p	1.682	1.837	1.244	1.182	1.365

5. Phase Transition in SrBi₂Ta₂O₉

The structural arrangement of TaO₆ octahedra in SBT reminds us canted-ferromagnets (or weak ferromagnets) discussed by Moriya [39] and in layered canted-ferromagnets by de Gennes [40]. The free energy is given in terms of sublattice polarizations P_1 , P_2 and an angle θ between them as

$$F = \frac{1}{2}\alpha_1 P_1^2 + \frac{1}{2}\alpha_2 P_2^2 + \gamma P_1 P_2 \cos \theta - \delta P_1 P_2 \sin \theta,$$
 (4)

where the third symmetrical term is a well-known exchange interaction, which prefers an antiparallel configuration. The last term is an antisymmetric Dzialoshinski-Moriya interaction, which forces dipoles to tilt from the c-axis. These two terms are considered to be induced by the two-dimensional size effect of thin films. If $\theta = \pi$ and $\alpha_1 = \alpha_2$, the above expression is the same as that for antiferroelectrics proposed by Kittel [41]. Because of the last Dzialoshinski-Moriya term, the above free energy favors the canted arrangement of dipoles rather than antiferroelectric one as shown in **Figure 10(a)**.

From the condition, $\partial F/\partial \theta = 0$, we get the following relation

$$an \theta = -\delta/\gamma . ag{5}$$

As the observed value of θ is 154° at room temperature, the coefficient δ of the antisymmetrical term is about half of the symmetrical term γ in this compound. From the relations

$$\partial F/\partial P_1 = \partial F/\partial P_2 = E , \qquad (6)$$

we have an expression for the dielectric susceptibility as

$$\chi = (\alpha_1 + \alpha_2 - 2\gamma') / (\alpha_1 \alpha_2 - {\gamma'}^2),$$

$$\gamma' = \gamma \cos \theta - \delta \sin \theta.$$
(7)

When we assume $\alpha_1 = \alpha_2 = \alpha$, χ is given as

$$\chi = 2/(\alpha + \gamma'). \tag{8}$$

It is evident that the dielectric susceptibility shows a small cusp just like antiferroelectrics. As θ may vary from π to 154° with decreasing temperature, the coefficient γ' changes gradually. Dielectric constant may show a broad anomaly around T_c , which is smeared by two-dimensional surface strain as pointed out by Tagantsev *et al.* [42]. The weak dielectric behavior may be attributed to the two-dimensional effect, which favors for dipole moment to cant from the tetragonal axis in SrBi₂Ta₂O₉.

6. Dielectric Behavior in $Sr_2Bi_4Ti_5O_{18}$ with m = 5

The phase transitions in Bi-layered perovskites with m = 5 have not been known in comparison to $SrBi_2Ta_2O_9$. The crystal structure is orthorhombic with space group B2cb at room temperature (**Figure 11**) [43]. The high-temperature phase is believed to be tetragonal (I4/mmm). The dielectric measurement of $Sr_2Bi_4Ti_5O_{18}$ at frequencies of 1 kHz, 10 kHz and 100 kHz was shown in **Figure 12** [44]. Two dielectric anomalies of $Sr_2Bi_4Ti_5O_{18}$ were found at 551 K (T_c) and 730 K (T_d) although Mouri *et al.* reported dielectric anomalies at 428 K and 558 K [45]. The anomaly at T_c is almost the same as reported by Subbarao [25]. The successive phase transitions were reported also in $Pb_2Bi_4Ti_5O_{18}$ with T_c is almost the same as reported by Subbarao [25]. The successive phase transitions were reported also in $Pb_2Bi_4Ti_5O_{18}$ with T_c is almost the same as reported by Subbarao [25]. The successive phase transitions were reported also in T_c is almost the same as reported by Subbarao [25].

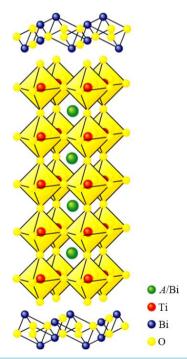


Figure 11. Crystal structure of Bi-layered perovskite $Sr_2Bi_4Ti_5O_{18}$ with m=5 (half of the unit cell). The c-axis is perpendicular to the stacking layers of Bi_2O_2 semiconducting layer and perovskite-like groups.

The peak value of dielectric constant is about 1700 (100 kHz) which is one order larger than $SrBi_2Ta_2O_9$ ($\varepsilon \sim 260$) but smaller than that of $BaTiO_3$ ($\varepsilon \sim 14,000$). The rather sharp dielectric anomaly was observed in the case of Bi-layered perovskites with m = 5. This evidence is consistent with the Curie-Weiss behavior in soft mode of $Sr_2Bi_4Ti_5O_{18}$ discussed later.

7. Similarities of Dielectric Properties of BaTiO₃ Ultra-Thin Film and SrBi₂Ta₂O₉

Recently, Onodera *et al.* pointed out close similarities between Bi-layered perovskites and ferroelectric thin films, and discussed the ferroelectric instability in thin films with two-dimensionality [9] [47]. **Figure 1** and **Figure 13** show a comparison of dielectric behavior in bulk BaTiO₃ crystal, BaTiO₃ thin film (1000 Å) and Bi-layered perovskite SrBi₂Ta₂O₉. The small and broad dielectric anomalies are commonly observed in BaTiO₃ thin films and bulk SrBi₂Ta₂O₉ crystal.

The decrease and non-clear behavior of dielectric constant were also observed around T_c with decreasing film thickness in (Ba, Sr)TiO₃ thin films. In this case, Hwang analyzed this decrease by considering the effects of a finite charge-screening length of metal electrodes and an intrinsic dead layer of the surface [48].

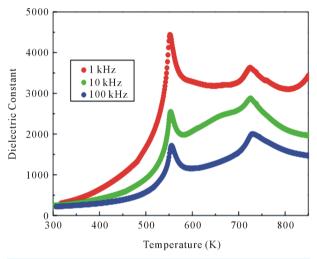


Figure 12. Dielectric constant of $Sr_2Bi_4Ti_5O_{18}$ at 1 kHz, 10 kHz and 100 kHz. Two clear anomalies were found at 551 K (T_c) and 730 K (T_H).

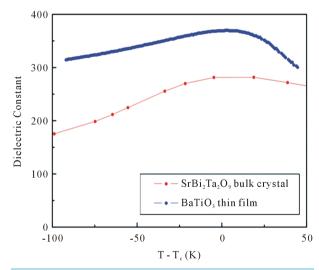


Figure 13. The dielectric constant *versus* (*T-T_c*) of BaTiO₃ thin films and bulk Bi-layered perovskite SrBi₂Ta₂O₉.

As discussed previously, the two-dimensional crystal structures are clear in both crystals. The crystal structure of Bi-layered perovskites is highly anisotropic along the pseudo-tetragonal c-axis. The single crystal of $SrBi_2Ta_2O_9$ is easily cleaved along the pseudo-tetragonal c-axis, because the semiconducting Bi_2O_2 layer interacts weakly with upper and lower perovskite-like groups by van der Waals interaction. These dielectric and structural evidences may suggest the common mechanism for dielectric properties and the appearance of ferroelectricity for two crystals.

Experiments of Bi-layered perovskites are much easier than those of ultra-thin films because Bi-layered perovskites are bulk crystals. In addition, Bi-layered perovskites are free from misfit strain between ultra-thin film and substrate. The thickness was controlled by the stacking number of perovskite layers, m, of Bi-layered perovskites. The analogy in crystal structure and dielectric behavior between BaTiO₃ ultra-thin films and Bi-layered perovskites may give us a perspective for the size effect and the ferroelectric nature of ultra-thin films.

8. Soft Mode and Relaxation Behavior in Bi-Layered Perovskites

The soft modes of Bi-layered perovskites were studied extensively for Sr-compounds with m = 2, 4, 5, because Sr-compounds have a clear soft mode, while the exact crystal structures and phase transitions of this series of compounds have not been clarified yet.

8.1. Soft Mode in $SrBi_2Ta_2O_9$ (m = 2)

The soft mode of $SrBi_2Ta_2O_9$ has been studied by Raman scattering by several researchers [38] [49]-[52]. The square of soft mode frequency decreases toward to the highest phase transition temperature T_H (850 K) and shows a clear anomaly at T_c (610 K). The soft mode exists in the ferroelectric phase and shows highly overdamped behavior near T_c , although dielectric constant does not show any clear anomaly at T_c . An anomaly was detected in the share strain c_{44} at 850 K [53], which corresponds to the high-temperature phase transition at T_H . The temperature dependence of ω_s^2 , the square of soft mode frequency, and the damping factor Γ of $SrBi_2Ta_2O_9$ are shown in **Figure 14**. The soft mode frequency does not follow the Curie-Weiss law. This soft mode disappears at T_c , which means that this mode becomes Raman inactive above T_c . The extrapolated temperature where this soft mode vanishes is 860 K, just close to T_H .

In low frequency region from 0.3 to 1.7 cm⁻¹, the relaxation mode of $SrBi_2Ta_2O_9$ was observed which is generally observed in order-disorder type ferroelectrics [53]. The increase in intensity of relaxation mode was observed with increasing temperature towards T_c . This means that the soft mode exists even in Bi-layered compound with two perovskite layers, although an order-disorder nature is induced additionally.

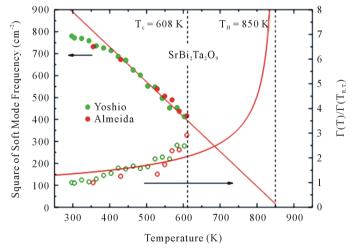


Figure 14. Temperature dependence of soft mode frequency and a normalized damping factor $\Gamma(T)/\Gamma(T_{R,T})$ in $SrBi_2Ti_2O_9$ [38] [51] [52]. The phase transitions of $SrBi_2Ta_2O_9$ are observed at 608 K (T_c) and 850 K (T_H).

8.2. Soft Mode in $SrBi_4Ti_4O_{15}$ (m = 4)

The crystal structure of Bi-layered perovskites with m=4 is orthorhombic (A2₁am) at room temperature [54]. The rotation of TiO₆ octahedra and displacement of Ti ions have been reported from their hypothetical tetragonal structure at high temperatures. The soft mode behavior of Bi-layered perovskites with m=4 was studied systematically by Kojima [55]. The ferroelectric-like phase transitions have been reported at 803 K for SrBi₄Ti₄O₁₅ and 1063 K for CaBi₄Ti₄O₁₅ respectively although the detailed series of phase transitions have not been confirmed yet. **Figure 16** shows the temperature dependence of the square of the frequency ω_s^2 of underdamped soft modes [55]. It is found that this soft mode was observed up to about 580 K but showed softening towards $T_c = 803$ K as observed in SrBi₂Ta₂O₉. Similar soft mode behavior was reported also in CaBi₄Ti₄O₁₅.

The existence of an additional intermediate phase may be possible also for this series of compounds. The lattice parameters show slight kinks around 600 K for $SrBi_4Ti_4O_{15}$ and 750 K for $CaBi_4Ti_4O_{15}$ [54], although no detailed works have been done for phase transitions.

8.3. Soft Mode in $Sr_2Bi_4Ti_5O_{18}$ (m = 5)

The soft mode spectrum shows large temperature dependence at two temperatures, T_c (551 K) and T_H (730 K) [56]; the underdamped soft mode is clearly observed at room temperature, but changes to an overdamped one above 460 K. The extrapolation of soft mode frequency and line width shows an anomaly at T_H . Moreover, an additional relaxation mode due to an order-disorder nature was observed which may be induced by the two-dimensionality in $Sr_2Bi_4Ti_5O_{18}$. In an order-disorder phase transition, the relaxation time diverges at T_c , and the HWHM (half width half maximum) becomes zero at T_c . This typical order-disorder character observed in $Sr_2Bi_4Ti_5O_{18}$ may be related to the layered structure, *i.e.* coupling of polarization fluctuation in intra-layer and inter-layer.

The similar behavior in soft modes is found in SrBi₂Ta₂O₉, SrBi₄Ti₄O₁₅ and Sr₂Bi₄Ti₅O₁₈ as shown in **Figures 15-17**. The plot of square frequencies of soft modes shows a change from the non Curie Weiss behavior to rather the Curie-Weiss like behavior. This tendency corresponds well to relatively large and sharp dielectric anomalies in SrBi₄Ti₄O₁₅ and Sr₂Bi₄Ti₅O₁₈, with increasing the stacking layer *m*. Additional relaxation modes were observed in SrBi₂Ta₂O₉ and Sr₂Bi₄Ti₅O₁₈. These evidences show that there are both displacive (soft mode) and order-disorder (relaxational) characters in Bi-layered perovskites. The effect due to an order-disorder character increases with decreasing *m*, which induce an extra intermediate phase between the high-temperature paraelectric and the low-temperature paraelectric phases. The nature of ferroelectricity in BaTiO₃ has been investigated intensively since BaTiO₃ has a simple ABO₃ crystal structure and exhibits typical ferroelectric phase transitions. The soft mode theory has explained well dielectric properties [11] [12]. However, the order-disorder nature has

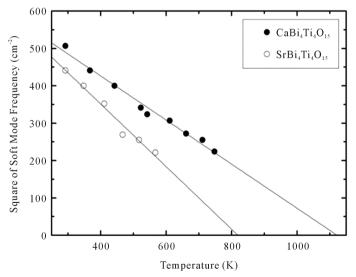


Figure 15. Temperature dependence of soft mode in $SrBi_4Ti_4O_{15}$ and $CaBi_4Ti_4O_{15}$ after Kojima [55].

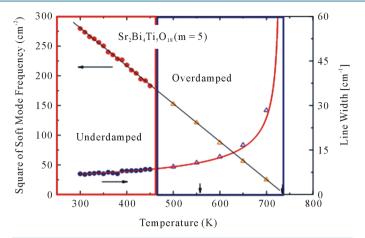


Figure 16. Temperature dependence of square frequency (red point) of soft mode and its line width (blue point) in $Sr_2Bi_4Ti_5O_{18}$ [56]. The solid line and red curve indicate the Curie-Weiss law and the universal scaling law, respectively.

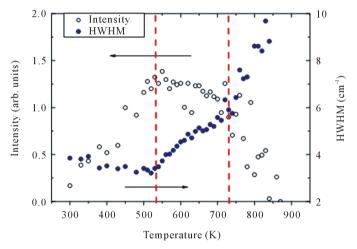


Figure 17. Intensity and HWHM (half width half maximum) of relaxation mode in Sr₂Bi₄Ti₅O₁₈ [56].

been reported in the critical region near T_c observed by diffuse scattering in BaTiO₃ [57]-[59]. Recent NMR study also showed the coexistence of both order-disorder and displacive components in BaTiO₃ in the tetragonal ferroelectric to cubic paraelectric transition [60]-[62]. The delicate balance between the soft mode behavior and relaxational order-disorder component will compete and modify dielectric properties and the sequence of phase transitions as observed in ultra-thin perovskite films and Bi-layered perovskites.

9. Ferroelectricity and Size Effect in Thin Films

Ferroelectricity in Bi-layered perovskites SrBi₂Ta₂O₉, Sr₂Bi₄Ti₅O₁₈ does not appear along the pseudo-tetragonal *c*-axis but along the *a*-axis normal to the pseudo-tetragonal axis, while along the tetragonal *c*-axis in BaTiO₃. Crystal structure analysis of SrBi₂Ta₂O₉ shows that TaO₆ octahedra is still distorted along the pseudo-tetragonal *c*-axis, and locate in antiparallel way even in the high-temperature paraelectric phase above 850 K. Although the -O-Ta-O-Ta-O- chain along the pseudo-tetragonal *c*-axis is interrupted by the existence of semiconducting Bi₂O₂ layers in SrBi₂Ta₂O₉, the strongly correlated -O-Ta-O-Ta-O- chain should play an essential role for the appearance of ferroelectricity as those in BaTiO₃ [63].

The shape of octahedron is not a regular octahedron even in the high-temperature paraelectric phase; this indicates that Bi-layered perovskites are antiferroelectric in the high-temperature tetragonal phase. In the ferroe-

lectric phase, this crystal favors canted octahedral arrangements below T_c , which results in the net spontaneous polarization along the a-axis. This situation is just the same as that reported in the case of weak ferromagnetic materials.

On the other hand, this pseudo-two-dimensional character of crystal structure is just suitable for fabrication of thin films. The spontaneous polarization (P_s) is relatively large (5.8 ~ 10 μ C/cm² along the a-axis at room temperature), while $P_s = 26 \mu$ C/cm² in a representative perovskite ferroelectric BaTiO₃ along the tetragonal c-axis.

Ferroelectricity appears due to a delicate balance between long-range dipole-dipole interaction along the polar axis and short-range interaction. The typical dipolar correlation lengths for many ferroelectrics are $L_c \sim 10$ - 50 nm along the polar axis and $L_a \sim 1$ - 2 nm normal to the polar axis [64]. The needle-shaped correlation region is sketched in **Figure 18**, where La is several unit-cells, of the same order as the thickness of a 180° domain wall, and L_c is about 25 ~ 125 unit-cells in the case of BaTiO₃. Therefore it is considered that the stability of the ferroelectricity may be affected by the thickness of the thin films.

Measurements of thickness dependence of ferroelectricity are generally not so easy, because of the preparation of good quality of thin films, additional surface effects such as depolarization fields and space-charge effects. These effects generally influence the ferroelectric behavior in thin films.

Tybell, Ahn and Triscone have examined the possibility of the existence of a critical thickness and showed the detection of the ferroelectricity in perovskite $Pb(Zr_{0.2}Ti_{0.8})_3$ films down to a thickness of 10 unit cells (40 Å) [65]. Bune *et al.* reported ferroelectric activity in ferroelectric polymer films with thickness of two layers (10 Å) and the near-absence of finite-size effects in these two-dimensional ferroelectrics which may be generated by coupling only within the plane of the film [66]. Recent *ab initio* studies have confirmed the possibility of retaining the ferroelectricity in ultra-thin films, and suggested the absence of the critical size effect [67] [68].

On the other hand, Junquera and Ghosez reported first-principle calculations on a realistic model of perovs-kite thin films with metallic electrodes. They showed that BaTiO₃ films with SrRuO₃ electrodes have the critical thickness of 6 unit cells (~24 Å) and lose the ferroelectricity below this thickness, due to the depolarization field effect at the ferroelectric-metal interfaces (**Figure 19**) [69].

Recent Raman scattering studies showed that ultra-thin BaTiO₃ films grown commensurately on SrTiO₃ substrate have a spontaneous polarization as thin as 4 unit cells (16 Å) [70]. The ferroelectric phase transition temperature T_c is shown as a function of BaTiO₃ film thickness in **Figure 20**. Recent experimental and theoretical works showed the critical thickness is much smaller than those previously reported.

It should be pointed out that the close analogy of ferroelectric behavior between Bi-layered perovskite SrBi₂Ta₂O₉ and thin film of so-called typical ferroelectric perovskite BaTiO₃. The layered ferroelectric SrBi₂Ta₂O₉ is a bulk crystal itself, but is considered to be a good example of ultra-thin ferroelectric model with

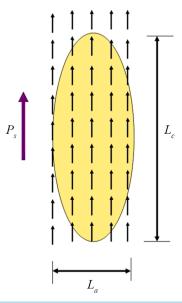


Figure 18. A sketch of ferroelectric correlation region in dipolar materials [64].

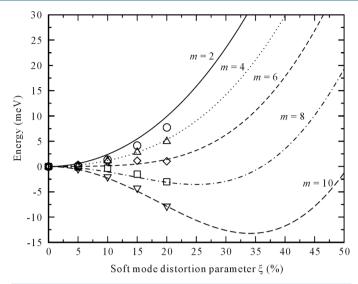


Figure 19. First-principles calculations of the energy of BaTiO₃ ferroelectric films with m unit cells as a function of the soft-mode distortion ξ [68].

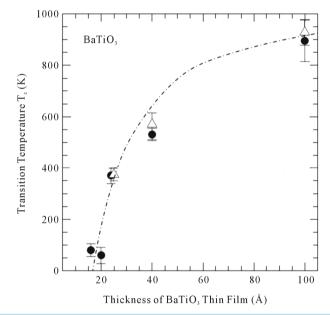


Figure 20. The plot of the ferroelectric phase transition temperature T_c *versus* film thickness of BaTiO₃ films grown on SrTiO₃ substrates, observed by Raman scattering [69].

two monolayers of perovskite TaO_6 units, free from any misfit lattice strain and interface charge layer with electrodes. We summarized recent dielectric properties of Bi-layered perovskites and discuss the analogy of these two types of ferroelectrics.

10. Critical Thickness

As $Sr_2Bi_4Ti_5O_{18}$ is a compound with five perovskite layers sandwiched by Bi_2O_2 semiconducting layers, it is considered as a model of thin film with five perovskite unit cells. While the ferroelectricity of thin films may be suppressed below six unit cells after Junquera and Ghosez [67], $Sr_2Bi_4Ti_5O_{18}$ shows ferroelectricity along the *a*-axis, but not along the tetragonal *c*-axis. Similar dielectric properties have been observed in $SrBi_2Ta_2O_9$ which

have two perovskites. The spontaneous polarization appears along the a-axis and the dielectric constant shows rather weak temperature dependence. Even in the case of $SrBi_2Ta_2O_9$ (m=2) and $Sr_2Bi_4Ti_5O_{18}$ (m=5), the soft mode still exists, although it becomes to be highly overdamped near T_c and additional relaxation modes appear.

According to the calculations of local field by Luttinger and Tisza [71], the strong ferroelectric interaction between Ti (or Ta) ions and O ions appears along the tetragonal *c*-axis. Although the strongly correlated -O-Ti-O-Ti-O- chain plays an important role for the appearance of ferroelectricity in bulk BaTiO₃ [63], the -O-Ta-O- chain along the pseudo-tetragonal *c* axis is interrupted by the existence of semiconductor Bi₂O₂ layers in SrBi₂Ta₂O₉. The strength of interaction between Ti ion and adjacent O ions is half, but antiferroelectric in the *a-b* plane after Luttinger and Tisza. However, the configuration of distortion parameter *p* in **Figure 10** suggests us that the interaction is strong but antiferroelectric along the pseudo tetragonal *c*-axis, and ferroelectric along the *a*-axis in SrBi₂Ta₂O₉. It is expected that a crossover of ferroelectric to antiferroelectric interaction will be realized in thin films of BaTiO₃, considering the similarity of dielectric properties between SrBi₂Ta₂O₉ bulk crystal and thin BaTiO₃ films mentioned above. It might be expected that the canted arrangement of dipole moments may be induced even in thin BaTiO₃ films by the two-dimensionality.

The suppression of ferroelectricity has been reported for nana-particles of $BaTiO_3$ and $PbTiO_3$, where the critical size is $20 \sim 30$ unit cells [72]. However the dipole-dipole interactions within plane are retained and contribute to ferroelectric activity in the case of thin films.

11. Summary

The dielectric and structural analogy between Bi-layered perovskites and ferroelectric thin films suggests that the bulk Bi-layered ferroelectrics are a good model of ferroelectric ultra-thin films with a few layers of perovskite units, free from any misfit lattice strain with substrate and surface charges at the interface with electrodes. In ultra-thin perovskite films, ferroelectric interactions are still prominent and the octahedra prefer an antiferroelectric arrangement rather than ferroelectric one along the tetragonal or pseudo-tetragonal axis (normal to the plane). In the case of a few layers less than m=6, octahedra have a dipole moment, though first principles calculation suggests the soft-mode distortion $\xi=0$, *i.e.* a non-polar structure [68]. The soft mode may exist even in ultra-thin films but changes to be highly overdamped near the ferroelectric phase transition temperature T_c . Moreover an additional relaxation mode is induced, which means an occurrence of coupling with displacive (soft mode) and order-disorder (relaxation mode) nature in ultra-thin films. As $Sr_2Bi_4Ti_5O_{18}$ has perovskite-like groups less than six unit cells, it is a critical material whether the ferroelectricity persists or not. The mechanism mentioned above might be applicable for $SrBi_2Ta_2O_9$ with two perovskite units (m=2) and $Sr_2Bi_4Ti_5O_{18}$ with five perovskite groups (m=5), because of the close similarity in dielectric behavior. Based on experiments on Bi-layered perovskites, it may be possible that there is no critical thickness for the appearance of ferroelectricity in ferroelectric thin films in principle.

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