

# On-Line Scheduling for Jobs with Arbitrary Release Times on Parallel Related Uniform Machines

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## Abstract

A parallel related uniform machine system consists of  $m$  machines with different processing speeds. The speed of any machine is independent on jobs. In this paper, we consider online scheduling for jobs with arbitrary release times on the parallel uniform machine system. The jobs appear over list in terms of order. An order includes the processing size and releasing time of a job. For this model, an algorithm with competitive ratio of 12 is addressed in this paper.

## Keywords

Online Scheduling, Uniform Machine, Competitive Ratio, Approximation Algorithm

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## 1. Introduction

For the online scheduling on a system of  $m$  uniform parallel machines, denoted by  $Q_m / online / C_{\max}$ , each machine  $M_i (i = 1, 2, \dots, m)$  has a speed  $s_i$ , i.e., the time used for finishing a job with size  $p$  on  $M_i$  is  $p/s_i$ . Without loss of generality, we assume  $s_1 \leq s_2 \leq \dots \leq s_m$ . Cho and Sahni [1] are the first to consider the on-line scheduling problem on  $m$  uniform machines. They showed that the LS algorithm for  $Q_m / online / C_{\max} (m \geq 2)$  has competitive ratio not greater than  $1 + \sqrt{m-1}/\sqrt{2}$ . When  $s_i = 1 (i = 1, 2, \dots, m-1)$  and  $s_m = s > 1$ , they proved that the algorithm LS has a competitive ratio  $1 + \frac{m-1}{m+s-1} \min\{s, 2\} \leq 3 - \frac{4}{m+1}$  and the bound

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$3 - \frac{4}{m+1}$  is achieved when  $s = 2 (m \geq 3)$ .

For  $Q_2 / \text{online} / C_{\max}$ , Epstein *et al.* [2] showed that LS has the competitive ratio  $\min \left\{ \frac{2s+1}{s+1}, \frac{s+1}{s} \right\}$  and is an optimal online algorithm, where the speed ratio  $s = s_2/s_1$ .

Cai and Yang [3] considered  $Q_3 / \text{online} / C_{\max}$ . Let  $s = s_2/s_1$  and  $t = s_3/s_2$  be two speed ratios. They showed that the algorithm LS is an optimal online algorithm when the speed ratios  $(s, t) \in G_1 \cup G_2$ , where  $G_1 = \left\{ (s, t) \mid 1 \leq t < \frac{1+\sqrt{31}}{6}, s \geq \frac{3t}{5+2t-6t^2} \right\}$  and  $G_2 = \{(s, t) \mid t \geq 1 + s, s \geq 1, t \geq 1\}$ . Moreover, for the general speed ratios, they also presented an upper bound of the competitive ratio.

Aspnes *et al.* [4] are the first to try to design algorithms better than LS for  $Q_m / \text{online} / C_{\max}$ . They presented a new algorithm that achieves the competitive ratio of 8 for the deterministic version, and 5.436 for its randomized variant. Later the previous competitive ratios are improved to 5.828 and 4.311, respectively, by Berman *et al.* [5].

Li and Shi [6] proved that for  $m \leq 3$  LS is optimal when  $s_i = 1 (i = 1, 2, \dots, m-1)$  and  $s_m = 2$  and presented an online algorithm with a better competitive ratio than LS for  $m \geq 4$ . Besides, they also showed that the bound  $3 - \frac{4}{m+1}$  could be improved when  $s_i = 1 (i = 1, 2, \dots, m-1)$  and  $s_m = s > 1$ . For  $m \geq 4$  and  $1 \leq s \leq 2$ , Cheng *et al.* [7] proposed an algorithm with a competitive ratio not greater than 2.45.

A generalization of the Graham's classical on-line scheduling problem on  $m$  identical machines was proposed by Li and Huang [8]-[10]. They describe the requests of all jobs in terms of order. For an order of the job  $J_j$ , the scheduler is informed of a 2-tuple  $(r_j, p_j)$ , where  $r_j$  and  $p_j$  represent the release time and the processing time of the job  $J_j$ , respectively. The orders of request have no release time but appear on-line one by one at the very beginning time of the system. Whenever the request of an order is made, the scheduler has to assign a machine and a processing slot for it irrevocably without knowledge of any information of future job orders. In this on-line situation, the jobs' release times are assumed to be arbitrary.

Our task is to allocate a sequence of jobs to the machines in an on-line fashion, while minimizing the maximum completion time of the machines. In the following of this paper,  $m$  parallel uniform machines which have speeds of  $s_1 \leq s_2 \leq \dots \leq s_m$ , respectively, are given. Let  $L = \{J_1, J_2, \dots, J_n\}$  be any list of jobs, where job  $J_j$  is given as order with the information of a release time  $r_j$  and a processing size of  $p_j$ .

The rest of the paper is organized as follows. In Section 2, some definitions are given. In Section 3, an algorithm U is addressed and its competitive ratio is analyzed.

## 2. Some Definitions

In this section we will give some definitions.

**Definition 1.** We have  $m$  parallel machines with speeds  $s_1, s_2, \dots, s_m$ . Let  $L = \{J_1, J_2, \dots, J_n\}$  be any list of jobs, where jobs arrives online one by one and each  $J_j$  has a release time  $r_j$  and a processing size of  $p_j$ . Algorithm A is a heuristic algorithm. Let  $C_{\max}^A(L)$  and  $C_{\max}^{OPT}(L)$  be the makespan of algorithm A and the makespan of an optimal off-line algorithm respectively. We refer to

$$R(m, A) = \sup_L \frac{C_{\max}^A(L)}{C_{\max}^{OPT}(L)}$$

as the competitive ratio of algorithm A.

**Definition 2.** Suppose that  $J_j$  is the current job to be scheduled with release time  $r_j$  and size  $p_j$ . We say that machine  $M_i$  has an idle time interval for job  $J_j$ , if there exists a time interval  $[T_1, T_2]$  satisfying the following two conditions:

1) Machine  $M_i$  is idle in interval  $[T_1, T_2]$  and a job with release time  $T_2$  is assigned on machine  $M_i$  to start at time  $T_2$ .

2)  $T_2 - \max\{T_1, r_j\} \geq \frac{p_j}{s_i}$ .

It is obvious that if machine  $M_i$  has an idle time interval for job  $J_j$ , then we can assign  $J_j$  to machine  $M_i$  in the idle interval.

In the following we consider  $m$  parallel uniform machines with speeds  $s_1, s_2, \dots, s_m$  and a job list  $L = \{J_1, J_2, \dots, J_n\}$  with information  $(r_j, p_j)$  for each job  $J_j \in L$ , where  $r_j$  and  $p_j$  represent its release time and size, respectively. For convenience, we assume that the sequence of machine speeds is non-decreasing, *i.e.*,  $s_1 \leq s_2 \leq \dots \leq s_m$ .

### 3. Algorithm U and Its Performance

Now we present the algorithm  $U$  by use of the notations given in the former section in the following:

**Algorithm U:**

**Step 0.** (\*start the first phase\*)

$$h := 1, j := 1, \bar{l} := \{0, 0, \dots, 0\}, \Delta_h := r_j + p_j / s_m.$$

**Step 1.** If there is a new job  $J_j$  with release time  $r_j$  and processing size  $p_j$  given to the algorithm then go to Step 2. Otherwise stop.

**Step 2.** If there is a machine  $M_i$  which has an idle time interval for job  $J_j$ , then we assign  $J_j$  to machine  $M_i$  in the idle interval. Set  $j := j+1$  and go to Step 1.

**Step 3.** Set  $S := \{i \mid \max\{l_i, r_j\} + p_j / s_i \leq 3\Delta_h\}$ . If  $S \neq \emptyset$  then set  $k := \min\{i \mid i \in S\}$ ,  $l_k := \max\{l_k, r_j\} + p_k$ ,  $l_i := l_i, i \neq k, j := j+1$ . Go to Step 1.

**Step 4.** (\*start a new phase\*)

Set  $\bar{l} = \{0, 0, \dots, 0\}$ ,  $\Delta := 2\Delta_h$ .  $h := h+1, \bar{l} := \{0, 0, \dots, 0\}$ ,  $\Delta_h := \Delta, j := j$  and go to Step 3.

Now we begin to analyze the performance of algorithm U.

The following statement is obvious:

**Lemma 1.** Let  $L_h$  be the stream of jobs scheduled in phase  $h$  and  $J_j$  is the first job assigned in phase  $h+1$ . Let  $\Gamma_h^*$  be the largest load in an optimal schedule for job list  $L_h \cup \{J_j\}$ . Then we have  $\Gamma_h^* > \Delta_h$ .

**Proof:** If  $\Gamma_h^* \leq \Delta$ , let  $r$  be the fastest machine whose load does not exceed  $2\Gamma_h^*$ , *i.e.*  $r = \max\{i \mid l_i(j-1) \leq 2\Gamma_h^*\}$ . If there is no such machine, we set  $r = 0$ . If  $r = m$ , then  $l_m(j-1) \leq 2\Gamma_h^*$ . It is obvious that  $r_j + \frac{p_j}{s_m} \leq \Gamma_h^*$ . Hence we have

$$\max\{r_j, l_m(j-1)\} + \frac{p_j}{s_m} \leq 2\Gamma_h^* + \Gamma_h^* \leq 3\Delta_h.$$

It means that  $J_j$  can be assigned to the fastest machine  $M_m$  in phase  $h$ . It is a contradiction to the fact that  $J_j$  is the first job assigned in phase  $h+1$ . Define  $\beta = \{i \mid i > r\}$ , the set of machines with finishing time bigger than  $2\Gamma_h^*$  by the end of phase  $h$ . Since  $r < m$ ,  $\beta \neq \emptyset$ . Denote by  $S_i$  and  $S_i^*$  the set of jobs assigned to machine  $M_i$  by the on-line and the off-line algorithms, respectively. Since for any job  $J_u \in L_h$  the following inequalities hold

$$r_u < r_u + \frac{p_u}{s_m} \leq \Gamma_h^*,$$

we get:

$$\frac{1}{s_i} \sum_{u \in S_i} p_u > \Gamma_h^*, \quad \forall i \in \beta.$$

That means:

$$\frac{\sum_{i \in \beta} \sum_{u \in S_i} p_u}{\sum_{i \in \beta} S_i} > \Gamma_h^*.$$

This implies that there exists a job  $J_u$  ( $u \in \bigcup_{i \in \beta} S_i$ ) such that  $u \notin \bigcup_{i \in \beta} S_i^*$ , *i.e.* there exists a job  $J_u$  assigned by the on-line algorithm to a machine  $M_i$  ( $i \in \beta$ ) and assigned by the off-line algorithm to a slower

machine  $M_{i'}$  ( $i' \notin \beta$ ).

By our assumptions, we have  $r_u + \frac{P_u}{s_{i'}} \leq \Gamma_h^* \leq \Delta_h$ . Since  $r \geq i'$ , machine  $M_r$  is at least as fast as machine  $M_{i'}$ , and thus  $r_u + \frac{P_u}{s_r} \leq \Gamma_h^* \leq \Delta_h$ . Since job  $J_u$  was assigned before job  $J_j$  and  $i' \notin \beta$ , we have

$$l_r(u-1) \leq l_r(j-1) \leq 2\Gamma_h^*.$$

This implies

$$\max\{r_u, l_r(u-1)\} + \frac{P_u}{s_r} \leq \max\{r_u, l_r(j-1)\} + \frac{P_u}{s_r} \leq l_r(j-1) + r_u + \frac{P_u}{s_r} \leq 3\Gamma_h^*.$$

But this means that the on-line algorithm should have placed job  $J_u$  on  $M_r$  or a slower machine instead of  $M_{i'}$ , which is a contradiction. ■

**Theorem 2.** Algorithm achieves a competitive ratio of 12.

**Proof:** Let  $\rho_h$  denote the maximum load generated by jobs that were assigned during phase  $h$ ; denote the last phase by  $h_{last}$ . By the rules of our algorithm we have  $\Delta_h = 2^{h-1}\Delta_1$  and

$$\rho_h < 3\Delta_h = 3 \cdot 2^{h-1} \Delta_1.$$

Hence the total height generated by the assignment is:

$$\Gamma = \sum_{h=1}^{h_{last}} \rho_h \leq 3(2^{h_{last}} - 1)\Delta_1.$$

The claim of the theorem is trivially true if  $h_{last} = 1$ . For  $h > 1$ , phase  $h$  is started only if  $\Gamma_{h-1}^* > \Delta_{h-1}$ . In particular we have

$$\Gamma^* \geq \Gamma_{h_{last}-1}^* > \Delta_{h_{last}-1} = \Delta_{h_{last}}/2 = 2^{h_{last}-2} \Delta_1.$$

Therefore we have

$$\Gamma \leq 3(2^{h_{last}} - 1)\Delta_1 < 12\Gamma^*. \quad \blacksquare$$

## 4. Concluding Remarks

In this paper, we consider on-line scheduling for jobs with arbitrary release times on uniform machines. An algorithm with the competitive ratio of 12 is given. It should be pointed out that more detailed consideration should be taken in order to improve the competitive ratio.

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