SNPs and TFBS Associated with High Altitude Sickness^{*}

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ABSTRACT

The rSNPs for the genes *AKT*3 (rs4590656), *EGLN*1 (rs480902), *eNOS*3 (rs1007311), and *VEGFA* (rs699947, rs13207311, rs1570360, rs2010963) have been significantly associated with the physiological parameters in high altitude sickness Han or Tibetan Chinese patients at the Qinghai-Tibetan plateau. The alleles of each rSNP have been found to create unique transcriptional factor binding sites for transcription factors that affect the process of hypoxia gene expression in this high altitude hypoxia environment.

Keywords: High Altitude Sickness; rSNPs; TFBS

1. Introduction

GWAS over the last decade have identified nearly 6500 diseases or trait-predisposing simple nucleotide polymerphisms (SNPs) where only 7% of these are located in protein-coding regions of the genome [1,2] and the remaining 93% are located within non-coding areas [3,4] such as regulatory or intergenic regions. Expression quantitative mapping (eQLT) [5] has been used to identify SNPs that influence gene expression in regulatory regions [6] and to study large intergenic non-coding RNA expression [4]. eQLT SNPs in regulatory regions have been predicted to alter potential transcriptional factor binding sites (TFBS) implicated in the pathogenesis of chronic lymphocytic leukemia [7]. SNPs in the regulatory region of the prion protein (PRNP) gene may play an important role in the pathogenesis of sporadic Creutzfeldt-Jakob disease [8]. SNPs which occur in the putative regulatory region of a gene where a single base change in the DNA sequence of a potential TFBS may affect the process of gene expression are drawing more attention [9-11]. In this report, we coalesce our previous work to draw attention to seven SNPs in four genes (AKT3, ENGL1, eNOS3 and VEGFA) which alter punitive TFBS and are significantly (p < 0.05) associated with physiological parameters of individuals with high altitude sickness (HAS) [12-14].

HAS arises from two different diseases which are acute and chronic mountain sickness. Acute mountain sickness (AMS) is very common in lowlanders who ascend from sea level to altitudes greater than 2600 meters and is characterized by headache, lightheadedness, breathlessness, fatigue, insomnia, anorexia, and nausea [15, 16]. Symptoms begin two to three hours after ascent. The condition is generally self limiting; most symptoms disappear after two to three days, although insomnia may persist [17]. AMS must be treated as an emergency; the illness will resolve if no further altitude is gained however in some cases descent to a lower altitude may be necessary in order to reverse the condition. Chronic mountain sickness (CMS) is characterized by polycythemia, excessive erythrocytosis and severe hypoxemia, which is reversible upon descent from high altitudes [18,19]. Hematologic, neurologic, cardiac and respiratory symptoms are manifestations of the disease. The most common symptoms are bone and muscle pain, headaches, dizziness, dyspnea, insomnia, tinnitus, mental fatigue, and a loss of appetite. The severity of the condition increases with advancing age. CMS is a syndrome resulting from the loss of human adaptation to high altitude and can occur in permanent residents residing in this environment [20,21]. The precise pathogenesis of AMS and CMS is



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not well understood, but hypoxia is likely to be a major factor [22-26]. This raises the question of why some individuals are susceptible to AMS and CMS while others are not, under the same hypoxic conditions. Tibetans may be one of the oldest high-altitude adapted ethnic groups in the world with origins from the Neolithic period based on current genetic data [27-30]. Although AMS and CMS are different diseases and are treated differently, they both arise in humans at high altitudes.

SNPs that affect gene expression by impacting gene regulatory sequences such as promoters, enhances, and silencers are known as regulatory SNPs (rSNPs) [9,10,31, 32]. A rSNP in a TFBS can have multiple consequences. Most often the rSNP does not change the TFBS interaction nor does it alter gene expression since a transcriptional factor (TF) will usually recognize a number of different binding sites in the gene. In some cases the rSNP may increase or decrease the TF binding which results in allele-specific gene expression. In rare cases, a rSNP may eliminate the natural binding site or generate a new binding site. In which cases the gene is no longer regulated by the original TF. Therefore, functional rSNPs in TFBS may result in differences in gene expression, phenotypes and susceptibility to environmental exposure [11]. Examples of rSNPs associated with diseases susceptibility are numerous and several reviews have been published [11,33-35]. The purpose of this report is to hi-lite the most recent rSNPs associated with HAS in Han and Tibetan Chinese at the Qinghai-Tibetan plateau.

2. Materials and Methods

2.1. Study Groups

The two Chinese ethnic groups studied were the Han who are considered upward migrants from low altitudes, and the Tibetans who are high altitude natives. AMS was studied in association with the Han while CMS was studied in association with the Tibetans resulting in two different HAS groups compared with their respective ethnic controls. All mountain sickness patients in this study had been hospitalized and diagnosed at the Lhasa People Hospital (Tibet, China at 3670 M above sea level) from 2002 to 2008. The CMS patients and Tibetan controls normally live at 3600 - 4400 M. AMS was diagnosed by using the current consensus of mountain sickness in Tibet (Diagnosis and Therapeutics for Mountain Sickness, Xizang Autonomous Region), which is in accord with the Lake Louise scoring system [36]. The Lake Louise consensus on the definition and quantification of altitude illness [36] was the Qinghai diagnostic criteria for measuring CMS. We sampled Han AMS patients from the hospital with symptoms of acute pulmonary edema as diagnosed by a cough accompanied with pink frothy sputum. Moist or bubbling rales in the lungs was suggestive

of pulmonary oedema, showing a characteristic shadow on chest X-rays. In addition to the characteristic symptoms of severe acute mountain response, acute cerebraledema was diagnosed by ataxia, disturbance of consciousness or coma, abnormal plantar reflexes and paplilledema. The AMS Han patients were new comers from the low land and acquired the illness within two days after arriving at the higher altitude of Tibet. We also sampled Tibetan CMS patients as diagnosed by erythropoiesis, pulmonary hypertension and/or high arterial blood pressure, right ventricular hypertrophy or right and left ventricular hypertrophy. AMS patients had an average age of 35.3 years, while CMS patients had an average age of 53.6 years. Patients with other diseases having similar clinical manifestations were excluded. Healthy Tibetan and Han people from the Lhasa area were randomly selected to serve as control subjects. All patients and controls sampled in the study signed an informed consent approved by the Human Ethics Committee of the Shanghai Institutes for Biological Sciences, Chinese Academy of Sciences.

2.2. TFBS

The JASPAR CORE database [37,38] and ConSite [39] were used to identify the TFBS in this study. JASPAR is a collection of transcription factor DNA-binding preferences used for scanning genomic sequences where Con-Site is a web-based tool for finding cis-regulatory elements in genomic sequences.

3. Results

3.1. Physiological Study

The average physiological parameters for the mountain sickness (AMS and CMS) and normal control study groups are listed (Table 1). The arterial oxygen saturation (SaO₂) was significantly lower in both the AMS and CMS compared to the normal study group. The hemoglobin (Hb) concentration, red blood cell (RBC) count and hematocrit (Hct) were significantly higher among CMS patients compared to the AMS patients and the normal controls (Table 1). The heart rate (Hr) was significantly higher for the AMS patients than either the CMS patients or normal study groups. There was no significant difference in heart rate between the sexes. All the measured values of controls are in the normal ranges that are currently being used in the Lhasa region. Most AMS patients consist of new comers or short time residents to the high altitude environment of Tibet where the average age was 35.3 ± 1 years, while most CMS patients were permanent residents of the high altitude environment with a average age of 53.6 ± 1.7 years. It should be noted that the average age is 30.1 for the Tibetan control group.

Study Ethnic Ν Gender Age Hb Hct Hr RBC SaO₂ $(10^{12}/L)$ (g/dL)(%) Group Group Average (bpm) (%) AMS 43.5 ± 0.5 $105.1 \pm 1.5^{*}$ 4.9 ± 0.06 $64.4 \pm 0.9^{*}$ Han 78 Males 34.2 ± 0.8 15.6 ± 0.2 20 37.0 ± 2.4 14.5 ± 0.3 $99.1 \pm 4.1^*$ 4.34 ± 0.09 $53.0 \pm 1.8^{*}$ Females 39.8 ± 0.9 Control Han 38 Males 36.1 ± 2.4 15.4 ± 0.3 46.7 ± 2.3 81.0 ± 2.9 4.85 ± 0.2 90.2 ± 0.4 22 28.0 ± 1.8 144 ± 02 435 ± 19 79.0 ± 1.6 439 ± 015 91.0 ± 0.5 Females $20.9 \pm 0.4^*$ $86.3 \pm 1.9^*$ CMS Tibetan 37 Males 52.1 ± 1.9 $60.8 \pm 1.2^*$ $6.62 \pm 0.14^*$ $82.0 \pm 0.9^*$ $19.7 \pm 0.7^{*}$ $55.8 \pm 2.1^{*}$ $91.8 \pm 4.3^{*}$ $6.29 \pm 0.24^{*}$ 13 Females 58.1 ± 3.1 $80.8 \pm 1.1^*$ 72.1 ± 2.5 Control Tibetan 17 Males 29.5 ± 1.7 16.3 ± 0.3 52.4 ± 2.5 5.12 ± 0.3 92.8 ± 0.6 19 15.6 ± 0.2 48.5 ± 2.1 82.3 ± 1.7 4.93 ± 0.2 91.3 ± 0.5 Females 30.5 ± 1.4

 Table 1. Listed are the gender, average ages and physiological parameters with standard errors for the AMS Han patients, CMS Tibetans patients and the corresponding control subjects.

The physiological parameters measured are hemoglobin concentration (Hb), hematocrit (Hct), heart rate (Hr), red blood cell count (RBC) and arterial oxygen saturation of hemoglobin (SaO₂); N is the number of patients or subjects sampled; *p < 0.05 vs. ethnic control.

The measured physiological values of the control study groups fall within the normal ranges of residents at the Lhasa region.

3.2. Physiological Parameters, SNPs and TFBS

A list of physiological parameters obtained from HAS patients which were found to be significantly (p < 0.05) associated with seven rSNPs in four genes are presented in **Table 2** [12-14]. Also listed are the SNP alleles which are unique to the adjacent TFBS. The SNPs were also present in other TFBS which were not changed by the presence of the SNP and will not be discussed. A description of the TFs that bind the sites in **Table 2** can be found in the supplement.

The v-akt murine thymoma viral oncogene homolog 3 (*AKT*3) gene transcribes is a serine/threonine kinase that plays a key role in regulating cell survival, insulin signaling, angiogenesis and tumor formation. The *AKT*3 SNP (rs4590656) has been found to be significantly associated with Hb and Hct in Tibetan Chinese with CMS [13]. The *AKT*3-C allele creates two unique TFBS for the ARNT:AHR and HIF1 α :ARNT TFs which are involved xenobiotic metabolism and cellular and systemic responses to hypoxia, respectively. The *AKT*3-T allele creates two other unique TFBS for the HNF4 and PAX2 TFs which are involved in the expression of several hepatic genes and the conserved DNA-binding paired box, respectively (**Table 2 & Supplement**).

The egl nine homolog1 (*EGLN*1) gene is a key oxygen sensor that negatively regulates the activity of the hypoxia-inducible factor-1alpha (HIF1 α). Hypoxia leads to the inactivation of EGLN1 thereby increasing HIF activity that induces the expression of genes which mediates the adaptive responses through glycolytic enzymes, he-

meoxygenase, vascular endothelial growth factor and erythropoietin (EPO) [40]. The *EGLN1* SNP (rs480902) has been found to be significantly associated with Hct, Hr and SaO₂ in Han Chinese with AMS [12]. The *EGLN*1-C allele does not generate any known TFBS while the *EGLN*1-T allele creates three unique TFBS for the NFE2L1:MAFG, GATA3 and FOXL1 TFs which are involved with the antioxidant response element (ARE) and cell differentiation of erythrocytes, zinc-finger TFs and fork head box L1 TF, respectively (**Table 2 & Supplement**).

The endothelial cell nitric oxide synthase 3 (*eNOS3*) gene encodes an enzyme that produces nitric oxide (NO) and is implicated in vascular smooth muscle relaxation. NO mediates vascular endothelial growth factor (VEGF)-induced angiogenesis in coronary vessels and promotes blood clotting through the activation of platelets. The *eNOS3* SNP (rs1007311) has been found to be significantly associated with Hb in Tibetan Chinese with CMS [13]. The *eNOS3*-A allele creates one TFBS for the AP2 TF which is involved in recruiting transcription machinery. The *eNOS3*-G allele creates two TFBS for the MIZF and RUNX1 TFs which are involved with transcription repression and development, respectively (**Table 2 & Supplement**).

The vascular endothelial growth factor (*VEGFA*) gene is part of a signaling pathway where the VEGFA protein is a growth factor activator for angiogenesis, vasculogenesis and endothelial cell growth. The *VEGFA* gene has four SNPs in the promoter region that are significantly associated with SaO₂ in Han Chinese with AMS and one SNP (rs1570360) which is significantly associated with RBC in Tibetan Chinese with CMS [13,14]. The *VEGFA*-A allele for the rs13207351 SNP creates two TFBS for the MIZF and NKX3-2 TFs which are involved

Physiological Parameters	Gene	rSNP	Allele	TFBS	Reference
Hb (CMS), Hct (CMS)	AKT3	rs4590656	С	ARNT:AHR, HIF1:ARNT	[13]
			Т	HNF4, PAX2	
Hct (AMS), Hr (AMS), SaO ₂ (AMS)	EGLN1	rs480902	С	None	[12]
			Т	NFE2L1:MAFG, GATA3, FOXL1	
Hb (CMS)	eNOS3	rs1007311	Α	AP2	[13]
			Т	MIZF, RUNX1	
SaO ₂ (AMS)	VEGFA	rs699947	А	NFIC	[14]
			С	GATA3, HIF1:ARNT, TAL1:TCF3	
SaO ₂ (AMS)		rs13207351	А	MIZF, NKX3-2	[13]
			G	NFIC, TFCP2L1	
RBC (CMS), SaO ₂ (AMS)		rs1570360	А	SP1, ZNG354C	[13]
			G	KLF4, MIZF	
SaO ₂ (AMS)		rs2010963	С	GABP, IRF1, 2	[14]
			G	SP1	

Table 2. Physiological parameters and rSNPs significantly (p < 0.05) associated with either AMS or CMS. Genes whose rSNPs alter the transcriptional factor binding sites (TFBS) in non-coding regulatory regions of the gene. The rSNP alleles are found only within the adjacent TFBS. Allele in bold indicates common allele for HAS.

with DNA methylation and transcription repression. The VEGFA-G allele creates two TFBS for the NFIC and TFCP2L1 TFs which are involved with DNA methylation and transcription repression (Table 2 & Supplement). The VEGFA-A allele for the rs1570360 SNP creates two TFBS for the SP1 and ZNG354C TFs which are involved with activation and repression of transcription in response to physiological and pathological stimuli (Table 2 & Supplement). The VEGFA-C allele for the rs2010963 SNP creates TFBS for the GABPa and IRF1, 2 TFs which are involved with cytochrome oxidase expression and interferon regulation, respectively. The VEGFA-G allele creates the TFBS for the SP1 TF which is involved with the activation and repression of transcription in response to physiological and pathological stimuli (Table 2 & Supplement). The VEGFA-C allele for the rs699947 SNP creates three TFBS for the GATA3, HIF1a:ARNT and TAL1:TCF3 TFs which are involved with regulating proliferation of hematopoietic and endocrine cell lineages, systemic responses to hypoxia and regulator of erythroid differentiation. The VEGFA-A allele creates the TFBS for the NFIC TF which is involved with activating transcription and replication (Table 2 & Supplement).

4. Discussion

HAS arises in humans that transcending from sea level to high altitude environments [41,42]. Ascent to high altitudes requires adaptation to a hypobaric hypoxic environment, while failure to adapt results in AMS [43]. Although the mechanisms of AMS are still a matter of active investigation, rapid ascent to altitude is the firmly established cause [41,42,44,45]. In our reports [12-14] Han Chinese that acquired AMS after arriving at the Oinghai-Tibetan plateau had a significantly higher Hr and lower SaO₂ (Table 1). These physiological parameters have been significantly linked to rSNPs in the EGLN1 and VEGF A genes (Table 2). The EGLN1 gene is a key oxygen sensor that negatively regulates the activity of HIF1a. Hypoxia leads to the inactivation of EGLN1 there by increasing HIF activity that induces the expression of VEGF and EPO [40]. The EGLN1-T allele of the SNP (rs480902) creates the TFBS for the NFE2L1:MAFG TF which is involved with the antioxidant response element (ARE) and cell differentiation of erythrocytes (Table 2 & Supplement). The EGLN1-C allele which generates no known TFBS has a high incidence in AMS patients [Table 2, [12]]. The VEGFA gene, a growth factor activator for angiogenesis, vasculogenesis and endothelial cell growth, has four rSNPs significantly associated with SaO₂ in AMS patients [Table 2, [13,14]]. The VEGFA alleles of the four rSNPs create unique TFBS in the promoter (Table 2). The common SNP alleles in **bold** create TFBS for GATA3, HIF1:ARNT, KLF4, MIZF, NFIC, SP1, TAL1:TCF3 and TFCP2L1 TFs that are prevalent in AMS patients. The stimulating protein-1 (SP1) participates in the transcription regulation of Kruppel-like factor-4 (KLF4), a zinc

finger-containing TF and together they are part of a (SP1/KLF) family of TFs which play a role in diverse cellular processes, including vascular smooth muscle cell (VSMC) proliferation, cell differentiation, apoptosis and, on cogenic processes [46,47], induction of pluripotent stem cells [48] and control of gene transcription [49-52]. There have been at least 20 KLFs identified in mammals with each individually participating in one of the above biological functions [51]. KLF4 has been shown to play a key role in pathological vascular processes and is considered a molecular switch in regulating VSMC function [51]. The histone H4 (MIZF), nuclear factor I/C type (NFIC) and CP2-like1 (TFCP2L1) TFs are involved with transcription activation and suppression.

CMS results from the loss of human adaptation to high altitude and occurs in permanent residence residing in this environment for along period of time [20,21]. In our reports [12-14] Tibetan Chinese which acquired CMS after living at the Qinghai-Tibetan plateau had a significantly higher Hb, Hct, Hr, RBC and lower SaO₂ (Table 1). The Hb, Hct and RBC physiological parameters have been significantly linked to the rSNP in the AKT3, eNOS3 and VEGFA genes. The AKT3 gene plays a key role in regulating cell survival, insulin signaling, angiogenesis. The AKT3 rSNP (rs4590656) has been significantly linked to Hb and Hct in CMS patients [Table 2, [13]] and the common AKT3-C allele associated with CMS creates a TFBS for the ARNT:AHR and HIF1a: ARNT TFs which are involved in xenobiotic metabolism and responds to hypoxia, respectively. The eNOS3 gene is implicated in vascular smooth muscle relaxation. The eNOS3 rSNP (rs1007311) has been significantly linked to Hb in CMS patients [Table 2, [13]] and the common eNOS3-A allele associated with CMS creates the TFBS for the AP2 TF which is involved with recruiting transcription machinery. The VEGFA rSNP (rs1570360) has been significantly linked to RBC in CMS patients [Table 2, [13]] and the common VEGFA-G allele associated with CMS creates the TFBS for the KLF4 and MIZF TFs which are discussed above.

In conclusion, we show that seven rSNPs from four genes are significantly associated with HAS in Han and Tibetan Chinese at the Qinghai-Tibetan plateau. These rSNPs alter the TFBS for TFs and create unique binding sites for TFs that affect the process of gene expression in this high altitude hypoxia environment.

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Appendices

TFBS	TFs	TF discription	
ARNT:AHR	Aryl hydrocarbon receptor nuclear translocator: aryl hydrocarbon receptor	The dimer alters transcription of target genes. Involved in the induction of several enzymes that participate in xenobiotic metabolism.	
ΑΡ2α	Adaptor-related protein complex 2, alpha	The AP2 protein acts as a sequence specific DNA-binding transcription factor recognizing and binding to the specific DNA sequence and recruiting transcription machinery.	
FOXL1	Forkhead box L1	Transcriptional factor.	
GABPα	GA-binding protein alpha chain	One of three GA-binding protein transcription factor subunits which functions as a DNA-binding subunit which shares identity with a subunit encoding the nuclear respiratory factor 2 gene and is likely involved inactivation of cytochromeoxidase expression and nuclear control of mitochondrial function.	
GATA3	GATA binding protein3	A member of the GATA family of zinc-finger transcription factors that are named for the consensus nucleotide sequence they bind in the promoter regions of target genes and play an essential role in regulating transcription of genes involved in the de- velopment and proliferation of hematopoietic and endocrine cell lineages. Plays an important role in endothelial cell biology.	
NFIC	Nuclear factor I/C (CCAAT-binding transcription factor)	Recognizes and binds the palindromic sequence 5'-TTGGCNNNNNGCCAA-3' present in viral and cellular promoters. These proteins are individually capable of activating transcription and replication.	
HIF1α:ARNT	Hypoxia-inducible factor 1:Aryl hydrocarbon receptor nuclear translocator	HIF 1 α is a homodimeric basic helix-loop-helix structure composed of HIF1 α , the alpha subunit, and the aryl hydrocarbon receptor nuclear translocator (Arnt), the beta subunit. The protein encoded by HIF1 α is a Per-Arnt-Sim (PAS) transcription factor found in mammalian cells growing at low oxygen concentrations. It plays an essential role in cellular and systemic responses to hypoxia.	
HNF4α	Hepatocyte nuclear factor 4, alpha	A nuclear transcription factor which binds DNA as a homodimer and controls the expression of several genes including several hepatic genes. Transcriptionally controlled transcription factor	
IRF1, 2	Interferon regulatory factor	Members of the interferon regulatory transcription factor (IRF) family that contain a conserved N-terminal region of about 120 aminoacids, which folds into a structure that binds specifically to the interferon consensus sequence (ICS).	
KLF4	Krueppel-like factor 4 regulates the expression of key transcription factors during embryonic development.	Transcription factor that can act both as activator and as repressor.	
MIZF	Histone H4 transcription factor	MIZF interacts with methyl-CpG-binding protein-2 and plays a role in DNA methylation and transcription repression.	

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NFIC	Nuclear factor 1 C-type	Recognizes and binds the palindromic sequence 5'-TTGGCNNNNNGCCAA-3' present in viral and cellular promoters and in the origin of replication of adenovirus type2. These proteins are individually capable of activating transcription and replication.
NFE2L1:MAFG	Nuclear factor erythroid2-related factor 1: Transcription factor MafG	Nuclear factor erythroid2-related factor (Nrf2) coordinates the up-regulation of cytoprotective genes via the antioxidant response element (ARE). MafG is aubiquitously expressed small maf protein that is involved in cell differentiation of erythrocytes. It dimerizes with P45NF-E2 protein and activates expression of a- and b-globin.
NKX3-2	NK3 homeobox 2	This gene encodes a member of the NK family of homeobox-containing proteins. Transcriptional repressor that acts as a negative regulator of chondrocyte maturation.
PAX2	Paired box 2	The central feature of this transcription factor gene family is the conserved DNA-binding paired box domain. Alternative splicing of this gene results in multiple transcript variants.
RUNX1	Runt-related transcription factor 1	Heterodimeric transcription factor that binds to the core element of many enhancers and promoters. The protein encoded by this gene represents the alpha subunit of CBF and is thought to be involved in development.
SP1	Specificity Protein 1	Can activate or repress transcription in response to physiological and pathological stimuli. Regulates the expression of a large number of genes involved in a variety of processes such as cell growth, apoptosis, differentiation and immune responses.
TAL1:TCF3	T-cell acute lymphocytic leukemia 1: Transcription factor 3	Implicated in the genes is of hemopoietic malignancies. It may play an important role in hemopoietic differentiation. Serves as a positive regulator of erythroid differentiation. TCF3 has been shown to directly enhance Hes 1 (a well-known target of Notch signaling) expression.
TFCP2L1	Transcription factor CP2-like1	Transcriptional suppressor. May suppress UBP1-mediated transcriptional activation. Modulates the Placental expression of CYP11A1.
ZNF354C	Zinc-finger protein 354C	May function as a transcription repressor.

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