

Influence of Farmer Capacity Building in Institutional Linkages on Performance of Smallholder Irrigation Projects in Migori County, Kenya

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How to cite this paper: Othieno, A.L., Aseey, A. and Rugendo, C.J. (2021) Influence of Farmer Capacity Building in Institutional Linkages on Performance of Smallholder Irrigation Projects in Migori County, Kenya. *Agricultural Sciences*, 12, 726-737. <https://doi.org/10.4236/as.2021.127047>

Received: June 15, 2021

Accepted: July 12, 2021

Published: July 15, 2021

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Abstract

The smallholder irrigation sector in Kenya is considered inadequately developed. The study views unawareness of critical success factors in the smallholder irrigation sector as contributing to poor performance of smallholder irrigation projects. Consequently, the study investigated influence of farmer capacity building in institutional linkages on performance of smallholder irrigation projects in Migori County, Kenya. The study embraced a pragmatic view of philosophy, and used cross sectional and correlation research design. The target population was 2815, and comprised farmers drawn from fifteen smallholder irrigation projects that receive water from River Kuja through Lower Kuja Project. The sample size was 341. The study used systematic random sampling procedure to draw the sample, and collected data using questionnaire. Data was analysed using descriptive and inferential statistics. The study established that farmer capacity building in institutional linkages has a significant influence on performance of smallholder irrigation projects ($r = 0.803$, $R^2 = 0.645$, $F(5, 331) = 120.254$ and $p < 0.000 < 0.05$). Thus, the study recommends that Migori County Government come up with policies that link smallholder irrigation projects in Lower Kuja Project to institutions that provide land ploughing services, certified seeds and fertilisers, control of crop pests and diseases, transportation of farm produce and marketing of farm produce.

Keywords

Institutional Linkages, Critical Success Factors, Irrigation Management Transfer, Smallholder Irrigation Projects

1. Introduction

Expansion in area under irrigation has increased conflicts among irrigating communities over water use rights. According to [1], over the last decade, countries with substantial irrigation, including Mexico, Chile, India, Philippines and Columbia have embraced irrigation management transfer (IMT). Likewise, Sub-Saharan Africa (SSA) countries are increasingly adopting policies in smallholder irrigation that support IMT [2]. However, despite its benefits, IMT has thrust farmers in the forefront of conflict resolution [3], and necessitated capacity building [4]. According to [5], critical success factors (CSFs) are more useful in decision-making than planning, implementation, cost and time overruns and quality control, traditional concerns in project management. CSFs include project type, project team, organisation and external environment [6]. Different studies identify different CSFs in project management and there is disagreement on CSFs that affect project success, and the assumption that project management ends with deliver of projects has led to failure to consider critical criteria affecting projects after delivery [7].

The Government of Kenya has adopted irrigation management transfer in smallholder irrigation development. Kenya has used tenant farmer, service provision and IMT as models in irrigation management, but only IMT model has had notable success [3]. IMT provides an avenue for resolving conflict between irrigating communities in smallholder irrigation projects [8] [9]. According to [10], smallholder irrigation sector in Kenya comprise projects such as Yatta Furrow and Njoro Kubwa, which are managed by water undertakers, and projects such as Kibirigwi and Mitunguu, which are managed by Irrigation Water User Associations (IWUAs). The Irrigation Act (1966) mandated the National Irrigation Authority (NIA) to oversee large-scale irrigation in Kenya, and the Ministry of Agriculture (MOA) to oversee smallholder irrigation development [11].

Project success entails use of project-specific approaches to development. However, weak institutional capacity, lack of credit and poor market access has contributed to poor performance in smallholder irrigation projects [12]. Likewise, poor institutional capacity has led to organisational weaknesses in smallholder irrigation projects [13]. Consequently, while capacity building enables farmers to exploit opportunities in smallholder irrigation [14], proper identification of CSFs can improve success of smallholder irrigation projects in planning, resource mobilisation, implementation and control. Thus, the study examined influence of farmer capacity building in institutional linkages on performance of smallholder irrigation projects in Migori County, Kenya.

2. Statement of the Problem

Despite the potential to contribute to food security and poverty alleviation, exploitation of irrigation in Kenya has not translated into project success. For instance, studies identify irrigation potential in Migori County as being in excess

of 16,500 hectares arising from Rivers Kuja and Migori [15]. However, [16] describes food poverty in Migori County as 32%, twice that in Nyeri and Meru Counties (15.5% each). Poverty incidence in Migori County is 41.2%, compared to the national figure of 36.1% [16]. This means that Migori County has not adequately exploited its irrigation potential. While there is significant research in smallholder irrigation addressing the relationship between farmer participation and project sustainability [17] [18] [19], and between farmer participation and project performance [20] [21] [22] [23], there is limited research addressing the relationship between capacity building in institutional linkages and performance of smallholder irrigation projects. According to [5], whereas project management practitioners consider planning, project implementation, cost and time overruns and quality non-achievement as main concerns in project management, CSFs are important inputs into project management and can lead to project success, either directly or indirectly. Therefore, the study has identified a gap in knowledge between farmer capacity building in institutional linkages and performance of smallholder irrigation projects in Migori County, Kenya.

3. Objectives of the Study

The objective of the study was to evaluate influence of farmer capacity building in institutional linkages on performance of smallholder irrigation projects in Migori County, Kenya.

4. Literature Review

Institutional linkages assist farmers overcome challenges to commercialisation of agriculture. Poor farm practices, poor quality control, poor roads network, and sale of farm produce in raw form contributes to loss of revenue to smallholder farmers [17]. Smallholder irrigation farmers in SSA face challenges related to poor market information, poor roads network, poor quality control, and misperception on opportunities by policy makers [18] [24]. Kenya exports only 16% of its agricultural produce in processed form, leading to loss of profits to farmers and loss of opportunities in agro-processing industry [25]. Whereas export quality assurance is a problem to smallholder farmers, and sale of farm produce in local markets represents losses in opportunities [26], attempts to assist farmers to access markets in Europe (EU) have been constrained by failure by farmers to meet EU quality control standards [24]. Farmers in rural areas consume 50% of their produce, while farmers in peri-urban areas consume 45%, and marketing strategies can enable rural area farmers to acquire better prices for their produce [27]. There is need to train smallholder farmers on quality control for international agricultural markets [28]. Thus, the study examined how farmer capacity building in institutional linkages influence performance of smallholder irrigation projects. Institutional linkages refer to land ploughing services, supply of certified seeds and fertilisers, control of crop pests and disease, transportation of farm produce, and marketing of farm produce.

5. Theoretical Framework

Everett Rogers conceptualised diffusion of innovation theory in 1962 to describe adoption of inventions, so that a decision to use improved ideas is “adoption” [29]. Rogers described diffusion as encompassing knowledge, persuasion, decision (to accept or reject), implementation and confirmation [30]. Adoption follows a normal distribution curve characteristic [29] and depends on relative advantages of innovation, compatibility with recipient’s values and needs, ease of use, and triability and observability of innovation [31]. The study suggests that diffusion of innovation explains benefits accrued from institutional linkages. Thus, the study used diffusion of innovation theory to explain farmer capacity building in institutional linkages.

6. Materials and Methods

6.1. Research Philosophy and Design

The study adopted pragmatism as its philosophy, and used cross sectional and correlation research design. By adopting pragmatism, the researcher integrated both deductive and inductive research approaches, and benefitted from use of objective and subjective research methods. Likewise, the study adopted cross-sectional and correlational research design. Cross-sectional survey design is cheaper, compared to longitudinal design, and more appropriate for researchers working under budgetary constraints [32]. Correlational design is useful when investigating if two variables co-vary, to quantify strength of relationship between variables, and to determine causal relationship between variables when it is impractical or unethical to manipulate any of the variables [33].

6.2. Target Population

The target population comprised farmers undertaking irrigation in the fifteen smallholder irrigation projects in Migori County that are members of Lower Kuja Irrigation Water Project (LOKIWAP). The researcher selected the fifteen smallholder irrigation projects because NIA has constructed a project to provide water from River Kuja to the fifteen smallholder irrigation projects in Migori County, and the fifteen irrigation projects have benefitted from the project. The target population is 2815 subjects, and comprised farmers who are registered members of the fifteen irrigation projects in Migori County that receive water for irrigation from River Kuja as part of the Lower Kuja Project.

6.3. Sample Size and Sampling Procedure

The researcher used tables given by [34] to determine the sample size. According to [34], sample size for a population of 2800 is 338, while that for a population of 3000 is 341. The target population is 2815, which falls in between 2800 and 3000. Thus, the study adopted 341 as its sample size. The study used purposive and systematic random sampling techniques to select respondents.

6.4. Data Collection Instruments

The researcher used the questionnaire to collect information. The questionnaire underwent pilot testing prior to use. Piloting was important in ensuring that respondents perceived the questions as intended by the researcher [35]. Use of 30 cases in a pilot study is recommended [36]. Thus, piloting enabled the researcher to familiarise with clarity of the instructions and proper wording of questions, estimation of time required to collect data, use of the data instrument to collect information, and coding and analysis of data.

6.5. Data Collection Procedures

Before collecting data, the researcher sought permission from School of Post Graduate Studies (University of Nairobi) and National Council for Science, Technology and Innovation (NACOSTI). After securing the research permit, the researcher held introductory meetings with NIA, Migori County Officials and farmers' leaders. Thereafter, the researcher recruited five research assistants from the study area to administer the questionnaire. Data collection took five days, comprising one day for mobilisation and training of research assistants, three days for administering of the questionnaire, and one day for debriefing after data collection.

6.6. Data Analysis Techniques

The study used descriptive and inferential statistics to analyse data. Descriptive statistics included percentages, central tendency (mean) and variability (standard deviation), while inferential statistics included Pearson's correlation analysis. The regression model took the form: $Y = a + b_1X_1 + b_2X_2 + \dots + b_kX_k + \varepsilon$, where Y = dependent variable, a = Y intercept, b_1, \dots, b_k = regression coefficients, and X_1, \dots, X_k = measurable indicator, and ε = error term. Pearson product moment correlation (r) and stepwise regression (r^2) was analysed based on p-value at 5% significance level. The study tested the following hypothesis; H1: Farmer capacity building in institutional linkages has a significant influence on performance of smallholder irrigation projects.

$$Y = a + b_1X_1 + b_2X_2 + b_3X_3 + b_4X_4 + b_5X_5 + \varepsilon . \quad (1)$$

In Equation (1), Y = performance of smallholder irrigation projects; a = Y intercept, and b_1, b_2, b_3, b_4, b_5 = regression coefficients; X_1 = land ploughing services, X_2 = supply of certified seeds and fertilisers, X_3 = control of crop pests and diseases, X_4 = transportation of farm produce, X_5 = marketing of farm produce; ε = error term.

7. Findings and Discussion

7.1. Descriptive Statistics

This section deals with influence of farmer capacity building in institutional linkages on performance of smallholder irrigation projects in Migori County. The

indicators for farmer capacity building in institutional linkages were land-ploughing services, supply of certified seeds and fertilisers, control of crop pests and disease, transportation of farm produce and marketing of farm produce. The study asked participants to indicate their level of agreement with various statements on institutional linkages. **Tables 1-5** present views of the farmers.

Table 1. Agreement with statements on land ploughing services.

	Mean	Std. Dev.
Land ploughing services are available in the project area.	3.490	1.126
I use private land ploughing services to plough land.	3.638	1.147
The project arranges for ploughing of farmers' lands.	2.232	2.836
I use the project ploughing arrangements to plough land.	2.030	0.790
I use my own family labour or oxen to plough land.	3.134	1.383

Table 2. Agreement with statements on supply of certified seeds and fertilisers.

	Mean	Std. Dev.
Stockists of certified seeds & fertilisers are available in the project area	3.232	1.227
I buy seeds & fertilisers from local farm input stockists.	3.418	1.142
The project arranges for supply of seeds & fertilisers to farmers.	1.953	0.620
I get certified seeds & fertilisers from the project arrangements.	1.896	0.565
I select my own seeds for planting and use farmyard manure.	3.074	1.340

Table 3. Agreement with statements on control of crop pests and diseases.

	Mean	Std. Dev.
Crop pests & disease control services are available in the project area.	2.967	1.140
I use private services to control crop pests & diseases.	3.804	1.016
The project arranges for crop pests & disease control for farmers.	2.036	2.731
I use the project arrangements to control crop pests & diseases.	1.834	0.471
I use traditional methods such as ash to control crop pests & diseases.	2.816	1.321

Table 4. Agreement with statements on transportation of farm produce.

	Mean	Std. Dev.
Transport services for farm produce is available in the project area.	3.323	1.170
I use private transport services to transport farm produce.	3.798	1.033
The project arranges for transport of farm produce for farmers.	1.956	0.608
I use the project arrangements to transport farm produce.	1.896	0.538
I use my own means to transport farm produce.	3.071	1.395

Table 5. Agreement with statements on marketing of farm produce.

	Mean	Std. Dev.
Markets for farm produce are available in the project area.	3.350	1.204
I market farm produce on my own.	3.807	1.027
The project arranges for marketing of farm produce for farmers.	1.935	0.558
I use the project arrangements to market farm produce.	1.923	0.546
I do not look for markets for farm produce. I sell farm produce at farm gate.	2.039	0.930

From the findings in **Table 1**, respondents agreed that they use private land ploughing services to plough land as shown by a mean of 3.638 and that land ploughing services are available in the project area as shown by a mean of 3.490. However, respondents were neutral that they use their own family labour or oxen to plough land as shown by a mean of 3.134, but disagreed that the project arranges for ploughing of farmers' lands as shown by a mean of 2.232 and that they use project ploughing arrangements to plough land as shown by a mean of 2.030.

As per the findings, **Table 2** shows that respondents were neutral that they buy seeds and fertilisers from local farm input stockists as shown by a mean of 3.418 and that stockist of certified seeds and fertilisers are available in the project area as shown by a mean of 3.232. Further respondents were neutral that they select their own seeds for planting and use farmyard manure as shown by a mean of 3.074. However, respondents disagreed that the project arranges for supply of seeds and fertilisers to farmers as shown by a mean of 1.953 and that they get certified seeds and fertilisers from project arrangements as shown by a mean of 1.896.

As per **Table 3**, respondents agreed that they use private services to control crop pests & diseases as shown by a mean of 3.804. However, respondents were neutral that crop pests & disease control services are available in the project area as shown by a mean of 2.967 and that they use traditional methods such as ash to control crop pests & diseases as shown by a mean of 2.816. The respondents disagreed that the project arranges for crop pests & disease control for farmers as shown by a mean of 2.036 and that they use the project arrangements to control crop pests & diseases as shown by a mean of 1.834.

From **Table 4**, respondents agreed that they use private transport services to transport farm produce as illustrated by a mean of 3.798. In addition, respondents were neutral that transport services for farm produce is available in the project area as illustrated by a mean of 3.323 and that they use their own means to transport farm produce as illustrated by a mean of 3.071. However, respondents disagreed that the project arranges for transport of farm produce for farmers as illustrated by a mean of 1.956 and that they use the project arrangements to transport farm produce as illustrated by a mean of 1.896.

As per **Table 5**, respondents agreed that they market produce on their own as shown by a mean of 3.807. The respondents were also neutral that markets for

farm produce are available in the project area as shown by a mean of 3.350. However, respondents disagreed that they do not look for markets for farm produce as they sell farm produce at farm gate as shown by a mean of 2.039, and that the project arranges for marketing of farm produce for farmers as shown by a mean of 1.935. Further, respondents disagreed that they use the project arrangements to market farm produce as shown by a mean of 1.923.

7.2. Inferential Statistics

The study used regression analysis to test the hypothesis: “H1: Farmer capacity building in institutional linkages has a significant influence on performance of smallholder irrigation projects”. **Tables 6-8** present data analyses and results of regression analysis for influence of farmer capacity building in institutional linkages and performance of smallholder irrigation projects.

Table 6. Model summary.

Model	R.	R ²	Adjusted R ²	Std. Error
1	0.803a	0.645	0.640	0.310

a. Predictors: (Constant), land ploughing services, supply of certified seeds and fertilisers, control of crop pests and diseases, transportation of farm produce, marketing of farm produce.

Table 7. Analysis of variance (ANOVA).

Model	Sum of Squares	df	Mean Square	F	Sig.
1 Regression	58.327	5	11.665	120.254	0.000b
Residual	32.109	331	0.097		
Total	90.436	336			

a. Dependent variable: Performance of smallholder irrigation projects. b. Predictors: (Constant), land ploughing services, supply of certified seeds and fertilisers, control of crop pests and diseases, transportation of farm produce, marketing of farm produce.

Table 8. Regression coefficients.

Model	Unstandardized Coefficients		Standardised Coefficients	T	Sig.
	Beta	Std. Error	Beta		
(Constant)	0.981	0.121		8.107	0.000
land ploughing services	0.762	0.142	0.833	5.366	0.000
supply of certified seeds and fertilisers	0.652	0.124	0.718	5.258	0.000
control of crop pests and diseases	0.511	0.172	0.606	2.971	0.000
transportation of farm produce	0.617	0.109	0.789	5.661	0.000
marketing of farm produce	0.761	0.137	0.818	5.555	0.000

a. Dependent variable: performance of smallholder irrigation projects.

The findings show that $r = 0.803$, indicating that farmer capacity building in institutional linkages strongly influences performance of smallholder irrigation

projects in Migori County. Similarly, $R^2 = 0.645$, indicating that farmer capacity building in institutional linkages (land ploughing services, supply of certified seeds and fertilisers, control of crop pests and disease, transportation of farm produce and marketing of farm produce) explains 64.5% of variations in performance of smallholder irrigation projects in Migori County.

From the ANOVA table, p-value was 0.000 and F-calculated was 120.254. Since p-value was less than 0.05 and F-calculated was greater than F-critical (2.2413), the regression model was significant in explaining influence of land ploughing services, supply of certified seeds and fertilisers, control of crop pests and disease, transportation of farm produce and marketing of farm produce on performance of smallholder irrigation projects in Migori County.

From the results on test of significance, land ploughing services ($\beta = 0.762$, $p = 0.000$), supply of certified seeds and fertilisers ($\beta = 0.652$, $p = 0.000$), control of crop pests and disease ($\beta = 0.511$, $p = 0.000$), transportation of farm produce ($\beta = 0.617$, $p = 0.000$) and marketing of farm produce ($\beta = 0.761$, $p = 0.000$) are significant at $p < 0.05$ and 95% confidence level. This implies that farmer capacity building in institutional linkages (land ploughing services, supply of certified seeds and fertilisers, control of crop pests and disease, transportation of farm produce and marketing of farm produce) has a significant influence on performance of smallholder irrigation projects in Migori County. Thus, the alternate hypothesis was accepted and the study concluded that farmer capacity building in institutional linkages has a significant influence on performance of smallholder irrigation projects in Migori County. Smallholder irrigation farmers in SSA face challenges related to poor market information, poor roads network, lack of quality control, and misperception by policy makers on crop opportunities [17]. Attempts to assist smallholder farmers to sale produce in Europe (EU) markets have yielded little success due to failure by farmers to meet EU quality control standards [24]. Thus, [28] advise on training of smallholder farmers on quality control requirements for international agricultural markets.

8. Conclusions and Policy Recommendations

The study concluded that farmer capacity building in institutional linkages has a significant influence on performance of smallholder irrigation projects in Migori County. Thus, access to land ploughing services, supply of certified seeds and fertilisers, control of crop pests and disease, transportation of farm produce and marketing of farm produce has substantial influence on project performance. In addition, the study established that Lower Kuja Project does not have a programme for linkages between the smallholder irrigation projects and service providers in agricultural production and marketing. This means that farmers in the smallholder irrigation projects in Lower Kuja Project make their own arrangements for ploughing services, supply of certified seeds and fertilisers, control of crop pests and disease, transportation of farm produce and marketing of farm produce.

Following the conclusions, the study recommends that Migori County Government should implement a programme to link smallholder irrigation projects in Migori County with institutions that provide land ploughing services, supply of certified seeds and fertilisers, control of crop pests and disease, transportation of farm produce and marketing of farm produce. The study also recommends that policy makers in the Ministry of Agriculture should formulate policies to assist smallholder irrigation projects to commercialise crop production and produce marketing.

Conflicts of Interest

The authors declare no conflicts of interest regarding publication of this paper.

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