

# Bound States of a System of Two Fermions on Invariant Subspace

# J. I. Abdullaev, A. M. Toshturdiev\*

Samarkand State University, University Boulevard 15, Samarkand, Uzbekistan Email: jabdullaev@mail.ru, \*atoshturdiyev@mail.ru

How to cite this paper: Abdullaev, J.I. and Toshturdiev, A.M. (2021) Bound States of a System of Two Fermions on Invariant Subspace. *Journal of Modern Physics*, **12**, 35-49. https://doi.org/10.4236/jmp.2021.121004

Received: October 26, 2020 Accepted: January 11, 2021 Published: January 14, 2021

Copyright © 2021 by author(s) and Scientific Research Publishing Inc. This work is licensed under the Creative Commons Attribution International License (CC BY 4.0).

http://creativecommons.org/licenses/by/4.0/

CC ①

**Open Access** 

# Abstract

We consider a Hamiltonian of a system of two fermions on a three-dimensional lattice  $\mathbb{Z}^3$  with special potential  $\hat{v}$ . The corresponding Shrödinger operator  $H(\mathbf{k})$  of the system has an invariant subspace  $L_{123}^-(\mathbb{T}^3)$ , where we study the eigenvalues and eigenfunctions of its restriction  $H_{123}^-(\mathbf{k})$ . Moreover, there are shown that  $H_{123}^-(k_1, k_2, \pi)$  has also infinitely many invariant subspaces  $\mathfrak{R}_{123}^-(n), n \in \mathbb{N}$ , where the eigenvalues and eigenfunctions of eigenvalues eigenvalues and eigenfunctions of eigenvalues eigenfunctions eigenvalues eigenfunctions eigenvalues eigenvalues eigenfunctions eigenvalues eigenfunctions eigenvalues eigenfunctions eigenvalues eigenfunctions eigenvalues eigenfunctions eigenvalues eigenfunctions ei

$$H(k_1, k_2, \pi) f = zf, f \in \mathfrak{R}_{123}^{-}(n)$$

are explicitly found.

## **Keywords**

Hamiltonian, Fermion, Bound State, Shrödinger Operator, Invariant Subspace, Total Quasi-Momentum, Eigenvalue, Birman-Schwinger Principle

# **1. Introduction**

The nature of bound states of two-particle cluster operators for small parameter values was first studied in detail by Minlos and Mamatov [1] and then in a more general setting by Minlos and Mogilner [2]. In [3], Howland showed that the Rellich theorem on perturbations of eigenvalues does not extend to the resonance theory. Studying bound states of a two-particle system Hamiltonian H on the d-dimensional lattice  $\mathbb{Z}^d$  reduces to studying [2] [4] [5] [6] [7] the eigenvalues of a family of Shrödinger operators  $H(\mathbf{k}), \mathbf{k} \in \mathbb{T}^d$ , where  $\mathbf{k}$  is the total quasi-momentum of a system. Moreover, eigenfunctions of  $H(\mathbf{k})$  are interpreted as bound states of the Hamiltonian H, and eigenvalues, as the bound state

energies. The bound states of H of a system of two fermions on a one-dimensional lattice were studied in [4], a system of two bosons on a two-dimensional lattice was studied in [6], and perturbations of the eigenvalues of a two-particle Shrödinger operator on a one-dimensional lattice were studied in [8]. The finiteness of the number of eigenvalues of Shrödinger operator on a lattice was studied in the works [7] [9].

The discrete spectrum of the two-particle continuous Shrödinger operator

$$h_{\lambda} = -\Delta + \lambda V$$

was studied by many authors, with the conditions for the potential V formulated in its coordinate representation. The condition for the finiteness of the set of negative elements of the spectrum and the absence of positive eigenvalues of  $h_{\lambda}$ can be found in [10]. If  $V \leq 0$ , then the number of negative eigenvalues  $N(\lambda)$ is a nondecreasing function of  $\lambda \in (0,\infty)$ , and each eigenvalue  $z_n(\lambda)$  decreases on the half-axis  $(0,\infty)$ . It is known that when the coupling constant  $\lambda$ decreases, the bound state energies of  $h_{\lambda}$  tend to the boundary of the continuous spectrum (see [10]) and for some finite  $\lambda$  are on the boundary. Two questions then arise: Does a bound or virtual state correspond to such a threshold state (*i.e.*, is the corresponding wave function square-integrable)? And where do the bound states "disappear to" as  $\lambda$  decreases further? The study of the first question was the subject in [11] [12]. Regarding the second question, it turns out that the bound state disappears by being absorbed into the continuous spectrum and becomes a resonance [5].

Here, we consider bound states of the Hamiltonian  $\hat{H}$  (see (1)) of a system of two fermions on the three-dimensional lattice  $\mathbb{Z}^3$  with the special potential  $\hat{v}$  (see (5)). In other words, we study the discrete spectrum of a family of the Shrödinger operators  $H(\mathbf{k})$ ,  $\mathbf{k} = (k_1, k_2, k_3) \in \mathbb{T}^3$ , (see (3)) corresponding to  $\hat{H}$  in the invariant subspace  $L_{123}^-(\mathbb{T}^3)$ .

Restriction of the operator  $H(\mathbf{k})$  in the invariant subspace  $L_{123}^{-}(\mathbb{T}^3)$  is denoted by  $H_{123}^{-}(\mathbf{k})$ .

In the case  $\mathbf{k} = \vec{\pi} := (\pi, \pi, \pi)$ , the operator  $H(\vec{\pi})$  has an infinite number of eigenvalues of the form  $6 - \hat{v}(\mathbf{n}), \mathbf{n} \in \mathbb{Z}^3$  and the essential spectrum consists of the single point 6. Here, the potential  $\hat{v}$  is defined by (5) and  $\overline{v} : \mathbb{N} \to \mathbb{R}$  is a decreasing function on  $\mathbb{N}$  and  $\overline{v} \in \ell_2(\mathbb{N})$ . These eigenvalues

 $z_n(\vec{\pi}) = 6 - \overline{v}(n), n \in \mathbb{N}$  are arranged in ascending order,

 $z_1(\vec{\pi}) < \cdots < z_n(\vec{\pi}) < \cdots$ , and the smallest eigenvalue  $z_1(\vec{\pi}) = 6 - \overline{v}(1)$  is three-fold,  $z_2(\vec{\pi}) = 6 - \overline{v}(2)$  is sevenfold, and the other eigenvalues

 $z_n(\vec{\pi}) = 6 - \overline{v}(n), n \ge 3$  are ninefold. All ninefold eigenvalues

 $z_n(\vec{\pi}) = 6 - \overline{v}(n), n \ge 3$  of the operator  $H(\vec{\pi})$  are simple eigenvalues for the operator  $H_{123}^-(\vec{\pi})$ .

Further, we investigate eigenvalues and eigenfunctions of the restriction operator  $H_{123}^{-}(\mathbf{k})$ .

In the case  $\mathbf{k} = (k_1, k_2, \pi)$  the corresponding operator  $H_{123}^-(k_1, k_2, \pi)$  has infinitely many invariant subspaces  $\mathfrak{R}_{123}^-(n) \coloneqq L_2^-(\mathbb{T}) \otimes L_2^-(n), n \in \mathbb{N}$ . It is proved that the restriction  $H_{123n}^{-}(k_1,k_2,\pi)$  of the operator  $H_{123}^{-}(k_1,k_2,\pi)$  in the invariant subspace  $\mathfrak{R}_{123}^{-}(n)$  has no more than one eigenvalue. If exists, it can be calculated explicitly. For every  $(k_1,k_2) \in (-\pi,\pi)^2$  the operator  $H_{123}^{-}(k_1,k_2,\pi)$  has only a finite number of eigenvalues.

For any perturbation  $\beta > 0$ , the essential spectrum  $\{6\}$  of  $H(\vec{\pi})$  becomes the essential spectrum  $\sigma_{ess}(H(\pi - 2\beta, \pi, \pi)) = [6 - 2\sin\beta, 6 + 2\sin\beta]$ . If the potential  $\hat{v}$  is of the form (5), the Shrödinger equation

 $H_{123}^{-}(\pi - 2\beta, \pi, \pi)f = zf, f \in \mathfrak{R}_{123}^{-}(n)$  can be exactly solved (see Theorem 1).

The Shrödinger equations  $H(\pi - 2\beta, \pi, \pi)f = zf$  and

 $H(\pi - 2\beta, \pi - 2\beta, \pi) f = zf, f \in \mathfrak{R}^{-}_{123}(n)$  with small  $\beta$  are solved by using methods invariant subspaces and operator theory.

## 2. Description of the Hamiltonian and Expansion in a Direct Integral

The free Hamiltonian  $\hat{H}_0$  of a system of two fermions on a three-dimensional lattice  $\mathbb{Z}^3$  usually corresponds to a bounded self-adjoint operator acting in the Hilbert space  $\ell_2^{as}(\mathbb{Z}^3 \times \mathbb{Z}^3) := \{ f \in \ell_2(\mathbb{Z}^3 \times \mathbb{Z}^3) : f(\mathbf{x}, \mathbf{y}) = -f(\mathbf{y}, \mathbf{x}) \}$  by the formula

$$\hat{H}_0 = -\frac{1}{2m}\Delta_1 - \frac{1}{2m}\Delta_2.$$

Here, *m* is the fermion mass, which we assume to be equal to unity in what follows,  $\Delta_1 = \Delta \otimes I$  and  $\Delta_2 = I \otimes \Delta$ , where *I* is the identity operator, and the lattice Laplacian  $\Delta$  is a difference operator that describes a translation of a particle from a side to a neighboring side,

$$(\Delta \hat{\psi})(\mathbf{x}) = \sum_{j=1}^{3} \left[ \hat{\psi}(\mathbf{x} + \mathbf{e}_{j}) + \hat{\psi}(\mathbf{x} - \mathbf{e}_{j}) - 2\hat{\psi}(\mathbf{x}) \right], \ \mathbf{x} \in \mathbb{Z}^{3}, \ \hat{\psi} \in \ell_{2}(\mathbb{Z}^{3}),$$

where  $\mathbf{e}_1 = (1,0,0), \mathbf{e}_2 = (0,1,0), \mathbf{e}_3 = (0,0,1)$  are unit vectors in  $\mathbb{Z}^3$ . The total Hamiltonian  $\hat{H}$  acts in the Hilbert space  $\ell_2^{as} (\mathbb{Z}^3 \times \mathbb{Z}^3)$  and is the difference of the free Hamiltonian  $\hat{H}_0$  and the interaction potential  $\hat{V}_2$  of the two fermions (see [8] [13]):

$$\hat{H} = \hat{H}_0 - \hat{V}_2, \tag{1}$$

where

$$(\hat{V}_2\hat{\psi})(\mathbf{x},\mathbf{y}) = \hat{v}(\mathbf{x}-\mathbf{y})\hat{\psi}(\mathbf{x},\mathbf{y}), \quad \hat{\psi} \in \ell_2^{as}\left(\left(\mathbb{Z}^3\right)^2\right) := \ell_2^{as}\left(\mathbb{Z}^3 \times \mathbb{Z}^3\right).$$

Hereafter, we assume that

$$\hat{v} \in \ell_2(\mathbb{Z}^3)$$
 and  $\hat{v}(\mathbf{x}) = \hat{v}(-\mathbf{x}) \ge 0$  for all  $\mathbf{x} \in \mathbb{Z}^3$ . (2)

Under this condition, the Hamiltonian  $\hat{H}$  is a bounded self-adjoint operator in  $\ell_2^{as}\left(\left(\mathbb{Z}^3\right)^2\right)$ .

We pass to momentum representation using the Fourier transform [2] [4] [7]

$$F: \ell_2^{as}\left(\mathbb{Z}^3 \times \mathbb{Z}^3\right) \to L_2^{as}\left(\mathbb{T}^3 \times \mathbb{T}^3\right).$$

The Hamiltonian  $H = H_0 - V = F\hat{H}F^{-1}$  in the momentum representation commutes with the unitary operators  $U_s, s \in \mathbb{Z}^3$ , given by

$$(U_{\mathbf{s}}f)(\mathbf{k}_1,\mathbf{k}_2) = \exp(-i(\mathbf{s},\mathbf{k}_1+\mathbf{k}_2))f(\mathbf{k}_1,\mathbf{k}_2), \quad f \in L_2^{as}(\mathbb{T}^3 \times \mathbb{T}^3).$$

It follows that there exist decompositions of  $L_2^{as}(\mathbb{T}^3 \times \mathbb{T}^3)$  and the operators  $U_s$  and *H* into direct integrals (see [7] [9] and [10])

$$L_{2}^{as}\left(\mathbb{T}^{3}\times\mathbb{T}^{3}\right)=\int_{\mathbb{T}^{3}}\oplus L_{2}^{as}\left(F_{\mathbf{k}}\right)d\mathbf{k}, \ U_{s}=\int_{\mathbb{T}^{3}}\oplus U_{s}\left(\mathbf{k}\right)d\mathbf{k}, \ H=\int_{\mathbb{T}^{3}}\oplus \tilde{H}\left(\mathbf{k}\right)d\mathbf{k}.$$

Here,

$$F_{\mathbf{k}} = \left\{ \left( \mathbf{k}_{1}, \mathbf{k}_{2} \right) \in \mathbb{T}^{3} \times \mathbb{T}^{3} : \mathbf{k}_{1} + \mathbf{k}_{2} = \mathbf{k} \right\}, \quad \mathbf{k} \in \mathbb{T}^{3},$$

and  $U_{\mathbf{s}}(\mathbf{k})$  is an operator of multiplication by the function  $\exp(-i(\mathbf{s},\mathbf{k}))$  in  $L_2^{as}(F_{\mathbf{k}})$ . The fiber operator  $\tilde{H}(\mathbf{k})$  of H also acts in  $L_2^{as}(F_{\mathbf{k}})$  and is unitarly equivalent to  $H(\mathbf{k}) \coloneqq H_0(\mathbf{k}) - V$ , which is called the Shrödinger operator. This operator acts in the Hilbert space  $L_2^o(\mathbb{T}^3) \coloneqq \{f \in L_2(\mathbb{T}^3) \colon f(-\mathbf{q}) = -f(\mathbf{q})\}$  by the formula

$$(H(\mathbf{k})f)(\mathbf{q}) = \varepsilon_{\mathbf{k}}(\mathbf{q})f(\mathbf{q}) - (2\pi)^{-\frac{3}{2}} \int_{\mathbb{T}^{3}} v(\mathbf{q} - \mathbf{s})f(\mathbf{s}) d\mathbf{s}.$$
 (3)

The unperturbed operator  $H_0(\mathbf{k})$  is an operator of multiplication by the function

$$\varepsilon_{\mathbf{k}}(\mathbf{q}) = \varepsilon \left(\frac{\mathbf{k}}{2} + \mathbf{q}\right) + \varepsilon \left(\frac{\mathbf{k}}{2} - \mathbf{q}\right)$$

$$= 6 - 2\cos\frac{k_1}{2}\cos q_1 - 2\cos\frac{k_2}{2}\cos q_2 - 2\cos\frac{k_3}{2}\cos q_3.$$
(4)

From (3) and (4), it follows that

$$H(k_1, k_2, k_3) = H(-k_1, k_2, k_3) = H(k_1, -k_2, k_3) = H(k_1, k_2, -k_3),$$

so we can assume  $k_1, k_2, k_3 \in [0, \pi]$ .

The perturbation operator V is an integral operator in  $L_2^o(\mathbb{T}^3)$  with the kernel

$$(2\pi)^{-\frac{3}{2}}v(\mathbf{q}-\mathbf{s})=(2\pi)^{-\frac{3}{2}}(F\hat{v})(\mathbf{q}-\mathbf{s}),$$

and belongs to the class of Hilbert-Schmidt operators  $\Sigma_2$ .

In this work, we consider the operator  $H(\mathbf{k})$  with the potential  $\hat{v}$  of the form

$$\hat{v}(\mathbf{n}) = \hat{v}(n_1, n_2, n_3) = \begin{cases} \overline{v}(|\mathbf{n}|), & |n_1| + |n_2| \le 1\\ 0, & |n_1| + |n_2| \ge 2 \end{cases}$$
(5)

where  $|\mathbf{n}| = |n_1| + |n_2| + |n_3|$ . Supporter is in the cylinder:

$$D = \left\{ \mathbf{n} = (n_1, n_2, n_3) \in \mathbb{Z}^3 : n_3 \in \mathbb{Z}, |n_1| + |n_2| \le 1 \right\}.$$

Since for every function  $\hat{\psi} \in \ell_2^{as}\left(\left(\mathbb{Z}^3\right)^2\right)$  the equality  $\hat{\psi}(\mathbf{x}, \mathbf{x}) = 0, \mathbf{x} \in \mathbb{Z}^3$  holds, then the value of the potential  $\hat{v}$  at the origin can be set arbitrary, since it does not affect the result, for simplicity, we assume that  $\hat{v}(0) = 0$ .

The function  $\overline{v}: \mathbb{N} \to \mathbb{R}$  in (5) is decreasing in  $\mathbb{N}$  *i.e.*,

$$\overline{v}(1) > \overline{v}(2) > \cdots \tag{6}$$

and belongs to  $\ell_2(\mathbb{N})$ . The kernel v, of the integral operator V, *i.e.*, the Fourier transform  $v(\mathbf{p}) = (F\hat{v})(\mathbf{p})$ , of the potential  $\hat{v}$ , has the form

$$v(\mathbf{p}) := (F\hat{v})(\mathbf{p}) = \frac{1}{(2\pi)^{3/2}} \sum_{\mathbf{n} \in \mathbb{Z}^3} \hat{v}(\mathbf{n}) e^{i(\mathbf{n},\mathbf{p})}$$
  
=  $\frac{1}{(2\pi)^{3/2}} \Big[ 2\overline{v}(1) (\cos p_1 + \cos p_2 + \cos p_3) + 2\overline{v}(2) (\cos 2p_3 + 2\cos p_1 \cos p_2 + 2\cos p_1 \cos p_3 + 2\cos p_2 \cos p_3)$ (7)  
+  $2\sum_{n=1}^{\infty} \overline{v}(n+2) (\cos(n+2)p_3 + 2\cos(n+1)p_3(\cos p_1 + \cos p_2) + 4\cos p_1 \cos p_2 \cos np_3) \Big].$ 

**Eigenvalues of the operator**  $H(\mathbf{k})$ . We note that the spectra of the operators  $H_0(\mathbf{k})$  and V are known. The operator  $H_0(\mathbf{k})$  does not have eigenvalues, its spectrum is continuous and coincides with the range of the function  $\varepsilon_{\mathbf{k}}$ :

$$\sigma(H_0(\mathbf{k})) = [m(\mathbf{k}), M(\mathbf{k})], \text{ where } m(\mathbf{k}) = \min_{\mathbf{q} \in \mathbb{T}^3} \varepsilon_{\mathbf{k}}(\mathbf{q}), M(\mathbf{k}) = \max_{\mathbf{q} \in \mathbb{T}^3} \varepsilon_{\mathbf{k}}(\mathbf{q}).$$

The spectrum of *V* consists of the set  $\{0, \overline{v}(n), n \in \mathbb{N}\}$ . Under condition (2), the operator *V* is a Hilbert-Schmidt operator and is hence compact. By the Weyl theorem [10], the essential spectrum of  $H(\mathbf{k})$  coincides with the spectrum of  $H_0(\mathbf{k})$ :

$$\sigma_{ess}(H(\mathbf{k})) = [m(\mathbf{k}), M(\mathbf{k})].$$

If  $\mathbf{k} = \vec{\pi}$ , then the spectrum of  $H(\vec{\pi}) = 6I - V$  consists of eigenvalues of the form  $6 - \overline{v}(n), n \in \mathbb{N}$  and the essential spectrum is  $\{6\}$ . If  $k_j = \pi$  (for some  $j \in \{1, 2, 3\}$ ), then there exists a potential  $\hat{v}$  such that  $H(\mathbf{k})$  has an infinite number of eigenvalues outside the continuous spectrum (see [4] [14]).

We recall some notations and known facts. For any self-adjoint operator *B* acting in a Hilbert space  $\mathscr{H}$  without an essential spectrum to the right of  $\mu \in \mathbb{R}$ , we let  $n(\mu, B)$  denote the number of its eigenvalues to the right of  $\mu$ . We let  $N(\mathbf{k}, z)$  denote the number of eigenvalues of  $H(\mathbf{k})$  to the left of  $z \le m(\mathbf{k})$ , *i.e.*,  $N(\mathbf{k}, z) = n(-z, -H(\mathbf{k}))$ . The number  $N(\mathbf{k}, m(\mathbf{k}))$  in fact coincides with the number of eigenvalues outside the continuous spectrum of  $H(\mathbf{k})$ . It follows from the self-adjointness of  $H(\mathbf{k}) = H_0(\mathbf{k}) - V$  and positivity of V that

$$\sigma(H(\mathbf{k})) \cap (M(\mathbf{k}), \infty) = \emptyset,$$

and hence  $\sigma_{disc}(H(\mathbf{k})) \subset (-\infty, m(\mathbf{k}))$ . Therefore we seek only eigenvalues z less than  $m(\mathbf{k})$ .

For any  $\mathbf{k} \in \mathbb{T}^3$  and  $z < m(\mathbf{k})$ , we define the integral operator

$$G(\mathbf{k},z) = V^{\frac{1}{2}} r_0(\mathbf{k},z) V^{\frac{1}{2}}$$

where  $r_0(\mathbf{k},z)$  is the resolvent of the unperturbed operator  $H_0(\mathbf{k})$ . Under

condition (2), the operator V is positive, and we let  $V^{\frac{1}{2}}$  denote the positive square root of the positive operator V. A solution f of the Schrödinger equation

$$H(\mathbf{k})f = zf$$

and the fixed points  $\varphi$  of  $G(\mathbf{k}, z)$  are connected by the relations

$$f = r_0(\mathbf{k}, z)V^{\frac{1}{2}}\varphi$$
 and  $\varphi = V^{\frac{1}{2}}f$ .

The following proposition (the Birman-Schwinger principle) holds [9].

**Lemma 1.** The number of eigenvalues of  $H(\mathbf{k})$  to the left of  $z < m(\mathbf{k})$  coincides with the number of eigenvalues of  $G(\mathbf{k}, z)$  greater than unity, i.e., the equality

$$N(\mathbf{k},z) = n(1,G(\mathbf{k},z))$$

holds.

**Lemma 2.** If for some  $\mathbf{k} \in \mathbb{T}^3$  the limit operator  $\lim_{z \to m(\mathbf{k})^-} G(\mathbf{k}, z) = G(\mathbf{k}, m(\mathbf{k})) \text{ exists and is compact, then the equality}$   $N(\mathbf{k}, m(\mathbf{k})) = n(1, G(\mathbf{k}, m(\mathbf{k})))$ (8)

holds.

Equality (8) states that the number of eigenvalues of  $H(\mathbf{k})$ , to the left of  $m(\mathbf{k})$  is equal to the number of eigenvalues of  $G(\mathbf{k}, m(\mathbf{k}))$  greater than unity.

## **3.** Invariant Subspaces of $H(\mathbf{k})$

In this section, we study the invariant subspaces with respect to the operator  $H(\mathbf{k})$ .

Let  $L_2^-(\mathbb{T}) = \{ f \in L_2(\mathbb{T}) : f(-p) = -f(p) \}$  be a subspace of the space  $L_2(\mathbb{T})$ , consisting of odd functions on  $\mathbb{T} = [-\pi, \pi]$ , and

 $L_{2}^{+}(\mathbb{T}) = \{ f \in L_{2}(\mathbb{T}) : f(-p) = f(p) \}$  be a subspace of  $L_{2}(\mathbb{T})$ , consisting of even functions on  $\mathbb{T}$ . In addition, we use the notation

 $L_{123}^{-}\left(\mathbb{T}^{3}\right) \coloneqq L_{2}^{-}\left(\mathbb{T}\right) \otimes L_{2}^{-}\left(\mathbb{T}\right) \otimes L_{2}^{-}\left(\mathbb{T}\right), \quad L_{123}^{+}\left(\mathbb{T}^{3}\right) \coloneqq L_{2}^{+}\left(\mathbb{T}\right) \otimes L_{2}^{+}\left(\mathbb{T}\right) \otimes L_{2}^{+}\left(\mathbb{T}\right).$ 

Note that  $L_{123}^{-}(\mathbb{T}^3)$  is a subspace of the space  $L_2^o(\mathbb{T}^3)$ . It is natural to expect the invariance of the subspace  $L_{123}^{-}(\mathbb{T}^3)$  with respect to the operator  $H(\mathbf{k})$ . It turns out that this subspace is invariant under the operator  $H(\mathbf{k})$ , *i.e.* the following statement holds.

**Lemma 3.** Let the potential  $\hat{v}$  have the form (5). Then the subspace  $L_{123}(\mathbb{T}^3)$  is invariant under the action of  $H(\mathbf{k})$ .

**Proof.** We prove that this subspace is invariant first with respect to  $H_0(\mathbf{k})$ , and then with respect to V. It follows from representation (4) that the function  $\varepsilon_{\mathbf{k}}$  belongs to the subspace  $L_{123}^+(\mathbb{T}^3)$ , and it follows from the inclusion

 $f \in L_{123}^{-}(\mathbb{T}^3)$  that  $\varepsilon_{\mathbf{k}} f \in L_{123}^{-}(\mathbb{T}^3)$ . This proves that  $L_{123}^{-}(\mathbb{T}^3)$  is invariant with respect to  $H_0(\mathbf{k})$ .

Simple calculations show that the function (see (7))

$$(Vf)(p_1, p_2, p_3) = \frac{1}{(2\pi)^{\frac{3}{2}}} \int_{\mathbb{T}^3} v(p_1 - s_1, p_2 - s_2, p_3 - s_3) f(s_1, s_2, s_3) ds_1 ds_2 ds_3$$

belongs to the subspace  $L_{123}^{-}(\mathbb{T}^3)$  for  $f \in L_{123}^{-}(\mathbb{T}^3)$ . Hence, we prove the invariance of  $L_{123}^{-}(\mathbb{T}^3)$  with respect to V, and it follows that  $L_{123}^{-}(\mathbb{T}^3)$  is invariant with respect to  $H(\mathbf{k}) = H_0(\mathbf{k}) - V$ .

 $H_{123}^{-}(\mathbf{k})$  denotes the restriction of  $H(\mathbf{k})$  to the respective subspace  $L_{123}^{-}(\mathbb{T}^3)$ . The action of  $H_{0(123)}^{-}(\mathbf{k}) \coloneqq H_0(\mathbf{k})$  is unchanged, the unperturbed operator  $H_0(\mathbf{k})$  is an operator of multiplication by the function  $\varepsilon_{\mathbf{k}}$ . We present the formula for  $V_{123}^{-} = V|_{L_{123}^{-}(\mathbb{T}^3)}$  operator V acts on the element  $f \in L_{123}^{-}(\mathbb{T}^3)$  according to the formula

$$\left( V_{123}^{-} f \right) \left( \mathbf{p} \right) = \frac{1}{\pi^3} \sum_{n=1}^{\infty} \overline{v} \left( n+2 \right) \int_{\mathbb{T}^3} \sin p_1 \sin p_2 \sin n p_3 \sin q_1 \sin q_2 \sin n q_3 f \left( \mathbf{q} \right) \mathrm{d} \mathbf{q}.$$

Note that for  $\mathbf{k} = \vec{\pi}$ , the spectrum of  $H(\vec{\pi}) = 6I - V$  consists only of the eigenvalues  $6, 6 - \overline{v}(n), n \in \mathbb{N}$  and the essential spectrum  $\{6\}$ . Under condition (6) the number  $z_1(\vec{\pi}) = 6 - \overline{v}(1)$  is a threefold eigenvalue of  $H(\vec{\pi})$ , with the corresponding eigenfunctions

$$\sin p_1, \sin p_2, \sin p_3,$$

the number  $z_2(\vec{\pi}) = 6 - \overline{v}(2)$  is a sevenfold eigenvalue with the corresponding eigenfunctions

$$\sin 2p_3, \cos p_1 \sin p_2, \sin p_1 \cos p_2, \cos p_1 \sin p_3,$$
  
$$\sin p_1 \cos p_3, \cos p_2 \sin p_3, \sin p_2 \cos p_3,$$

for each  $n \ge 3$ , the number  $z_n(\vec{\pi}) = 6 - \overline{v}(n)$  is a ninefold eigenvalue, and the corresponding eigenfunctions are

$$sin(n+2) p_3, sin p_1 cos(n+1) p_3, sin p_2 cos(n+1) p_3,sin(n+1) p_3 cos p_1, sin(n+1) p_3 cos p_2, sin np_3 cos p_1 cos p_2,sin p_2 cos p_1 cos np_3, sin p_1 cos p_2 cos np_3, sin p_1 sin p_2 sin np_3.$$

The number  $z_{\infty}(\vec{\pi}) = 6$  is an eigenvalue of an infinite multiplicity, and the corresponding eigenfunctions are

$$\psi_{(n_1,n_2,n_3)}^{---}(\mathbf{p}) = \sin n_1 p_1 \sin n_2 p_2 \sin n_3 p_3, \ n_3 \in \mathbb{N}, \ n_1 + n_2 \ge 3.$$

All ninefold eigenvalues  $z_n(\vec{\pi}) = 6 - \vec{v}(n), n \ge 3$  of the operator  $H(\vec{\pi})$  are simple eigenvalues for the operator  $H_{123}^-(\vec{\pi})$ , and the number  $z_{\infty}(\vec{\pi}) = 6$  is an eigenvalue of an infinite multiplicity.

If the third coordinate  $k_3$  of the total quasimomentum **k** is equal to  $\pi$ , then the operator  $H(k_1, k_2, \pi)$  has infinitely many invariant subspaces  $\mathfrak{R}^-_{123}(n), n \in \mathbb{N}$ .

Next, we give a description of the invariant subspace  $\mathfrak{R}_{123}^{-}(n), n \in \mathbb{N}$ . The system of functions

$$\left\{\psi_n^{-}(q)=\frac{1}{\sqrt{\pi}}\sin nq\right\}_{n\in\mathbb{N}}$$

DOI: 10.4236/jmp.2021.121004

is an orthonormal basis in the space  $L_2^-(\mathbb{T})$ . Let us denote by  $L^-(n), n \in \mathbb{N}$  the one-dimensional subspace spanned by the vector  $\psi_n^-$ . The space  $L_2^-(\mathbb{T})$  can be decomposed into the direct sum

$$L_{2}^{-}(\mathbb{T}) = \sum_{n=1}^{\infty} \oplus L^{-}(n)$$

This decomposition produces another decomposition

$$L_{123}^{-}(\mathbb{T}^{3}) = \sum_{n=1}^{\infty} \oplus \left\{ L_{2}^{-}(\mathbb{T}) \otimes L_{2}^{-}(\mathbb{T}) \otimes L^{-}(n) \right\}$$
$$= \sum_{n=1}^{\infty} \oplus \left\{ L_{12}^{-}(\mathbb{T}^{2}) \otimes L^{-}(n) \right\} = \sum_{n=1}^{\infty} \oplus \mathfrak{R}_{123}^{-}(n),$$

where

$$\mathfrak{R}_{123}^{-}(n) \coloneqq L_{12}^{-}(\mathbb{T}^2) \otimes L^{-}(n), \quad L_{12}^{-}(\mathbb{T}^2) = L_{2}^{-}(\mathbb{T}) \otimes L_{2}^{-}(\mathbb{T}).$$

**Lemma 4.** Let the potential  $\hat{v}$  have the form (5). Then the subspace  $\mathfrak{R}^-_{123}(n)$  is invariant under  $H^-_{123}(k_1, k_2, \pi)$  for any  $n \in \mathbb{N}$ .

**Proof.** Let 
$$(f\psi_n^-)(p_1, p_2, p_3) \coloneqq f(p_1, p_2)\psi_n^-(p_3)$$
, where  $f \in L_{12}^-(\mathbb{T}^2)$ ,  
 $\psi_n^- \in L^-(n)$  is an arbitrary element of  $\mathfrak{R}_{123}^-(n)$ . We consider the action of  $H_{123}^-(k_1, k_2, \pi) = H_0(k_1, k_2, \pi) - V_{123}^-$  on  $f\psi_n^-$ :

$$\begin{pmatrix} H_0(k_1, k_2, \pi) f \psi_n^- \end{pmatrix} (\mathbf{p}) \\ = \left[ \left( 6 - 2\cos\frac{k_1}{2}\cos p_1 - 2\cos\frac{k_2}{2}\cos p_2 \right) f(p_1, p_2) \right] \psi_n^-(p_3),$$
(9)  
$$\begin{pmatrix} V_{123}^- f \psi_n^- \end{pmatrix} (\mathbf{p}) \\ = \left[ \frac{\overline{\psi}(n+2)}{\pi^2} \int_{\mathbb{T}^2} \sin p_1 \sin q_1 \sin p_2 \sin q_2 f(q_1, q_2) dq_1 dq_2 \right] \psi_n^-(p_3).$$
(10)

To obtain the last formula (10), we use the orthogonality of the system of functions  $\{\psi_n^-\}_{n\in\mathbb{N}}$  in  $L_2^-(\mathbb{T})$ . Relations (9) and (10) imply the equality

$$\begin{pmatrix} H_{123}^{-}(k_1, k_2, \pi) f \psi_n^{-} \end{pmatrix} (p_1, p_2, p_3)$$

$$= \begin{pmatrix} H_0(k_1, k_2, \pi) f \psi_n^{-} \end{pmatrix} (p_1, p_2, p_3) - \begin{pmatrix} V_{123}^{-} f \psi_n^{-} \end{pmatrix} (p_1, p_2, p_3)$$

$$= \left[ \begin{pmatrix} 6 - 2\cos\frac{k_1}{2}\cos p_1 - 2\cos\frac{k_2}{2}\cos p_2 \end{pmatrix} f(p_1, p_2) \right] \psi_n^{-}(p_3)$$

$$- \left[ \frac{\overline{\nu}(n+2)}{\pi^2} \int_{\mathbb{T}^2} \sin p_1 \sin q_1 \sin p_2 \sin q_2 f(q_1, q_2) dq_1 dq_2 \right] \psi_n^{-}(p_3)$$

$$(11)$$

which completes the proof of the lemma.

We denote by  $H_{123n}^-(k_1, k_2, \pi)$  restriction of the operator  $H_{123}^-(k_1, k_2, \pi)$  in the invariant subspace  $\mathfrak{R}_{123}^-(n)$ . Formula (11) shows that the restriction  $H_{123n}^-(k_1, k_2, \pi)$  to the subspace  $\mathfrak{R}_{123}^-(n) = L_{12}^-(\mathbb{T}^2) \otimes L^-(n)$  has the form

$$H_{123n}^{-}(k_{1},k_{2},\pi) = \left[2I + H_{0}(k_{1},k_{2}) - \overline{\nu}(n+2)V_{11}\right] \otimes I,$$
(12)

where *I* is the identity operator and  $H_{123}^{(n)}(\mathbf{k}) \coloneqq 2I + H_0(\mathbf{k}) - \overline{v}(n+2)V_{11}$ ,  $\mathbf{k} = (k_1, k_2)$ , is a two-dimensional two-particle operator acting in  $L_{12}^-(\mathbb{T}^2)$  by the formula

$$(H_{123}^{(n)}(\mathbf{k})f)(\mathbf{p})$$
  
=  $(2 + \varepsilon_{\mathbf{k}}(\mathbf{p}))f(\mathbf{p}) - \frac{\overline{\nu}(n+2)}{\pi^{2}} \int_{\mathbb{T}^{2}} \sin p_{1} \sin p_{2} \sin q_{1} \sin q_{2}f(\mathbf{q}) d\mathbf{q}$ 

where  $\varepsilon_{\mathbf{k}}(\mathbf{p}) = 4 - 2\cos\frac{k_1}{2}\cos p_1 - 2\cos\frac{k_2}{2}\cos p_2$ , and  $V_{11}$  is a one-dimensional integral operator in  $L_{12}^-(\mathbb{T}^2)$  with the kernel

$$v(\mathbf{p},\mathbf{q}) = \frac{1}{\pi^2} \sin p_1 \sin p_2 \sin q_1 \sin q_2.$$

Studying the eigenvalues of  $H_{123n}^{-}(k_1,k_2,\pi)$  by representations (12) reduces to studying the eigenvalues of

$$H_{123}^{(n)}(\mathbf{k}) = 2I + H_0(\mathbf{k}) - \overline{v}(n+2)V_{11}, \mathbf{k} = (k_1, k_2)$$

*i.e.* the three-dimensional problem reduces to the two-dimensional problem.

# 4. Eigenvalues of the Operator $H_{123}^{-}(\mathbf{k})$

Our main goal in this section is to study the behavior of the nondegenerate eigenvalue  $z_{n+2}(\vec{\pi}) = 6 - \vec{v}(n+2), n \in \mathbb{N}$  of  $H_{123}^-(\vec{\pi})$  at small perturbations  $\beta$   $(k_1 = \pi - 2\beta \text{ or } k_2 = \pi - 2\beta)$ , *i.e.* the eigenvalues of  $H_{123}^-(\pi - 2\beta, \pi, \pi)$  (or  $H_{123}^-(\pi, \pi - 2\beta, \pi, \pi)$ ) at small perturbations  $\beta$ . The studying of the eigenvalues of  $H_{123n}^-(\pi - 2\beta, \pi, \pi)$  is reduced to study the eigenvalues of the operator  $H_{123n}^-(\pi - 2\beta, \pi, \pi)$  for each fixed  $n \in \mathbb{N}$ . In turn, the problem of studying the eigenvalues of the operator  $H_{123n}^-(\pi - 2\beta, \pi, \pi)$  by virtue of (12) is reduced to study of the discrete spectrum of the operator

$$H_{123}^{(n)}(\pi - 2\beta, \pi) = 2I + H_0(\pi - 2\beta, \pi) - \overline{\nu}(n+2)V_{11}.$$

Studying the eigenvalues of  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  and  $H_{123}^{(n)}(\pi, \pi - 2\beta)$  reduces to studying the eigenvalues of  $H_{\lambda}(k)$  acting in  $L_{2}^{-}(\mathbb{T})$  by the formula

$$(H_{\lambda}(k)f)(p) = \varepsilon_{k}(p)f(p) - \frac{\lambda}{\pi} \int_{\mathbb{T}} \sin p \sin q f(q) dq,$$
  

$$\varepsilon_{k}(p) = 2 - 2\cos\frac{k}{2}\cos p.$$
(13)

It is known that the essential spectrum of

$$H_{\lambda}(\pi - 2\beta) = H_0(\pi - 2\beta) - \lambda V_1, \beta \in \left(0, \frac{\pi}{2}\right] \text{ consists of a segment}$$
$$\left[m(\beta), M(\beta)\right], \text{ where } m(\beta) = 2 - 2\sin\beta, M(\beta) = 2 + 2\sin\beta.$$

Further we give some information about the eigenvalues and eigenfunctions of the operator  $H_{\lambda}(k)$ . Combining Theorem 6.3 in [6], Theorem 5.10 in [15] and Lemmas 1 and 2 we obtain the following statement about eigenvalues of the operator  $H_{\lambda}(k)$ .

**Lemma 5.** Let 
$$\beta \in \left(0, \frac{\pi}{2}\right]$$
.

a) If  $\lambda < \sin \beta$ , then the operator  $H_{\lambda}(\pi - 2\beta)$  has no eigenvalues lying outside of the essential spectrum.

b) If  $\lambda = \sin \beta$ , then the left edge  $m(\beta)$  of essential spectrum of the operator  $H_{\lambda}(\pi - 2\beta)$  is a resonance.

c) If  $\lambda > \sin \beta$ , then the operator  $H_{\lambda}(\pi - 2\beta)$  has a unique nondegenerate eigenvalue

$$z_{\lambda}(\beta) = 2 - \lambda - \frac{1}{\lambda} \sin^2 \beta$$

which lying in the left of the essential spectrum with corresponding normalized eigenfunction

$$f_{\lambda}^{-}(p) = \frac{C_{\lambda} \sin p}{2 - 2\sin\beta \cos p - z_{\lambda}(\beta)} \in L_{2}^{-}(\mathbb{T}).$$
(14)

Here  $C_{\lambda}$  is the normalizing multiplicity.

d) The operator  $H_{\lambda}(\pi - 2\beta)$  has no embedded eigenvalues in the interval  $(m(\beta), M(\beta))$ .

Hilbert space  $L_{12}^{-}(\mathbb{T}^2) = L_2^{-}(\mathbb{T}) \otimes L_2^{-}(\mathbb{T})$  can be written as a direct sum:

$$L_{2}^{-}(\mathbb{T}) \otimes L_{2}^{-}(\mathbb{T}) = L_{2}^{-}(\mathbb{T}) \otimes L^{-}(1) \oplus \left(L_{2}^{-}(\mathbb{T}) \otimes L^{-}(1)\right)^{\perp}$$

The following lemma establishes a connection between the operators  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  and  $H_{\lambda}(k)$ .

**Lemma 6.** Let the potential  $\hat{v}$  have the form (5). Then:

a) the subspace  $L_2^-(\mathbb{T}) \otimes L^-(1)$  and its orthogonal complement

 $\left(L_{2}^{-}(\mathbb{T})\otimes L^{-}(1)\right)^{\perp}$  are invariant under  $H_{123}^{(n)}(\pi-2\beta,\pi)$ .

b) restriction of the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  to the invariant subspace

 $(L_2^{-}(\mathbb{T}) \otimes L^{-}(1))^{\perp}$  coinsides with the unperturbed operator  $H_0(\pi - 2\beta, \pi)$ .

c) restriction of the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  to the invariant subspace

 $L_2^{-}(\mathbb{T}) \otimes L^{-}(1)$  can be represented as a tensor product:

$$H_{123}^{(n)}(\pi - 2\beta, \pi) = \left[4I + H_0(\pi - 2\beta) - \overline{\nu}(n+2)V_1\right] \otimes I.$$
(15)

Here, *I* is the identity operator, and  $H_{\lambda(n)}(\pi - 2\beta) := H_0(\pi - 2\beta) - \lambda(n)V_1$ ,  $\lambda(n) = \overline{\nu}(n+2)$  is a one-dimensional two-particle operator acting in  $L_2^-(\mathbb{T})$  by the formula (13).

This lemma is proved in the same way as the Lemma 4. In particular, part b) of the lemma implies that the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  has no eigenfunctions in  $(L_2^-(\mathbb{T}) \otimes L^-(1))^{\perp}$ . Thus, studying the eigenvalues of the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  is reduced to studying eigenvalues of the operator  $H_{\lambda(n)}^{(n)}(\pi - 2\beta) = H_0(\pi - 2\beta) - \lambda(n)V_1$ .

From Lemmas 5 - 6 and tensor product (15) implies the following statement regarding operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$ .

**Theorem 1.** Let 
$$\beta \in \left(0, \frac{\pi}{2}\right]$$
 and  $n \in \mathbb{N}$ .

a) If  $\overline{v}(n+2) < \sin \beta$ , then the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  has no eigenvalues lying outside of the essential spectrum.

b) If  $\overline{\nu}(n+2) = \sin\beta$ , then the left edge  $m(\beta)$  of essential spectrum of the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  is a resonance.

c) If  $\overline{v}(n+2) > \sin\beta$ , then the operator  $H_{123}^{(n)}(\pi-2\beta,\pi)$  has a unique nondegenerate eigenvalue

$$z_{123}^{(n)}(\pi - 2\beta, \pi) = 4 + z_{\lambda(n)}(\beta) = 6 - \overline{\nu}(n+2) - \frac{1}{\overline{\nu}(n+2)} \sin^2 \beta, \qquad (16)$$

which lies in the left of the essential spectrum and with the corresponding normalized eigenfunction

$$f_{\lambda(n)}^{--}(p_1, p_2) = f_{\lambda(n)}^{-}(p_1) \frac{\sin p_2}{\sqrt{\pi}} = f_{\lambda(n)}^{-}(p_1) \psi_1^{-}(p_2) \in L_2^{-}(\mathbb{T}) \otimes L^{-}(1),$$

where  $f_{\lambda(n)}$  is the normalized eigenfunction of the operator  $H_{\lambda(n)}(\pi - 2\beta)$  corresponding to the eigenvalue  $z_{\lambda(n)}(\beta)$ , the operator  $H_{\lambda(n)}(k)$  is defined by the formula (13).

d) The operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  has no embedded eigenvalues in the interval  $(m(\beta), M(\beta))$ .

Similar statement is true for the operator  $H_{123}^{(n)}(\pi, \pi - 2\beta)$ . The eigenvalues of the operators  $H_{123}^{(n)}(\pi, \pi - 2\beta)$  and  $H_{123}^{(n)}(\pi - 2\beta, \pi)$  are same, but eigenfunctions differ with variable replacement  $p_1$  and  $p_2$ . In other words, the operators  $H_{123}^{(n)}(k_1, k_2)$  and  $H_{123}^{(n)}(k_2, k_1)$  are unitary equivalent. Therefore, the operators  $H_{123n}^{-}(k_1, k_2, \pi)$  and  $H_{123n}^{-}(k_2, k_1, \pi)$  are unitary equivalent too.

Similar statement can relatively be formulated for the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)$ . For this purpose, we introduce the following notation. Through

$$\Delta_{n}(\beta, z) = 1 - \frac{\overline{\nu}(n+2)}{\pi^{2}} \int_{\mathbb{T}^{2}} \frac{\sin^{2} p_{1} \sin^{2} p_{2} dp_{1} dp_{2}}{2 + 2(2 - \sin\beta \cos p_{1} - \sin\beta \cos p_{2}) - z}$$

we denote the Fredholm determinant of the operator  $I - \overline{v}(n+2)V_{11}r_0(\beta, z)$ , where  $r_0(\beta, z)$  is the resolvent of the operator  $2I + H_0(\pi - 2\beta, \pi - 2\beta)$ , and  $V_{11}$  is an integral operator with the kernel

$$v(\mathbf{p},\mathbf{q}) = \frac{1}{\pi^2} \sin p_1 \sin p_2 \sin q_1 \sin q_2.$$

Through  $C_{11}^{--}$  denote the value of the following integral:

$$C_{11}^{--} = \frac{1}{\pi^2} \int_{\mathbb{T}^2} \frac{\sin^2 p_1 \sin^2 p_2 dp_1 dp_2}{2(2 - \cos p_1 - \cos p_2)} = \int_{\mathbb{T}^2} \frac{\left|\psi_1^-(p_1)\right|^2 \left|\psi_1^-(p_2)\right|^2 dp_1 dp_2}{2\varepsilon(\mathbf{p})}$$

Simple calculations reveal the following approximate value  $C_{11}^{--} \approx 0.302347$ .

**Theorem 2.** Let  $\beta \in \left(0, \frac{\pi}{2}\right], n \in \mathbb{N}$ .

a) If  $\overline{v}(n+2) < \frac{\sin \beta}{C_{11}^{--}}$ , then the operator  $H_{123}^{(n)}(\pi-2\beta,\pi-2\beta)$  has no ei-

genvalues lying outside of the essential spectrum.

b) If 
$$\overline{v}(n+2) = \frac{\sin\beta}{C_{11}^{--}}$$
, then the left edge  $m(\beta) = 6 - 4\sin\beta$  of the spectrum

of the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)$  is an eigenvalue.

c) If 
$$\overline{v}(n+2) > \frac{\sin \beta}{C_{11}^{--}}$$
, then the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)$  has a unique

nondegenerate eigenvalue  $z_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)$  below the essential spectrum.

d) The operator  $H_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)$  has no embedded eigenvalues in the interval  $(m(\beta), M(\beta))$ .

This theorem is proved in similar way as Lemma 5. There are some differences:

1) In the Theorem 2, the eigenvalue  $z_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)$  was calculated with the accuracy of  $\beta^2$ :

$$z_{123}^{(n)}\left(\pi-2\beta,\pi-2\beta\right) = 6 - \overline{\nu}\left(n+2\right) - \frac{2}{\overline{\nu}\left(n+2\right)}\sin^2\beta + O\left(\beta^4\right)$$

and corresponding normalized eigenfunction has the form

$$f_{123}^{(n)}(p_1, p_2) = \frac{C_n(\beta)\sin p_1 \sin p_2}{6 - 2\sin\beta\cos p_1 - 2\sin\beta\cos p_2 - z_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)} \in L_{12}^{-}(\mathbb{T}^2),$$
(17)

where  $C_n(\beta)$  is the normalizing multiplicity.

2) Left edge  $m(\beta) = 6 - 2\sin\beta$  of the essential spectrum is a resonance for the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi)$ , but for the operator  $H_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)$  the left edge  $m(\beta) = 6 - 4\sin\beta$  of the essential spectrum is the eigenvalue, *i.e.* the equation  $H_{123}^{(n)}(\pi - 2\beta, \pi - 2\beta)f = m(\beta)f$  has a non-trivial solution

$$f(p_1, p_2) = \frac{C \sin p_1 \sin p_2}{2 - \cos p_1 - \cos p_2}$$

and it belongs to  $L_{12}^{-}(\mathbb{T}^2)$ .

## **5.** Conclusions

1) We have shown that the operator  $H_{123}^{-}(k_1, k_2, \pi)$  has infinitely many invariant subspaces  $\mathfrak{R}_{123}^{-}(n), n \in \mathbb{N}$ . It has been proved that if condition

 $\overline{v}(n+2) > \sin\beta$  holds then the operator  $H_{123n}^{-}(\pi-2\beta,\pi,\pi)$  has a unique simple eigenvalue  $z_{123}^{(n)}(\pi-2\beta,\pi)$  of the form (16), otherwise, the operator has no eigenvalues outside of the essential spectrum. A similar statement holds for the operator  $H_{123n}^{-}(\pi-2\beta,\pi-2\beta,\pi)$ .

2) Without loss of generality it can be assumed that  $\overline{v}(3) \le 1$ . Since, if  $\overline{v}(3) > 1$  then it follows from  $\lim_{n \to \infty} \overline{v}(n) = 0$  that there exists a number  $m \in \mathbb{N}$  such that  $\overline{v}(m+2) \le 1$  and monotonicity of  $\overline{v}$  implies that  $\overline{v}(n) > 1$  for  $n = 3, 4, \dots, m+1$ , and in this case, the eigenvalues  $z_{123}^{(n)}(\pi - 2\beta, \pi), n = 1, 2, \dots, m-1$ 

of  $H_{123}^{-}(\pi - 2\beta, \pi, \pi)$  exist for all  $\beta \in [0, \pi/2]$ .

For a fixed  $\beta \in (0, \pi/2]$  there exists  $m \in \mathbb{N}$  such that

 $\sin \beta \in (\overline{v}(m+3), \overline{v}(m+2))$  and the operator  $H_{123}^{-}(\pi - 2\beta, \pi, \pi)$  has *m* nondegenerate eigenvalues outside of the essential spectrum (see Theorem 1):

$$\begin{aligned} z_{123}^{(1)} \left(\pi - 2\beta, \pi, \pi\right) &\coloneqq z_{123}^{(1)} \left(\pi - 2\beta, \pi\right) = 6 - \overline{\nu} \left(3\right) - \frac{1}{\overline{\nu} \left(3\right)} \sin^2 \beta, \\ z_{123}^{(2)} \left(\pi - 2\beta, \pi, \pi\right) &\coloneqq z_{123}^{(2)} \left(\pi - 2\beta, \pi\right) = 6 - \overline{\nu} \left(4\right) - \frac{1}{\overline{\nu} \left(4\right)} \sin^2 \beta, \\ &\vdots \\ z_{123}^{(m)} \left(\pi - 2\beta, \pi, \pi\right) &\coloneqq z_{123}^{(m)} \left(\pi - 2\beta, \pi\right) = 6 - \overline{\nu} \left(m + 2\right) - \frac{1}{\overline{\nu} \left(m + 2\right)} \sin^2 \beta. \end{aligned}$$

The corresponding normalized eigenfunctions are of the forms:

$$\begin{split} f_{123\lambda(1)}^{---} \left( p_1, p_2, p_3 \right) &= f_{\lambda(1)}^{-} \left( p_1 \right) \psi_1^{-} \left( p_2 \right) \psi_1^{-} \left( p_3 \right) \in L_2^{-} (\mathbb{T}) \otimes L^{-} (1) \otimes L^{-} (1), \\ f_{123\lambda(2)}^{---} \left( p_1, p_2, p_3 \right) &= f_{\lambda(2)}^{-} \left( p_1 \right) \psi_1^{-} \left( p_2 \right) \psi_2^{-} \left( p_3 \right) \in L_2^{-} (\mathbb{T}) \otimes L^{-} (1) \otimes L^{-} (2), \\ &\vdots \\ f_{123\lambda(m)}^{----} \left( p_1, p_2, p_3 \right) &= f_{\lambda(m)}^{-} \left( p_1 \right) \psi_1^{-} \left( p_2 \right) \psi_m^{-} \left( p_3 \right) \in L_2^{-} (\mathbb{T}) \otimes L^{-} (1) \otimes L^{-} (m), \end{split}$$

where,  $f_{\lambda(m)}^-$  is the normalized eigenfunction of the operator  $H_{\lambda(m)}(\pi - 2\beta)$  corresponding to the eigenvalue  $z_{\lambda(m)}(\beta)$  and the operator  $H_{\lambda(m)}(k)$  is defined by the formula (13),  $\lambda(m) = \overline{v}(m+2)$ .

The eigenvalues of the operators  $H_{123}^{-}(\pi - 2\beta, \pi, \pi)$  and  $H_{123}^{-}(\pi, \pi - 2\beta, \pi)$ are same but eigenfunctions differ with variable replacement  $p_1$  and  $p_2$ . In other words, the operators  $H_{123}^{-}(\pi - 2\beta, \pi, \pi)$  and  $H_{123}^{-}(\pi, \pi - 2\beta, \pi)$  are unitary equivalent.

In the case  $\sin \beta = \overline{v}(m+2)$ , the left edge  $m(\beta) = 6 - 2\sin \beta$  of the essential spectrum is a resonance of the operator  $H_{123}^{-}(\pi - 2\beta, \pi, \pi)$  (see Theorem 1).

**3)** Let for some  $m \in \mathbb{N}$  the relation  $\sin \beta \in (\overline{\nu}(m+3)C_{11}^{--}, \overline{\nu}(m+2)C_{11}^{--})$ hold then the operator  $H_{123}^{-}(\pi - 2\beta, \pi - 2\beta, \pi)$  has *m* nondegenerate eigenvalues outside the essential spectrum (see Theorem 2) and for small  $\beta$ :

$$z_{123}^{(1)} (\pi - 2\beta, \pi - 2\beta, \pi) := z_{123}^{(1)} (\pi - 2\beta, \pi - 2\beta)$$
  
=  $6 - \overline{v} (3) - \frac{2}{\overline{v}(3)} \sin^2 \beta + O(\beta^4),$   
 $z_{123}^{(2)} (\pi - 2\beta, \pi - 2\beta, \pi) := z_{123}^{(2)} (\pi - 2\beta, \pi - 2\beta)$   
=  $6 - \overline{v} (4) - \frac{2}{\overline{v}(4)} \sin^2 \beta + O(\beta^4),$   
:  
 $z_{123}^{(m)} (\pi - 2\beta, \pi - 2\beta, \pi) := z_{123}^{(m)} (\pi - 2\beta, \pi - 2\beta)$   
=  $6 - \overline{v} (m + 2) - \frac{2}{\overline{v} (m + 2)} \sin^2 \beta + O(\beta^4).$ 

The corresponding normalized eigenfunctions are of the forms:

$$f_{123}^{(1)-}(p_1, p_2, p_3) = f_{123}^{(1)}(p_1, p_2)\psi_1^-(p_3) \in L_{12}^-(\mathbb{T}^2) \otimes L^-(1),$$
  
$$f_{123}^{(2)-}(p_1, p_2, p_3) = f_{123}^{(2)}(p_1, p_2)\psi_2^-(p_3) \in L_{12}^-(\mathbb{T}^2) \otimes L^-(2),$$

$$\vdots f_{123}^{(m)-}(p_1, p_2, p_3) = f_{123}^{(m)}(p_1, p_2) \psi_m^-(p_3) \in L_{12}^-(\mathbb{T}^2) \otimes L^-(m),$$

where,  $f_{123}^{(m)}$  is the normalized eigenfunction of the operator  $H_{123}^{(m)}(\pi - 2\beta, \pi - 2\beta)$  corresponding to the eigenvalue  $z_{123}^{(m)}(\pi - 2\beta, \pi - 2\beta)$  defined by the formula (17).

In the case  $\sin \beta = \overline{v}(m+2)C_{11}^{--}$ , the left edge  $m(\beta) = 6-4\sin\beta$  of the essential spectrum is the eigenvalue of  $H_{123}^{-}(\pi-2\beta,\pi-2\beta,\pi)$  (see Theorem 2) with the corresponding eigenfunction

$$f(\mathbf{p}) = \frac{C\sin p_1 \sin p_2}{2 - \cos p_1 - \cos p_2} \cdot \sin mp_3 \in L_{12}^-(\mathbb{T}^2) \otimes L^-(m).$$

**Remark 1.** If the potential  $\hat{v}$  is even in all arguments  $p_1, p_2, p_3$  and the condition  $\hat{v} \in \ell_2(\mathbb{Z}^3)$  holds, then the statements of Lemmas 3 - 4 remain valid.

**Remark 2.** If  $k_3 \neq \pi$ , then the subspaces  $\mathfrak{R}_{123}^-(n), n \in \mathbb{N}$  are not invariant under the operator  $H_{123}^-(k_1, k_2, k_3)$ .

### Acknowledgements

This work was supported by the Grant OT-F4-66 of Fundamental Science Foundation of Uzbekistan.

### **Conflicts of Interest**

The authors declare no conflicts of interest regarding the publication of this paper.

#### References

- Mamatov, Sh.S. and Minlos, R.A. (1989) *Theoretical and Mathematical Physics*, 79, 455-466. <u>https://doi.org/10.1007/BF01016525</u>
- [2] Minlos, R.A. and Mogilner, A.I. (1989) Some Problems Concerning Spectra of Lattice Models. In: Exner, P. and Seba, P., Eds., *Schrödinger Operators: Standard and Nonstandard*, World. Scientific, Singapore, 243-257.
- [3] Howland, J.S. (1974) Pacific Journal of Mathematics, 55, 157-176. https://doi.org/10.2140/pjm.1974.55.157
- [4] Abdullaev, J.I. (2006) Theoretical and Mathematical Physics, 147, 486-495. https://doi.org/10.1007/s11232-006-0055-z
- [5] Rauch, J. (1980) *Journal of Functional Analysis*, **35**, 304-315. https://doi.org/10.1016/0022-1236(80)90085-3
- [6] Abdullaev, J.I. and Kuliev, K.D. (2016) *Theoretical and Mathematical Physics*, 186, 231-250. <u>https://doi.org/10.1134/S0040577916020082</u>
- [7] Muminov, M.I. and Ghoshal, S.K. (2020) *Complex Analysis and Operator Theory*, 14, Article No. 11. <u>https://doi.org/10.1007/s11785-019-00978-z</u>
- [8] Abdullaev, J.I. (2005) Theoretical and Mathematical Physics, 145, 1551-1558. https://doi.org/10.1007/s11232-005-0182-y
- [9] Abdullaev, J.I. and Ikromov, I.A. (2007) *Theoretical and Mathematical Physics*, 152, 1299-1312. <u>https://doi.org/10.1007/s11232-007-0114-0</u>

- [10] Reed, M. and Simon, B. (1978) Methods of Modern Mathematical Physics Ser.: Analysis of Operators.
- [11] Simon, B. (1976) *Annals of Physics*, **97**, 279-288. <u>https://doi.org/10.1016/0003-4916(76)90038-5</u>
- [12] Klaus, M. (1977) *Annals of Physics*, **108**, 288-300. https://doi.org/10.1016/0003-4916(77)90015-X
- [13] Faria da Viega, P.A., Ioriatti, L. and O'Carrol, M. (2002) *Physical Review E*, 66, Article ID: 016130. <u>https://doi.org/10.1103/PhysRevE.66.016130</u>
- [14] Abdullaev, J.I. (2005) Uzbek Mathematical Journal, No. 1, 3-11.
- [15] Ando, K., Isozaki, H. and Morioka, H. (2016) *Annales Henri Poincaré*, **17**, 2103-2171. https://doi.org/10.1007/s00023-015-0430-0