

# Zinc Complexes of New Chiral Aminophenolate Ligands: Synthesis, Characterization and Reactivity toward Lactide

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## Abstract

The syntheses of a library of new chiral aminophenolate bidentate O,N-type ligands HOC<sub>6</sub>H<sub>4</sub>(2-R-4-R')CH<sub>2</sub>N(Me)CH(Me)C<sub>6</sub>H<sub>5</sub> [R = R' = Bu<sup>t</sup>, 1; R = R' = Pen<sup>t</sup>, 2; R = Bu<sup>t</sup>, R' = Me, 3; R = Me, R' = Bu<sup>t</sup>, 4; R = R' = Me, 5] and tridentate O,N,O-type ligands HOC<sub>6</sub>H<sub>4</sub>(2,4-Bu<sup>t</sup>)CH = NCH(R'')C<sub>6</sub>H<sub>5</sub> [R'' = Me, 6; R'' = CH<sub>2</sub>OMe, 7] are reported. These ligands were characterized by elemental analysis, nuclear magnetic resonance spectroscopy (<sup>1</sup>H & <sup>13</sup>C), and single crystal X-ray diffraction. These ligands serve as chiral auxiliaries for inorganic chemists to design chiral metal-based complexes for asymmetric catalysis and stereoselective polymerization reactions. Three new heteroleptic zinc complexes based on these ligands have been synthesized in moderate yields *via* a ligand-exchange transamination reaction between homoleptic [Zn(N(SiMe<sub>3</sub>)<sub>2</sub>)] and one equivalent of corresponding ligands to afford [L<sup>3</sup>ZnN-(SiMe<sub>3</sub>)<sub>2</sub>] (3a), [L<sup>4</sup>ZnN(SiMe<sub>3</sub>)<sub>2</sub>] (4a), and [L<sup>7</sup>ZnN(SiMe<sub>3</sub>)<sub>2</sub>] (7a). Solvent-free polymerization of *rac*-lactide at 130°C using these zinc compounds yielded atactic polylactides with M<sub>w</sub> 10,000 g/mol and narrow polydispersity of 1.3.

## **Keywords**

Chiral Ligands, Aminophenolate, Zinc, Lactide, Polymerization

# **1. Introduction**

Due to the problems associated with conventional olefinic polymeric materials, the production of biodegradable

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Well-defined metal complexes of the form LMX (L = multidentate ancillary ligand; M = central metal; X = initiating group) have been studied extensively to investigate the electronic and steric properties of the central metal and ancillary ligand and their effects on the polymerization process [4], [43]-[70]. Metal-based initiators of aluminum, magnesium, zinc, tin, iron, titanium, zirconium, yttrium, and lanthanide metals have been employed with reactivity increasing with metal size due to increase in electropositivity, while polymerization control decreases in the same order. As the reactivity and selectivity of a metal catalyst are largely determined by the auxiliary ligands [71]-[74], ligand design has been a central focus in ROP of cyclic esters, with aminophenolate ligands receiving great attention due to the potential to fine tune the steric and electronic properties by varying the substituent groups and pendant side-arms to afford different donor atoms, as well as their inexpensive synthetic strategies [67]-[70], [75]-[80]. Given their widespread application, it is somewhat surprising that the chiral variants of aminophenolate ligands are relatively lacking in the literature. The physical, mechanical, and thermal properties of PLA depend to a great extent on the polymer's tacticity (isotactic, syndiotactic, heterotactic and atactic). It is believed that isotactic and heterotactic PLAs produced from rac-LA will produce materials with superior properties. Thus controlling the microstructure of PLA produced from rac-LA has received great attention [6], [81]-[87] and chiral catalysts can provide a better stereo-control.

Zinc complexes are efficient catalysts for ROP of lactides with moderate reactivities compared to the highly electropositive lanthanide metals and have recently received much attention due to its flexible coordination chemistry, substitutional lability, Lewis acidity and non-toxicity [88]-[101]. With moderate reactivity of zinc allowing for more polymerization control, attaching chiral aminophenolate ancillary ligands with varying substituents and pendant arms may induce high isotactic/heterotactic selectivity in the ROP of *rac*-lactide. To the best of our knowledge, only Wang and Ma have reported the diastereoselective synthesis of chiral aminophenolate zinc complexes with multiple stereogenic centers and their isoselective polymerization of *rac*-lactide [101]. Herein we report the synthesis and characterization of new chiral aminophenolate zinc complexes with varying substituents and pendant donor arms containing one stereogenic center, and their reactivity toward racemic lactide.

## 2. Experimental Procedure

#### 2.1. Materials and Measurements

All air- or moisture-sensitive reactions were carried out under a dry nitrogen atmosphere, employing standard Schlenk line and glovebox techniques. Solvents were dried over sodium/benzophenone and distilled under nitrogen. *Racemic* lactide was purchased from Aldrich, stored under an inert atmosphere, and used as received. Deuterated solvents were purchased from Alfa Aesar and used as received. 2,4-Di-tert-butylphenol, 2,4-dimethylphenol, 2,4-di-tert-pentylphenol, 37 wt% formaldehyde, and D(+)-alpha-methylbenzylamine were purchased from Acros Organic and used as received. 3,5-Di-tert-butyl-2-hydroxybenzaladehyde was purchased from Alfa Aesar and used as received. (R)-(+)-N- $\alpha$ -dimethylbenzylamine, (R)-(-)-2-methoxy-1-phenylethylamine, and 2tert-butyl-4-methylphenol were purchased from Aldrich while 4-tert-butyl-2-methylphenol was purchased from Fluka and used as received. All <sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded on a JEOL-300 NMR spectrometer and referenced to CDCl<sub>3</sub>,  $C_6D_6$ ,  $C_7D_8$ , or  $C_4D_8O$ . Elemental analyses (sealed ampoules under inert atmosphere for air-sensitive compounds) were performed by Midwest Microlab Incorporated in Indianapolis, IN. Melting points were obtained on a Mel-Temp apparatus and are uncorrected. Optical rotations were recorded on a Rudolph Autopol III polarimeter with sodium D-line (589 nm) at room temperature. GC-MS analyses were performed on Bruker Scion 436-GC systems at 50°C with electron impact ionization (70 eV). Gel permeation chromatography (GPC) analysis was performed using Tosoh EcoSEC HLC-8320 GPC instrument and calibrated to polystyrene standards. Single crystals were analyzed at Armstrong State University in Savannah, GA using Rigaku XtaLAB

mini X-ray diffractometer.

#### 2.2. Synthesis of Chiral Ligands

## (*R*)-(+)-(2,4-Di-tert-butyl-1-hydroxylbenzyl)-N- $\alpha$ -dimethylbenzylamine (L<sup>1</sup>H)

2,4-Di-tert-butylphenol (3.054 g, 14.80 mmol), 37 wt% formaldehyde (0.444 g, 14.80 mmol), and (*R*)-(+)-N- $\alpha$ -dimethylbenzylamine (2.000 g, 14.80 mmol) were dissolved in ethanol (5 mL). The resulting solution was heated at reflux for 18 h and then cooled to room temperature. Solvent and water were removed using high vacuum Schlenk line to obtain pale yellow oily solid. Recrystallization from ethanol at  $-10^{\circ}$ C (freezer) yielded an off-white solid, which was dried under high vacuum at 40°C (4.858 g, 92.8%). Mp: 73.4°C - 73.6°C; [ $\alpha$ ]<sub>D</sub> + 0.301 (c = 0.04, toluene). Elemental analysis: (Found: C 81.55, H 9.94, N 4.07. C<sub>24</sub>H<sub>35</sub>NO requires C 81.535, H 9.98, N 3.96. <sup>1</sup>H NMR (300 MHz; CDCl<sub>3</sub>; 298 K) 1.29 (s, 9H, Ar<sup>t</sup>Bu), 1.51 (s, 9H, Ar<sup>t</sup>Bu), 1.55 (d, 3H, *J* = 6.87 Hz, ArCH(*Me*)NMe), 2.23 (s, 3H, ArCH(Me)NMe), 3.77 (br, 2H, ArCH<sub>2</sub>N), 3.89 (q, 1H, *J* = 6.87 Hz, ArCH-(Me)NMe), 6.90 (s, 1H, ArH), 7.27 (s, 1H, ArH), 7.34 - 7.41 (br, 5H, ArH), 11.32 (br, 1H, ArOH). <sup>13</sup>C{H} NMR (75 MHz; CDCl<sub>3</sub>; 298 K) 17.3 (ArCMe<sub>3</sub>), 29.8 (ArCMe<sub>3</sub>), 31.9 (ArCMe<sub>3</sub>), 34.3 (ArCMe<sub>3</sub>), 35.0 (ArCH(Me)NMe), 36.7 (ArCH(Me)NMe), 59.1 (ArCH(Me)NMe), 61.6 (ArCH<sub>2</sub>N), 121.4, 122.8, 123.6, 127.6, 128.4, 128.5, 135.5, 140.5, 154.7 (all ArC). GC-MS *m*/*z* calcd for C<sub>24</sub>H<sub>35</sub>NO: 353.55; found 353.4.

## (*R*)-(+)-(2,4-Di-tert-pentyl-1-hydroxylbenzyl)-N-α-dimethylbenzylamine (L<sup>2</sup>H)

2,4-Di-tert-pentylphenol (1.734 g, 7.40 mmol), 37 wt% formaldehyde (0.222 g, 7.40 mmol), and (*R*)-(+)-N- $\alpha$ -dimethylbenzylamine (1.000 g, 7.40 mmol) were dissolved in ethanol (5 mL). The resulting solution was heated at reflux for 18 h and then cooled to room temperature. Solvent and water were removed using high vacuum Schlenk line to obtain colorless oil. Recrystallization from ethanol at  $-10^{\circ}$ C (freezer) yielded a white, oily solid that was dried under high vacuum at room temperature to afford a colorless oil (2.115 g, 74.9%); [ $\alpha$ ]<sub>D</sub> + 0.224 (c = 0.04, toluene). Elemental analysis: (Found: C 81.51, H 10.17, N 3.78. C<sub>26</sub>H<sub>39</sub>NO requires C 81.84, H 10.30, N 3.67%). <sup>1</sup>H NMR (300 MHz; CDCl<sub>3</sub>; 298 K) 0.69 (t, 3H × 2, *J* = 7.56 Hz, ArCMe<sub>2</sub>CH<sub>2</sub>Me), 1.27 (s, 6H, ArCMe<sub>2</sub>CH<sub>2</sub>Me), 1.42 (s, 6H, ArCMe<sub>2</sub>CH<sub>2</sub>Me), 1.52 (d, 3H, *J* = 6.87 Hz, ArCH(Me)NMe), 1.61 (q, 2H, *J* = 7.56 Hz, ArCMe<sub>2</sub>CH<sub>2</sub>Me), 1.94 (q, 2H, *J* = 7.56 Hz, ArCMe<sub>2</sub>CH<sub>2</sub>Me), 2.18 (s, 3H, ArCH(Me)NMe), 3.73 (br, 2H, ArCH<sub>2</sub>N), 3.85 (q, 1H, *J* = 6.87 Hz, ArCH(Me)NMe), 6.76 (s, 1H, ArH), 7.09 (s, 1H, ArH), 7.26 - 7.39 (br, 5H, ArH), 11.15 (br, 1H, ArOH). <sup>13</sup>C{H} NMR (75 MHz; CDCl<sub>3</sub>; 298 K) 9.3 (ArCMe<sub>2</sub>CH<sub>2</sub>Me), 9.7 (ArCMe<sub>2</sub>CH<sub>2</sub>Me), 37.3 (ArCMe<sub>2</sub>CH<sub>2</sub>Me), 37.3 (ArCH(Me)NMe), 38.5 (ArCH(Me)NMe), 59.1 (ArCM(Me)NMe), 61.3 (ArCH<sub>2</sub>N), 121.1, 124.2, 124.9, 127.6, 128.4, 128.5, 133.7, 138.6, 154.4 (all ArC).

## (*R*)-(+)-(2-Tert-butyl-4-methyl-1-hydroxylbenzyl)-N-α-dimethylbenzylamine (L<sup>3</sup>H)

2-Tert-butyl-4-methylphenol (2.430 g, 14.80 mmol), 37 wt% formaldehyde (0.444 g, 14.80 mmol), and (*R*)-(+)-N- $\alpha$ -dimethylbenzylamine (2.000 g, 14.80 mmol) were dissolved in ethanol (5 mL). The resulting solution was heated at reflux for 18 h and then cooled to room temperature. Solvent and water were removed using high vacuum Schlenk line to obtain a white solid. Recrystallization from ethanol at  $-10^{\circ}$ C (freezer) yielded a white powder that was dried under high vacuum at room temperature (4.010 g, 92.0%). Yellow single crystals suitable for X-ray crystallography were grown from the supernatant solution at room temperature. Mp: 62.9°C - 63.2°C; [ $\alpha$ ]<sub>D</sub> + 0.232 (c = 0.04, toluene). Elemental analysis: (Found: C 81.01, H 9.33, N 4.55. C<sub>21</sub>H<sub>29</sub>NO requires C 80.98, H 9.385, N 4.50%). <sup>1</sup>H NMR (300 MHz; CDCl<sub>3</sub>; 298 K) 1.49 (s, 9H, Ar<sup>t</sup>Bu), 1.54 (d, 3H, *J* = 6.87 Hz, ArCH(*Me*)NMe), 2.19 (s, 3H, ArCH(Me)NMe), 2.30 (s, 3H, ArMe), 3.71 (br, 2H, ArCH<sub>2</sub>N), 3.89 (q, 1H, *J* = 6.87 Hz, ArCH(Me)NMe), 6.70 (s, 1H, ArH), 7.05 (s, 1H, ArH), 7.33 - 7.43 (br, 5H, ArH), 11.31 (br, 1H, ArOH). <sup>13</sup>C{H} NMR (75 MHz; CDCl<sub>3</sub>; 298 K) 17.2 (ArCMe<sub>3</sub>), 20.9 (ArMe), 29.7 (ArCMe<sub>3</sub>), 34.7 (ArCH-(Me)NMe), 36.5 (ArCH(Me)NMe), 58.7 (ArCH(Me)NMe), 61.3 (ArCH<sub>2</sub>N), 122.2, 126.7, 127.1, 127.6, 128.4, 128.5, 136.3, 140.4, 154.8 (all ArC).

## (*R*)-(+)-(4-Tert-butyl-2-methyl-1-hydroxylbenzyl)-N-α-dimethylbenzylamine (L<sup>4</sup>H)

4-Tert-butyl-2-methylphenol (1.215 g, 7.40 mmol), 37 wt% formaldehyde (0.222 g, 7.40 mmol), and (*R*)-(+)-N- $\alpha$ -dimethylbenzylamine (1.000 g, 7.40 mmol) were dissolved in ethanol (3 mL). The resulting solution was heated at reflux for 18 h and then cooled to room temperature. Solvent and water were removed using high vacuum Schlenk line to obtain a white, oily solid. Recrystallization from ethanol was unsuccessful as only a colorless oil was obtained. Compound was purified by column chromatography (silica gel 100 mesh, hexane/ethyl acetate = 9:1) to afford a colorless oil. The oil was dissolved in hot methanol and allowed to cool to room temperature to yield a white powder, that was dried under high vacuum at 60°C (4.858 g, 92.8%). Mp: 90.4°C -

90.6°C;  $[\alpha]_D + 0.304$  (c = 0.04, toluene). Elemental analysis: (Found: C 80.88, H 9.29, N 4.61. C<sub>21</sub>H<sub>29</sub>NO requires C 80.98, H 9.385, N 4.50%). <sup>1</sup>H NMR (300 MHz; CDCl<sub>3</sub>; 298 K) 1.30 (s, 9H, Ar<sup>t</sup>*Bu*), 1.56 (d, 3H, *J* = 6.87 Hz, ArCH(*Me*)NMe), 2.24 (s, 3H, ArCH(Me)N*Me*), 2.29 (s, 3H, Ar*Me*), 3.65 (br, 2H, Ar*CH*<sub>2</sub>N), 3.82 (q, 1H, *J* = 6.87 Hz, ArCH(Me)NMe), 6.81 (s, 1H, Ar*H*), 7.09 (s, 1H, Ar*H*), 7.32 - 7.40 (br, 5H, Ar*H*), 11.31 (br, 1H, Ar*OH*). <sup>13</sup>C{H} NMR (75 MHz; CDCl<sub>3</sub>; 298 K) 16.1 (Ar*Me*), 17.9 (Ar*CMe*<sub>3</sub>), 31.7 (Ar*CMe*<sub>3</sub>), 34.0 (Ar*CH*(*Me*)NMe), 37.4 (ArCH(Me)N*Me*), 58.6 (Ar*C*H(Me)NMe), 63.0 (Ar*C*H<sub>2</sub>N), 120.7, 123.0, 123.7, 126.7, 127.7, 128.2, 128.6, 141.1, 141.3, 153.7 (all Ar*C*).

## (*R*)-(+)-(2,4-Di-methyl-1-hydroxylbenzyl)-N- $\alpha$ -dimethylbenzylamine (L<sup>5</sup>H)

2,4-Di-methylphenol (4.52 g, 37 mmol), 37 wt% formaldehyde (1.11 g, 37 mmol), and (*R*)-(+)-N- $\alpha$ -dimethylbenzylamine (5.00 g, 37 mmol) were dissolved in methanol (20 mL). The resulting solution was heated at reflux for 18 h and then cooled to room temperature. Solvent and water were removed using high vacuum Schlenk line to obtain a pale yellow, oily solid. Recrystallization from ethanol at  $-10^{\circ}$ C (freezer) yielded an off-white solid, which later became pale yellow oil upon warming to room temperature. The oil was dried under high vacuum at room temperature (9.45 g, 94.8%); [ $\alpha$ ]<sub>D</sub> + 0.252 (c = 0.04, toluene). Elemental analysis: (Found: C 79.65, H 8.38, N 5.09. C<sub>18</sub>H<sub>23</sub>NO requires C 80.256, H 8.606, N 5.200. <sup>1</sup>H NMR (300 MHz; CDCl<sub>3</sub>; 298 K) 1.59 (d, 3H, *J* = 6.87 Hz, ArCH(*Me*)NMe), 2.23 (s, 3H, Ar*Me*), 2.29 (s, 3H, Ar*Me*), 2.39 (s, 3H, ArCH(Me)-N*Me*), 3.70 (br, 2H, ArCH<sub>2</sub>N), 3.87 (q, 1H, *J* = 6.87 Hz, ArCH(Me)NMe), 6.65 (s, 1H, Ar*H*), 6.97 (s, 1H, Ar*H*), 7.38 - 7.47 (br, 5H, Ar*H*), 11.02 (s, 1H, Ar*OH*). <sup>13</sup>C{H} NMR (75 MHz; CDCl<sub>3</sub>; 298 K) 15.9 (Ar*Me*), 17.6 (Ar*Me*), 20.6 (ArCH(*Me*)NMe), 37.3 (ArCH(Me)N*Me*), 58.3 (ArCH(Me)NMe), 62.9 (ArCH<sub>2</sub>N), 114.8, 121.1, 124.6, 126.7, 127.7, 128.1, 128.7, 130.5, 140.9, 153.8 (all ArC). GC-MS *m*/*z* calcd for C<sub>18</sub>H<sub>23</sub>NO: 269.39; found 269.3.

#### (*R*)-(+)-α-Methyl-2-benzyl-imino-methyl-2,4-Di-tert-butyl-phenol (L<sup>6</sup>H)

3,5-Di-tert-butyl-2-hydroxybenzaldehyde (5.000 g, 21.340 mmol), and D(+)-alpha-methylbenzylamine (2.586 g, 21.340 mmol) were dissolved in ethanol (100 mL). The resulting solution was heated at reflux for 18 h and then cooled to room temperature. Crystallization from the saturated methanol solution at room temperature yielded yellow crystals (6.354 g, 88.2%). Mp: 93.8°C - 94.1°C;  $[a]_D$  + 0.513 (c = 0.02, toluene). Elemental analysis: (Found: C 81.92, H 9.16, N 4.24. C<sub>23</sub>H<sub>31</sub>NO requires C 81.85, H 9.26, N 4.15%). <sup>1</sup>H NMR (500 MHz; CDCl<sub>3</sub>; 298 K) 1.34 (s, 9H, Ar<sup>t</sup>Bu), 1.50 (s, 9H, Ar<sup>t</sup>Bu), 1.69 (d, 3H, *J* = 6.50 Hz, ArCH(*Me*)N), 4.59 (q, 1H, *J* = 6.50 Hz, ArCH(Me)N), 7.12 (s, 1H, ArH), 7.29 (s, 1H, ArH), 7.38 - 7.44 (br, 5H, ArH), 8.49 (s, 1H, ArCH = N), 13.88 (s, 1H, ArOH). <sup>13</sup>C{H} NMR (125 MHz; CDCl<sub>3</sub>; 298 K) 24.9 (ArCMe<sub>3</sub>), 29.5 (ArCMe<sub>3</sub>), 31.5 (ArCMe<sub>3</sub>), 34.1 (ArCMe<sub>3</sub>), 35.1 (ArCH(Me)N), 68.5 (ArCH(Me)N), 118.0, 126.0, 126.5, 127.2, 128.6, 136.7, 140.1, 144.1, 158.0 (all ArC), 164.6 (ArCH = N).

#### (R)- $(-)-\alpha$ -Methylene- $\beta$ -methoxy-2-benzyl-imino-methyl-2,4-Di-tert-butyl-phenol (L<sup>7</sup>H)

3, 5-Di-tert-butyl-2-hydroxybenzaldehyde (1.550 g, 6.613 mmol), and (*R*)-(–)-2-methoxy-1-phenylethylamine (1.000 g, 6.613 mmol) were dissolved in ethanol (100 mL). The resulting solution was heated at reflux for 18 h and then cooled to room temperature. Solvent and water were removed using high vacuum Schlenk line to obtain a yellow solid. Recrystallization from ethanol at  $-10^{\circ}$ C (freezer) yielded a yellow solid (2.317 g, 95.3%); [ $\alpha$ ]<sub>D</sub> -0.298 (c = 0.02, toluene). Yellow single crystals suitable for X-ray crystallography were grown from the supernatant solution at room temperature. Mp: 67.1°C - 67.3°C. Elemental analysis: (Found: C 78.47, H 8.94, N 4.00. C<sub>24</sub>H<sub>33</sub>NO<sub>2</sub> requires C 78.43, H 9.05, N 3.81%). <sup>1</sup>H NMR (300 MHz; CDCl<sub>3</sub>; 298 K) 1.33 (s, 9H, Ar<sup>t</sup>Bu), 1.51 (s, 9H, Ar<sup>t</sup>Bu), 3.39 (ArCH(N)CH<sub>2</sub>OMe), 3.76 (br, 2H, ArCH(N)CH<sub>2</sub>OMe), 4.56 (br, 1H, ArCH(N)CH<sub>2</sub>-OMe), 7.14 (s, 1H, ArH), 7.29 - 7.44 (br, 6H, ArH), 8.46 (s, 1H, ArCH = N), 11.69 (br, 1H, ArCH(N)CH<sub>2</sub>-OMe), 7.14 (s, 1H, ArH), 7.29 - 6 (ArCMe<sub>3</sub>), 31.6 (ArCMe<sub>3</sub>), 34.3 (ArCMe<sub>3</sub>), 35.2 (ArCMe<sub>3</sub>), 59.0 (ArCH-(N)CH<sub>2</sub>OMe), 59.4 (ArCH(N)CH<sub>2</sub>OMe), 73.7 (ArCH(N)CH<sub>2</sub>OMe), 118.1, 126.5, 127.3, 127.8, 128.8, 136.7, 140.0, 140.2, 140.6, 158.1 (all ArC), 166.8 (ArCH = N). GC-MS *m/z* calcd for C<sub>24</sub>H<sub>33</sub>NO<sub>2</sub>: 337.51; found 337.6.

## 2.3. Synthesis of Chiral Zinc Complexes

## $[L^{3}ZnN(SiMe_{3})_{2}] (3a)$

To a colorless toluene solution (10 mL) of  $Zn[N(SiMe_3)_2]_2$  (1.545 g, 4.000 mmol) at -20°C, a colorless hexane solution (20 mL) of ligand  $L^3$  (1.246 g, 4.000 mmol) was added drop wise under nitrogen. The resulting reaction mixture was stirred gently (4 h) as it warmed to 12°C to afford a clear pale yellow solution of **3a**. Volatiles were removed *in vacuo* without heating to afford a yellow foam-like oily solid that was washed with hexanes and dried under high vacuum to afford **3a** (1.15 g, 53.6%); Mp: 97.1°C - 97.4°C;  $[\alpha]_D + 0.056$  (c = 0.01, toluene). Elemental analysis: (Found: C 60.38, H 8.61, N 5.29.  $C_{27}H_{46}N_2OZnSi_2$  requires C 60.48, H 8.65, N 5.22%). <sup>1</sup>H NMR (300 MHz; C<sub>4</sub>D<sub>8</sub>O; 298 K) 0.02 - 0.008 (br, 18H, N(Si $Me_3$ )<sub>2</sub>), 1.26 (s, 9H, Ar<sup>t</sup>Bu), 1.40 (br, 3H, ArMe), 2.02 (br, 3H, ArCH(Me)NMe), 2.16 (br, 1H, ArCH(Me)NMe), 2.40 (s, 3H, ArCH(Me)NMe), 4.39 (br, 2H, Ar $CH_2$ N), 6.21 (s, 1H, ArH), 6.85 (s, 1H, ArH), 7.32 - 7.46 (br, 5H, ArH).

#### $[L^4ZnN(SiMe_3)_2] (4a)$

To a colorless toluene solution (10 mL) of Zn[N(SiMe<sub>3</sub>)<sub>2</sub>]<sub>2</sub> (1.545 g, 4.000 mmol) at  $-20^{\circ}$ C, a colorless hexane solution (20 mL) of ligand L<sup>4</sup> (1.246 g, 4.000 mmol) was added drop wise under nitrogen. The resulting reaction mixture was stirred gently (4 h) as it warmed to 12°C to afford a clear pale yellow solution of **4a**. Volatiles were removed *in vacuo* without heating to afford a pale-yellow (off white) solid which was washed twice with hexanes and dried under high vacuum to afford **4a** (1.10 g, 51.3%); Mp: 119.8°C - 120.1°C; [ $\alpha$ ]<sub>D</sub> + 0.068 (c = 0.01, toluene). Elemental analysis: (Found: C 60.40, H 8.61, N 5.07. C<sub>27</sub>H<sub>46</sub>N<sub>2</sub>OZnSi<sub>2</sub> requires C 60.48, H 8.65, N 5.22%). <sup>1</sup>H NMR (300 MHz; C<sub>4</sub>D<sub>8</sub>O; 298 K) 0.04 (s, 18H, N(Si*Me*<sub>3</sub>)<sub>2</sub>), 1.12 (s, 9H, Ar<sup>t</sup>*Bu*), 1.21 (br, 3H, Ar*Me*), 1.46 (br, 3H, ArCH(*Me*)NMe), 2.20 (br, 1H, ArCH(Me)NMe), 2.29 (s, 3H, ArCH(Me)NMe), 4.51 (br, 2H, ArCH<sub>2</sub>N), 6.48 (s, 1H, Ar*H*), 7.00 - 7.16 (br, 5H, Ar*H*), 7.39 (s, 1H, Ar*H*).

## $[L^{7}ZnN(SiMe_{3})_{2}]$ (7a)

To a colorless THF solution (10 mL) of Zn[N(SiMe<sub>3</sub>)<sub>2</sub>]<sub>2</sub> (1.545 g, 4.000 mmol) at  $-20^{\circ}$ C, a clear yellow THF solution (15 mL) of ligand L<sup>7</sup> (1.470 g, 4.000 mmol) was added drop wise under nitrogen. The resulting reaction mixture was stirred gently (4 h) as it warmed to 12°C to afford a clear yellow solution of **7a** that was put in a freezer ( $-56^{\circ}$ C) to grow crystals. Yellow crystals of **7a** were obtained at  $-56^{\circ}$ C that later dissolved at room temperature. Volatiles were removed *in vacuo* without heating to afford a yellow oily solid that was recrystallized from a THF/hexane mixture at  $-56^{\circ}$ C and dried under high vacuum to afford **7a** (1.40 g, 53.5%); Mp: 211.9°C - 212.3°C, [ $\alpha$ ]<sub>D</sub> -0.052 (c = 0.01, toluene). Elemental analysis: (Found: C 62.21, H 8.49, N 4.58. C<sub>34</sub>H<sub>48</sub>N<sub>2</sub>O<sub>3</sub>ZnSi<sub>2</sub> requires C 62.41, H 7.39, N 4.28%). <sup>1</sup>H NMR (300 MHz; C<sub>4</sub>D<sub>8</sub>O; 298 K) 0.04 (s, 18H, N(SiMe<sub>3</sub>)<sub>2</sub>), 1.30 (s, 9H, Ar<sup>t</sup>Bu), 1.45 (s, 9H, Ar<sup>t</sup>Bu), 3.09 (ArCH(N)CH<sub>2</sub>OMe), 4.12 (br, 2H, ArCH(N)-*CH*<sub>2</sub>OMe), 4.81 (br, 1H, Ar*CH*(N)CH<sub>2</sub>OMe), 6.84 (s, 1H, Ar*H*), 6.95 (br, 5H, Ar*H*), 8.06 (s, 1H, Ar*CH* = N).

#### 2.4. Polymerization Procedure

Appropriate amount of zinc compound and racemic lactide were measured and put in an oven-dried Schlenk flask. The flask was subjected to heating at 130°C. After appropriate reaction time, the polymerization reaction was quenched with 1 ml of acidified methanol and the polymer was isolated and analyzed.

## 3. Results and Discussion

## 3.1. Synthesis of Chiral Ancillary Ligands

The chiral ligands  $L^1H-L^5H$  (Figure 1) were synthesized *via* Mannich condensation reactions using inexpensive substituted phenols, formaldehyde and (*R*)-(+)-N- $\alpha$ -dimethylbenzylamine in refluxing ethanol (Scheme 1). Meanwhile, the chiral Schiff base ligand  $L^6H$  and  $L^7H$  were synthesized through condensation reactions of 3, 5-Di-tert-butyl-2-hydroxybenzaldehyde with the corresponding chiral amine, (*R*)-(+)-N- $\alpha$ -dimethylbenzylamine and (*R*)-(-)-2-methoxy-1-phenylethylamine, respectively (Scheme 2). The synthesized ligands were characterized

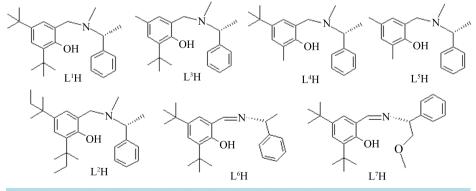


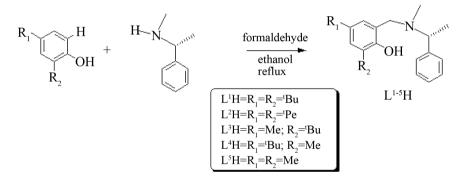
Figure 1. New chiral [ON] and [ONO] aminophenolate ligands.

using NMR, elemental analysis, and GC-MS to ascertain the structures. X-ray crystallography of single crystals of ligands  $L^{3}H$  and  $L^{7}H$  indeed supported NMR spectra and elemental analysis.

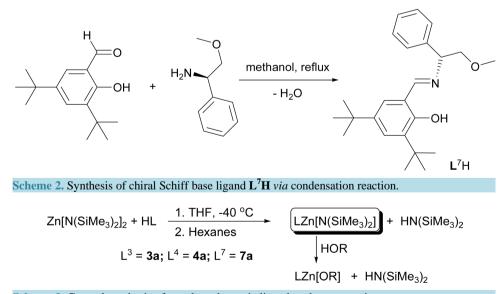
The use of different phenolic substituents (methyl, butyl and pentyl) will provide a library of compounds suitable for metal catalytic investigations in polymerization reactions. Attachment of synthesized ligands to zinc, calcium, tin and palladium metals would offer new research opportunities in asymmetric synthesis and metal catalyzed ring-opening polymerization of lactones. There is great interest in investigating the effect of one stereogenic center in conjunction with phenolic bulky substituent on catalytic selectivity. The [ON] ligands are expected to be bidentate with the possibility of having a tridentate coordination *via* the phenyl pendant arms while the [ONO] ligand has an additional coordinating oxygen atom.

## 3.2. Synthesis of Zinc Complexes

Three new zinc compounds **3a**, **4a**, and **7a** have been synthesized in moderate yields, *via* acid-base transamination reactions employing one equivalent of the zinc precursor,  $Zn(N(SiMe_3)_2)_2$ , and one equivalent of the ligands  $L^3H$ ,  $L^4H$  and  $L^7H$  in toluene and THF to afford  $[L^3ZnN(SiMe_3)_2]$  (**3a**)  $[L^4ZnN(SiMe_3)_2]$  (**4a**) and  $[L^7ZnN(SiMe_3)_2]$  (**7a**) (Scheme 3). Compounds **3a** and **4a** were synthesized in toluene while **7a** was synthesized in THF. The synthesized zinc compounds have been fully characterized by NMR spectroscopy, elemental analysis and melting point (see experimental). <sup>1</sup>H NMR spectroscopy of compounds **3a** and **4a** in d<sub>8</sub>-toluene (C<sub>7</sub>D<sub>8</sub>) revealed two distinct ligand environments (in approximate equal ratio); likely caused by molecular dimerization to satisfy the coordinatively unsaturated zinc metal. The silylamide ligand was represented by two peaks of approximate equal intensity. However, NMR spectroscopy of **3a** and **4a** in the presence of a donor solvent such as



Scheme 1. Synthesis of ligands L<sup>1</sup>H-L<sup>5</sup>H via Mannich condensation reactions.



Scheme 3. General synthesis of metal catalysts via ligand exchange reactions.

d8-THF (C<sub>4</sub>D<sub>8</sub>O), revealed only one chemical environment consistent with a symmetrical, coordinately saturated monomeric zinc center. It is difficult to be certain of the exact nature of the asymmetry without solid state structural data. However, it was very difficult to isolate good single crystals for X-ray determination due to the extreme solubility of the zinc complexes. Meanwhile, the <sup>1</sup>H NMR spectrum of compound **7a** demonstrated a symmetric arrangement of the ligand in either donor or non-donor solvents; indicative of a monomeric solution structure. Undoubtedly, steric constraints and additional oxygen donor atom of the [ONO] ligand imposed a monomeric zinc structure to completely fill the coordination sphere about zinc.

## 3.3. X-Ray Crystal Structure of Compounds

The results of the single crystal X-ray structure determination of ligands  $L^{3}H$ , and  $L^{7}H$  are consistent with the formulation proposed by elemental analysis data and NMR spectroscopic analysis. Ligand  $L^{7}H$  crystallized in the monoclinic space group P2<sub>1</sub> with two molecules in the asymmetric unit, while  $L^{3}H$  crystallized in the orthorhombic space group P2<sub>1</sub>2<sub>1</sub>2<sub>1</sub> with a single molecule in the asymmetric unit (see Figure 2 and Table 1). The solid state structures also confirmed the configuration of chiral centers for the ligands as indicated in Figure 1. Due to the extreme solubility of the zinc complexes in donor (THF) and non-donor solvents (hexane and toluene), it was very difficult to isolate good single crystals for X-ray determination.

## 3.4. Polymerization of Lactide Using Zinc Complexes

Compounds **3a**, **4a**, and **7a** were treated with *rac*-lactide under different reaction times and conditions and the results are summarized in Table 2. Except where noted, all polymerization reactions were performed solvent free at  $130^{\circ}$ C and quantitative conversion (based on <sup>1</sup>H NMR spectroscopy) was achieved (see Table 2). Entry 1 is the

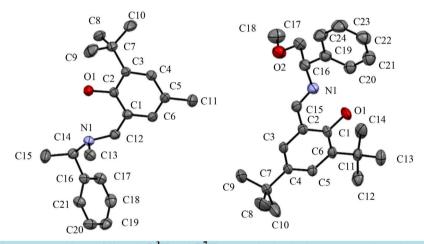


Figure 2. Molecular representations of ligands  $L^{3}H$  and  $L^{7}H$ , respectively (hydrogen atoms have been omitted). Thermal Displacement ellipsoids are drawn at the 50% probability level.

Table 1. Crystallographic data of ligands L°H and L'H.									
	L <sup>3</sup> H	$L^7H$							
Chemical structure	C <sub>21</sub> H <sub>29</sub> NO	C <sub>24</sub> H <sub>33</sub> NO <sub>2</sub>							
Space group	P2 <sub>1</sub> 2 <sub>1</sub> 2 <sub>1</sub>	P21							
Cell lengths: a, b, c (Å)	8.8192(8), 9.1586(9), 23.020(2)	10.653(6), 9.423(3), 22.096(6)							
Cell volume: V (Å <sup>3</sup> )	1859.36	2217.09							
Z	4	4							
Cell angles: $\alpha$ , $\beta$ , $\gamma$ (°)	90.000, 90.000, 90.000	90.000, 91.699(13), 90.000							
R-Factor (%)	5.32	5.5							

## **Table 1.** Crystallographic data of ligands $L^{3}H$ and $L^{7}H$ .

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Table 2. Polymerization of rac-Lactide using zinc complexes.										
Entry	Initiator	Co-initiator	$[LA]/[I]^a$	Time (h)	Conv. (%) <sup>b</sup>	$M_n (10^3)^c$	$M_{w} (10^{3})^{c}$	PDI <sup>c</sup>		
1	_	-	1000 <sup>d</sup>	24	0	_	-	-		
2	L <sup>3</sup> ZnN(SiMe <sub>3</sub> ) <sub>2</sub> 3a	-	1000 <sup>d</sup>	1	0	_	_	-		
3	$L^4ZnN(SiMe_3)_2$ 4a	-	1000 <sup>d</sup>	1	0	_	_	-		
4	$L^7$ ZnN(SiMe <sub>3</sub> ) <sub>2</sub> 7a	-	1000 <sup>d</sup>	1	53	_	-	-		
5	L <sup>3</sup> ZnN(SiMe <sub>3</sub> ) <sub>2</sub> 3a	-	1000 <sup>d</sup>	24	100	7.4	9.9	1.34		
6	$L^4ZnN(SiMe_3)_2$ 4a	-	1000 <sup>d</sup>	24	100	7.0	8.9	1.27		
7	$L^7$ ZnN(SiMe <sub>3</sub> ) <sub>2</sub> 7a	-	1000 <sup>d</sup>	24	100	8.9	11.8	1.29		
8	$L^7$ ZnN(SiMe <sub>3</sub> ) <sub>2</sub> 7a	PhCH <sub>2</sub> OH	100 <sup>d</sup>	24	100	_	_	-		

 Table 2. Polymerization of rac-Lactide using zinc complexes.

<sup>a</sup>Monomer to initiator ratio. <sup>b</sup>Conversion: determined by <sup>1</sup>H NMR (integration of the methyl resonances of LA and PLA). <sup>c</sup>M<sub>n</sub> and M<sub>w</sub>/M<sub>n</sub> (PDI) of polymer determined by SEC with calibration to polystyrene standards. <sup>d</sup>Bulk polymerization at 130<sup>°</sup>C.

control reaction which shows no polymerization after 24 h. There was no reaction after 1 hour for compounds **3a** and **4a** indicating slow initiation process presumably due to dimeric structure (**Table 2**, entries 2 - 3). However, the monomeric compound **7a** gave 53% conversion after 1 hour (**Table 2**, entry 4). All zinc complexes gave 100% conversion after 24 hours to afford low molecular weight polylactide with narrow polydispersity (**Table 2**, entries 5 - 7). Compound [ $L^7ZnOCH_2Ph$ ] was synthesized *in-situ* from **7a** and benzyl alcohol as a co-initiator in the solvent-free melt ring-opening polymerization of racemic lactide for 100 monomer-to-initiator ratio (**Table 2**, entry 8). NMR analysis of the polymer obtained shows the initiating group as part of the polylactide without ligand  $L^7$ . All polymerization reactions were quenched with acidified methanol and polylactide was isolated as amorphous solids indicative of atactic polymer.

#### 3.5. Reactivity of Zinc Complexes with Lactide

We observed moderate catalytic reactivity of *rac*-LA with the newly synthesized chiral zinc complexes with no stereo-control, probably due to the harsh reaction conditions with temperatures of 130°C. No reactivity was observed with the zinc complexes when polymerization was conducted in either toluene or THF at 80°C after 24 hours for 1000:1 lactide-to-initiator ratio. Furthermore, increasing the amount of initiator to 100:1 under the same conditions did not yield any polymer. Due to the small size of zinc metal, in order to effectively carryout chain-growth polymerization of LA *via* coordination-insertion mechanism, most researchers have been successful at a temperature of 130°C [88]-[101]. Even though this is not somewhat surprising, it is hypothesized that attaching a larger metal such as calcium or lanthanum to the newly synthesized chiral aminophenolate ligands would provide better catalytic reactivity at milder conditions with better polymerization control.

The new chiral zinc complexes gave polymer molecular weights lower than expected due to extensive transesterification as a result of extended reaction times beyond complete polymerization reaction. It should be noted that transesterification backbiting reactions has been reported as a major cause of obtaining low molecular weight polyester chains during active polymerization process that is allowed to run over long periods without quenching the reaction [68] [70] [75]. In addition, some rac-lactide molecules were sublimed during the solvent-free bulk polymerization reactions at 130°C resulting in low  $M_n$ . Having the tert-butyl group at the ortho (**3a**) or para (**4a**) positions of the phenolate did not make any significant difference in reactivity with lactide due to small metal size.

## 4. Conclusion

We have reported new heteroleptic zinc amido complexes supported by newly synthesized chiral multidentate monoanionic amino phenolate ligands with varying steric and electronic demands. Zinc complexes were found to have moderate catalytic activity towards ring-opening polymerization of *racemic* lactide at 130°C to afford atactic polymers with molecular weight of 10,000 g/mol and a narrow polydispersity index of 1.3. The newly synthesized chiral aminophenolate ligands are suitable chiral auxiliaries for inorganic chemists to design met-

al-based complexes for asymmetric catalysis and stereoselective polymerization reactions.

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