

Some Properties of Group-III Nitride Thin **Films Directly Grown on Non-Single-Crystalline Substrates by Using** a Molecular Beam Epitaxy Apparatus

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Abstract

Gallium nitride (GaN) and indium-gallium nitride ($In_xGa_{1-x}N$) thin films were directly grown on several non-single-crystalline substrates such as quartz glass and amorphous-carbon-coated graphite. The films were grown by using a molecular beam epitaxy apparatus having single or dual nitrogen radio-frequency plasma cells, and in addition, germanium (Ge) or magnesium (Mg) doping to the films was also attempted. Crystallinity, photoluminescence (PL) property, and electrical property of the obtained films were investigated. Highly c-axis oriented GaN and In_xGa_{1-x}N thin films were obtained on the non-single-crystalline substrates. Near-band-edge emissions were observed in their PL spectra and the intensities were strongly enhanced by Ge doping. Ge doping was also effective on reducing resistivity of the GaN thin films grown on the non-single-crystalline substrates. Electrochemical capacitance-voltage measurements were carried out on the Mg-doped GaN thin films; and p-type conduction in the films was confirmed.

Keywords

GaN, InGaN, Amorphous Carbon, Graphite, Quartz Glass, Ge Doping, Mg Doping

1. Introduction

Nitride compound semiconductors, such as gallium nitride (GaN) and indium-gallium nitride ($In_xGa_{1-x}N$) alloys

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have been applied for highly efficient light-emitting diodes (LEDs) and laser diodes (LDs) [1]-[4]. Direct energy-band-gaps of $In_xGa_{1-x}N$ alloys range between about 0.7 and 3.4 eV [5]-[8] depending upon their molar fractions. Therefore, the nitride semiconductors have been also expected as optoelectronic-conversion materials for highly efficient solar cells in addition to such light-emitting devices. That is, the nitride semiconductors have considerable potentials for their applications to various optoelectronic devices.

Most of the group-III nitride semiconductor thin films are grown on single-crystalline substrates such as sapphire wafers to obtain superior crystallinities which connect to superior electrical and optical properties of the films. Recently, productions of sapphire wafers, which have been the most popular single crystalline substrate used for the growths of nitride semiconductors, have been rapidly developed. Their production costs have been drastically decreased and also the wafer sizes have been expanded. In addition, growths of nitride semiconductor thin films have been developed also on silicon single-crystalline substrates. These single-crystalline wafers still have sufficient availability for the heteroepitaxial growths of the nitride semiconductor thin films.

Nevertheless, the range of application of the nitride semiconductor thin films will become much wider if the films having a certain level of qualities will be obtainable on non-single-crystalline substrates. In general, single-crystalline thin films cannot be obtained on non-single-crystalline substrates. Crystallinities of such films are markedly inferior compared to those of films epitaxially grown on single-crystalline substrates. Growths of the nitride semiconductor thin films on non-single-crystalline substrates have been investigated by several research groups until now. InN, GaN, and InGaN thin films were prepared on glass substrates by the reactive sputtering methods [9]-[11], the reactive evaporation methods [12] [13], the chemical vapor deposition methods [14]-[18], and the molecular beam epitaxy methods [19] [20]. In addition, growths of the nitride semiconductor thin films on polycrystalline substrates such as metal substrates and/or metal thin film deposited glass substrates have been also reported [21]-[24]. The polycrystalline structures of the films grown on the polycrystalline substrates were successfully improved to highly c-axis oriented structures by inserting an amorphous intermediate layer which has enough thickness to isolate the effect of the polycrystalline substrate [22] [25].

GaN-based light-emitting diodes (LEDs) fabricated on non-single-crystalline substrates have been rarely reported until now. One of them is an LED grown by a metal-organic vapor-phase-epitaxy (MOVPE) method on quartz glass substrate; however, the emission intensity from the LED was not always sufficient [26]. Another report is about relatively high-efficient GaN-based LEDs using a fused-silica glass substrate [27]. The GaN thin film was grown on a titanium film deposited on the glass substrate. The fabrication process is consisted of a lot of procedures, and therefore, low cost in the device fabrication, which is one of the merits of the non-crystalline substrates, seems to be disappeared by the complicated processes. More simple fabrication processes will be required in near future to utilize the advantages of the non-single-crystalline substrates.

In addition to the above, properties of impurity-doped nitride semiconductor thin films grown on non-singlecrystalline substrates have not always been sufficiently reported. Moreover, InGaN film growths on non-singlecrystalline substrates and their properties have not been also sufficiently reported. Therefore in this work, some nitride semiconductor thin films were directly grown on several non-single-crystalline substrates by using an MBE apparatus. Some properties, such as crystallinities, electrical and optical properties of the obtained films were investigated. Germanium (Ge) and magnesium (Mg) doping to the films were also attempted and their properties were investigated.

2. Experimental Methods

The non-single-crystalline substrate materials used in this work are a synthetic quartz glass, an amorphous-carbon, and an amorphous-carbon-coated graphite. Each of the thicknesses of the substrates is about 0.4 mm. GaN and $In_xGa_{1-x}N$ thin films were directly grown on the substrates by an MBE apparatus without any buffer layers. Base pressure of the MBE apparatus reaches to the order of 10^{-8} Pa by a turbo-molecular-pump evacuation. Single radio-frequency (RF; 13.56 MHz) N₂ plasma cell for supplying active nitrogen was used mainly for the GaN thin film growths, and dual RF N₂ plasma cells were used mainly for the $In_xGa_{1-x}N$ thin film growths. The RF powers of 400 W were supplied to the each plasma cell in which purified N₂ flowed at 3 sccm, respectively. Ge was adopted for an n-type dopant because of much easiness in its supplying compared with silicon (Si) doping in the growth. On the other hand, Mg was adopted for p-type doping. The metals of Ga, In, Ge, and Mg were respectively supplied from Knudsen-cells (K-cells) in which pyrolytic boron nitride crucibles were set. Thickness of the each thin film was around 300 nm.

Crystallinity of the obtained films was evaluated by X-ray diffraction (XRD) measurements. Compositions of obtained $In_xGa_{1-x}N$ thin films were determined by adopting the Vegard's law to the lattice constants obtained from the XRD measurements. Photoluminescence (PL) properties of them were evaluated by using a He-Cd laser (325 nm) irradiation and detecting the luminescence by a multichannel spectroscope having a Si CCD detector. The measurement samples were cooled down to about 13 K by set them in a closed-cycle helium cryostat. Electrical properties of them were evaluated by the van der Pauw method. Electrochemical capacitance-voltage (ECV) measurements were carried out to confirm the conduction types in the obtained films. In this case, a heavily Ge-doped GaN conducting underlayer was inserted between the GaN thin film and the insulating quartz glass substrate to enable the ECV measurement.

3. Results and Discussion

3.1. Crystallinities and PL Properties of the Films Directly Grown on the Quartz Glass and Amorphous-Carbon-Coated Graphite Substrates

Firstly, GaN thin films were grown on several substrates, that is, a synthetic quartz glass, an amorphous-carboncoated graphite, and a c-face sapphire single-crystalline wafer as a reference. The films were directly grown on the each substrates at the growth temperature of 900°C, and their crystallinities and PL properties were compared. XRD patterns of the films are shown in **Figure 1**, respectively. In the case of the GaN thin film grown on the quartz glass substrate, only (0002) and (0004) GaN diffraction peaks relating the wurtzite-type crystal structure are observed in the XRD pattern, and it is similarly to the case of the film grown on the c-face sapphire substrate as shown in **Figure 1(a)** and **Figure 1(b)**, respectively. Therefore, the thin film grown on the quartz glass substrate is at least highly c-axis preferential orientated GaN, though there was a clear difference in their crystallinities. That is, full-widths at the half-maximum (FWHMs) of the X-ray rocking curves (XRCs) of the (0002) GaN diffractions of the films were largely different as the cases on the quartz glass was 7.6° and on the sapphire was 0.7°.

The XRD pattern of the GaN thin film grown on the amorphous-carbon-coated graphite substrate is shown in **Figure 1(c)**. The base material of the substrate is a polycrystalline graphite, and an amorphous-carbon layer of several μ m thickness is coated on the graphite base. In the XRD pattern, the diffraction peaks of the substrate are indicated by asterisks. Relatively narrow and broad peaks are simultaneously observed, and they respectively correspond to the peaks of the polycrystalline graphite and amorphous-carbon layer. From the consideration,

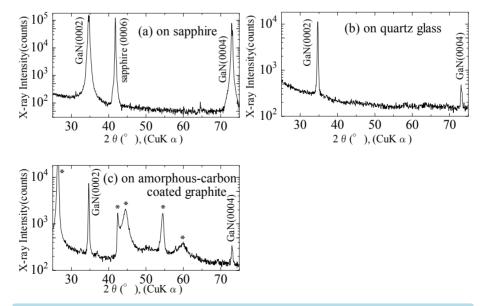


Figure 1. XRD patterns of the GaN thin films grown directly on the (a) c-face single-crystalline sapphire, (b) quartz glass, and (c) amorphous-carbon-coated graphite substrates. The growth temperature of the films was 900°C. Asterisks in figure (c) mean XRD peaks of the amorphous-carbon-coated graphite substrate.

it can be said that the film grown on the amorphous-carbon-coated graphite substrate is also highly c-axis oriented GaN since the only (0002) and (0004) GaN diffraction peaks are observed except the peaks caused from the substrate material in the XRD pattern.

Nitride semiconductor thin films often become poor polycrystalline when they are grown on polycrystalline substrates because of random crystal orientations in the polycrystalline substrates [21]. On the other hand, the poor polycrystalline state is well improved and highly c-axis oriented nitride thin films are obtained when an amorphous layer having around 1 μ m thickness is inserted between the nitride thin films and such polycrystalline substrates [22] [25]. Therefore, the similar effect on the crystallinity is considered to be occurred in the case of the GaN thin film grown on the amorphous-carbon-coated graphite substrate.

Figure 2 shows PL spectra of the GaN thin films grown on the same substrates described above. Band-edge emission at the higher photon energy and deep-level emission at the lower photon energy are respectively observed in the PL spectrum of the GaN thin film grown on the quartz glass substrate as shown in **Figure 2(b)**. This is similar to the case of the film grown on the sapphire substrate shown in **Figure 2(a)** though the emission intensity of the band-edge emission at the higher energy side is weaker than that of the film grown on the sapphire substrate. On the other hand, broad emission peak at around 3 eV is observed in addition to the band-edge emission at the higher energy side in the PL spectrum of the GaN thin film grown on the amorphous-carbon-coated graphite substrate. It is different from the other PL spectra of the GaN films grown on the quartz glass or sapphire substrates.

Next, impurity doping was attempted to GaN thin films grown on the non-single-crystalline substrates and their PL properties were investigated. Doping of impurities such as Si, Ge, and Mg to the epitaxial nitride semiconductor thin films is known as an effective way to enhance the luminescence intensities [28] [29]. Ge is an n-type dopant for the nitride semiconductors [29], and its vapor pressure is higher than Si which is the most popular n-type dopant [28] for the nitride semiconductors. Therefore, the doping of Ge in the MBE apparatus, in which materials are thermally evaporated and supplied to synthesis nitride semiconductor thin films, is much easier than Si, and heavy doping of Ge to nitride thin films becomes possible [30]. From the reasons, doping of Ge was carried out on the GaN thin films grown on the non-single-crystalline substrates.

Figure 3 shows the PL spectra of the Ge-doped GaN thin films grown on the same substrates. The growth temperature of the each films was 900°C, and Ge was supplied at the cell temperature of 1200°C. Similarly

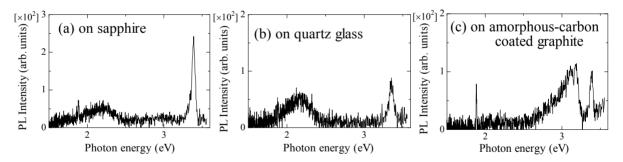


Figure 2. PL spectra of the GaN thin films grown directly on the (a) c-face single-crystalline sapphire, (b) quartz glass, and (c) amorphous-carbon-coated graphite substrates. The growth temperature of the films was 900°C.

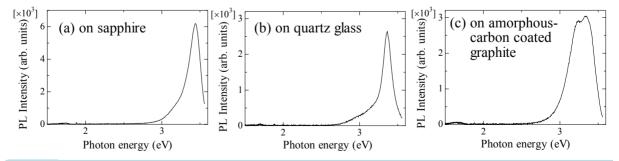


Figure 3. PL spectra of the Ge-doped GaN thin films grown directly on the (a) c-face single-crystalline sapphire, (b) quartz glass, and (c) amorphous-carbon coated graphite substrates. The growth temperature of the films was 900°C.

to the case in which the film was grown on the sapphire substrate as shown in **Figure 3(a)**, the emission peaks which correspond to the near band-edge emission were drastically increased compared with those of the undoped GaN thin films as shown in **Figure 2**. The increases of the near band-edge emission intensities by the Ge doping are considered that the impurity levels have become effective luminescence centers replacing band-to-band transitions. In the case of the Ge-doped GaN thin film grown on the amorphous-carbon-coated graphite substrate, the near band-edge emission intensity also increased though the emission peak at the slightly lower energy side remained.

Highly c-axis oriented GaN thin films were obtained on the non-single-crystalline substrates and near bandedge emission intensities in their PL spectra were enhanced by Ge doping. Next, $In_xGa_{1-x}N$ thin films of several compositions were grown on the substrates and their properties were investigated. Firstly, the $In_xGa_{1-x}N$ thin films were grown on the quartz glass substrate. The growth temperature and the Ga cell temperature were fixed to 800°C and 970°C, respectively. On the other hand, the In cell temperature was varied from 760°C to 780°C, and as a result, $In_{0.1}Ga_{0.9}N$ and $In_{0.16}Ga_{0.84}N$ thin films were respectively obtained. The XRD patterns of the $In_xGa_{1-x}N$ thin films are shown in **Figure 4**. Phase separation, which is often appeared in $In_xGa_{1-x}N$ thin film, was not observed in the obtained thin films and each of them exhibited highly c-axis oriented crystal structures.

PL properties of the $In_xGa_{1-x}N$ thin films are shown in **Figure 5**. The value of the energy band gap of $In_xGa_{1-x}N$ varies as a function of the molar fraction x with a certain bowing. The values of the energy band gaps of the obtained $In_xGa_{1-x}N$ thin films were respectively estimated as about 3.0 and 2.8 eV under a consideration of the bowing parameter. Near band-edge emissions at the higher energy sides were observed in the PL spectra, though other emission peaks, which were considered to correspond to deep-level emissions, were also observed at the lower energy sides. Similar tendencies were observed in the properties of the $In_xGa_{1-x}N$ thin film directly grown on the amorphous-carbon-coated graphite substrate. The XRD pattern and PL spectrum of the $In_{0.1}Ga_{0.9}N$ thin film grown on the amorphous-carbon-coated graphite substrate are shown in **Figure 6**, respectively. Only the diffraction peaks relating to the c-face of $In_xGa_{1-x}N$ were observed in the XRD pattern except the diffraction peaks relating to the substrate used. That is, highly c-axis oriented thin film was obtained, and in addition, phase separation was not observed in this case. Near band-edge emission peak and an emission peak which was

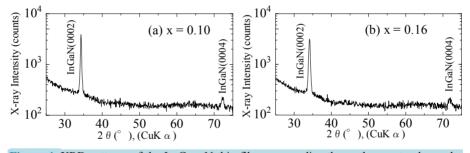


Figure 4. XRD patterns of the $In_xGa_{1-x}N$ thin films grown directly on the quartz glass substrates. The growth temperature of the films was fixed to 800°C. The molar fraction x of the obtained thin films were (a) 0.10 and (b) 0.16, respectively.

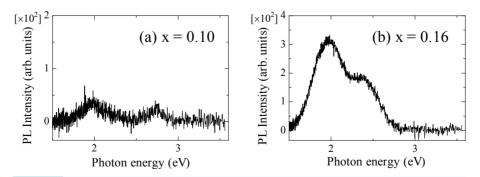


Figure 5. PL spectra of the $In_xGa_{1-x}N$ thin films grown directly on the quartz glass substrate. The growth temperature of the films was fixed to 800°C. The molar fractions x of the obtained thin films were (a) 0.10 and (b) 0.16, respectively.

considered to be caused from deep-level defects were observed in the PL spectrum. The PL peak intensity in the higher energy side is relatively higher than that of the $In_{0.1}Ga_{0.9}N$ thin film grown on the quartz glass substrate. There might be a different mechanism connecting to the enhancement of the near band-edge emission in this case.

Ge doping was also attempted to the $In_xGa_{1-x}N$ thin film grown on the quartz glass substrate. It had been confirmed that the Ge doping to the GaN thin films directly grown on the non-single-crystalline substrates brought increases of the near band-edge emission intensities in their PL spectra as described before. A similar result was obtained in the case of Ge-doping to the $In_xGa_{1-x}N$ thin film as shown in **Figure 7**. The Ge cell temperature was $1100^{\circ}C$ in this case. Effective luminescence centers might be occur in the $In_xGa_{1-x}N$ thin film by the doping similarly to the cases of the GaN thin films grown on the same substrate.

3.2. Some Electrical Properties of the Ge and Mg-Doped GaN Thin Films Grown on the Non-Single-Crystalline Substrates

Highly c-axis oriented films were obtained and increases of the near band-edge emission intensities by Ge doping were confirmed about the thin films directly grown on the non-single-crystalline substrates as described above section. Some effects of Ge or Mg-doping to GaN thin films grown on non-single-crystalline substrates are described in this section. Firstly, effects of n-type Ge-doping were investigated on the resistivity of the GaN thin films. The Ge-doped GaN thin films were directly grown on the quartz glass substrate. The growth temperature was fixed to 900°C, and the Ge cell temperature was varied from 1200°C to 1300°C. The variation of the resistivity is shown in **Figure 8**. The resistivity gradually decreased with the increase of the Ge cell temperature, that is, free electron density increased by the increase of the amount of the n-type impurity. The carrier density in the thin film was confirmed by a Hall effect measurement, and it reached to 3×10^{20} cm⁻³ when Ge was doped to it at the cell temperature of 1300°C. Thus, Ge doping is quite effective to obtain GaN thin films of high carrier density when the film is grown on the non-single-crystalline substrate similarly to the epitaxial nitride thin films.

Next, effects of Mg doping were investigated on controlling conduction types of GaN thin films grown on the

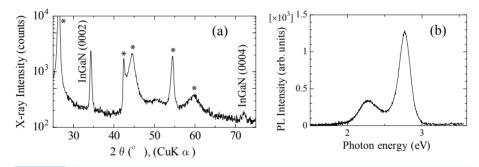


Figure 6. (a) XRD pattern and (b) PL spectrum of the $In_{0.1}Ga_{0.9}N$ thin film grown directly on the amorphous-carbon-coated graphite substrate. The growth temperature of the film was 800°C. Asterisks in figure (a) mean XRD peaks of the amorphous-carbon-coated graphite substrate.

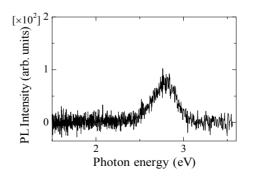


Figure 7. PL spectrum of the Ge-doped $In_{0.1}Ga_{0.9}N$ thin film grown directly on the quartz glass substrate. The growth temperature of the film was 800°C.

non-single-crystalline substrates. Effects of Mg-doping to GaN thin films grown on such non-single-crystalline substrates have not been well described, though Mg is the well-established p-type dopant on GaN epitaxial thin films [1]. The Mg-doped GaN thin films were respectively grown on an amorphous-carbon substrate of 0.4 mm thickness, and on a quartz glass substrate on which a heavily Ge-doped conductive GaN underlayer was grown. The growth temperature of the films was 900°C, and Mg was doped to the films at the Mg cell temperature of 370°C.

The conducting types of the obtained thin films were evaluated by the ECV measurements. KOH aquatic solution was used for fabrications of Schottky contacts to the Mg-doped GaN thin films. On the other hand, the amorphous-carbon substrate has relatively high conductivity and an ohmic relationship to the Mg doped GaN thin film. The heavily Ge-doped GaN thin film grown as the conductive underlayer on the quartz glass substrate also has a similar ohmic relationship to the Mg-doped GaN thin film. Therefore, the amorphous-carbon substrate and the heavily Ge-doped GaN underlayer were respectively used as ohmic contacts in the ECV measurements.

Figure 9 shows the Mott-Schottky plots about the Mg-doped GaN thin films obtained from the ECV measurements. The directions of the slopes in these plots are clearly different between the cases of the Mg-doped GaN thin films and the undoped n-type GaN thin film. Usually, undoped GaN shows n-type conduction because of naturally occurred nitrogen vacancies or excess metallic Ga. It can be determined that the undoped GaN thin film has n-type conduction property from the result of the ECV measurement as shown in the **Figure 9(c)**. On the other hand, the measurement results on the Mg-doped thin films show clearly opposite direction of the slopes, that is, the slopes declined with the increase of the applied voltage. Therefore, it can be determined that the conduction type of the each Mg-doped film is p-type. The ECV measurements were carried out under applying alternating voltage of 69 Hz to avoid effects of the relatively high impedance of the KOH aquatic solution on the decrease of applied voltage to the GaN thin films. Therefore, estimated values of acceptor concentrations in the films might include the number of non-activated acceptors. Nevertheless, it was shown that p-type GaN thin films could be obtained on such non-single-crystalline substrates.

4. Conclusion

GaN and In_xGa_{1-x}N thin films were directly grown on several non-single-crystalline substrates by the MBE

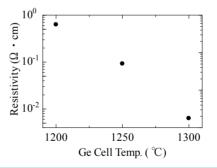


Figure 8. Variation in the resistivity of the Ge doped GaN thin films directly grown on the quartz glass substrate. The growth temperature of the film was 900°C.

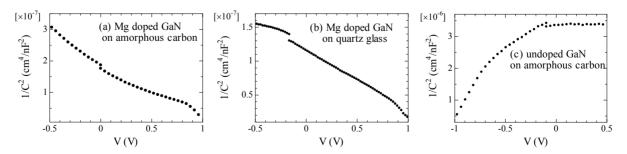


Figure 9. Results of the ECV measurements about the Mg-doped GaN thin films grown on the (a) amorphous-carbon and (b) heavily Ge-doped GaN-underlayer-grown quartz glass substrates. A result about an undoped n-type GaN thin film grown on the amorphous-carbon substrate is also shown in figure (c) as a reference.

apparatus. Their crystallinities of each film were highly c-axis oriented, and no phase separation was observed in the $In_xGa_{1-x}N$ thin films. Near band-edge emissions were respectively observed in their PL spectra, and the emission intensities were largely enhanced by Ge-doping to the films. Ge-doping was also effective to control n-type conductivity of the GaN thin films even when they were grown on the non-single crystalline substrate. ECV measurements were carried out on the Mg-doped GaN thin films and p-type conductions were confirmed about each film.

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