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A Growth Behavior of Szegö Type Operators

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Abstract

We define new integral operators on the Haydy space similar to Szegö projection. We show that these operators map from H^p to H^2 for some $1 \leq p < 2$, where the range of p is depending on a growth condition. To prove that, we generalize the Hausdorff-Young Theorem to multi-dimensional case.

Keywords

Szegö Projection, Hausdorff-Young Theorem, Coefficient Multiplier, Stein Interpolation Theorem

1. Introduction

Let \mathbb{C}^n denote the Euclidean space of complex dimension n. The inner product on \mathbb{C}^n is given by

$$\langle z, w \rangle := z_1 \overline{w}_1 + \dots + z_n \overline{w}_n$$

where $z = (z_1, \ldots, z_n)$ and $w = (w_1, \ldots, w_n)$, and the associated norm is $|z| := \sqrt{\langle z, z \rangle}$. The unit ball in \mathbb{C}^n is the set

$$\mathbf{B}_n := \{ z \in \mathbf{C}^n : |z| < 1 \}$$

and its boundary is the unit sphere

$$\mathbf{S}_n := \{ z \in \mathbf{C}^n : |z| = 1 \}.$$

In case n = 1, denote **D** in place of \mathbf{B}_1 . Let σ_n be the normalized surface measure on \mathbf{S}_n .

For $0 , the Hardy space <math>H^p(\mathbf{B}_n)$ is the space of all holomorphic function f on \mathbf{B}_n for which the "norm"

$$||f||_{H^p} := \left\{ \sup_{0 < r < 1} \int_{\mathbf{S}_n} |f(r\zeta)|^p \ d\sigma_n(\zeta) \right\}^{1/p}$$

is finite. As is well-known, the space $H^p(\mathbf{B}_n)$ equipped with the norm above is a Banach space for $1 \le p < \infty$. On the other hand, it is a

complete metric space for 0 with respect to the translationinvariant metric $(f,g) \mapsto \|f-g\|_{H^p}^p$. For a function f in $H^p(\mathbf{B}_n)$, it is known that f has a radial limit f^*

almost everywhere on \mathbf{S}_n . Here, the radial limit f^* of f is defined by

$$f^*(\zeta) := \lim_{r \to 1^-} f(r\zeta)$$

provided that the limit exists for $\zeta \in \mathbf{S}_n$. Moreover the mapping $f \mapsto f^*$ is an isometry from $H^p(\mathbf{B}_n)$ into $L^p(\mathbf{S}_n, d\sigma_n)$. Consequently, each $H^p(\mathbf{B}_n)$ can be identified with a closed subspace of $L^p(\mathbf{S}_n, d\sigma_n)$.

Since $H^2(\mathbf{B}_n)$ can be identified with a closed subspace of $L^2(\mathbf{S}_n, d\sigma_n)$, there exists an orthogonal projection from $L^2(\mathbf{S}_n, d\sigma_n)$ onto $H^2(\mathbf{B}_n)$. By using a reproducing kernel function, which is called the Szegö kernel, we also obtain a function f from its radial function f^* . More precisely,

$$f(z) = T[f](z) := \int_{\mathbf{S}_n} \frac{f^*(\zeta)}{(1 - \langle z, \zeta \rangle)^n} d\sigma_n(\zeta)$$

for $f \in H^2(\mathbf{B}_n)$. We usually call this integral operator as the Szegő projection. It is well known that for 1 the Szegőprojection maps $L^p(\mathbf{S}_n, d\sigma_n)$ boundedly onto $H^p(\mathbf{B}_n)$. For more details, we refer the classical text books [1,2].

In this paper we consider a class of integral operators defined by

$$T_{m,N}[f](z) := \int_{\mathbf{S}_n} \frac{\langle z, \zeta \rangle^{m+N}}{(1 - \langle z, \zeta \rangle)^m} f^*(\zeta) d\sigma_n(\zeta)$$
 (1.1)

for m = 1, 2, ..., n and a positive integer N. Compared with the Szegö projection, the growth condition in the denominator factor is better. Thus these operators are bounded on $H^2(\mathbf{B}_n)$.

Interestingly these operators map from $H^1(\mathbf{B}_n)$ to $H^2(\mathbf{B}_n)$ for any positive integer N when $1 \leq m < \frac{n}{2}$. More precisely we have the following result.

Theorem 1.1. Let m be a positive integer with $1 \leq m < \frac{n}{2}$. Then there exists a constant C = C(n) > 0 such that

$$||T_{m,N}[f]||_{H^2} \le CN^{m-\frac{n}{2}} ||f||_{H^1}$$

for any positive integer N.

For $\frac{n}{2} \leq m < n$, the operator $T_{m,N}$ maps from $H^p(\mathbf{B}_n)$ to $H^1(\mathbf{B}_n)$, but the range of p is depending on m, which determines the growth condition of the kernel function. Explicitly we have the following theorem.

Theorem 1.2. Let m be a positive integer with $\frac{n}{2} \leq m < n$ and $\frac{2n}{3n-2m} . Then there exists a constant <math>C = C(n,p) > 0$ such

$$||T_{m,N}[f]||_{H^2} \le CN^{p'} ||f||_{H^p}$$

for any positive integer N. Here p' is a negative number defined by $p' := m + n(\frac{1}{n} - \frac{3}{2}).$

To prove the Theorem 1.2, we generalize the Hausdorff-Young Theorem to the multi-dimensional case using the Stein interpolation theorem.

2. Preliminary Results

We use the conventional multi-index notation. For a multi-index

$$\alpha = (\alpha_1, \ldots, \alpha_n)$$

with nonnegative integers α_i , the following are common notations;

$$|\alpha| := \alpha_1 + \dots + \alpha_n,$$

$$\alpha! := \alpha_1! \cdots \alpha_n!.$$

For $z \in \mathbb{C}^n$, the monomial is defined as

$$z^{\alpha} := z_1^{\alpha_1} \cdots z_n^{\alpha_n}.$$

At first, we show that the Szegö type operators $T_{m,N}$ defined in (1.1) are actually coefficient multipliers.

Lemma 2.1. Let m, N be positive integers with $1 \le m \le n$. For a multi-index α , there exists $\lambda_{\alpha} = \lambda_{\alpha}(m, n, N, |\alpha|)$ such that

$$T_{m,N}[\zeta^{\alpha}](z) = \lambda_{\alpha} z^{\alpha}.$$

Proof. From the definition of $T_{m,N}$, we have

$$T_{m,N}[\zeta^{\alpha}](z) = \int_{\mathbf{S}_{-}} \frac{\langle z, \zeta \rangle^{m+N} \zeta^{\alpha}}{(1 - \langle z, \zeta \rangle)^{m}} d\sigma_{n}(\zeta)$$

for a multi-index α . Note that

$$\frac{1}{(1-\langle z,\zeta\rangle)^m} = \sum_{k=0}^{\infty} {\binom{k+m-1}{k}} \langle z,\zeta\rangle^k.$$

Since the monomials are orthogonal on $L^2(\mathbf{S}_n, d\sigma_n)$; see ([1] Proposition 1.4.8), we have $T_{m,N}[\zeta^{\alpha}](z) = 0$ if $|\alpha| < m + N$. In case of $|\alpha| \ge m + N$, we have

$$T_{m,N}[\zeta^{\alpha}](z) = \int_{\mathbf{S}_n} \sum_{k=0}^{\infty} {k+m-1 \choose k} \langle z, \zeta \rangle^{k+m+N} \zeta^{\alpha} d\sigma_n(\zeta)$$
$$= {|\alpha| - 1 - N \choose |\alpha| - m - N} \int_{\mathbf{S}_n} \langle z, \zeta \rangle^{|\alpha|} \zeta^{\alpha} d\sigma_n(\zeta).$$

Expanding the term inside the above integral as

$$\langle z, \zeta \rangle^{|\alpha|} = \sum_{|\beta|=|\alpha|} \frac{|\alpha|!}{\beta!} z^{\beta} \overline{\zeta^{\beta}},$$

we obtain that

$$T_{m,N}[\zeta^{\alpha}](z) = { |\alpha| - 1 - N \choose |\alpha| - m - N} \frac{|\alpha|!}{\alpha!} z^{\alpha} \int_{\mathbf{S}_n} |\zeta^{\alpha}|^2 d\sigma_n(\zeta)$$
$$= { |\alpha| - 1 - N \choose |\alpha| - m - N} \frac{(n-1)!|\alpha|!}{(n-1+|\alpha|)!} z^{\alpha},$$

see ([1] Proposition 1.4.9) for the last equality. Putting λ_{α} as

$$\lambda_{\alpha} = \lambda_{\alpha}(m, n, N, |\alpha|) = \begin{cases} 0 & \text{for } |\alpha| < m + N \\ {\binom{|\alpha|-1-N}{|\alpha|-m-N}} \frac{(n-1)!|\alpha|!}{(n-1+|\alpha|)!} & \text{for } |\alpha| \ge m + N, \end{cases}$$

$$(2.1)$$

we conclude the lemma.

To prove the main theorems, we need the Hausdorff-Young Theorem for the multi-dimensional Hardy space. For a holomorphic function f in the unit disk, we have the Taylor series expansion as

$$f(z) = \sum_{n=0}^{\infty} a_n z^n.$$

For the Hardy space defined in the unit disk, a relationship between the functions in $H^p(\mathbf{D})$ and the growth condition of their coefficients are given by the Hausdorff-Young Theorem, see ([3] p.76, Theorem A).

Theorem 2.2 (Hausdorff-Young Theorem for $H^p(\mathbf{D})$). For $1 \leq p \leq \infty$, let q be the conjugate exponent, with $\frac{1}{p} + \frac{1}{q} = 1$.

- 1) If $1 \le p \le 2$, then $f \in H^p(\mathbf{D})$ implies $\{a_n\} \in l^q$, and $\|\{a_n\}\|_{l^q} \le \|f\|_{H^p}$.
- 2) If $2 \le p \le \infty$, then $\{a_n\} \in l^q \text{ implies } f \in H^p(\mathbf{D}), \text{ and } ||f||_{H^p} \le ||f(a_n)||_{l^q}$.

Before proceeding, we introduce some notation. Let \mathbb{N}_0^n be the product set of nonnegative integers.

Define a weight function w_n on \mathbb{N}_0^n by

$$w_n(\alpha) := \frac{(n-1)!\alpha!}{(n-1+|\alpha|)!}$$

for $\alpha \in \mathbb{N}_0^n$. Using the weight w_n , we define a norm on \mathbb{N}_0^n by

$$||c||_{p,t}^p := \sum_{\alpha \in \mathbb{N}_n^n} |c(\alpha)|^p \ w_n^t(\alpha)$$

for $1 \le p < \infty$ and a positive real number t. For $p = \infty$, we define

$$||c||_{\infty,t} := \sup_{\alpha \in \mathbb{N}_0^n} |c(\alpha)| w_n^t(\alpha).$$

Let $l^{p,t}$ be the collection of all function c defined on \mathbb{N}_0^n with the norm $\|c\|_{p,t} < \infty$.

For a holomorphic function f on \mathbf{B}_n , whose Taylor series is given by

$$f(z) = \sum_{\alpha} c_{\alpha} z^{\alpha},$$

we define the coefficient function c_f of f defind on \mathbb{N}_0^n by

$$c_f(\alpha) := c_\alpha.$$

Proposition 2.3 (Hausdorff-Young Theorem for $H^p(\mathbf{B}_n)$). For $1 \le p < \infty$, let q be the conjugate exponent, with $\frac{1}{p} + \frac{1}{q} = 1$.

- 1) If p = 1, then $f \in H^1(\mathbf{B}_n)$ implies $c_f \in l^{\infty,1}$, and $||c_f||_{\infty,1} \le ||f||_{H^1}$.
- 2) If $1 , then <math>f \in H^p(\mathbf{B}_n)$ implies $c_f \in l^{q,q-1}$, and $||c_f||_{q,q-1} \le ||f||_{H^p}$.
- 3) If $2 \le p < \infty$, then $c_f \in l^{q,q-1}$ implies $f \in H^p(\mathbf{B}_n)$, and $||f||_{H^p} \le ||c_f||_{q,q-1}$.

Proof. For a multi-index α , we note that

$$\int_{\mathbf{S}_n} |\zeta^{\alpha}|^2 d\sigma_n(\zeta) = w_n(\alpha). \tag{2.2}$$

From the orthogonality of monomials on \mathbf{S}_n , we get

$$\int_{\mathbf{S}_n} f(\zeta) \overline{\zeta^{\alpha}} \ d\sigma_n(\zeta) = c_f(\alpha) w_n(\alpha),$$

for $f \in H^1(\mathbf{B}_n)$. Thus we obtain

$$||c_f||_{\infty,1} \leq ||f||_{H^1}$$
,

which prove the Proposition (1).

$$||c_f||_{\infty,1} \le ||f||_{H^1}, \qquad ||c_f||_{2,1} \le ||f||_{H^2},$$
 (2.3)

and proved (1). Now we apply an interpolation theorem in the Equations (2.3). Since the defined norms have weight functions, we use the Stein interpolation theorem; see ([4] Theorem 3.6). Then we have

$$||c_f||_{q,q-1} \le ||f||_{H^p}$$

for 1 and proved (2).

Now we prove (3). For $1 < q \le 2$ we let c_f be given with $||c_f||_{q,q-1} < \infty$ and define

$$f_k(z) := \sum_{|\alpha| \le k} c_{\alpha} z^{\alpha}$$

for a positive integer k. Since each $f_k \in H^p(\mathbf{B}_n)$, for any $g \in H^q(\mathbf{B}_n)$ with coefficient function c_g we have

$$\left| \int_{\mathbb{S}_n} f_k \bar{g} \, d\sigma_n \right| = \left| \sum_{|\alpha| \le k} c_f(\alpha) c_g(\alpha) w_n(\alpha) \right|$$

$$\leq \left(\sum_{\alpha} |c_f(\alpha)|^q w_n(\alpha)^{q-1} \right)^{1/q} \left(\sum_{\alpha} |c_g(\alpha)|^p w_n(\alpha)^{p-1} \right)^{1/p}$$

$$\leq \|c_f\|_{q,q-1} \|g\|_{H^q}$$

by above proved Proposition (2). Since for $1 the dual space of <math>H^p(\mathbf{B}_n)$ is $H^q(\mathbf{B}_n)$, we have

$$||f_k||_{H^p} \le ||c_f||_{q,q-1}$$

for any positive integer k. Moreover $w_n \leq 1$ and $q \leq 2$ implies that

$$||c_f||_{q,q/2} \le ||c_f||_{q,q-1} < \infty,$$

so we have

$$||f||_{H^2} = ||c_f||_{2,1} < \infty.$$

Consequently $||f - f_k||_{H^2}$ goes to zero as k increase. Hence f_k converges to f pointwise and by applying Fatou's lemma we finish the proof.

3. Proofs

For a holomorphic function f on \mathbf{B}_n with Taylor series

$$f(z) = \sum_{\alpha} c_{\alpha} z^{\alpha},$$

we define j^{th} partial sum of f by

$$S_j f(z) := \sum_{|\alpha| \le j} c_{\alpha} z^{\alpha} \tag{3.1}$$

for a positive integer j.

Now we are ready to prove the Theorem 1.1. Here we restate the Theorem 1.1 for convenience.

Theorem 3.1. For $1 \le m < \frac{n}{2}$, there exists a constant C = C(n) depending only on n such that

$$||T_{m,N}[f]||_{H^2} \le CN^{m-\frac{n}{2}} ||f||_{H^1}$$

for any positive integer N.

Proof. For a given $z \in \mathbf{B}_n$, the kernel function $\frac{\langle z, \zeta \rangle^{m+N}}{(1-\langle z, \zeta \rangle)^m}$ is bounded in $\zeta \in \mathbf{S}_n$. So for p > 1, we have

$$|T_{m,N}[f](z) - T_{m,N}[S_j f](z)|^p$$

$$\leq \int_{\mathbf{S}_n} \left| \frac{\langle z, \zeta \rangle^{m+N}}{(1 - \langle z, \zeta \rangle)^m} \right|^p |f(\zeta) - S_j f(\zeta)|^p d\sigma_n(\zeta)$$

$$\leq C_z \int_{\mathbf{S}_n} |f(\zeta) - S_j f(\zeta)|^p d\sigma_n(\zeta)$$

$$= C_z ||f - S_j f||_{H^p}^p,$$

where C_z is a constant depending on $z \in \mathbf{B}_n$. However the Talyor series $S_j f$ converges to f in the norm H^p when p > 1; we refer ([5] Theorem 1.1). Thus for $f \in H^p(\mathbf{B}_n)$ with p > 1 we have

$$T_{m,N}[f](z) = \lim_{j \to \infty} T_{m,N} \left[\sum_{|\alpha| \le j} c_f(\alpha) \zeta^{\alpha} \right] (z)$$
$$= \lim_{j \to \infty} \sum_{|\alpha| \le j} c_f(\alpha) \ T_{m,N}[\zeta^{\alpha}](z)$$
$$= \sum_{\alpha} c_f(\alpha) \ \lambda(m,n,N,|\alpha|) \ z^{\alpha},$$

where we used the Lemma 2.1. So we have

$$||T_{m,N}[f]||_{H^2}^2 = \sum_{|\alpha| \ge m+N} \lambda(m,n,N,|\alpha|)^2 |c_f(\alpha)|^2 w_n(\alpha)$$
 (3.2)

$$\leq \|c_f\|_{\infty,1}^2 \cdot \sum_{|\alpha| \geq m+N} \lambda(m,n,N,|\alpha|)^2 w_n(\alpha)^{-1}$$

By Proposition 2.3 and the Equation (2.1), we have

$$||T_{m,N}[f]||_{H^2}^2 \le C(n) ||f||_{H^1}^2 \cdot \sum_{|\alpha| > m+N} \frac{1}{|\alpha|^{2(n-m)}} \frac{(n-1+|\alpha|)!}{\alpha!}$$

where C(n) is a constant depending on n. By the multinomial theorem we know that

$$\sum_{|\alpha|=k} \frac{1}{\alpha!} = \frac{2^n}{k!},$$

so we get

$$\begin{split} & \sum_{|\alpha| \geq m+N} \frac{1}{|\alpha|^{2(n-m)}} \frac{(n-1+|\alpha|)!}{\alpha!} \\ & \leq C(n) \sum_{k=m+N}^{\infty} \frac{1}{k^{2(n-m)}} \frac{(n-1+k)!}{k!} \\ & \leq C(n) \sum_{k=N}^{\infty} \frac{1}{k^{n-2m+1}} \\ & \leq C(n) N^{2m-n}, \end{split}$$

where the series is bounded by the assumption $m < \frac{n}{2}$. And the constant C(n) is also depending only on n. Thus we have

$$||T_{m,N}[f]||_{H^2} \le C(n)N^{m-\frac{n}{2}}||f||_{H^1},$$

for $f \in H^p(\mathbf{B}_n)$ with p > 1.

Now we fix $p_0 > 1$. Since H^{p_0} is dense in H^1 and $T_{m,N}$ is uniformly continuous from H^{p_0} to H^2 , there exists the unique continuous extension $\widetilde{T}_{m,N}$ from H^1 to H^2 defined as

$$\widetilde{T}_{m,N}[f] := \lim_{k \to \infty} T_{m,N}[f_k],$$

for any $f_k \to f$ with each f_k is in H^{p_0} . In particular, $\widetilde{T}_{m,N}[f] = \lim_{r \to 1^-} T_{m,N}[f^r]$ where $f^r(z) := f(rz)$ for 0 < r < 1. By Fatou's lemma we have $\widetilde{T}_{m,N}[f](z) = \lim_{r \to 1^-} T_{m,N}[f^r](z)$ for any $z \in \mathbf{B}_n$.

Moreover using the similar argument in the beginning of the proof, for any $z \in \mathbf{B}_n$ we have

$$|T_{m,N}[f](z) - T_{m,N}[f^r](z)| \le C_z ||f - f^r||_{H^1},$$

where C_z is a constant depending on z. Since $||f - f^r||_{H^1} \to 0$ as $r \to 1^-$, we also have $T_{m,N}[f](z) = \lim_{r \to 1^-} T_{m,N}[f^r](z)$. Consequently we have $\widetilde{T}_{m,N}[f](z) = T_{m,N}[f](z)$ for any $f \in H^1$ and

$$||T_{m,N}[f]||_{H^2} \le C(n)N^{m-\frac{n}{2}}||f||_{H^1},$$

for any
$$f \in H^1(\mathbf{B}_n)$$
.

Since $T_{m,N}$ is an integral operator with conjugate symmetric kernel, we have the following corollary by using its adjoint operator.

Corollary 3.2. For $1 \le m < \frac{n}{2}$, there exists a constant C = C(n) depending only on n such that

$$||T_{m,N}[f]||_{BMO} \le CN^{m-\frac{n}{2}} ||f||_{H^2}$$

for any positive integer N. Here $\|\cdot\|_{BMO}$ means the BMOA norm.

Remark. For $0 < p_1 < p_2 < \infty$, we have

$$||f||_{H^{p_1}} \le ||f||_{H^{p_2}} \le ||f||_{BMO}.$$

By Theorem 3.1 and Corollary 3.2 we obtain that if $1 \le m < \frac{n}{2}$,

$$||T_{m,N}[f]||_{H^p(\text{or }BMO)} \lesssim \frac{||f||_{H^p(\text{or }BMO)}}{N^{\frac{n}{2}-m}},$$

for $1 \leq p < \infty$. That is, the Szegö type operators makes the norm decrease quickly as N goes large when $m < \frac{n}{2}$.

We prove the Theorem 1.2. Here we restate the Theorem 1.2 for convenience.

Theorem 3.3. Let $\frac{n}{2} \le m < n$ and $\frac{2n}{3n-2m} . Then there exists a constant <math>C = C(n,p)$ such that

$$||T_{m,N}[f]||_{H^2} \le CN^{p'}||f||_{H^p}$$

for any positive integer N. Here p' is a negative number defined by $p' := m + n(\frac{1}{p} - \frac{3}{2})$.

Proof. We begin with the Equation (3.2). Then we have

$$||T_{m,N}[f]||_{H^{2}}^{2} = \sum_{|\alpha| \ge m+N} \lambda(m,n,N,|\alpha|)^{2} |c_{f}(\alpha)|^{2} w_{n}(\alpha)$$

$$\leq \left(\sum_{|\alpha| \ge m+N} |c_{f}(\alpha)|^{q} w_{n}(\alpha)^{q-1}\right)^{2/q}$$

$$\left(\sum_{|\alpha| \ge m+N} \lambda(m,n,N,|\alpha|)^{2r} w_{n}(\alpha)^{-1}\right)^{1/r},$$

where q is the conjugate index of p and r is of q/2. By Proposition 2.3 and the Equation (2.1), we have

$$||T_{m,N}[f]||_{H^2}^2 \le C(n) ||f||_{H^p}^2 \cdot \left(\sum_{|\alpha| \ge m+N} \frac{1}{|\alpha|^{2r(n-m)}} \frac{(n-1+|\alpha|)!}{\alpha!} \right)^{1/r},$$

where C(n) is a constant depending on n. By the multinomial theorem, we know that

$$\sum_{|\alpha|=k} \frac{1}{\alpha!} = \frac{2^n}{k!}.$$

So we get

$$\begin{split} & \sum_{|\alpha| \geq m+N} \frac{1}{|\alpha|^{2r(n-m)}} \frac{(n-1+|\alpha|)!}{\alpha!} \\ & \leq C(n) \sum_{k=m+N}^{\infty} \frac{1}{k^{2r(n-m)}} \frac{(n-1+k)!}{k!} \\ & \leq C(n) \sum_{k=m+N}^{\infty} \frac{1}{k^{2r(n-m)-n+1}}, \end{split}$$

where a constant C(n) is depending on n. Since $p > \frac{2n}{3n-2m}$, we have $r > \frac{n}{2(n-m)}$. So the above series converges and bounded by

$$\frac{N^{-2r(n-m)+n}}{2r(n-m)-n}.$$

Thus we prove

$$||T_{m,N}[f]||_{H^2} \le C(n,p) N^{m+n(\frac{1}{p}-\frac{3}{2})} ||f||_{H^p}.$$

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Conflicts of Interest

The author declares no conflicts of interest regarding the publication of this paper.

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