

Guided Wave Studies of Reflective MTN Liquid Crystal Cell

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ABSTRACT

The fully-leaky guided wave technique has been used to study the reflective 90° MTN liquid crystal cell used for LCOS. The cell is comprised of upper substrate with indium-tin-oxide coating and lower substrate with aluminum coating. Reflective angle-dependent signals (R_{ss} , R_{pp} , R_{sp} and R_{ps}) were recorded over a range of angles of incidence with the cell under application of 0 - 7 Vrms ac electric fields. From the recorded experimental data, we found the reflective signals are quite strong, especially the polarization conversion signals. Fitting the data in reflection with the results of the modeling-program gives the information about the pre-tilt and twist of the director as well as the parameters of different optical layers. We found that the pre-tilt angle on the upper substrate is different from that on the bottom in the best fits, which suggests that the indium-tin-oxide and the aluminum coatings have different effects on the alignment layers.

Keywords: Fully-Leaky Guided Wave; Liquid Crystal; MTN Cell; Multilayer Optical Theory

1. Introduction

Reflective-mode liquid crystal displays have some advantages over transmissive-mode ones such as low power consumption, outdoors readability, thin profile. In 1996, Shin-Tson Wu *et al.* presented a new reflective-mode display called mixed-mode twisted nematic (MTN) liquid crystal cell [1]. It has favorable features like high brightness and contrast ratio, fast response time etc., so it is used for both direct-view displays and reflective-mode projections. The structure and fabrication processes of the MTN cell are nearly identical to a conventional TN cell, but they have two differences: one is that the MTN cell has a smaller retardation ($\sim 0.25 \mu\text{m}$), the other is that the front director of the MTN cell must be aligned at an angle of β to the polarized direction of the incident light. In general, the geometric structure of the reflective 90° MTN cell used for liquid crystal on silicon (LCOS) is shown in **Figure 1** [2,3].

On the study of liquid crystal displays, it is vitally important to know the director distribution of a given cell geometry both with and without applied electric fields. In recent decades, a series of guided wave techniques have been developed to explore the director structure in various cells [4-18]. These techniques mainly utilize that a discrete set of guided modes will be excited in the liquid crystal layer, and the guided modes depend on the distribution of the director. The newly improved fully leaky guided wave technique has also been developed to

explore the director distribution of standard liquid crystal cell [8-18]. A two-pyramid coupling method with matching fluid is used to couple light into and out of the liquid crystal cell comprised of two standard glass substrates with transparent indium-tin-oxide (ITO) coatings and alignment layers, shown in **Figure 2** [19,20].

Use of low-index pyramids increases the angle range of the incident light allowing the excitation of a number of fully leaky guided modes. Because the light is directly coupled out of the cell, weakly guided-modes are supported over a continuous range of incident, and the variation of optical intensity with angle of incident shows very broad optical features. The light is now leaky from the lower substrate, so the reflective signals are quite weak, especially the polarization conversion signals. However, these signals are particularly sensitive to the twist and tilt of the director, meanwhile, if these signals are too weak, they will be easily interfered by the noise.

Now the cell used in our study has a lower substrate with aluminum coating rather than ITO. The aluminum is a good reflector, so the light will be reflected strongly, and it also has the function of electrode. Because the cell is a reflective-mode one, there are only four reflective signals (R_{ss} , R_{pp} , R_{sp} , R_{ps} , the first subscript refers to the input polarization, the second to the output) in the experiment. By fitting the recorded reflective data to the model data produced by the modeling-program based on both the continuum theory of liquid crystals and the multilayer optical theory, the director distribution may be

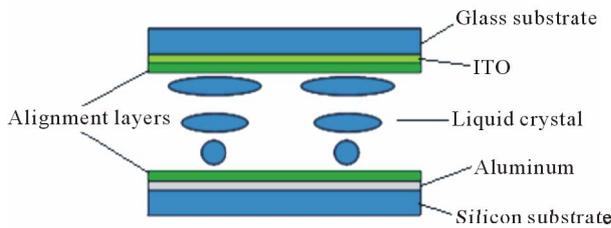


Figure 1. The geometric structure of 90° MTN cell used for LCOS.

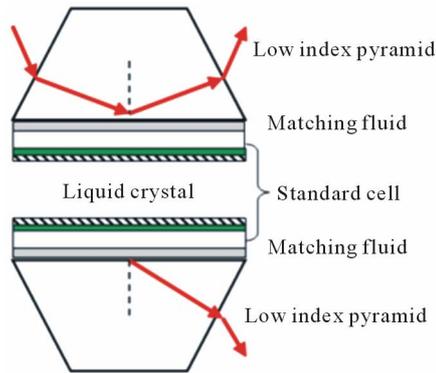


Figure 2. Pyramid-coupled cell geometry.

determined accurately.

2. Theory

When the guiding layer is anisotropic liquid crystal in a waveguide geometry, the eigenmodes of the waveguide will not be pure TE (s-polarized) or TM (p-polarized) polarizations except for some special, high-symmetry structures [20]. Consider a uniformly aligned uniaxial nematic liquid crystal layer sandwiched by two glass plates with refractive index n_g (see Figure 3). The liquid crystal is specified by indices parallel and perpendicular to the director, the optical axis N , n_e and n_o , respectively, and we assume $n_e > n_o$. The director of the liquid crystal layer is tilted by θ from the z -axis and twisted by φ from the $-y$ axis as shown in Figure 3. The x - y plane is the plane of the glass surfaces and x - z plane is the plane of incidence. For a commercial-like cell, it is expected that $n_e > n_g$ and $n_o \approx n_g$ [8]. The short semi-axis OB of the ellipse BOF , formed by the intersection of the plane perpendicular to the wave front and the index ellipsoid of the liquid crystal, gives the ordinary index n_o , and the major semi-axis OF gives the extraordinary index n'_e . Because the upper and lower substrates have the same refractive index, there are no critical angles and all of the guided modes will be leaky from both the substrates (called fully-leaky). We consider that the angle of incidence of polarized light in the upper substrate is α , and the angle of refraction in the liquid crystal layer is β_o for the ordinary light and β_e for the extraordinary light. From

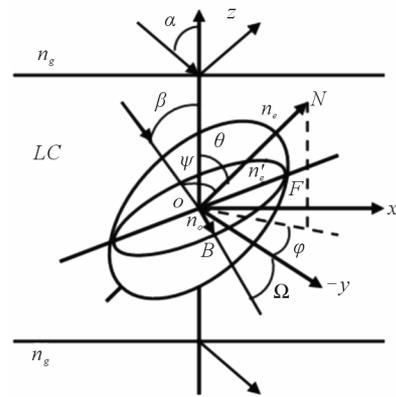


Figure 3. Schematic of liquid crystal waveguide.

the Snells' law, we have

$$n_g \sin \alpha = n_o \sin \beta_o \tag{1}$$

$$n_g \sin \alpha = n'_e \sin \beta_e \tag{2}$$

From the index ellipsoid, it gives

$$n'_e = \frac{n_o n_e}{\sqrt{n_o^2 \sin^2 \psi + n_e^2 \cos^2 \psi}} \tag{3}$$

where ψ is the angle between the optical axis, the director, and wave-front normal for the extraordinary light in the liquid crystal layer, which is given by the follow formula [20]

$$\psi = \cos^{-1}(\cos \theta \cos \beta - \sin \theta \sin \beta \sin \varphi) \tag{4}$$

If the optical axis lies along the x -axis, the TE modes depend only on n_o , while TM modes depend on both n_o and n_e ; if the optical axis lies along z -axis, the TE modes will still sense n_o , and TM modes will sense n_o and n_e ; if the optical axis is along y -axis, the TE modes are given by n_e while TM modes are given by n_o . For these three special cases, the eigenmodes propagating in liquid crystal waveguide geometry are pure TE or pure TM modes. If the optical axis is rotated out of the y -axis to some arbitrary angle in the x - y plane or y - z plane, or both, e.g. the optical axis is at a general position as shown in Figure 3, the eigenmodes are no longer pure TE or TM modes. It is found when a linearly polarized light (p or s light) enters such a waveguide, the light will produce polarization conversion [8], the output light having some of the orthogonal polarization components present. In general the polarization conversion signals are specifically sensitive to the tilt and twist of the director, so these signals are very useful to study the director distribution. For either form of incident light, two eigenmodes will be excited in the liquid crystal layer, one with the \mathbf{E} field along the short semi-axis OB in the ellipse BOF , the other with the \mathbf{E} field along the major semi-axis OF in the ellipse BOF . So we can decide the polarization conver-

sion signal from the angle of Ω between $-y$ axis and OB when either pure s or pure p light enters the liquid crystal layer. From **Figure 3** we have [20]

$$\cos \Omega = \frac{\sin \beta \cos \theta + \sin \theta \cos \beta \sin \varphi}{\sqrt{1 - (\cos \theta \cos \beta - \sin \theta \sin \beta \sin \varphi)}} \quad (5)$$

Obviously, only when Ω equals 0 or $\pi/2$, there is no polarization conversion. This corresponds to one of three cases: (1) $\varphi = \pi/2$, (2) $\theta = 0$, (3) $\varphi = 0$ and $\theta = \pi/2$. In a true liquid crystal cell, the liquid crystal layer is sandwiched between two glass substrates with ITO coatings, alignment layers etc. The liquid crystal layer is further treated as a multilayer optical system when we use the 4×4 matrix method [21,22] to model the optical property of the system. Controlling a linearly polarized light (p or s light) with different incident angles, this will lead to different optical field distributions and optical waveguide modes, so we can obtain four sets of reflective angle-dependent signals (R_{ss} , R_{pp} , R_{sp} , R_{ps}). Based on the continuum theory, the director distribution can be predicted, then we model the angle-dependent optical properties by multilayer optical theory of the 4×4 matrix method. Adjusting the pre-tilt angle and the total twisted angle, we obtain the best fits between the theory predictions and the recorded experiment data, so the director distribution in the liquid crystal cell is determined accurately.

3. Experiment

The experimental 90° MTN cell is made by Shenzhen Live Digital Technology Co., Ltd., which used low-index (1.52) glass substrate with ITO-coating and the same (instead of silicon) substrate with aluminum-coating,

respectively. Two substrates were separated with $3.1 \mu\text{m}$ diameter spacers. The light of pyramid-coupling is shown in **Figure 2**, but in our study there are only reflective signals. The matching fluid (made by CARGILLE LABS, USA) allows the cell to be rotated with respect to the pyramid.

The experiment arrangement is shown in **Figure 4**. Two apertures collimate the light beam from He-Ne laser ($\lambda = 632.8 \text{ nm}$), and the mechanical chopper modulates the laser beam at 1.86 kHz to allow the phase-sensitive detection. The variable attenuator modulates the intensity of incident light, and the quarter wave plate changes the polarization state of the laser beam from linear to circular. The glass is a thick plate to reflect $\sim 5\%$ of the incident light into a reference detector, the signal from which is used to compensate for drift in source intensity. Three rotatable polarizers determine the specific polarization state of the incident light and reflective light. The computer-controlled rotating table sets the angular position of the 90° MTN cell relative to the incident light and also positions the reflective light detector so that it collects the reflective signals; that means the reflectivity detector must rotate through twice the angle of the 90° MTN cell [8]. In order to obtain better reflective polarization conversion signals which are more sensitive to the twist and tilt of the director, the cell is rotated against the pyramids to set the rubbed direction (in x - y plane, see **Figure 3**) twisted from the y direction by about 30° .

Controlling the cell to rotate through 20° , at each angle of incidence, fully-leaky guided modes were set-up in the liquid crystal layer and the intensity of reflective light at this angle was recorded. The measurement was repeated with 1 kHz rms ac voltages of 0 - 7 V applied across the

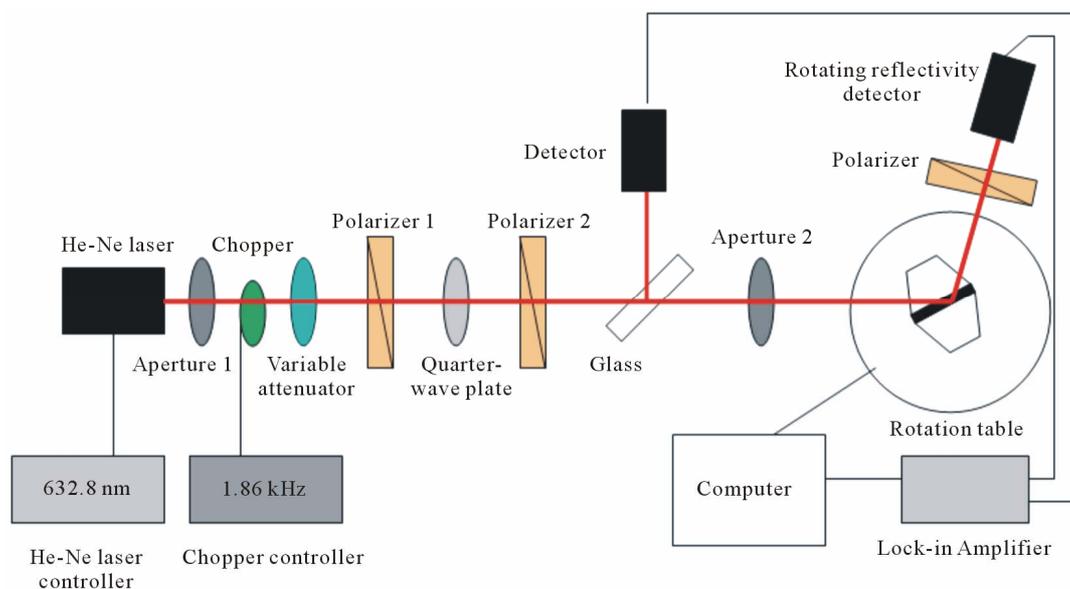


Figure 4. Schematic of the experiment installation.

cell, perpendicular to the substrates. All the measurements were conducted on a monodomain at room temperature 25°C.

4. Results

In order to obtain the director distribution of the reflective 90° MTN cell and the information about the parameters of different optical layers, the experiment data for R_{ss} , R_{pp} , R_{sp} and R_{ps} are recorded both with and without applied electric fields. A typical set of experimental data (crosses) is shown in **Figure 5**. We note that the polarization-conserving and the polarization-conversion signals are quite strong, especially the polarization-conversion signals are far stronger than that of the transmissive TN cell. The reason is that the aluminum coating on the glass substrate also is a good reflector except for an electrode. According to the numerical modeling of F. Z. Yang [8], R_{sp} and R_{ps} will be different unless the director parallels to the substrate, *i.e.*, $\theta = 90^\circ$. From **Figures 5(c)** and **(d)**, we see that there are differences between R_{sp} and R_{ps} , which implies a small tilt angle of the director on both substrates. The recorded data are fitted to the predictions produced by the modeling-program, and the final fits are given by the full curves in **Figure 5**.

These parameters for the cell obtained by fitting are as follows: for the glass substrates $n = 1.517$ at $\lambda = 632.8$ nm; for the ITO $\epsilon_I = 3.25 + i0.079$ and $d = 30$ nm; for the aluminum $\epsilon_{Al} = -63.5 + i26.813$ and $d = 28$ nm; for the alignment layers $\epsilon_{pl} = 2.05 + i0.005$ and $d = 35$ nm; for the liquid crystal $K_{11} = 13.1$ pN, $K_{22} = 10$ pN, $K_{33} = 22.3$ pN, $\epsilon_{\perp} = 2.1650 + i0.0008$, $\epsilon_{\parallel} = 2.4359 + i0.001$ and $d = 3.13$ μm , for the tilt angle from z axis $\theta = 85.5^\circ$ on the upper substrate and $\theta = 86.2^\circ$ on the lower substrate, the corresponding tilt(the tilt angle is between the director and x - y plane) and twist profile is shown in **Figure 6**, for the total twist angle $\phi = 90.3^\circ$ from the upper inner surface to the bottom. The pre-tilt angle on the upper substrate is different from that on the bottom, which suggests that ITO and aluminum coatings have different effects on the alignment layers.

The all recorded angle-dependent reflectivity data for each voltage were fitted to the model data produced by the continuum theory and multilayer optical theory modeling program. For all the voltages, the optical parameters of the different layers used in the fitting are shown in **Table 1**. The optical parameter $\epsilon = \epsilon_r + i\epsilon_i$ is complex, where ϵ_r is the real part and ϵ_i is the imaginary part. The parameter of aluminum refers to the result of J. R. Sambles [23].

Produced by all the fits, the twist angle $\varphi' = -17.2^\circ \pm 0.8^\circ$ from the x -axis and the tilt angle $\theta' = 85.5^\circ \pm 0.5^\circ$ from z -axis on the upper substrate; the twist angle and tilt angle on the bottom substrate are $\varphi = 72.5^\circ \pm 0.5^\circ$ and $\theta =$

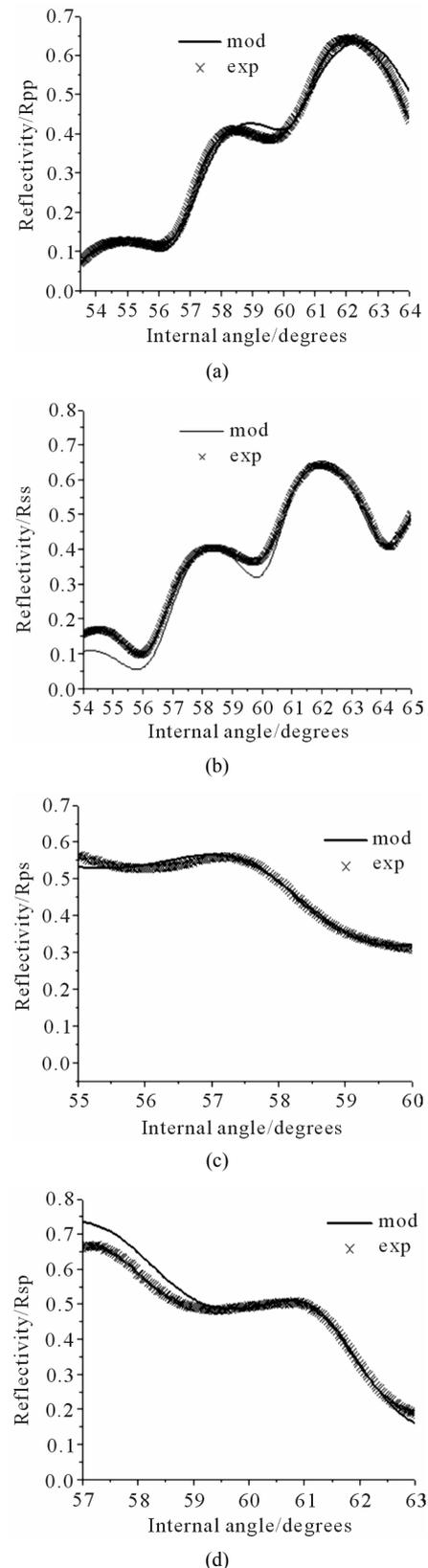


Figure 5. Experimental data (crosses) and fitted theory (curves) for an applied voltage of 3 V. (a) R_{ss} , (b) R_{pp} , (c) R_{ps} , and (d) R_{sp} .

Table 1. The optical parameters of 90° MTN liquid crystal cell.

Optical layers	ϵ_r	ϵ_i	Thickness (nm)
ITO	3.24 ± 0.04	0.079 ± 0.015	32 ± 3
Alignment layers	2.025 ± 0.025	0.004 ± 0.001	38 ± 4
Liquid crystal ($\epsilon_{//}$)	2.430 ± 0.007	0.0011 ± 0.0003	$(3.12 \pm 0.02) \times 10^3$
Liquid crystal (ϵ_{\perp})	2.172 ± 0.016	0.0010 ± 0.0002	
Aluminum	64 ± 1	26 ± 1	30 ± 2

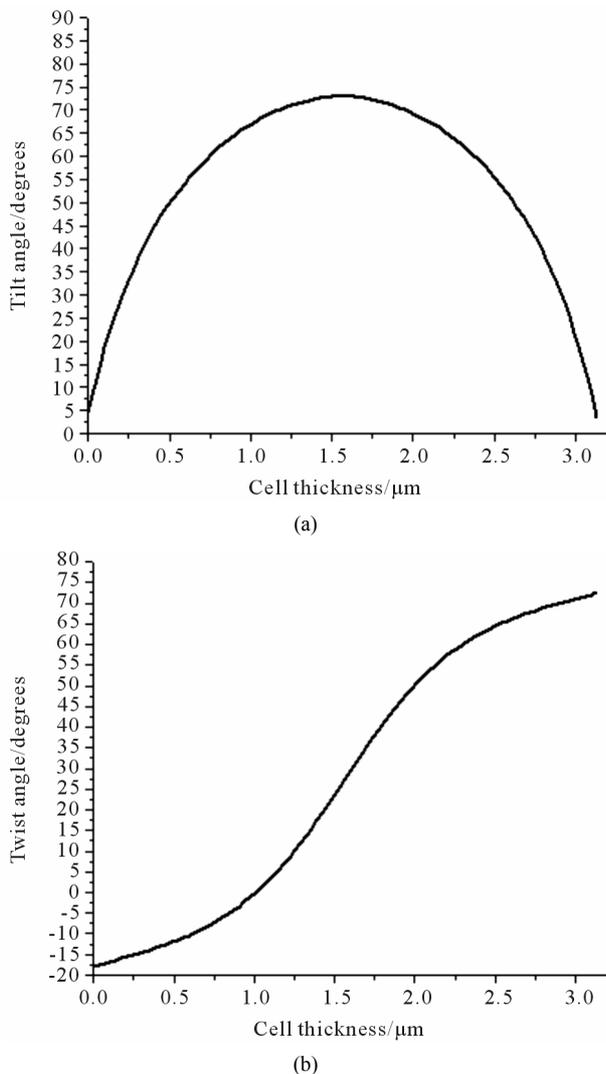


Figure 6. The director profile in 90° MTN cell at 3 V. (a) Tilt angle; (b) Twist angle.

$86.5^\circ \pm 0.3^\circ$, respectively. The diagram is shown in **Figure 7**.

5. Conclusion

The reflective-mode 90° MTN liquid crystal cell has been studied by use of the fully-leaky guided wave technique.

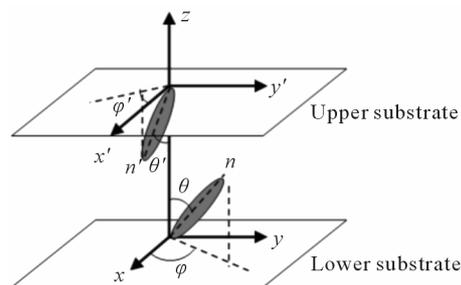


Figure 7. The diagram of twist angle and tilt angle on both upper and bottom substrates.

The p or s light with different incident angles is coupled into the cell by a pyramid, and the angle-dependent reflective signals are recorded. Then we used the modeling program based on both the continuum theory and the 4×4 matrix multilayer optical theory to fit the recorded data for all the applied voltages (0 - 7 Vrms). Finally, we obtained the information about the director distribution and the parameters of different optical layers (see **Table 1**), and we found that the pre-tilt angle on the upper substrate is different from that on the bottom, which suggests that the ITO and the aluminum coatings have different effects on the alignment layers.

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