

Analysis of the Spectral Resolution of a TeO₂ Based Noncollinear Acousto-Optic Tunable Filter^{*}

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Abstract

The spectral resolution is a main index of evaluating the performance of the designed acousto-optic tunable filter (AOTF). In this letter, an accurate expression of the spectral resolution is presented by considering both the birefringence and the rotatory property of TeO_2 crystal. The deduced expression is significant in improving the accuracy of the design of an AOTF and pushing the development of the high-performance AOTF.

Keywords: Spectral Resolution, AOTF, Spectral Bandwidth, TeO₂

1. Introduction

AOTF has been used in a wide range of applications, such as laser wavelength tuning, spectral analysis, spectral imaging [1-3], and etc. TeO₂ is a kind of A-O crystal with many applications in the large angular aperture noncollinear AOTF. Light diffraction by acoustic wave propagating in the A-O crystal is the foundation of the operation of an AOTF. During the A-O interaction, the filtered optical wavelength of AOTF is changed with the frequency of the acoustic wave, and the diffracted light has a narrow spectral band centered on a chosen wavelength. The acoustic wave can be generated when a radio frequency signal (rf) is applied to a piezoelectric transducer bonded on the birefringent material, so a change in the applied rf produces a variation in the acoustic wave frequency. Previous studies had confirmed that considering the birefringence of the interaction material and its rotatory property was an effective method of increasing the accuracy of the design obviously [4,5]. The spectral resolution is a key index of evaluating the performance of an AOTF for the actual applications. However, the previous expression of the spectral resolution which had been widely used is inaccurate for the neglect of the rotatory property [4-7]. Thus, in this letter, we give an exact expression of the spectral resolution in order to keep up the higher demand for the accurate evaluation of the performance of AOTF.

2. Theory of Acousto-Optic Interaction in AOTF

A design of noncollinear AOTF with TeO₂ is based on A-O interaction in [110] plane. Both the birefringence and the rotatory property of the interaction materials should be considered in the design of AOTF, in order to ensure the accuracy of the design of AOTF. Two eigen wave modes can propagate in TeO₂ crystal. They are right-handed elliptical polarized mode and left-handed elliptical polarized one, and the direction of the ellipse' long axes on these two modes are parallel with the main plane and perpendicular to the main plane, respectively. If the incident beam is right-handed elliptical polarized, the diffracted one will be left-handed elliptical polarized. Accordingly, the diffracted beam will be left-handed elliptical polarized when the incident one is right-handed elliptical polarized. The wave vector diagram of A-O interaction is drawn in **Figure 1**. k_i , k_d and K_a indicate the incident optical wave vector, the diffracted optical wave vector and the acoustic wave vector, respectively. $k_i + K_a$ $= k_d$, and the direction of the acoustic wave propagation satisfies the parallel tangents momentum- matching condition. In the following discussions of this letter, the incident beam is assumed to be right-handed elliptical polarized, the diffracted one is left-handed elliptical polarized.

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Figure 1. The wave vector diagram of a noncollinear AOTF. [001] axis is the optic axis.

The refractive indices of the incident beam (n_i) and the diffracted beam (n_d) can be expressed as,

$$n_i = \left[\cos^2\theta_i / \left[n_o^2 \left(1+\sigma\right)^2\right] + \sin^2\theta_i / n_e^2\right]^{-1/2}$$
(1)

$$n_d = \left[\cos^2\theta_d / \left[n_o^2 \left(1 - \sigma\right)^2\right] + \sin^2\theta_d / n_o^2\right]^{-1/2}$$
(2)

where θ_i and θ_d are the polar angle for the incident and the diffracted beams. σ is relevant with specific rotation ρ by $\sigma = \lambda \rho / 2\pi n_o$. σ and ρ have wavelength dependence [4]. n_o and n_e are the ordinary and extraordinary refractive indices in the direction perpendicularly to the optical axis, respectively. They are the function of the optical wavelength λ_0 in free space [8],

$$n_{o} = \left[1 + A\lambda_{0}^{2} / (\lambda_{0}^{2} - B^{2}) + C\lambda_{0}^{2} / (\lambda_{0}^{2} - D^{2})\right]^{1/2}$$
(3)

$$n_{e} = \left[1 + E\lambda_{0}^{2} / (\lambda_{0}^{2} - B^{2}) + F\lambda_{0}^{2} / (\lambda_{0}^{2} - G^{2})\right]^{1/2}$$
(4)

where A = 2.5844, B = 0.1342, C = 1.1557, D = 0.2638, E = 2.8525, F = 1.5141 and G = 0.2631. The unit of λ_0 is micron in (3) and (4). Under the momentum-matching condition, the wave-vector propagation polar angles are,

$$\tan \theta_d = \left(n_o / n_e \right)^2 \left[\left(1 + \sigma \right)^2 / \left(1 - \sigma \right)^2 \right] \tan \theta_i \tag{5}$$

$$\tan\left(-\theta_{a}\right) = \left(n_{i}\sin\theta_{i} - n_{d}\sin\theta_{d}\right) / \left(n_{i}\cos\theta_{i} - n_{d}\cos\theta_{d}\right)$$
(6)

 θ_a is the acoustic wave angle. The relationship between θ_a and θ_i is

$$\tan\left(-\theta_{a}\right) = \tan\theta_{i}\left(X_{1} - X_{2}\right) / \left(X_{3} - X_{4}\right)$$
(7)

With,

$$X_{1} = \left[n_{o}^{4} n_{e}^{2} \left(1 + \sigma \right)^{6} \tan^{2} \theta_{i} + n_{e}^{6} \left(1 - \sigma^{2} \right)^{2} \right]^{1/2}$$
$$X_{2} = \left[n_{o}^{4} \left(1 + \sigma \right)^{6} \tan^{2} \theta_{i} + n_{o}^{4} n_{e}^{2} \left(1 + \sigma \right)^{4} \right]^{1/2}$$

$$X_{3} = \left[n_{o}^{4} n_{e}^{2} \left(1 + \sigma \right)^{6} \tan^{2} \theta_{i} + n_{e}^{6} \left(1 - \sigma^{2} \right)^{2} \right]^{1/2}$$
$$X_{4} = \left[n_{o}^{2} n_{e}^{4} \left(1 - \sigma \right)^{4} \left(1 + \sigma \right)^{2} \tan^{2} \theta_{i} + n_{e}^{6} \left(1 - \sigma \right)^{4} \right]^{1/2}$$

3. Analysis of Spectral Resolution of AOTF

The optical bandpass characteristics of AOTF are determined by the momentum mismatch caused by the deviation of wavelength from the exact momentum- matching condition. If the rotatory property is out of consideration, the common equation of the spectral bandwidth was $\Delta \lambda = 1.8\pi \lambda_0^2 / bL \sin^2 \theta_i$ [8-10]. Here, the dispersion constant *b* is expressed as:

$$b = 2\pi \left\{ \left(n_e - n_o \right) - \left[\frac{\partial \left(n_e - n_o \right)}{\partial \lambda_0} \right] \lambda_0 \right\}$$
(8)

In this section, an exact expression of the spectral bandwidth $\Delta \lambda$ will be deduced with considering both the birefringence and the rotatory property of TeO₂.

Commonly, the diffraction efficiency η is expressed as,

$$\eta = \eta_0 \sin^2\left(\pi\delta\right) / \left(\pi\delta\right)^2 = \eta_0 \sin^2\left(\Delta k_1 L/2\right) / \left(\Delta k_1 L/2\right)^2 (9)$$

 η_0 is the peak diffraction efficiency. η_0 is relevant with the power of the rf signal and the geometry of A-O crystal. δ is the mismatch factor. Δk_1 indicates the momentum mismatch. Generally, the input surface of the AOTF is manufactured perpendicular to the incident light. So we can give the expression of the momentum mismatch Δk_1 from **Figure 1**,

$$\Delta k_1 = \left(\boldsymbol{k}_i + \boldsymbol{K}_a + \boldsymbol{k}_d\right) \cdot \frac{\boldsymbol{k}_i}{k_i} = k_i - k_d \cos \alpha + K_a \cdot \frac{k_i}{k_i} \quad (10)$$

Here $\cos \alpha = 1$ when α is small enough. We define ϕ_i and ϕ_a as the azimuth angle of the incident optical wave vector and the acoustic wave vector, respectively. The direction cosine of K_a and k_i can be derived by $(\sin \theta_a \cos \phi_a, \sin \theta_a \sin \phi_a, -\cos \theta_a)$ and $(\sin \theta_i \cos \phi_i, \sin \theta_i \sin \phi_i, \cos \theta_i)$ respectively. Thus,

$$\Delta k_{1} = k_{i} - k_{d} + K_{a} \left[-\cos \theta_{a} \cos \theta_{i} + \sin \theta_{a} \sin \theta_{i} \cos (\phi_{a} - \phi_{i}) \right]$$
(11)

For the large angular aperture AOTF, it requires that the 1st-order derivative of Δk_1 with respect to angular deviations $\Delta \theta_i$ and $\Delta \phi_i$ be zero. From (11), $\phi_i = \phi_a$ is satisfied. We assume $K_a/k_a = a$. Then,

$$\Delta k_1 = \frac{2\pi}{\lambda_0} \Big[n_i - n_d - a n_d \cos\left(\theta_a + \theta_i\right) \Big]$$
(12)

The Taylor series expansion of momentum mismatch

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Figure 2. The spectral bandwidth versus the optical wavelength. The acoustic angle is fixed at 80° and L = 4 mm.

 Δk_1 near $\Delta k_1 = 0$ is, θ

$$\Delta k_{1} = \frac{\partial \Delta k_{1}}{\partial \lambda_{0}} \bigg|_{\Delta k_{1}=0} \cdot \delta \lambda_{0} + \frac{\partial^{2} \Delta k_{1}}{\partial \theta_{i}^{2}} \bigg|_{\Delta k_{1}=0} \cdot \frac{\delta \theta_{i}^{2}}{2} + \frac{\partial^{2} \Delta k_{1}}{\partial \phi_{i}^{2}} \bigg|_{\Delta k_{1}=0} \cdot \frac{\delta \phi_{i}^{2}}{2}$$
(13)

It can be got from (12) that,

$$\frac{\partial \Delta k_1}{\partial \lambda_0} \bigg|_{\Delta k_1 = 0} = 2\pi \frac{\partial}{\partial \lambda_0} \left[\frac{(n_i - n_d)}{\lambda_0} \right]$$
$$= \left(\frac{2\pi}{\lambda_0^2} \right) \left\{ \left[\frac{\partial (n_i - n_d)}{\partial \lambda_0} \right] \lambda_0 - (n_i - n_d) \right\}$$
(14)

We define b' as the dispersive constant,

$$b' = 2\pi \left\{ \left(n_i - n_d \right) - \left[\frac{\partial \left(n_i - n_d \right)}{\partial \lambda_0} \right] \lambda_0 \right\}$$
(15)

b' can be calculated by the differentiation of (1)-(4). Then,

$$\left.\frac{\partial \Delta k_1}{\partial \lambda_0}\right|_{\Delta k_1=0} = -\frac{b'}{\lambda_0^2} \tag{16}$$

The condition of half-peak diffraction efficiency ($\eta = \eta_0/2$) occurs when $\delta = \Delta k_1 L/2\pi = \pm 0.45$ from (9). Thus, the full spectral bandwidth can be expressed as,

$$\Delta \lambda = 2\delta \lambda_0 = 1.8\pi \lambda_0^2 / b' L \tag{17}$$

Figure 2 gives the comparison of the optical wavelength dependence of the spectral bandwidth $\Delta \lambda$ between our exact expression with that commonly used one.

It shows that the difference between our exact expression and previous expression is obvious, which indicates the necessity of this accurate expression of the spectral resolution of an AOTF in this letter.

4. Conclusions

For a designed AOTF, the spectral resolution is a main index to be considered in the evaluation of its performance. In this letter, we have deduced an accurate expression to describe the spectral bandwidth by considering both the birefringence and the rotatory property of the interaction materials. By comparison, we have found that, the difference between our expression of the spectral bandwidth and previously used expression is obvious. Our study can be significant for the design of modern AOTF with excellent performance.

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