

# Mitigation of ELMs by Electrostatic Field in Tokamaks

Zhongtian Wang<sup>1,2\*</sup>, Xiaochang Chen<sup>1\*</sup>, Yifan Yan<sup>2</sup>, Huidong Li<sup>3</sup>, Qian Liu<sup>4</sup>, Maolin Mou<sup>5</sup>, Na Wu<sup>5</sup>, Zhanhui Wang<sup>2</sup>, Rui Ke<sup>2</sup>, Lin Nie<sup>2</sup>, Min Xu<sup>2</sup>

<sup>1</sup>School of Sciences, Nanchang University, Nanchang, China

<sup>2</sup>Southwestern Institute of Physics, Chengdu, China

<sup>3</sup>School of Science, Xihua University, Chengdu, China

<sup>4</sup>Department of Engineering Physics, Tsinghua University, Beijing, China

<sup>5</sup>College of Physical Science and Technology, Sichuan University, Chengdu, China

Email: \*wangzt@swip.ac.cn, \*xcchen1985@ncu.edu.cn

**How to cite this paper:** Wang, Z.T., Chen, X.C., Yan, Y.F., Li, H.D., Liu, Q., Mou, M.L., Wu, N., Wang, Z.H., Ke, R., Nie, L. and Xu, M. (2019) Mitigation of ELMs by Electrostatic Field in Tokamaks. *Journal of High Energy Physics, Gravitation and Cosmology*, 5, 149-155.

<https://doi.org/10.4236/jhepgc.2019.51007>

**Received:** September 25, 2018

**Accepted:** December 17, 2018

**Published:** December 20, 2018

Copyright © 2019 by authors and Scientific Research Publishing Inc.

This work is licensed under the Creative Commons Attribution International License (CC BY 4.0).

<http://creativecommons.org/licenses/by/4.0/>



Open Access

## Abstract

Mitigation of ELMs by electrostatic field is studied. The perpendicular heating in cyclotron waves tends to pile up the resonant particles toward the low magnetic field side in which a electrostatic field may result [J. Y. Hsu, V. S. Chan, R. W. Harvey, R. Prater, and S. K. Wong, Phys. Rev. Lett. 53, 564 (1984)]. The electrostatic field can make circulating particles trapped or make trapped particles circulating depending on the field direction. The trapped-particle population and bootstrap current change accordantly. Modulating bootstrap current, mitigation of type-1 ELM by the electrostatic field is possible. The electrostatic potential needed for the mitigation is quantitatively estimated. Experiments by either ECRH or biasing are being prepared to verify the theory.

## Keywords

Electrostatic Trapping, Bootstrap Current, Mitigation, Peeling-Ballooning Mode

## 1. Introduction

In present tokamaks operating in high-confinement regimes (H-modes), the steep pressure gradients at edge are often observed to relax through frequent intermittent discharges of energy, known as ELMs. The physics of ELMs is a key issue for ITER operation. The onset of ELMs constrains the pressure at top of edge transport barrier (pedestal height). The ELMs events transport sub-

stantial heat and particle loads to plasma-facing materials. A predictive understanding of the onset of type-I ELMs has been gained via the development of peeling-ballooning modes [1] in which ELMs are triggered by instabilities driven by the large pressure gradient and bootstrap current in the edge. High pressure is important for fusion efficiency. The bootstrap current can be changed.

The perpendicular heating in cyclotron waves tends to pile up the resonant particles toward the low magnetic field side. An electrostatic field may result [2]. Variations of the electrostatic potential at plasma edge are observed in HL-2A [3]. Full particle simulation is performed using the Boris algorithm [4]. The electrostatic field can make circulating particles trapped or make trapped particles circulating depending on the field direction. With the assumption neoclassical transport the population of the trapped particles and bootstrap current change accordingly. Modulating bootstrap current by changing the electrostatic field, mitigation of type-I ELM is possible. The electrostatic potential needed for the mitigation is quantitatively calculated. Experiments by either ECRH or biasing [5] are being prepared to verify the theory.

## 2. Full Particle Orbit Simulation in Tokamaks

In particle simulations of magnetized plasmas, the Boris algorithm [4] is the standard for advancing a charged particle in an electromagnetic field in accordance with the equation of motion associated with the Lorentz force,

$$\frac{d\mathbf{x}}{dt} = \mathbf{v} \quad (1)$$

$$\frac{d\mathbf{v}}{dt} = \frac{q}{m}(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad (2)$$

where the magnetic field and electric field are given respectively by

$$\mathbf{B} = \nabla\phi \times \nabla\Psi + I\nabla\phi \quad (3)$$

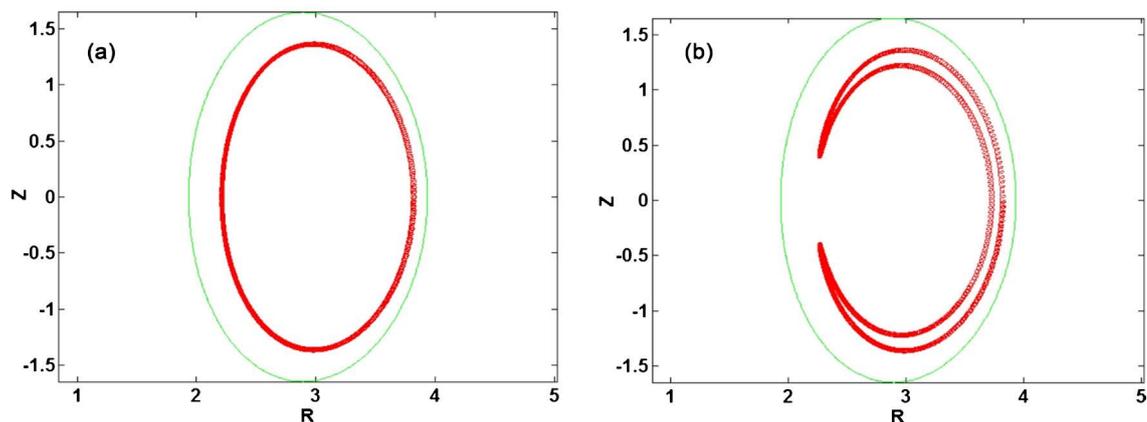
$$\mathbf{E} = -\nabla\Phi \quad (4)$$

where  $\Psi$  is the poloidal magnetic flux,  $\Phi = ER_0\left(\frac{R_0}{R} - 1\right)$  is the electrostatic potential. We proceed from Solov'ev solution

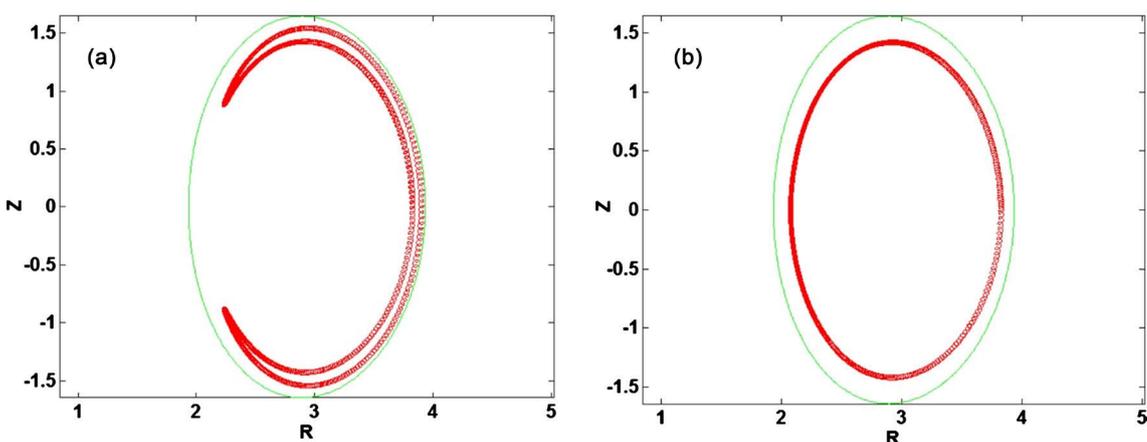
$$\Psi = \Psi_0 \left\{ \frac{1}{e} \left[ QR_0^2 + (1-Q)R^2 \right] Z^2 + \frac{e}{4} (R^2 - R_0^2)^2 \right\} \quad (5)$$

where  $\Psi_0 = \frac{j_\phi \mu_0 e}{2R_0(1+e^2)}$ ,  $e$  is elongation,  $Q$  is related to tri-angularity.

We use ITER's parameters:  $R_0 = 6.2$  m,  $e = 1.7$ ,  $Q = 0.33$ , toroidal current  $I = 15$  MA, aspect ratio  $A = 3.1$ . So the tokamak magnetic field is well-determined. Full orbit simulations find electric trapping and de-trapping seen in **Figure 1** and **Figure 2** respectively.



**Figure 1.** (a) The electrostatic field  $E$  is zero, particle is circulating; (b) The electrostatic field  $E$  is 35 kv/m, particle is trapped. Ion energy is 60 keV, pitch angle is  $72^\circ$ .



**Figure 2.** (a) The electrostatic field  $E$  is zero, particle is trapped; (b) The electrostatic field  $E$  is  $-35$  kv/m, particle is turned to be circulating which is called as de-trapping. Ion energy is 60 keV, pitch angle  $151^\circ$ .

Full particle orbit simulation is suitable to a multi-scale problem. The Boris algorithm [4] makes simulation in the long time simulation accurate.

### 3. Bootstrap Current

The gyro-averaged Hamiltonian has been given in Ref. [6],

$$H = \Omega_0 P_\alpha e^{-x/R_0 \Omega_0} + \frac{1}{2R_0^2} e^{-2x/R_0 \Omega_0} (P_\varphi + e\Psi)^2 + e\Phi \quad (6)$$

where the momenta

$$P_\alpha = \frac{1}{2} \Omega \rho^2, \quad (7)$$

$$p_\varphi = R v_\varphi - e\Psi \quad (8)$$

$$P_x = Z \quad (9)$$

are conjugate to  $\alpha$ , the gyrophase,  $\varphi$ , the toroidal angle, and  $x$ , expressed as

$$X = \Omega_0 R_0 \ln \frac{R}{R_0} \quad (10)$$

where  $R$  and  $Z$  are the coordinates of the guiding center in a cylindrical system,  $\rho$  is the Larmor radius,  $\Omega$  is the toroidal gyro-frequency. The particle mass is taken to be unity for simplicity. The electrostatic potential is assumed in a form,

$$\Phi = ER_0 e^{-x/R_0\Omega_0} - ER_0 = ER_0 \left( \frac{R_0}{R} - 1 \right) \approx -ER_0 \varepsilon \cos \theta \quad (11)$$

which is like the dipole potential produced by two close-point-charges, where  $\varepsilon$  is the inverse aspect ratio.

From Equation (6) we have

$$\bar{H} = \frac{1}{2} v_{\perp 0}^2 \frac{R_0}{R} + \frac{1}{2} v_{\phi}^2 \quad (12)$$

where  $\bar{H} = H + eER_0$ ,  $\frac{1}{2} v_{\perp 0}^2 = (\Omega_0 P_{\alpha} + eER_0)$ . For the large aspect-ratio approximation we have,

$$v_{\phi} = v_{\phi 0} \sqrt{1 - k^2 \sin^2 \frac{\theta}{2}} \quad (13)$$

where  $k = \sqrt{\frac{2\varepsilon v_{\perp 0}^2}{v_{\phi 0}^2}}$ . For the trapped particles  $v_{\phi \max}^2 = 2\varepsilon v_{\perp 0}^2$  and the bounce frequency is

$$\omega_b = \sqrt{\frac{\varepsilon v_{\perp 0}^2}{2q^2 R_0^2}} \quad (14)$$

The ions with  $\frac{v_{\phi 0}^2}{2\varepsilon} \leq eR_0 E$  are trapped, however, they are circulating without the electrostatic field. That is electrostatic trapping. For electrons the trapping condition is

$$\frac{v_{\phi 0}^2}{2\varepsilon} + eR_0 E \leq \Omega_0 P_{\alpha} \quad (15)$$

There is minimum of  $(\Omega_0 P_{\alpha})_{\min} = \frac{v_{\phi 0}^2}{2} + eER_0$  for trapping. If equilibrium distribution-function is Maxwellian it is easy to calculate trapped-electron population. The fraction of trapped electrons is  $\text{Fraction} = \sqrt{2\varepsilon} e^{-\frac{eER_0}{T}}$ . Comparing with neoclassical transport [7] which increase by a factor  $e^{-\frac{eER_0}{T}}$ . And the bootstrap current changes accordantly [8],

$$j_{\parallel} = \frac{nT}{L_n B_p} \sqrt{2\varepsilon} e^{-\frac{eER_0}{T}} \quad (16)$$

where  $B_p$  is the poloidal magnetic field,  $n$  is the density,  $T$  is plasma temperature,  $L_n$  is the density scale length. The gradients in the electron profiles contribute to typically 70% - 90% of the total bootstrap current [9].

#### 4. Peeling-Ballooning Modes

The criterion of peeling-ballooning modes can be expressed by the following

formula [10],

$$\sqrt{1-4D_M} \geq 1 + \frac{1}{2\pi q'} \oint \frac{j_{\parallel} B}{R^3 B_p^3} dl \quad (17)$$

where  $D_m$  is the Mercier coefficient,  $D_m < 1/4$  is the Mercier stability criterion, finite (positive) bootstrap current,  $j_{\parallel}$ , is destabilizing and  $q'$  is the derivative of the safety factor with respect to the poloidal magnetic flux. At pedestal the temperature is low, therefore, from Equation (16) bootstrap current is sensitive to the electrostatic potential.

Now we use Equation (17) to calculate the criterion. For a large aspect ratio and low  $\beta$  ordering Equation (17) can be written [1]

$$D_R < -\frac{Rq}{s} \left( \frac{j_{\parallel}}{B} \right)_{edge} \quad (18)$$

where  $D_R = \frac{3R}{s^2 B^2} \frac{dP}{dr} e \left( \frac{r}{R} - 2\delta \right)$  and  $e$  is the elongation [11]. We neglect triangularity,  $\delta$ , then Equation (17) becomes

$$\frac{eER_0}{T} > \ln \left( \frac{sq^2}{3e} \sqrt{\frac{2}{\varepsilon^3}} \right) \quad (19)$$

If  $s = 0.2, q = 2, e = 2, \varepsilon = 0.3$  we have the criterion for stability

$$\frac{eER_0}{T} > 0.136 \quad (20)$$

which can be produced in the practical experiments [5].

Electrostatic field, hopefully, can realize ELM-control like that in Ref. [12] and show synchronization of the ELM cycle with added electrostatic field. Electrostatic field, hopefully, can realize ELM-free discharge which appears in I-mode of Alcator C-mod [13].

## 5. Summary

Full particle simulation is suitable to a multi-scale problem. The Boris algorithm makes long-time simulation accurate. The perpendicular heating in cyclotron waves tends to pile up the resonant particles toward the low magnetic field side in which electrostatic field may result [2]. The electrostatic field can make circulating particles trapped or make trapped particles circulating depending on the field direction. The trapped-particle population and bootstrap current change accordingly in the process. Modulating bootstrap current, mitigation of type-1 ELM or ELM-free discharge is possible. Experiments by either ECRH or biasing [5] are being prepared to verify the theory in HL-2A Tokamak [14].

## Acknowledgements

Helpful discussions with Prof. S. Q. Liu, Dr. Y. Liu and Dr. X. S. Yang are greatly appreciated. This work is supported by the National Natural Science Foundation for Young Scientists of China (Grant No. 11605143), Chinese National Science

Foundation (Nos. 11261140327, 11005035, 11205053, 11575055). National Key R&D Program of China under 2017YFE0300405.

## Conflicts of Interest

The authors declare no conflicts of interest regarding the publication of this paper.

## References

- [1] Connor, J.W., Hastie, R.J., Wilson, H.R. and Miller, R.L. (1998) Magnetohydrodynamic Stability of Tokamak Edge Plasmas. *Physics of Plasmas*, **5**, 2687. <https://doi.org/10.1063/1.872956>
- [2] Hsu, J.Y., Chan, V.S., Harvey, R.W., Prater, R. and Wong, S.K. (1984) Resonance Localization and Poloidal Electric Field Due to Cyclotron Wave Heating in Tokamak Plasmas. *Physical Review Letters*, **53**, 564. <https://doi.org/10.1103/PhysRevLett.53.564>
- [3] Cheng, J., Yan, L.W., Hong, W.Y., Zhao, K.J., Lan, T., Qian, J., Liu, A.D., Zhao, H.L., Liu, Y., Yang, Q.W., Dong, J.Q., Duan, X.R. and Liu, Y. (2010) Statistical Characterization of Blob Turbulence across the Separatrix in HL-2A Tokamak. *Plasma Physics and Controlled Fusion*, **52**, 055003.
- [4] Boris, J. (1970) *Proceedings of the Fourth Conference on Numerical Simulation of Plasmas*, Naval Research Laboratory, Washington DC.
- [5] Zhu, T.Z., Chen, Z.P., Sun, Y., Nan, J.Y., Liu, H., Zhuang, G. and Wang, Z.J. (2014) The Construction of an Electrode Biasing System for Driving Plasma Rotation in J-TEXT Tokamak. *Review of Scientific Instruments*, **85**, 053504. <https://doi.org/10.1063/1.4873320>
- [6] Wang, Z.T., Wang, L., Long, L.X., Dong, J.Q., He, Z.X., Liu, Y. and Tang, C.J. (2012) Gyrokinetics for High-Frequency Modes in Tokamaks. *Physics of Plasmas*, **19**, 072110. <https://doi.org/10.1063/1.4737108>
- [7] Rosenbluth, M.N., Hazeltine, R.D. and Hinton, F.L. (1972) Plasma Transport in Toroidal Confinement Systems. *Physics of Fluids*, **15**, 116. <https://doi.org/10.1063/1.1693728>
- [8] Wesson, J. (1997) *Tokamaks*. Clarendon Press, Oxford.
- [9] Sauter, O., Buttery, R.J., Felton, R., Hender, T.C., Howell, D.F. and Contributors to the EFDA-JET Workprogramme (2002) Marginal  $\beta$ -Limit for Neoclassical Tearing Modes in JET H-Mode Discharges. *Plasma Physics and Controlled Fusion*, **44**, 1999-2019. <https://doi.org/10.1088/0741-3335/44/9/315>
- [10] Snyder, P.B., Wilson, H.R., Ferron, J.R., Lao, L.L., Leonard, A.W., *et al.* (2002) Edge Localized Modes and the Pedestal: A Model Based on Coupled Peeling-Ballooning Modes. *Physics of Plasmas*, **9**, 2037. <https://doi.org/10.1063/1.1449463>
- [11] Fitzpatrick, R., Bondeson, C.A. and Hastie, R.J. (1992) On the “11/2-D” Evolution of Tokamak Plasmas in the Case of Large Aspect Ratio. *Plasma Physics and Controlled Fusion*, **34**, 1445.
- [12] Martin, Y.R., Degeling, A., Lang, P.T., Lister, J.B., Sips, A.C.C., Suttrop, W., Treutner, W. and ASDEX Upgrade Team (2004) 31<sup>th</sup> EPS Conference on Plasma Physics, London, 28 June-2 July 2004, Vol. 28G, 4.133.
- [13] Whyte, D.G., Hubbard, A.E., Hughes, J.W., Lipschultz, B., Rice, J.E., Marmor, E.S., Greenwald, M., Cziegler, I., Dominguez, A., Golfinopoulos, T., Howard, N., Lin, L.,

McDermottb, R.M., Porkolab, M., Reinke, M.L., Terry, J., Tsujii, N., Wolfe, S., Wukitch, S., Lin, Y. and the Alcator C-Mod Team (2010) I-Mode: An H-Mode Energy Confinement Regime with L-Mode Particle Transport in Alcator C-Mod. *Nuclear Fusion*, **50**, 105005.

- [14] Xu, M., Duan, X., Dong, J., Ding, X., Yan, L., *et al.* (2014) Overview of HL-2A Recent Experiments. *Nuclear Fusion*, **55**, 104022.  
<https://doi.org/10.1088/0029-5515/55/10/104022>