

The Number of Maximal Independent Sets in Quasi-Tree Graphs and Quasi-Forest Graphs

Jenq-Jong Lin, Min-Jen Jou

Ling Tung University, Taichung, Taiwan Email: mjjou@mail.ltu.edu.tw

How to cite this paper: Lin, J.-J. and Jou, M.-J. (2017) The Number of Maximal Independent Sets in Quasi-Tree Graphs and Quasi-Forest Graphs. *Open Journal of Discrete Mathematics*, **7**, 134-147. https://doi.org/10.4236/ojdm.2017.73013

Received: May 21, 2017 **Accepted:** July 3, 2017 **Published:** July 6, 2017

Copyright © 2017 by authors and Scientific Research Publishing Inc. This work is licensed under the Creative Commons Attribution International License (CC BY 4.0).

http://creativecommons.org/licenses/by/4.0/

Open Access

Abstract

A maximal independent set is an independent set that is not a proper subset of any other independent set. A connected graph (respectively, graph) G with vertex set V(G) is called a quasi-tree graph (respectively, quasi-forest graph), if there exists a vertex $x \in V(G)$ such that G - x is a tree (respectively, forest). In this paper, we survey on the large numbers of maximal independent sets among all trees, forests, quasi-trees and quasi-forests. In addition, we further look into the problem of determining the third largest number of maximal independent sets among all quasi-trees and quasi-forests. Extremal graphs achieving these values are also given.

Keywords

Maximal Independent Set, Quasi-Tree Graph, Quasi-Forest Graph, Extremal Graph

1. Introduction and Preliminary

Let G = (V, E) be a simple undirected graph. An *independent set* is a subset S of V such that no two vertices in S are adjacent. A *maximal independent set* is an independent set that is not a proper subset of any other independent set. The set of all maximal independent sets of a graph G is denoted by MI(G) and its cardinality by mi(G).

The problem of determining the largest value of mi(G) in a general graph of order *n* and those graphs achieving the largest number was proposed by Erdös and Moser, and solved by Moon and Moser [1]. It was then studied for various families of graphs, including trees, forests, (connected) graphs with at most one cycle, (connected) triangle-free graphs, (*k*-)connected graphs, bipartite graphs; for a survey see [2]. Jin and Li [3] investigated the second largest number of mi(G) among all graphs of order *n*; Jou and Lin [4] further explored the same problem for trees and forests; Jin and Yan [5] solved the third largest number of

mi(G) among all trees of order *n*. A connected graph (respectively, graph) *G* with vertex set V(G) is called a *quasi-tree graph* (respectively, *quasi-forest graph*), if there exists a vertex $x \in V(G)$ such that G - x is a tree (respectively, forest). The concept of quasi-tree graphs was mentioned by Liu and Lu in [6]. Recently, the problem of determining the largest and the second largest numbers of mi(G) among all quasi-tree graphs and quasi-forest graphs of order *n* was solved by Lin [7] [8].

In this paper, we survey on the large numbers of maximal independent sets among all trees, forests, quasi-trees and quasi-forests. In addition, we further look into the problem of determining the third largest number of maximal independent sets among all quasi-trees and quasi-forests. Extremal graphs achieving these values are also given.

For a graph G = (V, E), the *neighborhood* $N_G(x)$ of a vertex x is the set of vertices adjacent to x in G and the *closed neighborhood* $N_G[x]$ is

 $\{x\} \cup N_G(x)$. The *degree* of x is the cardinality of $N_G(x)$, denoted by

 $\deg_G(x)$. For a set $A \subseteq V(G)$, the *deletion* of A from G is the graph G-A obtained from G by removing all vertices in A and their incident edges. Two graphs G_1 and G_2 are *disjoint* if $V(G_1) \cap V(G_2) = \emptyset$. The *union* of two disjoint graphs G_1 and G_2 is the graph $G_1 \cup G_2$ with vertex set

 $V(G_1 \cup G_2) = V(G_1) \cup V(G_2)$ and edge set $E(G_1 \cup G_2) = E(G_1) \cup E(G_2)$.

nG is the short notation for the union of *n* copies of disjoint graphs isomorphic to *G*. Denote by C_n a *cycle* with *n* vertices and P_n a *path* with *n* vertices.

Throughout this paper, for simplicity, let $r = \sqrt{2}$.

Lemma 1.1 ([9]) For any vertex x in a graph G,

 $mi(G) \le mi(G-x) + mi(G-N_G[x]).$

Lemma 1.2 ([10]) If G is the union of two disjoint graphs G_1 and G_2 , then $mi(G) = mi(G_1)mi(G_2)$.

2. Survey on the Large Numbers of Maximal Independent Sets

In this section, we survey on the large numbers of maximal independent sets among all trees, forests, quasi-trees and quasi-forests. The results of the largest numbers of maximal independent sets among all trees and forests are described in Theorems 2.1 and 2.2, respectively.

Theorem 2.1 ([10] [11]) If *T* is a tree with $n \ge 1$ vertices, then $mi(T) \le t_1(n)$, where

$$t_1(n) = \begin{cases} r^{n-2} + 1, & \text{if } n \text{ is even,} \\ r^{n-1}, & \text{if } n \text{ is odd.} \end{cases}$$

Furthermore, $mi(T) = t_1(n)$ if and only if $T \in T_1(n)$, where

$$T_1(n) = \begin{cases} B\left(2, \frac{n-2}{2}\right) \text{ or } B\left(4, \frac{n-4}{2}\right), & \text{ if } n \text{ is even,} \\ B\left(1, \frac{n-1}{2}\right), & \text{ if } n \text{ is odd,} \end{cases}$$

where B(i, j) is the set of batons, which are the graphs obtained from the basic path P of $i \ge 1$ vertices by attaching $j \ge 0$ paths of length two to the endpoints of P in all possible ways (see Figure 1).

Theorem 2.2 ([10] [11]) *If F is a forest with* $n \ge 1$ *vertices, then* $mi(F) \le f_1(n)$, where

$$f_1(n) = \begin{cases} r^n, & \text{if } n \text{ is even,} \\ r^{n-1}, & \text{if } n \text{ is odd.} \end{cases}$$

Furthermore, $mi(F) = f_1(n)$ if and only if $F \in F_1(n)$, where

$$F_1(n) = \begin{cases} \frac{n}{2}P_2, & \text{if } n \text{ is even} \\ B\left(1; \frac{n-1-2s}{2}\right) \cup sP_2 \text{ for some } s \text{ with } 0 \le s \le \frac{n-1}{2}, & \text{if } n \text{ is odd.} \end{cases}$$

The results of the second largest numbers of maximal independent sets among all trees and forests are described in Theorems 2.3 and 2.4, respectively.

Theorem 2.3 ([4]) If T is a tree with $n \ge 4$ vertices having $T \notin T_1(n)$, then $mi(T) \le t_2(n)$, where

$$t_{2}(n) = \begin{cases} r^{n-2}, & \text{if } n \ge 4 \text{ is even,} \\ 3, & \text{if } n = 5, \\ 3r^{n-5} + 1, & \text{if } n \ge 7 \text{ is odd.} \end{cases}$$

Furthermore, $mi(T) = t_2(n)$ if and only if $T = T'_2(8), T''_2(8), P_{10}$ or

 $T \in T_2(n)$, where $T_2(n)$ and $T'_2(8)$, $T''_2(8)$ are shown in Figure 2 and Figure 3, respectively.

Theorem 2.4 ([4]) If F is a forest with $n \ge 4$ vertices having $F \notin F_1(n)$, then $mi(F) \le f_2(n)$, where



Figure 1. The baton B(i, j) with $j = j_1 + j_2$.



Figure 2. The trees $T_2(n)$.



Figure 3. The trees $T'_2(8)$ and $T''_2(8)$.

$$f_2(n) = \begin{cases} 3r^{n-4}, & \text{if } n \ge 4 \text{ is even,} \\ 3, & \text{if } n = 5, \\ 7r^{n-7}, & \text{if } n \ge 7 \text{ is odd.} \end{cases}$$

Furthermore, $mi(F) = f_2(n)$ if and only if $F \in F_2(n)$, where

$$F_{2}(n) = \begin{cases} P_{4} \cup \frac{n-4}{2} P_{2}, & \text{if } n \ge 4 \text{ is even,} \\ T_{2}(5) \text{ or } P_{4} \cup P_{1}, & \text{if } n = 5, \\ P_{7} \cup \frac{n-7}{2} P_{2}, & \text{if } n \ge 7 \text{ is odd.} \end{cases}$$

The results of the third largest numbers of maximal independent sets among all trees and forests are described in Theorems 2.5 and 2.6, respectively.

Theorem 2.5 ([5]) If T is a tree with $n \ge 7$ vertices having $T \notin T_i(n)$, i = 1, 2, then $mi(T) \le t_3(n)$, where

$$t_{3}(n) = \begin{cases} 3r^{n-5}, & \text{if } n \ge 7 \text{ is odd,} \\ 7, & \text{if } n = 8, \\ 15, & \text{if } n = 10, \\ 7r^{n-8} + 2, & \text{if } n \ge 12 \text{ is even} \end{cases}$$

Furthermore, $mi(T) = t_3(n)$ if and only if $T = T_3(8), T'_3(10), T''_3(10)$ or $T \in T_3(n)$, where $T_3(8), T'_3(10), T''_3(10), T_3(n)$ are shown in Figure 4 and Figure 5, respectively.

Theorem 2.6 ([12]) If F is a forest with $n \ge 8$ vertices having $F \notin F_i(n)$, i = 1, 2, then $mi(F) \le f_3(n)$, where

$$f_3(n) = \begin{cases} 5r^{n-6}, & \text{if } n \text{ is even,} \\ 13r^{n-9}, & \text{if } n \text{ is odd.} \end{cases}$$

Furthermore, $mi(F) = f_3(n)$ if and only if $F \in F_3(n)$, where

 $F_{3}(n) = \begin{cases} T_{1}(6) \cup \frac{n-6}{2}P_{2}, & \text{if } n \text{ is even,} \\ T_{2}(9) \cup \frac{n-9}{2}P_{2}, & \text{if } n \text{ is odd.} \end{cases}$



Figure 4. The trees $T_3(8), T'_3(10)$ and $T''_3(10)$.



Figure 5. The trees $T_3(n)$.

The results of the largest numbers of maximal independent sets among all quasi-tree graphs and quasi-forest graphs are described in Theorems 2.7 and 2.8, respectively.

Theorem 2.7 ([7]) If Q is a quasi-tree graph with $n \ge 5$ vertices, then $mi(Q) \le q_1(n)$, where

$$q_1(n) = \begin{cases} 3r^{n-4}, & \text{if } n \text{ is even,} \\ r^{n-1}+1, & \text{if } n \text{ is odd.} \end{cases}$$

Furthermore, $mi(Q) = q_1(n)$ if and only if $Q = C_5$ or $Q \in Q_1(n)$, where $Q_1(n)$ is shown in **Figure 6**.

Theorem 2.8 ([7]) If Q is a quasi-forest graph with $n \ge 2$ vertices, then $mi(Q) \leq \overline{q}_1(n)$, where

$$\overline{q}_1(n) = \begin{cases} r^n, & \text{if } n \text{ is even,} \\ 3r^{n-3}, & \text{if } n \text{ is odd.} \end{cases}$$

Furthermore, $mi(Q) = \overline{q}_1(n)$ if and only if $Q \in \overline{Q}_1(n)$, where

$$\overline{Q}_{1}(n) = \begin{cases} \frac{n}{2}P_{2}, & \text{if } n \text{ is even,} \\ C_{3} \cup \frac{n-3}{2}P_{2}, & \text{if } n \text{ is odd.} \end{cases}$$

The results of the second largest numbers of maximal independent sets among all quasi-tree graphs and quasi-forest graphs are described in Theorems 2.9 and 2.10, respectively.

Theorem 2.9 ([8]) If Q is a quasi-tree graph with $n \ge 6$ vertices having $Q \notin Q_1(n)$, then $mi(Q) \leq q_2(n)$, where

$$q_2(n) = \begin{cases} 5r^{n-6} + 1, & \text{if } n \text{ is even,} \\ r^{n-1}, & \text{if } n \text{ is odd.} \end{cases}$$

Furthermore, $mi(Q) = q_2(n)$ if and only if $Q \in Q_2(n)$, where

$$Q_{2}(n) = \begin{cases} Q_{2e}^{(1)}(n), Q_{2e}^{(2)}(n), Q_{2e}^{(3)}(n), Q_{2e}^{(4)}(n), & \text{if } n \text{ is even,} \\ B\left(1, \frac{n-1}{2}\right), Q_{2o}^{(1)}(7), Q_{2o}^{(2)}(7), Q_{2o}^{(3)}(7), Q_{2o}^{(4)}(7), & \text{if } n \text{ is odd,} \end{cases}$$

where $Q_2(n)$ is shown in **Figure 7** and **Figure 8**.

Theorem 2.10 ([8]) If Q is a quasi-forest graph with $n \ge 4$ vertices having $Q \notin \overline{Q}_1(n)$, then $mi(Q) \leq \overline{q}_2(n)$, where

$$\overline{q}_{2}(n) = \begin{cases} 3r^{n-4}, & \text{if } n \text{ is even,} \\ 5r^{n-5}, & \text{if } n \text{ is odd.} \end{cases}$$



Figure 6. The graph $Q_1(n)$.





Figure 7. The graphs $Q_{2e}^{(i)}(n)$, $1 \le i \le 4$.



Figure 8. The graphs $Q_{2o}^{(i)}(7)$, $1 \le i \le 4$.

Furthermore, $mi(Q) = \overline{q}_2(n)$ if and only if $Q \in \overline{Q}_2(n)$, where

$$\overline{Q}_{2}(n) = \begin{cases} P_{4} \cup \frac{n-4}{2} P_{2}, Q_{1}(n-2s) \cup sP_{2}, \\ Q_{2}(6) \cup \frac{n-6}{2} P_{2}, C_{3} \cup B\left(1, \frac{n-4-2s}{2}\right) \cup sP_{2}, & \text{if } n \text{ is even}, \\ Q_{1}(5) \cup \frac{n-5}{2} P_{2}, W \cup \frac{n-5}{2} P_{2}, C_{5} \cup \frac{n-5}{2} P_{2}, & \text{if } n \text{ is odd}, \end{cases}$$

where W is a bow, that is, two triangles C_3 having one common vertex.

A graph is said to be *unicyclic* if it contains exactly one cycle. The result of the second largest number of maximal independent sets among all connected unicyclic graphs are described in Theorems 2.11.

Theorem 2.11 ([13]) If U is a connected unicyclic graph of order $n \ge 6$ with $U \ne C_5$ and $Q \ne Q_1(n)$, then $mi(G) \le u_2(n)$, where

$$u_{2}(n) = \begin{cases} 5r^{n-6} + 1, & \text{if } n \text{ is even,} \\ 3r^{n-5} + 2, & \text{if } n \text{ is odd.} \end{cases}$$

Furthermore, $mi(G) = u_2(n)$ if and only if $U \in U_2(n)$, where

$$U_{2}(n) = \begin{cases} \mathcal{Q}_{2e}^{(1)}(n), & \text{if } n \text{ is even,} \\ U_{2o}^{(1)}(n), U_{2o}^{(2)}(n), U_{2o}^{(3)}(n), U_{2o}^{(4)}(n), U_{2o}^{(5)}(n), U_{2o}^{(6)}(n), & \text{if } n \text{ is odd,} \end{cases}$$

where $U_{2o}^{(i)}(n)$ is shown in **Figure 9**.

3. Main Results

In this section, we determine the third largest values of mi(G) among all quasisi-tree graphs and quasi-forest graphs of order $n \ge 7$, respectively. Moreover, the extremal graphs achieving these values are also determined.

Theorem 3.1 If Q is a quasi-tree graph of odd order $n \ge 7$ having $Q \notin Q_1(n), Q_2(n)$, then $mi(Q) \le 3r^{n-5} + 2$. Furthermore, the equality holds if

and only if $Q = U_{2o}^{(i)}$, $1 \le i \le 6$, where $U_{2o}^{(i)}(n)$ is shown in Figure 9. *Proof.* It is straightforward to check that $mi(U_{2o}^{(i)}(n)) = 3r^{n-5} + 2$, $1 \le i \le 6$.

Let Q be a quasi-tree graph of odd order $n \ge 7$ having $Q \notin Q_1(n), Q_2(n)$ such that mi(Q) is as large as possible. Then $mi(Q) \ge 3r^{n-5} + 2$. If Q is a tree, by Theorems 2.1, 2.3 and $Q \notin Q_2(n)$, we have that



Figure 9. The graphs $U_{2a}^{(i)}(n)$, $1 \le i \le 6$.

 $3r^{n-5} + 2 \le mi(Q) \le t_2(n) = 3r^{n-5} + 1$. This is a contradiction.

Suppose that Q contains at least two cycles and x is the vertex such that Q-x is a tree. Then $\deg_Q(x) \ge 3$. By Lemma 1.1, Theorems 2.1 and 2.2, $3r^{n-5} + 2 \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le r^{(n-1)-2} + 1 + r^{(n-4)-1} = 3r^{n-5} + 1$,

which is a contradiction. We obtain that Q is a connected unicyclic graph, thus the result follows from Theorem 2.11.

Theorem 3.2 If Q is a quasi-tree graph of even order $n \ge 8$ having $Q \notin Q_1(n), Q_2(n)$, then $mi(Q) \le 5r^{n-6}$. Furthermore, the equality holds if and only if $Q = \mathbb{Q}'(8)$, $\mathbb{Q}''(8)$, $\mathbb{Q}'''(10)$, $Q_{3e}^{(i)}(n)$, $1 \le i \le 12$, where $\mathbb{Q}'(8)$, $\mathbb{Q}''(8)$, $\mathbb{Q}''(8)$, $\mathbb{Q}'''(10)$ and $Q_{3e}^{(i)}(n)$ are shown in Figure 10.

Proof. It is straightforward to check that $mi(\mathbb{Q}'(8)) = mi(\mathbb{Q}''(8)) = 10$, $mi(\mathbb{Q}'''(10)) = 20$ and $mi(Q_{3e}^{(i)}(n)) = 5r^{n-6}$, $1 \le i \le 12$. Let Q be a quasi-tree graph of even order $n \ge 8$ having $Q \notin Q_1(n), Q_2(n)$ such that mi(Q) is as large as possible. Then $mi(Q) \ge 5r^{n-6}$. If Q is a tree, by Theorem 2.1, we have that $5r^{n-6} \le mi(Q) \le t_1(n) = r^{n-2} + 1$. This is a contradiction, so Q contains at least one cycle. Let x be the vertex such that Q - x is a tree. Then x is on some cycle of Q, it follows that $\deg_Q(x) \ge 2$. In addition, by Lemma 1.1, Theorems 2.2 and 2.5, $mi(Q-x) \ge 5r^{n-6} - r^{(n-3)-1} = 3r^{n-6} = t_3(n-1)$. We consider the following three cases.

Case 1. $Q - x \in T_1(n-1)$. If $\deg_Q(x) \ge 6$ then $Q - N_Q[x]$ is a forest with at most n-7 vertices, by Lemma 1.1, Theorems 2.1 and 2.2,

 $5r^{n-6} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le r^{(n-1)-1} + r^{(n-7)-1} = 9r^{n-8}$. This is a contradiction. So we assume that $2 \le \deg_Q(x) \le 5$.

• deg x = 2. There are 6 possibilities for graph Q. See Figure 11. Note that $Q_1^* = Q_1(n)$. By simple calculation, we have that $mi(Q_i^*) \le r^{n-2} + 1$ for $2 \le i \le 6$, a contradiction to $mi(Q) \ge 5r^{n-6}$.

• deg x = 3. Suppose that there exists an isolated vertex y in $Q - N_Q[x]$ and $Q - N_Q[x] - y \notin F_1(n-5)$, then

 $mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) < r^{(n-1)-1} + r^{(n-4)-1-1} = 5r^{n-6}$. Hence there are 4 possibilities for graph Q. See Figure 12.

Note that $Q_8^* = Q_{2e}^{(2)}(n)$, $Q_9^* = Q_{3e}^{(7)}(n)$ and $Q_{10}^* = Q_{1e}^{(2)}(n)$. By simple calculation, we have $mi(Q_7^*) = r^{n-2} + 1$, a contradiction to $mi(Q) \ge 5r^{n-6}$.

• $4 \le \deg x \le 5$. Since $Q - N_0[x]$ is a forest of odd order n-5 or even or-



Figure 10. The graphs Q'(8), Q''(8), Q'''(10) and $Q_{3e}^{(i)}(n)$, $1 \le i \le 12$.



Figure 11. The graphs Q_i^* , $1 \le i \le 6$.

der n-6, by Lemma 1.1, Theorems 2.1 and 2.2, we have $5r^{n-6} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le r^{n-2} + r^{n-6} = 5r^{n-6}$. The equalities holding imply that $Q-x = T_1(n-1)$ and $Q-N_Q[x] = F_1(n-5)$ or $F_1(n-6)$. Hence we obtain that $Q = Q_{3e}^{(i)}(n)$, $1 \le i \le 4$.

Case 2. $Q - x \in T_2(n-1)$. If $\deg_Q(x) \ge 4$ then $Q - N_Q[x]$ is a forest with at most n-5 vertices, by Lemma 1.1, Theorems 2.2 and 2.3, we have that $5r^{n-6} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le 3r^{(n-1)-5} + 1 + r^{(n-5)-1} = 4r^{n-6} + 1$. This is a contradiction. So we assume that $2 \le \deg_Q(x) \le 3$.

• deg x = 2. Suppose that $Q - N_Q[x] \notin F_1(n-3)$, by Lemma 1.1, Theorems 2.3 and 2.4, we have that



Figure 12. The graphs Q_i^* , $7 \le i \le 10$.

 $5r^{n-6} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le 3r^{(n-1)-5} + 1 + 7r^{(n-3)-7} = 19r^{n-10} + 1$. The equalities holding imply that n = 10, that is, $Q - x = T_2(9)$ and $Q - N_Q[x] = F_2(7)$. Hence we obtain that $Q = \mathbb{Q}^m(10)$. Now we assume that $Q - N_Q[x] \in F_1(n-3)$. There are 7 possibilities for graph Q. See Figure 13.

Note that $Q_{11}^* = Q_{2e}^{(1)}(n)$, $Q_{12}^* = Q_{3e}^{(5)}(n)$ and $Q_{13}^* = Q_{3e}^{(6)}(n)$. By simple calculation, we have $mi(Q_i^*) \le r^{n-2} + 2$ for $14 \le i \le 17$, a contradiction to $mi(Q) \ge 5r^{n-6}$ when $n \ge 10$. In addition, $r^{8-2} + 2 = mi(Q_{17}^*) = 5r^{8-6}$ when n = 8, it follows that $Q = Q_{3e}^{(6)}(8)$.

• deg x = 3. Suppose that $Q - N_Q[x] \notin F_1(n-4)$, by Lemma 1.1, Theorems 2.3 and 2.4, we have that

 $5r^{n-6} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le 3r^{(n-1)-5} + 1 + 3r^{(n-4)-4} = 9r^{n-8} + 1$ The equalities holding imply that n = 8, that is, $Q - x = T_2(7)$ and

 $Q - N_Q[x] = F_2(4)$. Hence we obtain that $Q = \mathbb{Q}'(8), \mathbb{Q}''(8)$. Now we assume that $Q - N_Q[x] \in F_1(n-4)$. Since $Q - x \in T_2(n-1)$ and

 $Q - N_Q[x] \in F_1(n-4)$, it follows that $Q = Q_{2e}^{(i)}(n)$, $2 \le i \le 4$, a contradiction to $Q \notin Q_2(n)$.

Case 3. $Q-x \in T_3(n-1)$. Since $Q-N_Q[x]$ is a forest with at most n-3 vertices, by Lemma 1.1, Theorems 2.2 and 2.5, we have

 $5r^{n-6} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le 3r^{(n-1)-5} + r^{(n-3)-1} = 5r^{n-6}$. The equalities holding imply that $Q-x \in T_3(n-1)$ and $Q-N_Q[x] \in F_1(n-3)$ or $F_1(n-4)$. For the case that $Q-N_Q[x] \in F_1(n-4)$, we obtain that $Q = Q_{3e}^{(i)}$, $7 \le i \le 9$. For the other case that $Q-N_Q[x] \in F_1(n-3)$ There are 7 possibilities

for graph *Q*. See **Figure 14**.

Note that $Q_{18}^* = Q_{3e}^{(10)}(n)$, $Q_{19}^* = Q_{3e}^{(11)}(n)$ and $Q_{20}^* = Q_{3e}^{(12)}(n)$. By simple calculation, we have $mi(Q_i^*) \le r^{n-2} + 1$ for $21 \le i \le 24$, a contradiction to $mi(Q) \ge 5r^{n-6}$.

In the following, we will investigate the same problem for quasi-forest graphs. **Theorem 3.3** If *Q* is a quasi-forest graph of odd order $n \ge 7$ having





Figure 13. The graphs Q_i^* , $11 \le i \le 17$.



Figure 14. The graphs Q_i^* , $18 \le i \le 24$.



Figure 15. The graphs $\overline{Q}_{3o}^{(i)}(n)$, $1 \le i \le 4$.

Proof. It is straightforward to check that $mi(\overline{Q}_{3o}^{(i)}(n)) = 9r^{n-7}$, $1 \le i \le 4$. Let Q be a quasi-forest graph of odd order $n \ge 7$ having $Q \notin \overline{Q}_1(n), \overline{Q}_2(n)$ such that mi(Q) is as large as possible. Then $mi(Q) \ge 9r^{n-7}$. If Q is a forest, by Theorem 2.2, we have that $9r^{n-7} \le mi(Q) \le f_1(n) = r^{n-1}$. This is a contradiction, so Q contains at least one cycle. Let x be a vertex such that Q - x is a forest. Then x is on some cycle of Q, it follows that $\deg_Q(x) \ge 2$ and $Q - N_Q[x]$ is a forest with at most n-3 vertices. By Lemma 1.1, Theorem2.2 and 2.6, we obtain that $mi(Q-x) \ge mi(Q) - mi(Q-N_Q[x]) \ge 9r^{n-7} - r^{n-3} = 5r^{n-7} = f_3(n-1)$. We consider the following three ases.

Case 1. $Q - x \in F_1(n-1)$. If $\deg_Q(x) \ge 7$ then $Q - N_Q[x]$ is a forest with at most n-8 vertices, by Lemma 1.1 and Theorem 2.2, we have that $9r^{n-7} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le r^{n-1} + r^{(n-8)-1} = 17r^{n-9}$. This is a contradiction. So we assume that $2 \le \deg_Q(x) \le 6$. There are 9 possibilities for graph Q. See Figure 16.

Note that $\overline{Q}_1^* \in \overline{Q}_1(n)$, $\overline{Q}_2^* \in \overline{Q}_2(n)$, $\overline{Q}_3^* \in \overline{Q}_3(n)$, $\overline{Q}_4^* = \overline{Q}_{3o}^{(1)}(n)$, $\overline{Q}_5^* = \overline{Q}_{3o}^{(2)}(n)$, $\overline{Q}_7^* = \overline{Q}_{3o}^{(3)}(n)$. By simple calculation, we have $mi(\overline{Q}_i^*) \leq 17r^{n-9}$, i = 6, 8, 9, a contradiction to $mi(Q) \geq 9r^{n-7}$.

Case 2. $Q-x = F_2(n-1)$. If $\deg_Q(x) \ge 3$ then $Q-N_Q[x]$ is a forest with at most n-4 vertices, by Lemma 1.1, Theorems 2.2 and 2.4, we have that

 $9r^{n-7} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le 3r^{(n-1)-4} + r^{(n-4)-1} = 4r^{n-5}$. This is a contradiction. So we assume that $\deg_Q(x) = 2$. There are 5 possibilities for graph Q. See Figure 17.

Note that $\overline{Q}_{10}^* = \overline{Q}_2(n)$, $\overline{Q}_{12}^* = \overline{Q}_2(n)$, $\overline{Q}_{14}^* = \overline{Q}_{3o}^{(4)}(n)$. By simple calculation, we have $mi(\overline{Q}_i^*) \leq 3r^{n-5} + 1$, i = 11, 13, a contradiction to $mi(Q) \geq 9r^{n-7}$.

Case 3. $Q - x \in F_3(n-1)$. Since $Q - N_Q[x]$ is a forest with at most n-3 vertices, by Lemma 1.1, Theorems 2.2 and 2.6, we have that

 $9r^{n-7} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le 5r^{(n-1)-6} + r^{n-3} = 9r^{n-7}$. The equali-



Figure 16. The graphs \overline{Q}_i^* , $1 \le i \le 9$.



ties holding imply that $Q - x \in F_3(n-1)$ and $Q - N_Q[x] \in F_1(n-3)$. There are 3 possibilities for graph Q. See Figure 18.

Note that $\overline{Q}_{17}^* = \overline{Q}_{3o}^{(1)}(n)$. By simple calculation, we have $mi(\overline{Q}_i^*) = 8r^{n-7}$, $15 \le i \le 16$, a contradiction to $mi(Q) \ge 9r^{n-7}$.

Theorem 3.4 If Q is a quasi-forest graph of even order $n \ge 8$ having $Q \notin \overline{Q_1}(n), \overline{Q_2}(n)$, then $mi(Q) \le 11r^{n-8}$. Furthermore, the equality holds if and only if $Q = Q_2(8) \cup \frac{n-8}{2}P_2$.

Proof. It is straightforward to check that $mi\left(Q_2(8)\cup\frac{n-8}{2}P_2\right)=11r^{n-8}$. Let Q

be a quasi-forest graph of even order $n \ge 8$ having $Q \notin \overline{Q}_1(n), \overline{Q}_2(n)$ such that mi(Q) is as large as possible. Then $mi(Q) \ge 11r^{n-8}$. If Q is a forest, by Theorems 2.2, 2.4, 2.6, 2.8 and 2.10, we have that

 $11r^{n-8} \le mi(Q) \le f_3(n) = 5r^{n-6}$. This is a contradiction, so Q contains a coponent \hat{Q} with at least one cycle.

Let $|\hat{Q}| = s$. Suppose that $Q - \hat{Q} \neq \frac{n-s}{2}P_2$. Since \hat{Q} is not a tree and $Q \notin \overline{Q}_1(n), \overline{Q}_2(n)$, by Lemma 1.2, Theorems 2.2, 2.4 and 2.7, we have that

$$mi(Q) = mi(\hat{Q}) \cdot mi(Q - \hat{Q})$$

$$\leq \begin{cases} 3r^{s-4} \cdot 3r^{(n-s)-4}, & \text{if } s \ge 4 \text{ is even,} \\ 3 \cdot 7r^{(n-3)-7}, & \text{if } s = 3, \\ (r^{s-1}+1) \cdot r^{(n-s)-1}, & \text{if } s \ge 5 \text{ is odd,} \end{cases}$$

$$\leq \begin{cases} 9r^{n-8}, & \text{if } s \ge 4 \text{ is even,} \\ 21r^{n-10}, & \text{if } s = 3, \\ 5r^{n-6}, & \text{if } s \ge 5 \text{ is odd,} \end{cases}$$

$$< 11r^{n-8},$$



Figure 17. The graphs \overline{Q}_i^* , $10 \le i \le 14$.



Figure 18. The graphs \overline{Q}_i^* , $15 \le i \le 17$.



Figure 19. The graphs \overline{Q}_i^* , $18 \le i \le 19$.

which is a contradiction. Hence we obtain that s is even and $Q - \hat{Q} = \frac{n-s}{2}P_2$. Let x be the vertex in \hat{Q} such that $\hat{Q}-x$ is a forest and $w(\hat{Q}-x)$ be the number of components of $\hat{Q} - x$. We consider the following two cases.

Case 1. $w(\hat{Q} - x) = 1$. Then \hat{Q} is a quasi-tree graph. Since

 $Q \notin \overline{Q}_1(n), \overline{Q}_2(n)$ it follows that $s \ge 8$. By Lemma 1.2 and Theorem 2.9, it follows that $mi(Q) = (5r^{s-6} + 1) \cdot r^{n-s} = 5r^{n-6} + r^{n-s} \le 11r^{n-8}$. The equality holding imply that s = 8. In conclusion, $Q = Q_2(8) \cup \frac{n-8}{2}P_2$.

Case 2. $w(\hat{Q} - x) \ge 2$. Then deg $x \ge 3$. In addition, suppose that $Q - N_0[x]$ has a isolated vertex or $\deg_o(x) \ge 4$, by Lemma 1.1 and Theorem 2.2, we have that $11r^{n-8} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le r^{(n-1)-1} + r^{(n-5)-1} = 5r^{n-6}$. This is a contradiction, hence, we have that $\deg_Q(x) = 3$ and $Q - N_Q[x]$ has no isolated vertex. For the case that $Q - x \notin F_1(n-1)$, by Lemma 1.1, Theorems 2.2 and 2.4, we have that

 $11r^{n-8} \le mi(Q) \le mi(Q-x) + mi(Q-N_Q[x]) \le 7r^{(n-1)-7} + r^{n-4} = 11r^{n-8}.$ The equalities holding imply that $Q - x \in F_2(n-1)$ and $Q - N_0[x] \in F_1(n-4)$. Since $w(\hat{Q}-x) \ge 2$, there no such graph Q. For the other case that $Q-x \in F_1(n-1)$, there are 2 possibilities for graph Q. See Figure 19.

Note that $\bar{Q}_{18}^* = \bar{Q}_2(n)$ and $\bar{Q}_{19}^* = Q_{2e}^{(1)}(8) \cup \frac{n-8}{2}P_2$ when s = 8. On the other er hand, $mi(\overline{Q}_{19}^*) \le 21r^{n-10}$ when $s \ge 10$, a contradiction to $mi(Q) \ge 11r^{n-8}$.

References

- [1] Moon, J.W. and Moser, L. (1965) On Cliques in Graphs. Israel Journal of Mathematics, 3, 23-28. https://doi.org/10.1007/BF02760024
- [2] Jou, M.J. and Chang, G.J. (1995) Survey on Conunting Maximal Independent Sets. In: Tangmance, S. and Schulz, E., Eds., Proceedings of the Second Asian Mathematical Conference, World Scientific, Singapore, 265-275.
- Jin, Z. and Li, X. (2008) Graphs with the Second Largest Number of Maximal Inde-[3] pendent Sets. Discrete Mathematics, 308, 5864-5870. https://doi.org/10.1016/j.disc.2007.10.032
- Jou, M.J. and Lin, J.J. (2009) Trees with the Second Largest Number of Maximal [4] Independent Sets. Discrete Mathematics, 309, 4469-4474. https://doi.org/10.1016/j.disc.2009.02.007
- Jin, Z. and Yan, H.F. (2009) Trees with the Second and Third Largest Number of [5] Maximal Independent Sets. Ars Combinatoria, 93, 341-351.



- [6] Liu, H. and Lu, M. (2008) On the Spectral Radius of Quasi-Tree Graphs. *Linear Al-gebra and Its Applications*, 428, 2708-2714. <u>https://doi.org/10.1016/j.laa.2007.12.017</u>
- [7] Lin, J.J. (2010) Quasi-Tree Graphs with the Largest Number of Maximal Independent Sets. *Ars Combinatoria*, **97**, 27-32.
- [8] Lin, J.J. (2013) Quasi-Tree Graphs with the Second Largest Number of Maximal Independent Sets. Ars Combinatoria, 108, 257-267.
- [9] Sagan, B.E. and Vatter, V.R. (2006) Maximal and Maximum Independent Sets in Graphs with at Most r Cycles. *Journal of Graph Theory*, 53, 283-314. <u>https://doi.org/10.1002/jgt.20186</u>
- [10] Jou, M.J. (1991) The Number of Maximal Independent Sets in Graphs. Master Thesis, Department of Mathematics, National Central University, Taiwan.
- [11] Jou, M.J. and Chang, G.J. (1997) Maximal Independent Sets in Graphs with at Most One Cycle. *Discrete Applied Mathematics*, **79**, 67-73. https://doi.org/10.1016/S0166-218X(97)00033-4
- [12] Jou, M.J. and Lin, J.J. (2010) Forests with the Third Largest Number of Maximal Independent Sets. *Ling Tung Journal*, **27**, 203-212.
- [13] Lin, J.J. and Jou, M.J. The Numbers of Maximal Independent Sets in Connected Unicyclic Graphs. Utilitas Math., to Appear.

👯 Scientific Research Publishing

Submit or recommend next manuscript to SCIRP and we will provide best service for you:

Accepting pre-submission inquiries through Email, Facebook, LinkedIn, Twitter, etc. A wide selection of journals (inclusive of 9 subjects, more than 200 journals) Providing 24-hour high-quality service User-friendly online submission system Fair and swift peer-review system Efficient typesetting and proofreading procedure Display of the result of downloads and visits, as well as the number of cited articles Maximum dissemination of your research work

Submit your manuscript at: <u>http://papersubmission.scirp.org/</u> Or contact <u>ojdm@scirp.org</u>